
Economic Commission for Europe

Meeting of the Parties to the Convention
on Environmental Impact Assessment
in a Transboundary Context

Meeting of the Parties to the Convention
on Environmental Impact Assessment in
a Transboundary Context serving as the
Meeting of the Parties to the Protocol on
Strategic Environmental Assessment

Fifth biannual financial report in period between fifth and sixth sessions of the Meeting of the Parties: period to 31 December 2013

Note by the secretariat

Summary

The Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context requested the Convention secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the Convention's funds and to prepare a report for the next session of the Meeting of the Parties, based on the information contained in biannual reports. This report covers the period from after the fifth session of the Meeting of the Parties, in June 2011, to the end of December 2013.

Contents

	<i>Paragraphs</i>	<i>Page</i>
I. Introduction	1	2
II. Pledges and fund-raising	2–3	2
III. Income and expenditure	4–15	2
IV. Overspending and savings.....	16–18	5
V. Outstanding requirements and operating reserve	19–20	6
Annex		
Details of the financial report.....		6

I. Introduction

1. This report sets out income, in-kind contributions and expenditure in the reporting period, as well as the balance in the Convention's trust fund at the end of the period. It also identifies any savings and overspend. The report addresses work under the Convention, including under its Protocol on Strategic Environmental Assessment. Details of income and expenditure are provided in the annex to this report.

II. Pledges and fund-raising

2. The pledges made at the fifth session of the Meeting of the Parties were insufficient to cover the core budget (representing less than 50 per cent of it).¹ The Parties were therefore also invited to come forward with further contributions, and the Meeting of the Parties: (a) encouraged Parties that had up till then not pledged anything to make contributions during the current and future budget cycles; and (b) Parties that had up till then only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.

3. The Chair of the Bureau wrote to a number of Parties in March 2012 and again in May 2013 for this purpose. Some Parties made unpledged contributions in the reporting period, including subsequent to the letter from the Chair. Notably, Finland funded an associate expert to support the secretariat for the entire intersessional period (and in total for four years). Norway contributed over seven times more than its initial pledge. No country was in arrears in payment of its pledged contribution.

III. Income and expenditure

4. Table 1 summarizes the income and expenditure in United States dollars. (Financial contributions to the Convention's trust fund are listed in tables A.1 and A.2, in annex.)

5. Activities under the workplan² were assigned priorities 1 or 2 in the core budget; other activities, not explicitly listed in the workplan or not expected to be covered by the core budget, have been assigned priority 3. Pledged and non-earmarked contributions are only to be used to fund core budget activities with priority 1 or 2.

6. Some countries imposed conditions on their contributions, notably by earmarking them for priority-2 activities and especially to support participation in meetings by representatives of countries with economies in transition (CITs). Switzerland made funds available for technical advice to Uzbekistan, as foreseen in the workplan but not expected to be covered by the core budget (therefore assigned priority 3). Sweden and Switzerland provided funds for activities not listed in the workplan but implied in the declaration by the first session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol; these activities have also been assigned priority 3. Switzerland made a contribution to cover practical costs of a side-event to the "Environment for Europe" Ministerial Conference, held in Astana in September 2011, whereas Sweden's contribution is intended to enable the secretariat to support the ratification of the Convention by the Russian Federation. Germany made funds available for the workshop on public participation held jointly with the Aarhus Convention in October 2012. It also funded the

¹ Meeting of the Parties to the Convention decision V/10 (ECE/MP.EIA/15).

² Meeting of the Parties to the Convention decision V/9 (ECE/MP.EIA/SEA/2).

preparation of the draft Good practice recommendations on public participation in SEA (not listed in the workplan);

7. One priority-2 activity was not completed within the previous intersessional period and was therefore carried forward, together with its budgeted funds (\$22,600): a subregional cooperation workshop for the Mediterranean Sea.

8. The income, together with the funds for the carried-over activity, totalled \$887,573. Outstanding pledged contributions total \$78,761.

