Background documents to support the plan for the revision of Gothenburg Protocol, as amended in 2012

The following table contains a list of relevant documents for the revision process, prepared in recent years by the Convention's subsidiary bodies and their Task Forces. The documents listed in the table contain scientific and technical background information as well as information on air policies. This table was prepared by the WGSR Bureau for the sixty-second session of the WGSR (Geneva, 27-31 May 2024) to provide informative support to Parties for the discussion on the "Draft plan for the revision of the Gothenburg Protocol, as amended 2012" (ECE/EB.AIR/WG.5/2024/1). The table includes a column with a brief summary of the main content of the document in question, to give a quick idea of the relevance of the document, but without intending to be exhaustive. It also provides a column indicating for which of the items (a)-(h) listed in table 1 of the draft Plan the documents are of relevance.

Table: Background documentation to support the Plan for the Revision of Gothenburg Protocol as amended in 2012

<u>N°</u>	<u>Name of the</u> <u>Document</u>	<u>Present status / version / source / link</u>	<u>Main content</u>	Relevant items in table 1 in the Draft Plan for the revision of the Gothenburg Protocol as amended in 2012
1	Gothenburg Protocol Review Report	EB42 official document, ECE/EB.AIR/2022/3 https://unece.org/environment/documents/202 2/09/working-documents/report-review- protocol-abate-acidification	Provides the main conclusions on the adequacy of the obligations and the progress made towards the achievement of the objectives of the amended Gothenburg Protocol.	(a) to (h)
2	Annex I: Scientific Information for the Review of the Gothenburg Protocol	EB42 official document, ECE/EB.AIR/2022/4 https://unece.org/environment/documents/202 2/09/working-documents/scientific- information-review-gothenburg-protocol	Provides the status of current knowledge in 2022. Contains information compiled by the scientific centres and task forces under the Convention to inform the review Gothenburg Protocol, as amended in 2012.	(a), (b), (c), (d), (e) and (g)
3	Annex II: Technical Information for the Review of the Gothenburg Protocol	EB42 official document, ECE/EB.AIR/2022/5 and ECE/EB.AIR/2022/5/Corr.1	Provides scenario analysis, highlighting that there are technical and non-technical options for further improvement and includes additional policy-relevant technical information to supplement the report on the review of the Gothenburg Protocol.	(a) to (h)

		https://unece.org/environment/documents/202 2/09/working-documents/technical- information-review-gothenburg-protocol and corrigendum https://unece.org/environment/documents/202 2/12/working-documents/technical- information-review-gothenburg-protocol	Includes information on <u>technological pathways towards ratification</u> of the amended Gothenburg Protocol: case studies of four countries in Eastern and South-Eastern Europe, the Caucasus and Central Asia .	
4	Options to address the conclusions of the review of the Gothenburg Protocol, as amended in 2012	EB43, official document, ECE/EB.AIR/2023/9 and ECE/EB.AIR/2023/9/Corr.1 <u>https://unece.org/environment/documents/202</u> <u>3/10/working-documents/options-address- conclusions-review-gothenburg</u> and corrigendum <u>https://unece.org/environment/documents/202</u> <u>3/11/standards/corrigendum-options-address- conclusions-review-gothenburg</u>	Provides a list of policy options accompanied by their comprehensive analysis. Based on that analysis presents recommendations to address and respond to the conclusions of the Gothenburg Protocol review and identifies a list of key priority areas that could be included in the revision process.	(a) to (h)
5	Summary tables for the policy options document	EB43, informal document nº4 <u>https://unece.org/environment/documents/202</u> <u>3/11/informal-documents/agenda-item-5-</u> <u>summary-tables-policy-options</u>	Provides an overview of relationship between Gothenburg Protocol Review conclusion themes and policy approaches described in document ECE/EB.AIR/2023/9	(a) to (h)
6	Potential Options for Addressing Methane as an Ozone Precursor	Heads of Delegation Meeting 2022, informal document <u>https://unece.org/sites/default/files/2022-</u> <u>09/GPG_potential%20options%20for%20addr</u> <u>essing%20Methane_Version%202_HoD_Aug</u> <u>%2026%202022_clean.pdf</u>	Identifies ways to address methane as an ozone precursor and includes a broad list of options for potentially addressing methane as an ozone precursor under UNECE's Air Convention. Background information on other efforts for addressing methane, including other MEAs.	(d)

