A. Background

In 2015, the United Nations General Assembly adopted the 2030 Agenda for Sustainable Development and its Sustainable Development Goals (SDGs), including SDG 6 to ensure availability and sustainable management of water and sanitation for all.

To review progress towards the SDGs, United Nations Member States, through the Inter-agency and Expert Group on SDG Indicators (IAEG-SDGs), developed in late 2015 and early 2016, a global indicator framework, which was subsequently adopted by the United Nations Statistical Commission in March 2016.

Target 6.5 calls for countries to implement integrated water resources management at all levels, including through transboundary cooperation, as appropriate. To measure progress on transboundary cooperation in accordance to target 6.5, indicator 6.5.2 was adopted. The indicator is defined as the “percentage of transboundary basin area with an operational arrangement for transboundary cooperation”.

For SDG 6, UN-Water has been coordinating the technical input to the IAEG-SDG on the relevant indicators and the methodologies for their measurement. UNECE and UNESCO have led the development of the step-by-step methodology to calculate indicator 6.5.2. For each indicator, the IAEG-SDGs has proposed custodian agencies at the global level. Given their mandate on transboundary water issues, UNECE and UNESCO have been proposed as custodian agencies for indicator 6.5.2. Recognizing the importance of integration across SDG6, the relevant custodian agencies for this goal are collaborating under the Integrated Monitoring of Water and Sanitation Related SDG Targets (GEMI), operating under the UN-Water umbrella.²

Reporting the present template will help to gather information on the progress on transboundary cooperation under Sustainable Development Goal (SDG) 6, target 6.5 in accordance with global indicator 6.5.2. It will also contribute to the UN-Water SDG 6 Integrated Monitoring initiative GEMI.

B. Content of the template

In order to collect complete information, simplify the task of reporting and streamline the compilation of information received by countries, the template is shaped as a questionnaire to be filled out.

The template is divided into four parts:

- Section I - Calculation of SDG indicator 6.5.2
- Section II - Information on each transboundary basin or group of basins
- Section III - General information on transboundary water management at the national level
- Section IV - Final questions

While Section I of the template has been prepared by UNECE and UNESCO in the framework of the UN-Water’s indicators development activities in support of the Inter-Agency Expert Group on SDGs (IAEG-SDGs), Sections II to IV are based on a questionnaire developed by Member States in the framework of the Convention on the Protection and Use of Transboundary Watercourses and International Lakes (Water

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¹ Transboundary basins are basins of transboundary waters, that is, of any surface waters (notably rivers, lakes) or groundwater/aquifers which mark, cross or are located on boundaries between by two or more States.

² For more information, see http://www.unwater.org/gemi/en/.
Convention), serviced by UNECE, to monitor progress on transboundary cooperation and implementation of the Convention.  

Questions can be either “closed”, Yes/No, with appropriate boxes to tick; “open”, requiring further information to be supplied, indicated by the words in square brackets [fill in]; or a combination of both.

Depending on the country situation, it will not always be necessary to fill in extra information where space is provided for this. Please answer open questions very briefly, and in less than 200 words, using bullet points as appropriate. The reporting country can make reference to the reporting under other multilateral environmental agreements to which the country is a Party.

C. Who should report and how?

All countries having transboundary basins in their territory are invited to report.

All reporting countries are kindly invited, when possible, to fill all sections of the template, as they allow outlining a complete picture of the situation concerning transboundary water cooperation. The overall template can be useful to track progress more closely beyond the indicator value and better describe the current baseline. This is valuable also because inevitably the indicator is based on a number of criteria defining minimum thresholds and the information in Sections II to IV can allow tracking progress towards the different criteria.

Section II will need to be completed for each transboundary basin, (i.e. basin of rivers and lakes or aquifers which mark, cross or are located on boundaries between by two or more States)(please just copy the template for these questions and fill out again for each additional transboundary basin). Countries may coordinate responses with other States with which they share transboundary basins or even prepare a joint report for shared basins.

D. Use of the reported information

Reporting has primarily a national importance and usefulness to inform decision-making at the national and transboundary level.

At the global level, data collected through this reporting will be elaborated to define the global baseline for the status of transboundary cooperation in accordance to indicator 6.5.2. Results, including synthesis reports, will be submitted to the High Level Political Forum in July 2018 which will focus, among others, on the in depth review of SDG 6.

A discussion on the advancement of transboundary cooperation worldwide considering the results of the reporting exercise will also take place in the framework of the eight session of the Meeting of the Parties to the Water Convention, to be held at the end of 2018.

E. Deadline for reporting

Countries are invited to submit their filled in template by 15 June 2017 to the United Nations Economic Commission for Europe (UNECE) and the United Nations Educational, Scientific and Cultural Organization (UNESCO).

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3The Water Convention aims to protect and ensure the quantity, quality and sustainable use of transboundary water resources by facilitating cooperation. Originally negotiated as a regional instrument for the UNECE region, the Convention turned into a universally available legal framework for transboundary water cooperation, following an amendment procedure. As of 1st March 2016, all United Nations Member States can accede to the Convention (for more information, see http://www.unece.org/env/water/).
Countries are invited to submit, to the two addresses below, an original signed copy by post and an electronic copy by e-mail. Electronic copies should be made available in both pdf format (for the signed copy) and word-processing software. Any graphic elements should be provided in separate files.