Table 1
Income and expenditure

(in United States dollars)

	<i>Amount by priority</i>			<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	
(a) Contributions made to the trust fund, with non-earmarked contributions being allocated first to priority 1 activities (from tables A.1 and A.2)	600 819	205 520	58 634	864 973
(b) Funds for carried-over activities (see para. 7)	0	22 600	0	22 600
(c) Total income to the trust fund in the period ((a)+(b))	600 819	205 520	58 634	887 573
(d) Expenditure from the trust fund for budgeted priority 1 and 2 workplan activities (from tables A.3, A.5 and A.6) and unbudgeted priority 3 activities (from table A.4)	307 225	201 179	4 727	513 131
(e) 13% United Nations programme support costs	39 939	26 153	614	66 707
(f) Trust fund balance: income less expenditure in the period ((c)-(d)-(e))	253 655	788	53 292	307 736
(g) In-kind contributions made, valued according to the budgeted activity cost, or estimated for priority-3 activities (from table A.7)	0	0	267 000	267 000
(h) Outstanding pledged contributions (derived from table A.1)	78 761	0	0	78 761

9. The secretariat spent from the trust fund in accordance with the budget (table A.3, with further details in tables A.5 and A.6). The funds were spent on the two priority-1 activities, namely on an external expert to provide secretariat support and on drafting of the reviews of implementation of the Convention and the Protocol. Funds were also spent on priority-2 activities in the following areas:

- (a) Eight sessions of the Implementation Committee;
- (b) Two free-standing meetings of the Bureau;

(c) A meeting of the Chairs of the Bureaus of the ECE Conventions and of the Committee on Environmental Policy (as no fourth meeting of the Bureau);

(d) Three meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment;

(e) Further secretariat support for the implementation of the Convention and the Protocol: Draft guidance on enhancing consistency between the Convention and State ecological expertise;

(f) Secretariat travel in relation to the workplan;

(g) The promotion of contacts with countries outside the region.

10. In addition, the secretariat spent from the trust fund further to the earmarked contributions by:

(a) Sweden and Switzerland, on the side-event to the “Environment for Europe” Ministerial Conference and on supporting the ratification of the Convention by the Russian Federation;

(b) Germany, on the Joint workshop on public participation with the Aarhus Convention, and the preparation of draft Good practice recommendations on public participation in SEA by a consultant.

11. The expenditure totalled \$513,131, to which was added 13 per cent United Nations programme support costs of \$66,707, leaving a balance in the trust fund of \$307,736.

12. Parties, other States and organizations also undertook the following priority-3 workplan activities in the following areas by providing in-kind contributions (table A.7):

(a) Financial support of a speaker at the side-event to the “Environment for Europe” Ministerial Conference;

(b) A subregional cooperation meeting in Poland for the countries of the Baltic Sea area;

(c) A four-day workshop to strengthen capacity for the development of EIA and SEA systems in Uzbekistan, with sharing of experiences from other countries in the implementation of the Espoo Convention and in particular its Protocol;

(d) A subregional cooperation meeting in Estonia for the countries of the Baltic Sea area.

13. Funds raised through the Environment and Security Initiative (with funding from Sweden) were disbursed to cover the costs of the following workplan activities: Technical advice and training on SEA in Belarus to strengthen capacity to implement the Protocol and the pilot on post-project analysis of transboundary EIA between Belarus and Ukraine in autumn 2013. These funds were expected to also support organization of a subregional seminar to present the results of the pilot project in spring 2014 (total value \$210,000).

14. These activities, funded by in-kind contributions, have been valued at \$267,000 in total

15. In addition, the EU funds raised by the secretariat through “Greening Economies in the Eastern Neighbourhood” (EaP GREEN) programme generated a substantial increase in income starting from 2013 (totalling €2 Million for 2013–2017). This generated savings in the use of the trust fund and allowed also implementation of several activities included or related to the workplan but not covered or only partially covered by the core budget in Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova, and Ukraine, in particular to promote ratification and application of the Protocol on SEA (legislative

assistance, pilots, workshops with training, subregional experience sharing events). The first tranche amounted to \$454,436 (minus the UN programme support costs). It covered in 2013 cost of one external expert to support the secretariat in planning and management of capacity building activities; an administrative support staff on 50% basis; and capacity building activities, including legislative reviews on SEA in the Republic of Moldova and on EIA in Georgia and training workshops on SEA in Armenia and Ukraine.