7	Co-mitigation of methane and ammonia emissions from agricultural sources	EB43, official document, ECE/EB.AIR/2023/5 https://unece.org/environment/documents/202 3/10/working-documents/co-mitigation- methane-and-ammonia-emissions	Provides information on possible interactions between ammonia and methane mitigation measures and on considerations to be taken into account for simultaneous mitigation.	(d), (e)
0	report	ECE/EB.AIR/WG.5/2021/7 https://unece.org/environment/documents/202 1/03/working-documents/assessment-report- ammonia	 this assessment provides a poncy-oriented overview of animonia that brings together key data and research findings from various studies. It provides relevant conclusions that indicate, among others: Substantial reductions of ammonia emissions, even beyond the current obligations in the Gothenburg Protocol and the NECD Directive are still possible. Further emission reduction of ammonia would require structural changes, including increasing the nitrogen use efficiency. Also, demand side changes would be needed, such as a reduction of food waste, overconsumption and dietary changes. Linkages with water protection (e.g. nitrate leaching) and climate policies require attention in order to avoid negative side effects from ammonia abatement measures and to profit from potential synergies. An increased use of energy crops in the transition towards a carbon neutral economy, would mean that we need to reduce the land occupied by other crops while maintaining food security. That means in practice reducing the land used to grow crops to feed animals. Because of the transboundary role of ammonia in the formation of secondary particulate matter, biodiversity protection, and the linkages with climate and food security policies, it is important to continue the exchange of 	

			information on technical and nontechnical abatement	
			policies.	
9	Considerations for	WGSR59, informal document presented by	Provides a summary note prepared by the TFRN on available	(e)
	ammonia relevant to	TFRN	documentation relevant for ammonia for the review of Gothenburg	
	future review of the		Protocol.	
	Gothenburg Protocol	https://unece.org/fileadmin/DAM/env/docume		
		nts/2020/AIR/WGSR/Ammonia_inf_doc_for_		
		WGSR58 note from TFRN TFIAM .pdf		
10	Guidance document on	EB40, official document, ECE/EB.AIR/149	This Guidance document demonstrates how actions to control air	(e), (h)
	integrated sustainable		pollution have co-benefits for climate, water, biodiversity, health	
	nitrogen management	https://unece.org/sites/default/files/2021-	and the economy. It identifies 24 principles to help Parties	
		08/ECE EB.AIR 149-2104922E.pdf	understand and tailor solutions, summarises 76 measures and their	
		or	performance for abatement of different nitrogen forms and	
		https://upaca.org/anvironment/documents/202	illustrates how to develop packages of measures to improve	
		1/04/working documents/guidance document	coherence.	
		integrated sustainable nitrogen		
11	Cuidanas de sum ant	ED41 - ff -i-1		(1-) (-)
11	Guidance document	EB41, official document, ECE/ED A ID /2021/5	The implementation of the practices, methods, approaches and	(b), (c)
	on reduction of	ECE/EB.AIK/2021/3	significantly contribute to reducing air rellution from residue	
	emissions from		significantly contribute to reducing air ponution from residue	
	agricultural residue	https://unece.org/sites/default/files/2023-	the environment within the ECE region and herend	
	burning	<u>03/2226205_E_PDF_WEB_0_0.pdf</u>	The environment, within the ECE region and beyond.	
		or	Provides information on alternative methods, practices and	
		https://unece.org/sites/default/files/2021-	Examples to eliminate or reduce AKB and its negative effects.	
		10/ECE_EB.AIR_2021_5-2113499E.pdf	industrates the advantages of adopting the life-life practices, in the	
			present guidance, by various successful experiences in several	
10				
12	TFTEI Background	WGSR60 informal document no. 2 presented	Provides new ELVs technically feasible/consistent with the	(b)
	informal technical	by IFIEI	new/upgraded technologies now available, which would allow	
	document for the		significant emission reductions, in many of the	
	Keview of the		sector/fuer(activity)/technology combinations for:	