Addresses

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Palais des Nations</td>
<td>7 Place de Fontenoy</td>
</tr>
<tr>
<td>1211 Geneva 10</td>
<td>75015 Paris</td>
</tr>
<tr>
<td>Switzerland</td>
<td>France</td>
</tr>
</tbody>
</table>

E-mail: [transboundary_water_cooperation_reporting@unece.org](mailto:transboundary_water_cooperation_reporting@unece.org)  
E-mail: [transboundary_water_cooperation_reporting@unesco.org](mailto:transboundary_water_cooperation_reporting@unesco.org)
Reporting on the global SDG indicator 6.5.2

TEMPLATE

Country name: [Iraq]

Section I. Calculation of SDG indicator 6.5.2

a. Methodology

This section allows for the calculation of the Sustainable Development Goal global indicator 6.5.2, which is defined as the proportion of transboundary basins' area with an operational arrangement for water cooperation. The information gathered in Section II, will help in completing this section. The Step-by-step monitoring methodology for SDG indicator 6.5.2, developed by UNECE and UNESCO in the framework of UN Water, can be referred to for details on the necessary data, the definitions and the calculation.

The value of the indicator at the national level is derived by adding up the surface area in a country of those transboundary surface water catchments and transboundary aquifers (i.e. ‘transboundary’ basins’) that are covered by an operational arrangement and dividing the obtained area by the aggregate total area in a country of all transboundary basins (both catchments and aquifers).

Transboundary basins are basins of transboundary waters, that is, of any surface waters (notably rivers, lakes) or groundwaters which mark, cross or are located on boundaries between two or more States. For the purpose of the calculation of this indicator, for a transboundary river or lake, the basin area is determined by the extent of its catchment. For groundwater, the area to be considered is the extent of the aquifer.

An “arrangement for water cooperation” is a bilateral or multilateral treaty, convention, agreement or other formal arrangement among riparian countries that provides a framework for cooperation on transboundary water management.

For an arrangement to be considered “operational” all the following criteria needs to be fulfilled:
- There is a joint body, joint mechanism or commission (e.g. a river basin organization) for transboundary cooperation,
- There are regular (at least once per year) formal communications between riparian countries in form of meetings (either at the political or technical level);
- There is a joint or coordinated water management plan(s), or joint objectives have been set, and
- There is a regular (at least once per year) exchange of data and information.

b. Calculation of indicator 6.5.2

Please list in the tables below the transboundary basins (rivers and lakes and aquifers) in your country’s territory and provide the following information for each of them:
- the country/ies with which the basin is shared;
- the surface area of these basins (the catchment of rivers or lakes and the aquifer in the case of groundwater) within the territory of your country (in km²);
- the surface area of these basins within the territory of your country which is covered by a cooperation arrangement that is operational according to the above criteria (please consider the replies to the questions in Section II, in particular questions 1, 2, 3, 4 and 6).

In case an operational arrangement is in place only for a sub-basin or portion of a basin, please list this sub-basin just after the transboundary basin it is part of. In case there is an operational arrangement for the whole basin, do not list sub-basins in the table below.

**Transboundary basin (river or lake) [please add rows as needed]**

<table>
<thead>
<tr>
<th>Name of the transboundary basin / sub-basin</th>
<th>Countries shared with</th>
<th>Surface area of the basin / sub-basin (in km²) within the territory of the country</th>
<th>Surface area of the basin / sub-basin (in km²) covered by an operational arrangement within the territory of the country</th>
</tr>
</thead>
<tbody>
<tr>
<td>Euphrates Basin</td>
<td>Iraq, Turkey, Syria, K.S.A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Euph Stem</td>
<td></td>
<td>249245</td>
<td>62300</td>
</tr>
<tr>
<td>Frontier Water courses</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lesser Zab</td>
<td></td>
<td>15296</td>
<td>0</td>
</tr>
<tr>
<td>Diyala</td>
<td></td>
<td>15294</td>
<td>0</td>
</tr>
<tr>
<td>karon</td>
<td></td>
<td></td>
<td>0</td>
</tr>
<tr>
<td>karkhe</td>
<td></td>
<td></td>
<td>0</td>
</tr>
<tr>
<td>Eastern Tributaries (22 rivers)</td>
<td>Iraq – Iran</td>
<td>32312</td>
<td>0</td>
</tr>
<tr>
<td>Tigris Basin</td>
<td>Iraq, Turkey, Syria,</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tigris Stem</td>
<td></td>
<td>20300</td>
<td>0</td>
</tr>
<tr>
<td>Upper Zab + Khabour</td>
<td></td>
<td>16800+ 10000</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total surface area of transboundary basins / sub-basins of rivers and lakes covered by operational arrangements within the territory of the country (in km²)</strong></td>
<td></td>
<td></td>
<td><strong>62300</strong></td>
</tr>
</tbody>
</table>

[do not double count sub-basins]

**Total surface area of transboundary basins of rivers and lakes within the territory of the country (in km²)**

[do not double count sub-basins]

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total surface area of transboundary basins of rivers and lakes within the territory of the country (in km²)</strong></td>
<td>359,247</td>
</tr>
</tbody>
</table>

**Transboundary aquifers [please add rows as needed]**
<table>
<thead>
<tr>
<th>Name of the transboundary aquifer</th>
<th>Countries shared with</th>
<th>Surface area (in km$^2$)$^5$ within the territory of the country</th>
<th>Surface area (in km$^2$) covered by an operational arrangement within the territory of the country</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hartha</td>
<td>Iraq, k.S.A</td>
<td>1550</td>
<td>0</td>
</tr>
<tr>
<td>Tayarat</td>
<td>Iraq, k.S.A</td>
<td>12400</td>
<td>0</td>
</tr>
<tr>
<td>Um Erdhuma</td>
<td>=</td>
<td>24800</td>
<td>0</td>
</tr>
<tr>
<td>Damam</td>
<td>=</td>
<td>44800</td>
<td>0</td>
</tr>
<tr>
<td>Injana</td>
<td>Iraq, Syria</td>
<td>17000</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total surface area of transboundary aquifers covered by operational arrangements within the territory of the country (in km$^2$)</strong> [C]</td>
<td></td>
<td></td>
<td>0</td>
</tr>
<tr>
<td><strong>Total surface area of transboundary aquifers within the territory of the country (in km$^2$)</strong> [D]</td>
<td></td>
<td>10550</td>
<td></td>
</tr>
</tbody>
</table>