IV. Overspending and savings

16. Savings, at or exceeding \$10,000 per budget line, are expected to be realized for the following (The total amount of savings will be specified once final expenditure figures are available):

(a) Expenditure for external expert to provide secretariat support; during the reporting period the extrabudgetary post of the external expert was vacant for several months (expected saving \$50,000)³;

(b) The staff shortage over an extended period also limited the travel of secretariat, which is expected to generate further savings (\$50,000);

(c) The promotion of contacts with countries outside the UNECE region was carried out at reduced cost (savings of \$21,584 expected);

(d) Savings of \$30,000 arose from the Bureau's decision not to fund participation of non ECE countries in the meetings of the Working Group in 2012 and 2013;

(e) During the intersessional period, the Implementation Committee did not recommend the conduct of any country-specific performance reviews to assist Parties to strengthen their implementation of and compliance with the Convention and the Protocol (Savings for the cancelled activities \$75,000);

(f) Further to its fund raising from other sources, the secretariat could finance workplan activities without use of the trust fund, which is expected to result in savings for costs of consultants (of \$40,000): (e.g. for the pre-accession legislative support to the Republic of Moldova; pilot transboundary EIA between Belarus and Ukraine, training on SEA in Belarus and in Armenia);

(g) Further savings are foreseen for informal translations of submissions (\$10,000), for promotional materials (\$30,000), and are also expected for informal translations of informal papers (up to \$30,000);

(h) Finally, savings are foreseen for the organization of workshops and half-day seminars.

17. Some spending on priority-2 workplan activities may exceed the assigned amount for a budget line:

(a) The total costs of the meetings of the Implementation Committee may exceed the budgeted amount, further to the Bureau's decision that funds should be identified to cover the costs of one additional, ninth, Committee meeting in the intersessional period (only eight meetings had been budgeted).

(b) Though the total costs of financial support for participation at the meetings of the Working Group were below the budget, the costs of participation by representatives of

³ The original budget for the extrabudgetary expert was underestimated and would not have been sufficient to fully cover the staff costs over the 3 year period.

countries with economies in transition and slightly also that of representatives of non-governmental organizations to the third meeting exceeded the budgeted amount. This was due to the decision by the Working Group to extend its third meeting by half-a-day which entailed the costs of an additional DSA for all the participants that benefited from financial support.

18. Table 2 presents the budgetary requirements for the period between the fifth and sixth sessions of the Meeting of the Parties, identifying how much of the budget has been fulfilled by expenditure and in-kind contributions and taking into account savings and overspend. Table 2 refers to priority-1 and -2 activities as only these had a budgetary allocation.

Table 2
Budgetary requirements
(in United States dollars)

	<i>Amount by priority</i>			<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	
(a) Budget for period between the fifth and sixth sessions of the Meeting of the Parties (ECE/MP.EIA/15, decision V/10), plus for carried-over activities (from table A.8)	470 000	685 000		1 155 000
(b) Expenditure from the trust fund (from table 1, row (d))	307 225	201 179		508 404
(c) In-kind contributions made (from table 1, row (g))	0	0		0
(d) Savings against budget for workplan activities (from table A.8)	50 650	309 541		360 191
(e) Overspend against budget for workplan activities (from table A.8)	0	0		0
(f) Outstanding budgetary requirements ((a)-(b)-(c)-(d)+(e))	112 125	174 280		286 405
(g) 13 per cent United Nations programme support costs	14 576	22 656		37 233
Total outstanding requirements ((f)+(g))	126 701	196 937		323 638

V. Outstanding requirements and operating reserve

19. Table 2 also presents the outstanding budgetary requirements at the end of the period, totalling \$323,638 for priority-1 and -2 activities. These requirements may be contrasted with the available funds for such activities, totalling \$254,443, and the outstanding pledged contributions of \$78,761. Without the important expected savings (\$360,191) and additional unpledged contributions by a few Parties in the reporting period, the difference between the outstanding budgetary requirements and the expected resources available, i.e. the shortfall for the budget, would have been substantial. The failure of some Parties to pay the remaining pledged contributions would lead to a shortfall, which would need to be addressed through further savings and additional contributions if the workplan is to be completed.