	Gothenburg Protocol	https://unece.org/sites/default/files/2022-	Annex IV: limit values for emissions of sulphur from stationary	
	for Industrial	03/TFTEI%20review%20of%20annexes%20t	sources.	
	Processes Annexes IV,	o%20the%20Gothenburg%20Protocol.pdf	Annex V: limit values for emissions of nitrogen oxides from	
	V, VI, X and XI		stationary sources.	
			Annex VI: limit values for emissions of volatile organic	
			compounds from stationary sources.	
			Annex X: limit values for emissions of particulate matter from	
			stationary sources.	
			Annex XI: limit values for emissions of volatile organic	
			compounds of products.	
13	TFTEI informal	WGSR 61, informal document presented by	Provides potential new LVs for:	(b)
	background document	TFTEI	Annex VIII: Limit values for fuels and new mobile sources.	
	on reduction			
	techniques for mobile	https://unece.org/sites/default/files/2023-		
	sources and the	08/TFTEI-		
	review of annex VIII	%20Informal%20bakground%20document%2		
	of the Gothenburg	0on%20review%20of%20annex%20VIII%20-		
	Protocol	%20Mobile%20Sources%20of_0.pdf		
14	Draft Guidance	EB43, official document,	Presents measures for the reduction of methane emissions to	(d)
	document on technical	ECE/EB.AIR/2023/6	support Parties in reducing emissions from the main non-	
	measures for reduction		agricultural sources, such as municipal solid waste landfills,	
	of methane emissions	https://unece.org/sites/default/files/2023-	natural gas supply systems and biogas facilities.	
	from landfill, the	10/ECE_EB.AIR_2023_6%20%28E%29.pdf		
	natural gas grid and			
	biogas facilities			

15	Draft guidance document on technical measures for reduction of air pollutant emissions from shipping	EB43, official document, ECE/EB.AIR/2023/7 https://unece.org/environment/documents/202 3/10/working-documents/draft-guidance- document-technical-measures-0	Presents techniques that should be considered when participating in further discussions and development of international rules (e.g., MARPOL, the United Nations Convention on the Law of the Sea) and in developing national emission reduction plans, also considering synergies tackling air pollution and climate change/decarbonization simultaneously. Includes information on measures at the local level, especially on the quality and type of fuels and on port infrastructure , that are relevant at improving air quality in the concerned cities.	(b)
16	Report of the ad-hoc EG on Black Carbon	EB28, official document, ECE/EB.AIR/2010/7 <u>https://unece.org/fileadmin/DAM/env/docume</u> <u>nts/2010/eb/eb/ece.eb.air.2010.7.e.pdf</u> and Corr. 1 <u>https://unece.org/fileadmin/DAM/env/docume</u> <u>nts/2010/eb/eb/ece.eb.air.2010.7.corr.1.e.pdf</u>	The EB established in 2009 an Ad Hoc Expert Group on Black Carbon (EGBC) in 2009 to assess available information on BC, and to identify options for potential revisions to the Gothenburg Protocol that would enable the Parties to mitigate BC as a component of PM. The EB decided in 2010 inter alia to assign tasks to various Task Forces (e.g. on inventories, control technologies, monitoring and its reporting) and to consider inclusion of BC in the Gothenburg Protocol. The latter was not followed in the 2012 revision, but BC was included into Art. 10 as a priority item for any future revision. Related technical and scientific work continued within Task Force on Hemispheric Transport of Air Pollution. Comprehensive information on BC available in 2010.	(c)
17	Prioritizing reductions of particulate matter from sources that are also significant sources of black carbon - analysis and guidance	EB41, official document, ECE/EB.AIR/2021/6 https://unece.org/sites/default/files/2021- 10/ECE_EB.AIR_2021_6-2113500E.pdf	 Provides information in which sectors Parties to the Convention can implement fine particulate matter (PM2.5) emission reduction measures that will enable reductions of black carbon. Includes a list with key high BC priority sectors and measures for the scenarios of: Eastern European South-Eastern Europe and Turkey European Union, Norway, Switzerland and the United Kingdom of Great Britain and Northern Ireland 	(c)