**Indicator value for the country**

$$\frac{(A+C)}{(B+D)} \times 100 = \frac{(62300+0)}{(359247+10550)} = 16.85\%$$

**Additional information**

If the respondent has comments that clarify assumptions or interpretations made for the calculation, or the level of certainty of the spatial information, please write them here:

**Spatial information**

If a map (or maps) of the transboundary surface water catchments and transboundary aquifers (i.e. ‘transboundary basins’) is available, please attach them. Ideally, shapefiles of the basin and aquifer delineation that can be viewed in Geographic Information Systems should be sent.

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$^5$For a transboundary aquifer, the extent is derived from the aquifer system delineation which is commonly done relying on information of the subsurface (notably the extent of geological formations). As a general rule, the delineation of aquifer systems is based on the delineation of the extent of the hydraulically connected water-bearing geological formations. Aquifer systems are three-dimensional objects and the aquifer area taken into account is the projection on the land surface of the system. Ideally, when different aquifer systems not hydraulically connected are vertically superposed, the different relevant projected areas are to be considered separately, unless the different aquifer systems are managed conjunctively.
Section II. Information on each transboundary basin or group of basins

Please complete this second section for each transboundary basin (river, lake or aquifer) or for group of basins covered by the same agreement or arrangement and where conditions are similar. It might also be convenient to group basins or sub-basins for which your country’s share is very small. In some instances, you may provide information on both a basin and one or The Minutes of meeting signed in 1980 of the joint Iraqi - Turkey committee for economic and technical cooperation, Syria took part in the committee in 1983, the committee mission is to study the subjects relevant to the water, in order to determine the ways and procedures for arriving at the joint river basin. You may coordinate your responses with other States with which your country shares the basin or aquifer or even prepare a joint report for shared basins. General information on transboundary water management at the national level should be provided in Section III and not repeated here.

Please reproduce the whole Section II with its questions for each transboundary basin, river, lake or aquifer, or group of basins for which you will provide a reply.

Name of the transboundary basin, river, lake or aquifer, or group thereof, list of the riparian States, and country’s share of the basin:

**Euphrate Basin: Iraq 40 %, Turkey 28 %, Syria 17% and K.S.A 15%.]**

1. Is there one or more transboundary (bilateral or multilateral) agreement(s) or arrangement(s) on this basin?
   - One or more agreements or arrangements exist and are in force
   - Agreement or arrangement developed but not in force
   - Agreement or arrangement developed, but not in force for all riparians

   Please insert the name of the agreement or agreements or arrangements:
   - [1. The Minutes of meeting signed in 1980 of the joint Iraqi - Turkey committee for economic and technical cooperation, Syria took part in the committee in 1983, its mission is to reach an agreement between three countries regarding water allocations.
   - 2. Syrian Iraqi agreement of 1989 on the Syrian Iraqi borders which determines Iraq share of flow at this border to be fixed annual percentage of about 58% of the Euphrates flow to Syria on the Syrian Turkish border and Syria share to be 42%]

   Agreement or arrangement is under development
   - No agreement

   If there is no agreement or arrangement or it is not in force, please explain briefly why not and provide information on any plans to address the situation:

   - The Upstream country Turkey insist on continuing the construction of its storage and irrigation projects within the river basin. The work of the above mentioned committee stopped at 1992 due to the Turkish attitude and to lack of satisfactory results for water allocations.
   - Iraq believes that the serious continuous negotiations among the three riparians with good will is the proper plan to address the situation.

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6In principle, Section II should be submitted for every transboundary basin, river, lake or aquifer, in the country, but States may decide to group basins in which their share is small or leave out basins in which their share is very minor, e.g., below 1 per cent.
If there is no agreement or arrangement and no joint body for the transboundary basin, river, lake or aquifer then jump to question 4; if there is no agreement, but a joint body then go to question 3.

Questions 2 and 3 to be completed for each bilateral or multilateral agreement or arrangement in force in the transboundary basin (river, lake or aquifer) or group of basins or sub-basins

2. (a) Does this agreement or arrangement specify the basin area subject to cooperation?

Yes ☒/No ☐

If yes, does it cover the entire basin, or group of basins, and all riparian States?

Yes ☒/No ☐

If not, what does it cover? [fill in]

Or, if the agreement or arrangement relates to a sub-basin, does it cover the entire sub-basin?

Yes ☐/No ☒

Which States (including your own) are bound by the agreement or arrangement? (Please list): [Iraq – Turkey - Syria]

(b) Are aquifers (or groundwater bodies) covered by the agreement/arrangement?

Yes ☒/No ☐

(c) What is the sectoral scope of the agreement or arrangement?

All water uses ☒
A single water use or sector ☐
Several water uses or sectors ☐

If one or several water uses or sectors, please list (check as appropriate):

Water uses or sectors

Industry ☐
Agriculture ☐
Transport (e.g., navigation) ☐
Households ☐
Energy: hydropower and other energy types ☐
Tourism ☐
Nature protection ☐
Other (please list): [fill in]

(d) What topics or subjects of cooperation are included in the agreement or arrangement?