20. An operating reserve of \$411,925 was created by carrying forward unspent funds from the two periods between the third and fourth, and the fourth and fifth sessions of the

Meeting of the Parties, as decided by the Meeting of the Parties at the respective sessions. The operating reserve provided contingency funding to ensure continued operations under the Convention in the event of unanticipated problems, for example delayed receipt of contributions.

Annex

Details of the financial report (overleaf)

Table A.1
Income to the Convention's trust fund

(in United States dollars)

<i>Party^a</i>	<i>Description of pledge in ECE/MP.EIA/15, annex, plus additional contributions^b</i>	<i>Pledge</i>	<i>Amount received</i>
Albania	Two unpledged contributions of US\$ 1,000 in 2013.	0	2,000
Armenia	Unpledged contribution	0	1,000
Austria	Six shares per year for three years, for both instruments.	18,000	18,083
Azerbaijan	(None)	0	0
Belarus	(None)	0	0
Belgium	Unpledged contribution of €15,000 from Flanders Region (Belgium) in 2011.	0	20,000
Bosnia and Herzegovina	(None)	0	0
Bulgaria	US\$ 5,000 for the Convention and US\$ 3,000 for the Protocol in the period up to the next Meeting of the Parties, to be paid in 2012.	8,000	8,000
Canada	(None)	0	0
Croatia	Three shares per year.	9,000	4,000
Cyprus	Unpledged but regular contribution of €6,000 in 2011.	0	8,208
Czech Republic	US\$ 5,000 per year until the next Meeting of the Parties, depending upon the availability of funds in the national budget.	15,000	15,000
Denmark	Unpledged contribution of US\$ 15,000 in 2013.	0	14,970
Estonia	Unpledged contributions of €1,000 in 2012 and 2013.	0	2,657
Finland	(US\$ 2,153 carried over). An associate expert will be funded for one year with an option for a second year. Funding for the 2nd year (2013) was confirmed.	0	2,153
France	€10,000 per year, subject to availability in the budget.	39,000	40,031
Germany	A minimum of 30 shares for the period to the next sessions of the MOP and MOP/MOP. This sum will be divided into at least two payments and will be earmarked. Any payment depends on the availability of funds in the national budget that will have to be adopted by the Parliament for each year. In addition, unpledged contribution in 2012 of US\$ 25,000 to cover consultant fees and travel and to support travel of CIT and NGO participants for the joint Espoo-Aarhus meeting on public participation in SEA.	30,000	55,000
Greece	Unpledged contributions of US\$ 1,000 and US\$ 2,000 in 2013.	0	3,000
Hungary	US\$ 4,000 per year contribution for the period to the next Meeting of the Parties (in years 2011, 2012, 2013 and 2014).	16,000	11,981
Ireland	Unpledged but regular contribution of €10,000 in 2011.	0	13,680
Italy	(None)	0	0
Kazakhstan	(None)	0	0
Kyrgyzstan	(None)	0	0
Latvia	(None)	0	0
Liechtenstein	(None)	0	0
Lithuania	Unpledged contribution of US\$ 1,500 in 2013.	0	1,500
Luxembourg	(None)	0	0
Malta	(None)	0	0
Montenegro	(None)	0	0
Netherlands	€48,000 for the intersessional period between the fifth and sixth sessions of the Meeting of the Parties.	62,400	68,670