18	Review on Black Carbon (BC) and Polycyclic Aromatic Hydrocarbons (PAHs) emission reductions induced by PM emission abatement techniques	WGSR58, informal document, presented by TFTEI https://unece.org/environment/documents/202 0/12/informal-documents/review-bc-and-pah- emission-reductions	This report provides an overview of BC, PAH and also Ultrafine Particles (UFP) emissions and the effect of PM emission reduction measures on these species emissions. It also provides in depth analysis of capacities of techniques to really reduce BC and PAHs that served the review of ELVs (Emission Limit Values) of annex X of the AGP. It identifies three target sectors, small combustion sources and road transport which are the major sources of BC, and Gas Flaring because this source is an important source for both <u>air quality and</u> <u>climate impact in the Artic regions</u> .	(c)
19	Background documentation on past review and barriers to implementation and ratification to the Convention's Protocols	WGSR58, Informal Document presented by GPG https://unece.org/fileadmin/DAM/env/docume nts/2020/AIR/WGSR/Informal_Doc_EB_40_ EECCA_and_reference_documentsrev.pdf	Contains reference documents of the <u>first</u> GP review (2012), the current review, and specifically on EECCA.	(f)
20	Review of the flexibility provisions to facilitate ratification and implementation	EB42, official document, ECE/EB.AIR/2022/6 <u>https://unece.org/environment/documents/202</u> <u>2/10/working-documents/review-flexibility-</u> provisions-facilitate	 The document provides an input to the review of the Gothenburg Protocol as amended in 2012. Includes a general overview and assessment of the flexibility provisions in the amended Protocol, in particularly: an overview and review of the responses to a questionnaire sent to the national focal points for the Convention in 2021 to support the review of the flexibilities in the amended Protocol. views expressed recently and in previous years by non- Parties to the present Protocol on the current barriers to ratification and implementation, despite the new flexibilities introduced by the 2012 amendment. views expressed by the Coordinating Group on the promotion of actions towards implementation of the Convention in Eastern Europe, the Caucasus and Central Asia (the Coordinating Group) at EB41 with regard to the 	(f)

			possible forthcoming revision of the amended Gothenburg	
			Protocol.	
			• key conclusions and possible options for next steps	
			respectively.	
21	New approaches for	EB43, informal document presented by expert	Provides information on how to incorporate new approaches into a	(f)
	EECCA countries,		revised version of the amended Gothenburg Protocol. The paper	
	Western Balkan	https://unece.org/environment/documents/202	examines in detail the following approaches:	
	countries and Türkiye	3/11/informal-documents/agenda-item-5-new-	i. Staged ratification approach	
		approaches-eecca-countries	ii. Phased commitment approach	
			iii. Separate section approach	
			iv. Sector-based approach	
			v. Individual commitment approach	
22	Concrete example of	EB43, Appendix to informal document on	Provides an example to explain how a staged ratification approach	(f)
	introducing a staged	new approaches for EECCA countries, WB	can be implemented.	
	ratification approach	countries and TR		
	in the gothenburg	https://unece.org/environment/documents/202		
	protocol	3/11/informal-documents/agenda-item-5-		
		example-staged-ratification		
23	Technological	WGSR61, informal document presented by	Presents a technical assessment for Serbia, Georgia, Kazakhstan,	(b), (f)
	pathways in Serbia,	TFTEI	Moldova, Montenegro and Armenia based on the following	
	Georgia, Kazakhstan,		analysis:	
	Moldova,	https://unece.org/sites/default/files/2023-	• Situation in term of ratification of the CLRTAP and its	
	Montenegro and	08/TFTEI%20informal%20document%20on%	Protocols1 and main strategic programmes developed;	
	Armenia	20Technological%20Pathway%20analysis%2	• Assessment of air quality for SO ₂ , PM, NO _x ;	
	Draft version	0in%20six%20EECCA%2C%20SEE%2C%2	• Assessment of the main sources of SO ₂ , PM, NO _x and	
		0Balkan%20countries_v2.pdf	VOC;	
			• Assessment of current regulations implemented for	
			activities covered by annexes IV (SO ₂), V (NO _x), VI	
			(VOC), VIII (mobile sources), X (PM) and XI (VOC in	
			products);	