Procedural and institutional issues

Dispute and conflict prevention and resolution ☐
Institutional cooperation (joint bodies) ☒
Consultation on planned measures ☐
Mutual assistance ☒
**Topics of cooperation**

- Joint vision and management objectives
- Joint significant water management issues
- Navigation
- Environmental protection (ecosystem)
- Water quality
- Water quantity or allocation
- Cooperation in addressing floods
- Cooperation in addressing droughts
- Climate change adaptation

**Monitoring and exchange**

- Joint assessments
- Data collection and exchange □
- Joint monitoring
- Maintenance of joint pollution inventories □
- Elaboration of joint water quality objectives
- Common early warning and alarm procedures
- Exchange of experience between riparian States □
- Exchange of information on planned measures

**Joint planning and management**

- Development of joint regulations on specific topics
- Development of international or joint river, lake or aquifer basin management or action plans
- Management of shared infrastructure
- Development of shared infrastructure
- Other *(please list)*: [fill in]

(e) What are the main difficulties and challenges that your country faces with the agreement or arrangement and its implementation, if any *(please describe, if applicable)*:

1. The suspension of the Technical Committee meetings between 1992 to 2007 due to the Turkish unhelpful attitude.
2. The unwillingness of upstream riparians towards reaching any reasonable overarching agreement.

(f) What are the main achievements in implementing the agreement or arrangement and what were the keys to achieving such success? [There are no achievements in implementing the arrangement]

(g) Please attach a copy of the agreement or arrangement or provide the web address of the document *(please attach document or insert web address, if applicable)*: [fill in]

3. Is your country a member of an operational joint body or joint bodies for this agreement/arrangement?

Yes ☒/No □
If no, why not? (please explain): [fill in]

Where there is a joint body (or bodies)

(a) If there is a joint body, which kind of joint body (please tick one)?
   - Plenipotentiaries
   - Bilateral commission
   - Basin or similar commission
   - Other (please describe): Trilateral committee

(b) Does the joint body cover the entire transboundary basin or sub-basin, river, lake or aquifer, or group of basins, and all riparian States?
   Yes ☒/No ☐

(c) Which States (including your own) are member of the joint body? (Please list) [Iraq, Turkey, Syria]

(d) Does the joint body have any of the following features (please tick the ones applicable)?
   - A secretariat ☐
   - If the secretariat is a permanent one, is it a joint secretariat or does each country host its own secretariat? (Please describe): [fill in] ☒
   - A subsidiary body or bodies ☒
   - Please list (e.g., working groups on specific topics): [Technical Committee, Monitoring Team]
   - Other features (please list): [fill in]

(e) What are the tasks and activities of this joint body?
   - Identification of pollution sources ☒
   - Data collection and exchange ☒
   - Joint monitoring ☐
   - Maintenance of joint pollution inventories ☐
   - Setting emission limits ☐
   - Elaboration of joint water quality objectives ☐
   - Management and prevention of flood or drought risks ☐
   - Preparedness for extreme events, e.g., common early warning and alarm procedures ☐
   - Water allocation and/or flow regulation ☒
   - Policy development ☐
   - Control of implementation ☐
   - Exchange of experience between riparian States ☒
   - Exchange of information on existing and planned uses of water and related installations ☐
   - Settling of differences and conflicts ☐
   - Consultations on planned measures ☐

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*This may include tasks according to the agreement or tasks added by the joint body, or its subsidiaries. Both tasks which joint bodies coordinate and tasks which they implement should be included.*
Exchange of information on best available technology  
Participation in transboundary EIA  
Development of river, lake or aquifer basin management or action plans  
Management of shared infrastructure  
Addressing hydromorphological alterations  
Climate change adaptation  
Joint communication strategy  
Basin-wide or joint public participation and consultation of, for example, basin management plans  
Joint resources to support transboundary cooperation  
Capacity-building  
Any other tasks (please list): [fill in]

(f) What are the main difficulties and challenges that your country faces with the operation of the joint body, if any?

Governance issues  
Please describe, if any: [fill in]

Unexpected planning delays  
Please describe, if any: [fill in]

Lack of resources  
Please describe, if true: [fill in]

Lack of mechanism for implementing measures  
Please describe, if true: [Delay of upstream neighbouring countries in attaining an overarching agreement.]

Lack of effective measures  
Please describe, if true: [fill in]

Unexpected extreme events  
Please describe, if any: [fill in]

Lack of information and reliable forecasts  
Please describe, if any: [Iraq did not receive the needed information from upstream countries on a regular basis, and still.]

Others (please list and describe, as appropriate): [fill in]

(g) If not all riparian States are members of the joint body how does the body cooperate with them?

No cooperation  
They have observer status  
Other (please describe): [fill in]

(h) Does the joint body or its subsidiary bodies meet regularly?

Yes  
No  
If yes, how frequently does it meet? At least once per year
(i) What are the main achievements with regards to the joint body? [There are no achievements.]

(j) Are representatives of international organizations invited to the meetings of the joint body (or bodies) as observers?
   Yes ☐/No ☒

(k) Did the joint body ever invite a coastal State to cooperate?
   Yes ☐/No ☒
   *If yes, please give details. If no, why not? [fill in]*

4. Is there a joint or coordinated management plan (such as an action plan or a common strategy) or have joint objectives been set specifically on the transboundary waters subject to cooperation?
   Yes ☒/No ☐
   *If yes, please provide further details: [fill in]*

5. How is the transboundary basin, river, lake or aquifer protected, including the protection of ecosystems, in the context of sustainable and rational water use?
   Afforestation ☐
   Restoration of ecosystems ☐
   Environmental flow norms ☒
   Groundwater measures (e.g., protection zones) ☐
   Other measures (please list): [fill in]

6. (a) Does your country exchange information and data with other riparian States in the basin?
   Yes ☒/No ☐
   (b) If yes, on what subjects are information and data exchanged?
       Environmental conditions ☐
       Research activities and application of best available techniques ☐
       Emission monitoring data ☐
       Planned measures taken to prevent, control or reduce transboundary impacts ☐
       Point source pollution sources ☐
       Diffuse pollution sources ☐
       Existing hydromorphological alterations (dams, etc.) ☐
       Discharges ☒
       Water abstractions ☐
       Future planned measures with transboundary impacts, such as infrastructure development ☐
       Other subjects (please list): [fill in]
   (c) Is there a shared database or information platform?
       Yes ☐/No ☒
   (d) Is the database publicly available?
       Yes ☐/No ☒
If yes, please provide the web address: [fill in]

(e) What are the main difficulties and challenges to data exchange, if applicable? (please describe): [Lack of transparency]

(f) What are the main benefits of data exchange on the transboundary waters subject to cooperation? (please describe): As Iraq is a downstream country it needs data regarding the water levels and discharges and the releases from the upstream countries in order to prepare the national strategic plans for water resources management.