<i>Party^a</i>	<i>Description of pledge in ECE/MP.EIA/15, annex, plus additional contributions^b</i>	<i>Pledge</i>	<i>Amount received</i>
Norway	10-15 shares per year for the period to the next sessions of MOP and MOP/MOP (12.5 shares per year indicated in pledge column). Any payment depends on the availability of funds in the national budget to be adopted by the Parliament for each year. In addition, unpledged contribution in 2011 of NOK 100,000 (US\$ 17,014) to support travel by government and NGOs representatives of CITs. Similar contribution at end of 2011 for 2012, comprising both pledged and unpledged elements. In addition, unpledged contributions of \$52,649 in 2012, \$ 55,474 in the end of 2012 for 2013 and \$49,584 in the end of 2013 for 2014 earmarked for external expert to provide secretariat support (up to \$37,000) and for CIT and NGO participation (\$ 18,000). Further, unpledged contributions in 2012 of NOK 160,000 (27,145) and in 2013 of NOK 200,000 (\$33,950) for CIT participation.	37,500	286,508
Poland	Five shares per year for the period to the next Meeting of the Parties (in years 2012, 2013 and 2014).	15,000	10,000
Portugal	(None)	0	0
Republic of Moldova	(None)	0	0
Romania	Unpledged contribution of US\$ 415 resulting from interest on trust fund for inquiry commission (2004-2006) in 2012. Unpledged contribution of US\$ 5,000 in 2013.	0	5,415
Serbia	US\$ 1,000 in 2012.	1,000	1,000
Slovakia	€2,000.	2,600	2,861
Slovenia	Three shares per year for three years for both the Convention and the Protocol.	9,000	9,000
Spain	(None)	0	0
Sweden	20 shares, in kind, primarily for Baltic cooperation for both instruments, subject to the approval of the national budget. An unpledged contribution at end of 2011 for secretariat support of the ratification of the Convention and accession to the Protocol by the Russian Federation.	0	28,469
Switzerland	Likely US\$ 20,000 (20 shares) per year to the next meeting of the Parties. An unpledged contribution of CHF 25,000 (US\$ 28,474) in 2011 intended to support capacity-development activities in Central Asia and Azerbaijan, and an unpledged contribution of CHF 1,557 in 2011 to cover the costs of practical arrangements for a side-event during the "Environment for Europe" Ministerial Conference, held in Astana, 21-23 September 2011 (actual costs were US\$ 1,650).	60,000	95,114
The former Yugoslav Republic of Macedonia	(None)	0	0
Ukraine	US\$ 6,000 (six shares) in total for the period to the next meeting of the Parties. Unpledged contribution of US\$ 415 resulting from interest on trust fund for inquiry commission (2004-2006).	6,000	6,415
United Kingdom of Great Britain and Northern Ireland	(None)	0	0
European Union	€50,000 per year (but see paragraph 2 of decision V/10 on the budget, financial arrangements and financial assistance).	195,000	130,258
Total		523,500	864,973

^a All Parties to the Convention are listed, whether or not contributing to the trust fund.

^b Exchange rates for pledges, at 23 June 2011: US\$ 1.40 per €

^c Shares are US\$ 1,000 in value.

Table A.2

Income to the Convention's trust fund by date

(in United States dollars)

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income</i>
2011			
3 May	Czech Republic	USD 5 000	5,000
27 May	Netherlands	USD 68 669.53	68,670
27 May	Hungary	USD 4 000	4,000
14 July	Finland	EUR 5 000, less USD 5 000 *	2,153
14 July	France	EUR 10 000	14,306
19 July	Slovakia	EUR 2 000	2,861
30 August	Slovenia	USD 3 000	3,000
30 September	Switzerland	CHF 45,000	51,253
31 October	Austria	EUR 4 373.50	5,967
18 November	Ireland	EUR 10 000	13,680
28 November	Cyprus	EUR 6 000	8,208
12 December	Norway	USD 17 014.22	17,014
22 December	Switzerland	CHF 1 557	1,691
19 December	Norway	USD 16 444.28	16,444
22 December	Belgium (Flanders Region)	EUR 15 000	20,000
2012			
03 January	Sweden	USD 28 469.31	28,469
03 January	Norway	USD 52 649.47	52,649
09 January	Romania	USD 415	415
09 January	Ukraine	USD 415	415
04 January	Germany	USD 15 000	15,000
15 February	Slovenia	USD 3000	3,000
27 March	Bulgaria	USD 8 000	8,000
04 April	Croatia	USD 3 000	3,000
25 April	EC	EUR 50 000	66,401
03 May	Czech Republic	USD 5 000	5,000
28 June	Armenia	USD 1 000	1,000
04 July	Hungary	USD 4 000	4,000
04 August	Switzerland	CHF 20,000	20,408
31 August	Norway	USD 27,144.56	27,145
19 September	Poland	USD 5,000	5,000
25 September	Germany	USD 25,000	25,000
22 November	Austria	EUR 4,721.99	6,117
27 November	Norway	USD 55,473.61	55,474
28 November	France	EUR 10,000	12,953
29 November	Ukraine	USD 6,000	6,000
27 December	Estonia	EUR 1,000	1,299
28 December	Norway	USD 17,587.81	17,588
2013			
04 January	Greece	USD 1,000	1,000
29 January	Denmark	USD 14,970	14,970
04 February	Poland	USD 5 000	5,000
07 February	Albania	USD 1,000	1,000
11 April	Czech Republic	USD 5,000	5,000
17 April	France	EUR 10,000	12,771
17 April	Austria	USD 6,000	6,000
18 April	EC	EUR 50,000	63,857
18 April	Slovenia	USD 3,000	3,000
29 April	Croatia	USD 1,000	1,000

