Convention on Environmental Impact Assessment in a Transboundary Context and the Protocol on Strategic Environmental Assessment

Implementing the Espoo Convention and its Protocol on SEA in national legislation in Azerbaijan

2 March, 2015
Baku, Azerbaijan
Espoo Convention vs. Protocol

- Espoo Convention (EIA) – transboundary impacts of projects
- SEA Protocol – strategic level, assessment of plans & programmes within a state, with consideration of transboundary effects being secondary
Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention)
Espoo Convention

- **Convention on Environmental Impact Assessment in a Transboundary Context**

  - Adopted and signed in Espoo (Finland) in 1991
  - Came into force in 1997
  - Now has 45 Parties
    - 1st amendment (opening the Convention beyond UNECE region) entered into force in 2014 (24 parties)
    - 2nd amendment (list of activities, assistance-oriented review of compliance, etc.) 24 Parties (not in force)
Objectives (implied)

- To enhance **international co-operation** in assessing environmental impact, particularly in a transboundary context.

- To give explicit **consideration** to environmental factors at an early stage in the decision-making process by applying environmental impact assessment.

- To improve **quality of information** presented to decision makers so that environmentally sound **decisions** can be made, minimizing significant adverse impact particularly in a transboundary context.
Procedure 1/2

- Requires Party to notify & consult on planned activity likely to have significant environmental impact across borders.

- Requires preparation and sharing of assessment of environmental impacts.

- Allows affected Parties (authorities & public) to comment on planned activities and on assessment of environmental impacts.

- Provides for bilateral consultations between concerned Parties.
Procedure 2/2

- Party of origin makes final decision, taking into due account:
  - comments received (authorities & public of affected Party)
  - outcome of environmental impact assessment
  - outcome of bilateral consultations
- Final decision is sent to affected Party
- Post-project analysis if requested by a concerned Party
Benefits

- Provides framework for discussing planned developments with neighbouring States
- Gives the parties the right to notification and consultation on projects, which could have an adverse effect on the environment of your country
- Project design can be improved
- Better environmental protection, with impacts avoided or reduced by revising project design
- Better informed decision-making
Costs of EIA

- Generally EIA costs less than 0.5% of overall capital cost (with 60-90% for preparing EIA documentation)

- Absolute costs of EIA tend to rise with capital cost of project, but percentage declines (rarely exceeds 1%)
For example...

• Nord Stream natural gas pipeline project
• Cuts across the Baltic Sea from the Russian Federation to Germany, within the territorial waters and/or the exclusive economic zones of five countries of origin (Russia, Finland, Sweden, Denmark, and Germany)
• Each country of origin was at the same time affected country by parts of the project outside its territorial control
• The project also affected Estonia, Latvia, Lithuania and Poland.
• All concerned countries were Parties to the Convention, except the Russian Federation, which nevertheless agreed to apply the transboundary procedures under the Convention.