7. Do the riparian States carry out joint monitoring in the transboundary basin, river, lake or aquifer?

Yes ☐/No ☒

(a) If yes, what does the joint monitoring cover?

<table>
<thead>
<tr>
<th>Covered?</th>
<th>Hydrological</th>
<th>Ecological</th>
<th>Chemical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Border surface waters</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Surface waters in the entire basin</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Surface waters on the main watercourse</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Connected aquifers (or groundwaters)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Unconnected aquifers (or groundwaters)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

(b) If joint monitoring is carried out, how is this done?

- National monitoring stations connected through a network or common stations ☐
- Joint and agreed methodologies ☐
- Joint sampling ☐
- Common monitoring network ☐
- Common agreed parameters ☐

(c) Please describe the main achievements regarding joint monitoring, if any: [fill in]

(d) Please describe any difficulties experienced with joint monitoring: [fill in]

8. Do the riparian States carry out joint assessment of the transboundary basin, river, lake or aquifer?

Yes ☐/No ☒

If yes, please provide the date of the last or only assessment, the frequency and scope (e.g., surface waters or groundwaters only, pollution sources, etc.) of the assessment: [fill in]

9. Have the riparian States agreed to use joint water quality standards?

Yes ☐/No ☒

If yes, is the basis an international or regional standard (please specify which) or has it been adapted from the national standards of the riparian States? [fill in]
10. What are the measures implemented to prevent or limit the transboundary impact of accidental pollution?

- Notification and communication
- Coordinated or joint alarm system for accidental water pollution
- Other (please list): [fill in]
- No measures

If not, why not? What difficulties does your country face in putting in place such measures?: [The arrangement does not cover the prevention or limitation of the transboundary impact of accidental pollution.]

11. What are the measures implemented to prevent or limit the transboundary impact of extreme weather events?

- Notification and communication
- Coordinated or joint alarm system for floods
- Coordinated or joint alarm system for droughts
- Joint climate change adaptation strategy
- Joint disaster risk reduction strategy
- Other (please list): [fill in]
- No measures

If not, why not? What difficulties does your country face in putting in place such measures?: [The arrangement does not cover the prevention or limitation of the transboundary impact of accidental pollution.]

12. Are procedures in place for mutual assistance in case of a critical situation?

- Yes ☐/No ☒

If yes, please provide a brief summary: [fill in]

13. Are the public or relevant stakeholders involved in transboundary water management in the basin, river, lake or aquifer?

- Yes ☐/No ☒

If yes, how? (please tick all applicable) (Please note: If your country is a Party to the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention), you may refer to your country’s report under that Convention.):

- Stakeholders have observer status in a joint body
- If yes, please specify the stakeholders for each joint body: [fill in]
- Availability of information to the public
- Consultation on planned measures or river basin management plans
- Public involvement
- Other (please specify): [fill in]
Please remember to complete Section II for each of the transboundary basins (rivers, lakes or aquifers). Please also remember to attach copies of agreements, if any.
Name of the transboundary basin, river, lake or aquifer, or group thereof, list of the riparian States, and country’s share of the basin:

Frontier Water courses: (Iraq 60 %, Iran 40 %)

1. Is there one or more transboundary (bilateral or multilateral) agreement(s) or arrangement(s) on this basin?

   One or more agreements or arrangements exist and are in force ☐
   Agreement or arrangement developed but not in force ☒
   Agreement or arrangement developed, but not in force for all riparians ☐

   Please insert the name of the agreement or agreements or arrangements:
   [Agreement of using the frontier watercourses between Iraq and Iran signed in 1975]

   Agreement or arrangement is under development ☐
   No agreement ☐

   If there is no agreement or arrangement or it is not in force, please explain briefly why not and provide information on any plans to address the situation:

1. The tense of political relations between Iran and Iraq have affected the implementation of the provisions of the Algiers Convention 1975 and the protocols thereto after its entry into force in 1975. Shortly before the outbreak of the Iran-Iraq war, the Iraqi government in September 1980 formally abolished the treaty and the protocols thereto, and it so far has been regarded to be canceled by Iraq.

2. To address the situation, the 1975 agreement between Iraq and Iran needs to be activated. Actions need to be taken urgently, a negotiation plan with riparian states needs to be prepared to actively managed this issue and the agreement should cover both the water quantity and quality.

If there is no agreement or arrangement and no joint body for the transboundary basin, river, lake or aquifer then jump to question 4; if there is no agreement, but a joint body then go to question 3.

Questions 2 and 3 to be completed for each bilateral or multilateral agreement or arrangement in force in the transboundary basin (river, lake or aquifer) or group of basins or sub-basins

2. (a) Does this agreement or arrangement specify the basin area subject to cooperation?

   Yes ☒/No ☐

   If yes, does it cover the entire basin, or group of basins, and all riparian States?

   Yes ☒/No ☐

   If not, what does it cover? [fill in]

   Or, if the agreement or arrangement relates to a sub-basin, does it cover the entire sub-basin?