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income</i>
03 May	Norway	USD 33,949.63	33,950
03 June	Hungary	USD 3981.20	3,981
22 August	Romania	USD 5,000	5,000
30 September	Lithuania	USD 1,500	1,500
31 October	Norway	USD 16,660.43	16,660
05 November	Albania	USD 1,000	1,000
08 November	Serbia	USD 1,000	1,000
08 November	Germany	USD 15,000	15,000
29 November	Switzerland	CHF 20,000	21,763
16 December	Norway	USD 49,583.62	49,584
20 December	Greece	USD 2,000	2,000
26 December	Estonia	EUR 1,000	1,359
Total			864,973

* Incorrectly reported previously as USD 5 000, rather than the EUR 5 000

Table A.3

Expenditure from the Convention's trust fund on budgeted activities

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure^a</i>
1	Sixth session of the Meeting of the Parties to the Convention and the second session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, Ukraine			Participation of countries with economies in transition (CITs) Participation of non-governmental organizations Invited speakers Participation of non-UNECE countries	- - - -
Total for activity					-
2.1	Meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment, Geneva	2	First meeting, 24-26 April 2012	Participation of CITs Participation of non-governmental organizations Participation of non-UNECE countries	15,604 8,739 -
2.2			Second meeting, 27-30 May 2013	Participation of CITs Participation of non-governmental organizations Participation of non-UNECE countries	22,212 9,745 -
2.3			Third meeting, 11-14 November 2013	Participation of CITs Participation of non-governmental organizations Participation of non-UNECE countries	25,210 10,475 -
Total for activity					91,985
3.1	Bureau meetings (free standing)	2	First meeting, 31 January – 1 February 2012	Participation of CITs (Bureau members)	1,507
3.2			Second meeting, 31 January - 1 February 2013		888
3.3			Third meeting,		-
3.4			Meeting of the Chairs of the Bureaus of the ECE Conventions and of the Committee on Environmental Policy (as no fourth meeting of Bureau)		1,350
Total for activity					3,745
4.1	Meetings of the Implementation Committee	2	Twenty-second session, 5-7 September 2011	Participation of CITs (Committee members)	6,794
4.2			Twenty-third session, 5-7 December 2011		4,330
4.3			Twenty-fourth session, 20-23 March 2012		4,699
4.4			Twenty-fifth session, 11-13 September 2012		3,689
4.5			Twenty-sixth session, 26-28 November 2012		3,961
4.6			Twenty-seventh session, 12-14 March 2013		4,072
4.7			Twenty-eighth session, 10-12 September 2013		3,974
4.8			Twenty-ninth session, 10-12 December 2013		4,014

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure^a</i>
4.9			Thirtieth session, 25-27 February 2014		-
Total for activity					35,534
5	Informal translations of informal papers for meetings listed above	2			-
Total for activity					-
6	Promotion of contacts with countries outside the ECE region (with reporting of results to Working Group)	2		Secretariat and Chair's travel and DSA (detailed in Table A.5)	3,416
Total for activity					3,416
7.1	External expert to provide secretariat support for the implementation of the Convention and the Protocol	1	July–December 2011	Extrabudgetary post	92,911
7.2			2012	Extrabudgetary post	132,936
7.3			2013	Extrabudgetary post	81,378
7.4			January–June 2014	Extrabudgetary post	-
Total for activity					307,225
8.1	Further secretariat support	2	Consultants	Good practice guidance on public participation in SEA (fee and travel); Guidance on addressing possible inconsistency with State ecological expertise (fee and travel)	19,170
8.2			Promotional materials	(None)	-
8.3			Secretariat travel in relation to the workplan	Secretariat travel and DSA (detailed in Table A.6)	12,092
Total for activity					31,262
9.1	Compliance with and implementation of Convention	2	Informal translations of submissions		-
9.2		1	Drafting of review of implementation	Consultant to prepare a draft review of implementation of the EIA Convention and of the SEA Protocol in the period 2010-2013 (fee); Informal translations of national reports by the Parties (from Russian to English)	19,350
9.3		2	Country-specific performance reviews:		
9.3.1					-
9.3.2					-
9.3.3					-
Total for activity					19,350
10.1	Exchange of good practices	2	Joint workshop on public participation with the Aarhus Convention, 29-30 October 2012	Participation of CITs and NGOs	15,888
10.2					-
10.3					-
10.4					-
Total for activity					15,888
11	Subregional cooperation: workshop for the Mediterranean Sea area	2			-
Total for activity					-