			 Assessment of additional programmes to reduce air pollution and to develop policies and measures related to activities covered by Annex IV (SO₂), Annex V (NO_x), Annex VI (VOC), VIII (mobile sources), X (PM) and XI (VOC in products). Provides recommendations for the respective Party on possible technological pathways toward the ratification of the amended Gothenburg Protocol. 	
24	Informal document on Non-technical and Structural Measures	EB41, informal document presented by GPG https://unece.org/sites/default/files/2021- 11/Informal%20doc%20on%20non- technical%20measures.pdf Draft guidance document expected to be submitted , 2024-2025 workplan (2.2.3)	Provides preliminary information on additional actions in the form of "non-technical" measures could be considered, at the national or local level to address further emission reductions. The additional measures could include encouraging a faster substitution of old and polluting technologies by new and cleaner technologies, facilitating the use of cleaner fuels or feedstocks, or stimulating a greener behaviour of consumers. The latter could include a modal shift from private to public transport, dietary changes or domestic energy saving. Sometimes such measures prove to be more efficient and less costly than implementing stricter ELVs. Includes examples of policy instruments such as: <u>regulatory,</u> <u>economic, social</u> (information and communication) and <u>public</u> <u>investments</u> (including Research and Development) and highlights its benefits.	(a), (g), (h)
25	Background informal technical document on the analysis of the impact of decarbonisation on emissions of air pollutants in selected industrial sectors (first draft)	WGSR 61, informal document presented by TFTEI https://unece.org/sites/default/files/2023- 08/Agenda%20item%20%282%29%20Impact %20of%20decarbonization%20on%20emissio ns%20.pdf	It is analysed the most important industrial emitters of CO ₂ which are the steel industry, the cement industry and oil refineries and as a representative of energy intensive mineral processing, and also took into account the glass production . The four considered sectors have the potential to trigger a positive chain reaction, resulting in reduced emissions of various air pollutants beyond just greenhouse gases. Decarbonization efforts, primarily aimed at reducing greenhouse gas emissions, can inadvertently lead to a cascade of positive	(h)

			impacts on various air pollutants. However, the specific outcomes	
			on air pollutants will depend on the strategies chosen for	
			decarbonization, technological advancements, and regional factors.	
			By embracing cleaner technologies and practices, these sectors	
			can contribute to healthier environments, sustainable economic	
			growth, and a more resilient and responsible industrial landscape.	
26	Cost of Inaction	EB 42, official document,	Provides a comparison of the costs of inaction on air pollution –	(a), (b)
		ECE/EB.AIR/2022/7	defined as the damage to health, ecosystems, and economy – with	
			the costs of taking action, defined as the costs of abatement	
		https://unece.org/environment/documents/202	measures. Contains the following key messages, among others:	
		2/09/working-documents/cost-inaction	• The cost-benefit analyses indicate that, in most cases, the	
			costs of reducing emissions are far lower than the	
			corresponding reduction of damage costs.	
			• In nearly half of the countries in the UNECE (26 of 56) the	
			current monetary damage costs to health and ecosystems	
			due to ambient air pollution corresponds to over 5 per	
			cent of gross domestic product (GDP).	
			• The largest part of the damage costs consists of reduced	
			life expectancy, followed by morbidity costs (e.g.,	
			hospital admittance, sick leave, medicine costs), and	
			damage to ecosystems . The monetized damage is – as a	
			percentage of GDP – higher in the Eastern than in the	
			Western part of the ECE region.	
			• Globally, labour productivity losses (mainly via work	
			absenteeism) due to air pollution make up approximately	
			5–9 per cent of the total damage costs.	
			• There are societal values yet to be monetized and included	
			in the damage cost estimates, foremost the damage to	
			biodiversity.	
27	Integrated assessment	9 th joint session of EMEP SB and WGE,	Provides relevant information scenarios based on the updated	(a), (g), (h)
	modelling	official,	GAINS model, The impacts of climate and energy measures on air	
			quality are included in the analysis and can zoom in from the	