   Yes ☒/No ☐

   Which States (including your own) are bound by the agreement or arrangement? (Please list): [Iran, Iraq]
(b) Are aquifers (or groundwater bodies) covered by the agreement/arrangement?
Yes ☐/No ☒

(c) What is the sectoral scope of the agreement or arrangement?
   All water uses ☒
   A single water use or sector ☐
   Several water uses or sectors ☐

   If one or several water uses or sectors, please list (check as appropriate):
   Water uses or sectors
      Industry ☐
      Agriculture ☐
      Transport (e.g., navigation) ☐
      Households ☐
      Energy: hydropower and other energy types ☐
      Tourism ☐
      Nature protection ☐
      Other (please list): [fill in] ☐

(d) What topics or subjects of cooperation are included in the agreement or arrangement?

   Procedural and institutional issues
      Dispute and conflict prevention and resolution ☒
      Institutional cooperation (joint bodies) ☒
      Consultation on planned measures ☒
      Mutual assistance ☒

   Topics of cooperation
      Joint vision and management objectives ☒
      Joint significant water management issues ☒
      Navigation ☒
      Environmental protection (ecosystem) ☐
      Water quality ☐
      Water quantity or allocation ☒
      Cooperation in addressing floods ☐
      Cooperation in addressing droughts ☐
      Climate change adaptation ☐

   Monitoring and exchange
      Joint assessments ☒
      Data collection and exchange ☒
      Joint monitoring ☒
      Maintenance of joint pollution inventories ☒
Elaboration of joint water quality objectives
Common early warning and alarm procedures
Exchange of experience between riparian States
Exchange of information on planned measures

**Joint planning and management**
Development of joint regulations on specific topics
Development of international or joint river, lake or aquifer basin management or action plans
Management of shared infrastructure
Development of shared infrastructure
Other *(please list)*: [fill in]

(e) What are the main difficulties and challenges that your country faces with the agreement or arrangement and its implementation, if any *(please describe, if applicable)*:
- According to the agreement there should be a technical committee to study the division of water as follows:
  - The "equal division of water from the Bnava Suta, Qurahtu, and Gangir Rivers".
  - The method to determine the proportion of water allocated to both countries from the flows of the Alvend, Kanjan Cham, Tib (Mehmeh), and Duverij Rivers and other watercourses not specified in the agreement.
  - The formation of a permanent joint technical commission to perform Studies and monitor conditions along "contiguous" and "successive water courses" at the border Between Iraq and Iran.
- But the committee is formed and cancelled before it continue its work
- There is no data exchange between the two countries.

(f) What are the main achievements in implementing the agreement or arrangement and what were the keys to achieving such success? *(There are no achievements)*.

(g) Please attach a copy of the agreement or arrangement or provide the web address of the document *(please attach document or insert web address, if applicable)*: [fill in]

3. Is your country a member of an operational joint body or joint bodies for this agreement/arrangement?
   Yes ☒/No ☐
   *If no, why not? (please explain)*: [fill in]

**Where there is a joint body (or bodies)**

(a) If there is a joint body, which kind of joint body *(please tick one)*?
   Plenipotentiaries ☐
   Bilateral commission ☒
   Basin or similar commission ☐
   Other *(please describe)*:

(b) Does the joint body cover the entire transboundary basin or sub-basin, river, lake or aquifer, or group of basins, and all riparian States?
   Yes ☒/No ☐
(c) Which States (including your own) are member of the joint body? *(Please list)* [Iraq, Iran]

(d) Does the joint body have any of the following features *(please tick the ones applicable)*?

- A secretariat
- If the secretariat is a permanent one, is it a joint secretariat or does each country host its own secretariat? *(Please describe)*: [fill in]
- A subsidiary body or bodies

*Please list (e.g., working groups on specific topics):*

Other features *(please list)*: [bilateral Technical Committee]

(e) What are the tasks and activities of this joint body?

- Identification of pollution sources
- Data collection and exchange
- Joint monitoring
- Maintenance of joint pollution inventories
- Setting emission limits
- Elaboration of joint water quality objectives
- Management and prevention of flood or drought risks
- Preparedness for extreme events, e.g., common early warning and alarm procedures
- Water allocation and/or flow regulation
- Policy development
- Control of implementation
- Exchange of experience between riparian States
- Exchange of information on existing and planned uses of water and related installations
- Settling of differences and conflicts
- Consultations on planned measures
- Exchange of information on best available technology
- Participation in transboundary EIA
- Development of river, lake or aquifer basin management or action plans
- Management of shared infrastructure
- Addressing hydromorphological alterations
- Climate change adaptation
- Joint communication strategy
- Basin-wide or joint public participation and consultation of, for example, basin management plans
- Joint resources to support transboundary cooperation

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*This may include tasks according to the agreement or tasks added by the joint body, or its subsidiaries. Both tasks which joint bodies coordinate and tasks which they implement should be included.*
Capacity-building

Any other tasks (please list): [fill in]

(f) What are the main difficulties and challenges that your country faces with the operation of the joint body, if any?

- Governance issues
- Please describe, if any: [fill in]
- Unexpected planning delays
- Please describe, if any: [fill in]
- Lack of resources
- Please describe, if true: [fill in]
- Lack of mechanism for implementing measures
- Please describe, if true: [ ]
- Lack of effective measures
- Please describe, if true: [fill in]
- Unexpected extreme events
- Please describe, if any: [fill in]
- Lack of information and reliable forecasts
- Please describe, if any: [Iraq did not receive the needed information from Iran on a regular basis, and still]

Others (please list and describe, as appropriate): [fill in]

(g) If not all riparian States are members of the joint body how does the body cooperate with them?