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure^a</i>
Total for all activities					508,404

^a Expenditure in italics is estimated

Table A.4

Expenditure from the Convention's trust fund on unbudgeted activities

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Justification</i>	<i>Type of expenditure</i>	<i>Expenditure</i>
1	Side-event during the "Environment for Europe" Ministerial Conference, Astana, 21-23 September 2011	3	Geneva Declaration, para. 19; willingness of Switzerland to provide funds	Practical arrangements: interpretation and refreshments	2,072
				Participation of non-governmental organizations	1,213
2	Secretariat support of the ratification of the Convention and accession to the Protocol by the Russian Federation	3	Cavtat Declaration, para. 17; Geneva Declaration, para. 17; willingness of Sweden to provide funds	Meeting with representatives of the Ministry of Natural Resources and Environment, and of the Russian Government Institute of Legislation and Comparative Law Studies, to discuss implementation of the Espoo Convention by the Russian Federation, Moscow, 29 November 2011	1,441
Total for all activities					4,727

Table A.5

Promotion of contacts with countries outside the UNECE region: travel and subsistence allowances

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
11.1	International Association for Impact Assessment Special Conference on Strategic Environmental Assessment, Prague, 21-23 September 2011 (2 persons, but one paid for from budget line for associate expert)	1,525
11.2	Korea Environment Institute International Conference 2012, Seoul, 13-15 June 2012 (most costs covered by the organizer) Korea Environment Institute International Conference 2011, Seoul, 17-18 November 2011 (most costs covered by the organizer)	102
11.3	International Association for Impact Assessment Conference of IAIA on Energy Future-The role of Impact Assessment, Porto, 29 May - 1 June 2012 (2 persons, but one paid for from budget line for associate expert)	1,788
Activity total		3,416

Table A.6

Secretariat travel in relation to the workplan: travel and subsistence allowances

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
10.3.1	Side-event on the importance of impact assessment in planning related to greening the economy and in sustainable water management, during the "Environment for Europe" Ministerial Conference, Astana, 21-23 September 2011	2,895
10.3.2	Meeting with officials of Swiss Ministry of Foreign Affairs and Federal Office for the Environment regarding capacity-development, Bern, 17 October 2011 (2 persons, but one covered by associate expert's travel budget)	237
10.3.3	Presentation on the role of UNECE Water and Espoo Conventions, within an International High Level Conference "Strengthening transboundary water cooperation in Central Asia: the role of international water law and the UNECE Water Convention and the Workshop on the Protocol on Water and Health", Almaty (Kazakhstan), 25-27 October 2011 (costs covered by Water Convention-related project)	-
10.3.4	Fifth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Sopot (Poland), 27-28 October 2011	1,429
10.3.5	Training workshop for strengthening capacities in Uzbekistan for understanding and implementing the Convention, and in particular the Convention's Protocol on Strategic Environmental Assessment, Tashkent, 9-12 November 2011 (costs covered by Water Convention-related projects)	
10.3.6	Meeting with DG-DEVCO of the European Commission on the involvement of Espoo Convention Secretariat in the EU funded EAP-Green project, Brussels, 16 March 2012	631
10.3.7.	Sixth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Tallinn, 20-21 September 2012	1,923
10.3.8.	Seminar on Green economy in the eastern partnership partner countries after the Rio+20, Brussels 4 October 2012	1,199
10.3.9.	Second meeting of the Bureau, Kiev, 31 January - 1 February 2013	720
10.3.10.	Start-up workshop for pilot project on post-project analysis in Belarus with Ukraine, Brest, 9-10 April 2013 (2 persons, costs covered by EnvSec)	-
10.3.11.	Closing conference of a multi-year project financed by Switzerland to promote EIA in Central Asia and Azerbaijan.	3,059
Activity total		12,092