	ECE/EB.AIR/GE.1/2023/INF.2-ECE/EB.AIR	continental to the city level. It is shown that measures are needed	
	/WG.1/2023/INF.2	at all political levels to meet WHO air quality guideline values	
		everywhere.	
	https://unece.org/sites/default/files/2023-	Additionally, the document presents the following policy scenarios	
	09/Agenda%20item%20%282%29%20Integra	to the policy bodies of the Air Convention:	
	ted%20assessment%20modelling.pdf	1) scenarios aimed at a 50% reduction of air quality related	
		health impacts.	
		2) scenarios aimed at the protection (of e.g. 30%) of the	
		nitrogen sensitive ecosystems.	
		3) scenarios that illustrate the impact of successive (staged)	
		sectoral control policies. Highlights the need to discuss	
		further alternative scenarios to with policy makers.	
		The final conclusions indicate that, among others:	
		• GAINS is fit for supporting policy development, both at	
		the continental and local level.	
		• to reduce health risks by 50%, additional measures,	
		including structural and behavioural changes are needed	
		especially in Balkan and EECCA countries.	
		• further evaluation of the model assumptions, and further	
		discussion on base year and target year are needed.	
		• further targets also addressing ecosystem improvements in	
		the long term need to be discussed and assessed.	
		• the currently best available estimates based on recent TF	
		health results on economic values of health effects show	
		that morbidity impacts would add 33% to the mortality	
		impacts (when valuing mortality with VOLY).	
		• global emissions of CH_4 substantially contribute to ozone	
		pollution in Europe, and its importance is likely to increase	
		in the future.	
		• emissions from shipping require attention in hemispheric	
		and other models. New policy initiatives (North Atlantic &	

			 Mediterranean emission control areas) and technologies such as the use of NH3 as an energy carrier are discussed and need to be assessed. climate measures can still offer significant reductions in NOx and PM emissions, but some climate strategies need further attention from an air pollution perspective. non-technical measures guidance document should, in addition to already considered measures, include successful examples on measures that reduce residential wood burning emissions. 	
28	Synergies and Interactions with Other Policy Areas	WGSR60, Informal document no. 1 https://unece.org/sites/default/files/2022- 03/Latest%20Revised%20version_Item%204_ Synergies%20and%20interactions%20with%2 0other%20policy%20areas%20%28220320%2 9.pdf	Provides information on synergies with other policy areas with particular the focus on air-climate synergies to inform consideration of methane in a future instrument. Indicates that there can also be trade-offs: when policy is focussed on one environmental goal only . For example, substitution of fossil fuels by biomass could increase air pollution related health risks and increase the loss of biodiversity . Also, the use of carbon capture and storage could be a potential source of additional emissions of air pollutants. An <u>approach tackling climate change and air quality challenges</u> <u>simultaneously</u> could effectively address such trade-offs.	(h)
29	Policy brief on potential targets to reduce risks for health and ecosystems (draft)	EB43, informal document presented by TFIAM https://unece.org/sites/default/files/2023- 11/Item%203b_Policy%20brief%20on%20pot ential%20targets.pdf final version to be presented at WGSR62	Describes policy scenarios up to 2050, as calculated with GAINS for the UNECE region, including EECCA-countries (including Kazakhstan, Kyrgyzstan, Uzbekistan, Turkmenistan, Tajikistan) and West-Balkan countries. The document focusses on the attainability of an illustrative 50% reduction target of health risks due to exposure to particulate matter and ozone . The scenarios cover options to address particulate matter and ozone precursors, including methane and <u>the potential policy</u> <u>targets</u> that would be attainable. Provides country-tables emissions in GAINS-LRTAP scenarios.	(a), (g), (h)