- No cooperation
- They have observer status
- Other (please describe): [fill in]

(h) Does the joint body or its subsidiary bodies meet regularly?

Yes ☒/No ☐

If yes, how frequently does it meet? [once per year]

(i) What are the main achievements with regards to the joint body? [No achievements]

(j) Are representatives of international organizations invited to the meetings of the joint body (or bodies) as observers?

Yes ☐/No ☒

(k) Did the joint body ever invite a coastal State to cooperate?

Yes ☐/No ☒

If yes, please give details. If no, why not?[fill in]

4. Is there a joint or coordinated management plan (such as an action plan or a common strategy) or have joint objectives been set specifically on the transboundary waters subject to cooperation?

Yes ☐/No ☒

If yes, please provide further details: [fill in]
5. How is the transboundary basin, river, lake or aquifer protected, including the protection of ecosystems, in the context of sustainable and rational water use?

- Afforestation
- Restoration of ecosystems
- Environmental flow norms
- Groundwater measures (e.g., protection zones)
- Other measures (please list): [fill in]

6. (a) Does your country exchange information and data with other riparian States in the basin?

- Yes [ ]
- No [ ]

(b) If yes, on what subjects are information and data exchanged?

- Environmental conditions [ ]
- Research activities and application of best available techniques [ ]
- Emission monitoring data [ ]
- Planned measures taken to prevent, control or reduce transboundary impacts [ ]
- Point source pollution sources [ ]
- Diffuse pollution sources [ ]
- Existing hydromorphological alterations (dams, etc.) [ ]
- Discharges [ ]
- Water abstractions [ ]
- Future planned measures with transboundary impacts, such as infrastructure development [ ]
- Other subjects (please list): [fill in]

(c) Is there a shared database or information platform?

- Yes [ ]
- No [ ]

(d) Is the database publicly available?

- Yes [ ]
- No [ ]

If yes, please provide the web address: [fill in]

(e) What are the main difficulties and challenges to data exchange, if applicable? (please describe): [lack of transparency]

(f) What are the main benefits of data exchange on the transboundary waters subject to cooperation? (please describe): As Iraq is a downstream country it need the data regarding the water levels and discharges and the release from U.S. country damsto prepare the strategic plans for water resources management.

7. Do the riparian States carry out joint monitoring in the transboundary basin, river, lake or aquifer?

- Yes [ ]
- No [ ]
(a) If yes, what does the joint monitoring cover?

<table>
<thead>
<tr>
<th>Covered?</th>
<th>Hydrological</th>
<th>Ecological</th>
<th>Chemical</th>
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<tr>
<td>Border surface waters</td>
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<tr>
<td>Surface waters in the entire basin</td>
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<td>Surface waters on the main watercourse</td>
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<tr>
<td>Connected aquifers (or groundwaters)</td>
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<tr>
<td>Unconnected aquifers (or groundwaters)</td>
<td>☐</td>
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</tbody>
</table>

(b) If joint monitoring is carried out, how is this done?

- National monitoring stations connected through a network or common stations
- Joint and agreed methodologies
- Joint sampling
- Common monitoring network
- Common agreed parameters

(c) Please describe the main achievements regarding joint monitoring, if any: [fill in]

(d) Please describe any difficulties experienced with joint monitoring: [fill in]

8. Do the riparian States carry out joint assessment of the transboundary basin, river, lake or aquifer?

   Yes ☐/No ☒

   *If yes, please provide the date of the last or only assessment, the frequency and scope (e.g., surface waters or groundwaters only, pollution sources, etc.) of the assessment: [fill in]*

9. Have the riparian States agreed to use joint water quality standards?

   Yes ☐/No ☒

   *If yes, is the basis an international or regional standard (please specify which) or has it been adapted from the national standards of the riparian States? [fill in]*

10. What are the measures implemented to prevent or limit the transboundary impact of accidental pollution?

    - Notification and communication
    - Coordinated or joint alarm system for accidental water pollution
    - Other (please list): [fill in]
    - No measures ☒

    *If not, why not? What difficulties does your country face in putting in place such measures? [there were no such measures in the treaty.]*

11. What are the measures implemented to prevent or limit the transboundary impact of extreme weather events?

    - Notification and communication
Coordinated or joint alarm system for floods

Coordinated or joint alarm system for droughts

Joint climate change adaptation strategy

Joint disaster risk reduction strategy

Other (please list): [fill in]

No measures

If not, why not? What difficulties does your country face in putting in place such measures?: [The articles of the agreement did not include these subjects.]

12. Are procedures in place for mutual assistance in case of a critical situation?

Yes ☐/No ☒

If yes, please provide a brief summary: [fill in]

13. Are the public or relevant stakeholders involved in transboundary water management in the basin, river, lake or aquifer?

Yes ☐/No ☒

If yes, how? (please tick all applicable) (Please note: If your country is a Party to the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention), you may refer to your country’s report under that Convention.):

- Stakeholders have observer status in a joint body
- Availability of information to the public
- Consultation on planned measures or river basin management plans
- Public involvement
- Other (please specify): [fill in]

Please remember to complete Section II for each of the transboundary basins (rivers, lakes or aquifers). Please also remember to attach copies of agreements, if any.

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10Or, where applicable, aquifer management plans.
III. General information on transboundary water management at the national level

In this section, you are requested to provide general information on transboundary water management at the national level. Information on specific transboundary basins (rivers, lakes or aquifers) and agreements should be presented in Section II and not repeated here.

1. (a) Does your country’s national legislation refer to measures to prevent, control and reduce any transboundary impact?

   Yes ☐/No ☒

   *If yes, list the main national legislation:* [fill in]

   (b) Do your country’s national policies, action plans and strategies refer to measures to prevent, control and reduce any transboundary impact?