Table A.7

In-kind contributions made

(in United States dollars)

<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Date</i>	<i>Source</i>	<i>Value^a</i>	<i>Notes</i>
Promotional	3	Side-event during the "Environment for Europe" Ministerial Conference, Astana	21-23 September 2011	Switzerland	2,000	Financial support for speaker
Subregional cooperation and capacity-building	3	Fifth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Sopot (Poland)	27-28 October 2011	Poland, together with Sweden, Germany and Estonia	20,000	
Promoting ratification and application of the Protocol	3	Workshop to strengthen capacity for the development of EIA and SEA systems in Uzbekistan, with sharing of experiences from other countries in the implementation of the Espoo Convention and in particular its Protocol, Tashkent	9-12 November 2011	German International Cooperation Agency, GIZ, and UNDP Uzbekistan	15,000	Trainer fees and travel covered by GIZ, local costs by UNDP
Subregional cooperation and capacity-building	3	Sixth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Tallinn	20-21 September 2012		20,000	
Compliance with and implementation of the Convention	3	Technical advice to Belarus on improving legislation to implement the Protocol	2013	Environment and Security Initiative (ENVSEC), with funding from Sweden	25,000	National round table consultation meeting held in September 2013 to discuss the preliminary results of the legal review.
Subregional cooperation and capacity-building	3	Pilot project on post-project analysis with Belarus and Ukraine.	2013-2014	ENVSEC, with funding from Sweden	100,000	Start-up workshop in April 2013, three meetings of the bilateral working group on monitoring and one meeting of the task force in 2013, two workshops on public participation expected in February 2014.
Subregional cooperation and capacity-building	3	Subregional meetings	15-16 April 2014	ENVSEC, with funding from Sweden	25,000	Subregional conference to disseminate results of EIA pilot and SEA training.
Promoting ratification and application of the Protocol on SEA	3	Workshops, including training, on the application of the Protocol	October 2013	ENVSEC, with funding from Sweden	60,000	Three local level training workshops in Brest, Gomel and Grodno.
Total					267,000	

^a Values for priority 3 activities not indicated in the current budget (decision V/10) are purely indicative. For ENVSEC, they are based on the project budget.

Table A.8

Budget, after decision V/10

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation / Justification</i>
Organizational activities							
1	Sixth session of the Meeting of the Parties to the Convention and the second session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol	2	-	90,000	0	0	
2	Meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment, Geneva	2	-	120,000	28,015	0	
3	Meetings of the Bureau (free-standing)	2	-	20,000	10,000	0	
4	Meetings of the Implementation Committee	2	-	40,000	0	0	
5	Informal translations of informal papers for meetings listed above	2	-	65,000	30,000	0	
6	Promotion of contacts with countries outside the UNECE region	2	-	25,000	21,584	0	
7	External expert to provide secretariat support for the implementation of the Convention and the Protocol (including coordination of capacity-development, development and maintenance of website, modification of questionnaire, drafting of review of implementation and of other documents)	1	-	450,000	50,000	0	
8.1	Further secretariat support for the implementation of the Convention and the Protocol	2	Consultants	60,000	40,830	0	
8.2		2	Promotional materials	30,000	30,000	0	
8.3		2	Secretariat travel in relation to the workplan	90,000	50,000	0	
Substantive activities							
9.1	Compliance with and implementation of the Convention and the Protocol	2	Informal translations of submissions	10,000	10,000	0	
9.2		1	Drafting of review of implementation	20,000	650	0	
9.3		2	Country-specific performance reviews	75,000	75,000	0	

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation / Justification</i>
10	Exchange of good practices	2	Workshops or half-day seminars	40,000	14,112	0	
	Carried forward activities						
11	Subregional cooperation	2	Workshop for Mediterranean Sea area	20,000		0	
Total				1,155,000	360,191	-	