   Yes ☐/No ☒

   *If yes, list the main national policies, action plans and strategies:* [fill in]

   (c) Does your country’s legislation provide for the following principles?

   - Precautionary principle ☒/No ☐
   - Polluter pays principle ☒/No ☐
   - Sustainable development ☐/No ☒

   (d) Does your country have a national licensing or permitting system for wastewater discharges and other point source pollution (e.g., in industry, mining, energy, municipal, wastewater management or other sectors)?

   Yes ☒/No ☐

   *If yes, for which sectors? (please list):* [All above mentioned sectors]

   *If not, please explain why not (giving the most important reasons) or provide information if there are plans to introduce a licensing or permitting system:* [fill in]

   *If your country has a licensing system, does the system provide for setting emission limits based on best available technology?*

   Yes ☒/No ☐

   (e) Are the authorized discharges monitored and controlled?

   Yes ☒/No ☐

   *If yes, how? (Please tick the ones applicable):*

   - Monitoring of discharges ☐
   - Monitoring of physical and chemical impacts on water ☐
   - Monitoring of ecological impacts on water ☐
   - Conditions on permits ☐
   - Inspectorate ☐
   - Other means (please list): [fill in]

   *If your country does not have a discharge monitoring system, please explain why not or provide information if there are plans to introduce a discharge monitoring system:* [fill in]
(f) What are the main measures which your country takes to reduce diffuse sources of water pollution on transboundary waters (e.g., from agriculture, transport, forestry or aquaculture)? The measures listed below relate to agriculture, but other sectors may be more significant. Please be sure to include these under “others”:

**Legislative measures**
- Norm for uses of fertilizers
- Norms for uses of manure
- Bans on or norms for use of pesticides
- Others (please list): [fill in]

**Economic and financial measures**
- Monetary incentives
- Environmental taxes (such as fertilizer taxes)
- Others (please list): [fill in]

**Agricultural extension services**
- [ ]

**Technical measures**
- **Source control measures**
  - Crop rotation
  - Tillage control
  - Winter cover crops
  - Others (please list): [fill in]

**Other measures**
- Buffer/filter strips
- Wetland reconstruction
- Sedimentation traps
- Chemical measures
- Others (please list): [fill in]

**Other types of measures**
- [ ]

*If yes, please list: [fill in]*

(g) What are the main measures which your country takes to enhance water efficiency? Please tick as appropriate (not all might be relevant)

**Please tick as appropriate (not all might be relevant)**
- A regulatory system regarding water abstraction
- Monitoring and control of abstractions
- Water rights are clearly defined
- Water allocation priorities are listed
- Water-saving technologies
- Advanced irrigation techniques
- Demand management activities
- Other means (please list)
(h) Does your country apply the ecosystems?
Yes ☑/No ☐

If yes, please describe how: [1- Marshlands restoration .2- Quality Monitoring 3- Minimum environmental flow.]

(i) Does your country take specific measures to prevent the pollution of groundwaters?
Yes ☑/No ☐

If yes, please list the most important measures: [1- Prevent mixing between the fresh and saline aquifers .2- Ground water quality monitoring system on different aquifers .]

2. Does your country require transboundary environmental impact assessment (EIA)?
Yes ☐/No ☑

Does your country have procedures for transboundary EIA?
Yes ☐/No ☑

If yes, please make reference to the legislative basis (please insert the name and section of the relevant laws): [fill in]

3. Does your country have transboundary agreements or arrangements for the protection and/or management of transboundary waters (i.e., surface waters or aquifers), whether bilateral, multilateral and/or at the basin level?
Yes ☐/No ☑

If yes, list the bilateral, multilateral and basin agreements (listing for each of the countries concerned): [fill in]

Section IV. Final questions

1. What are the main challenges your country faces in cooperating on transboundary waters? (Please describe):[1- The absence of an overarching agreement to determine the distribution of water among the riparian countries in the Tigris and Euphrates basins and the shared rivers with Iran for use in various purposes, including the restoration of the Mesopotamian Marshes and the maintaining water quality.

2. The security and political tensions in the region have long made the issues of water a secondary concern for these riparian countries.

3. The absence of unanimous mechanisms, rules or means between the riparian countries to exchange various information and data, have created an environment of mistrust between these countries and lack of cooperation among them.]

2. What have been the main achievements in cooperating on transboundary waters? What were the keys to achieving that success? (Please describe concrete examples):
1- concluding many technical and ministerial meetings to study the water situation and find urgent solutions for issues like severe draught or flood, these meetings help the two sides in building confidence and develop a good relations among riparian countries.
2-Signing of memorandum of understanding in 2014 for the cooperation in water areas and the two sides started their procedures to activate inter enforce.

3- Iraq ensures its acquired rights resulting from the existing uses of the international water courses and the necessity of abiding by the rules and international law and any global agreement to solve the shared water issues.

4- Exchange of some information and data among the three riparian states (Iraq, Syria, Turkey) and some matters relevant to joint water courses.

3. Please include any additional information on the process of preparing the report (e.g., whether there was an exchange or consultation within the joint body or with riparian countries), in particular which institutions have been consulted (please describe): [The report is prepared by a national committee from Ministry of water resources (MOWR) includes no. Of experts from various specialities, there was some consultations with the secretary of water convention.]

4. If you have any other comments please add them here (insert comments): [Since Iraq is an estuary country in all the basins it shares with riparian countries, there was no need to include in the local legislations provisions to prevent the effect of transboundary impact according to the meaning mentioned in the questionnaire.]

5. Name and contact details of the person(s) who filled out the questionnaire (please insert): [fill in]

   Date: [6/6/2017] Signature: [IRAQI MOWR]

Thank you very much for taking the time to complete this report.