
Joint ECMT/UNECE Working Party/Group on Intermodal Transport and
Logistics

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Carriage of freight under the CIM & SMGS using a common CIM/SMGS consignment note

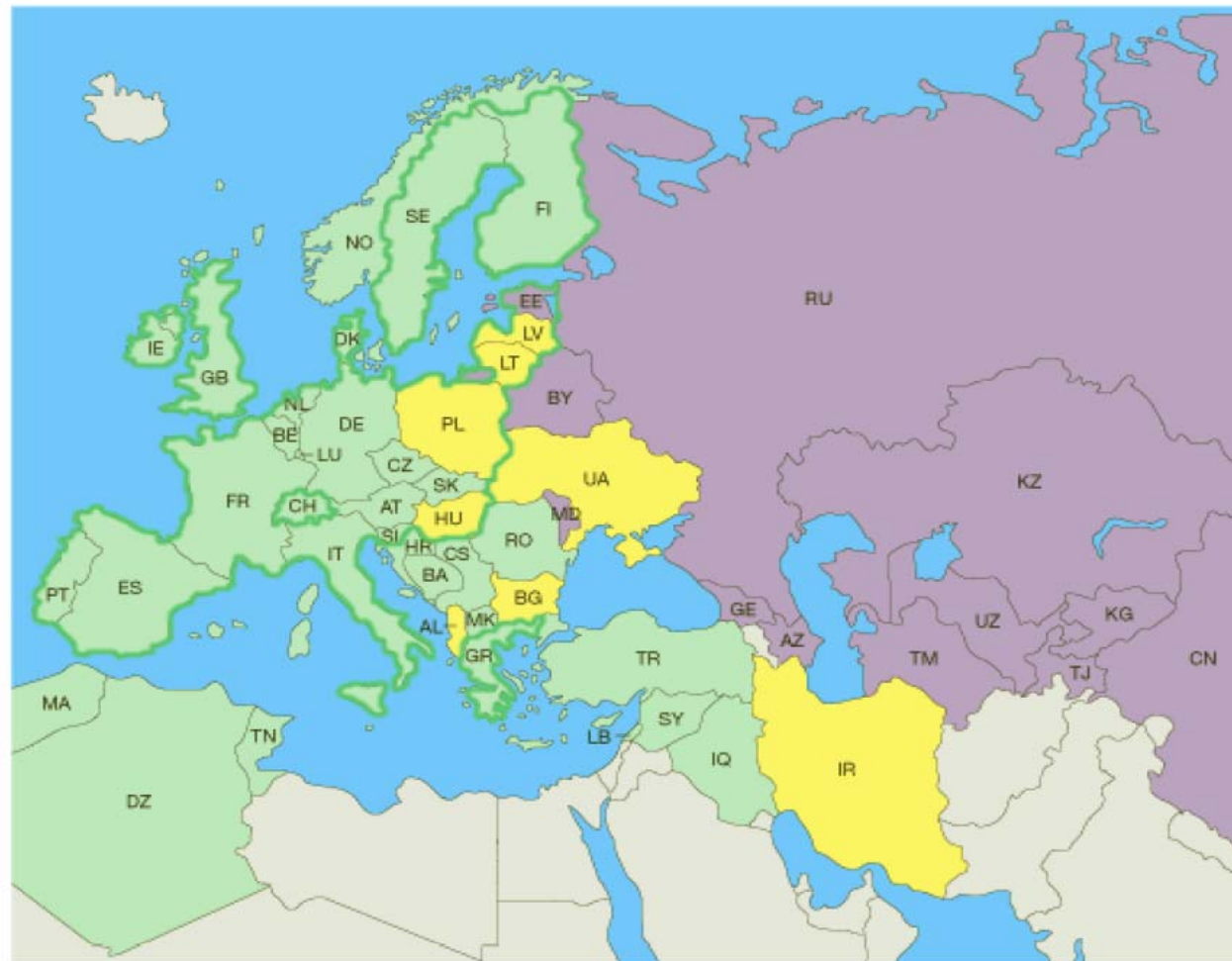
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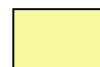
Background:

- Two quite separate regimes for carriage by rail after the Second World War
 - CIV & SMPS for passenger traffic
 - CIM & SMGS for freight traffic
 - Two organisational structures: OTIF - OSShD
- Fundamental social changes in both East and West after 1989
- Extension of Western European economic and security structures to the East
- Consequences for the rail mode?

CIM and SMGS states



CIM



CIM & SMGS



SMGS

Customer requirements:

- Improvement in the CIM/SMGS interface
- Drawing up of a common CIM/SMGS consignment note
- Introduction on specific Trans-European corridors, e.g. Corridor II (Berlin – Moscow) or Corridor III (Dresden – Kiev)
- Both customers and railways have the same objectives!

Common CIM/SMGS consignment note (1)

CIT remit:

- Creation of a *common CIM/SMGS consignment note* which provides evidence of the existence of both contracts of carriage, and which is
- recognised as a *customs and bank* document which can be used without restriction in the customs territories in question, and which
- does not compromise the *liability provisions*.

Common CIM/SMGS consignment note (2)

- A combination of the existing CIM and SMGS consignment notes
- Further criterion: UNECE Layout Key for trade documents
- Front: common CIM/SMGS fields and CIM fields
- Back: SMGS fields
- ① = consignor & customs fields
- ③⑨ = railway fields

CIM/SMGS Traffic Manual (1)

- A. General provisions
- B. Common provisions for paper and electronic consignment notes
- C. Paper consignment notes
- D. Electronic consignment notes
- E. Final and transitional provisions

CIM/SMGS Traffic Manual (2)

Appendices:

- 1 List of CIT and SMGS members who apply the manual
- 2 Explanatory notes on the content of the common CIM/SMGS consignment note
- 3 List of CIM/SMGS interfaces
- 4 List of addresses of the departments to which applications for authorisation to consign are to be sent
- 5 Specimen common CIM/SMGS consignment note

Appendix 2: Explanatory notes on the content of the common CIM/SMGS consignment note

- Explanation of each data item in the numerical order of the fields
- For each data item
 - Field number
 - Status
 - Contract of carriage (CIM/SMGS, CIM, SMGS)
 - Definition

A. General provisions:

- Within the CIM area: a manual
- Within the SMGS area: an appendix to the SMGS
- Scope:
 - traffic between CIT and SMGS members who are specified in *Appendix 1*
 - consignments subject to the CIM Uniform Rules and the SMGS and which are handed over for carriage with a common CIM/SMGS consignment note
 - at the CIM/SMGS interface, consignments remain the responsibility of the carrier or substitute carrier

B. Common provisions (1)

- Legal basis – Article 6 § 8 CIM and Article 7 SMGS
- Appendix 2 contains the explanatory notes
- Languages: RU (SMGS) / DE, FR or EN (CIM)
- Parties to the contract:
 - CIM → SMGS traffic: consignor of the first contract of carriage (CIM) is also the consignee of the first contract and the consignor of the second (SMGS) contract of carriage
 - SMGS → CIM traffic: consignor of the first contract of carriage (SMGS) is also the consignee of the first contract and the consignor of the second (CIM) contract of carriage

B. Common provisions (2)

- Payment of charges
 - CIM → SMGS traffic: in the CIM area in principle by the consignor; in the SMGS area in principle by the consignee or the payers of transit charges
 - SMGS → CIM traffic: in the SMGS area, by the consignor for the first railway otherwise by the payers of transit charges; in the CIM area by the consignee
- Liability, formal report
- Seals (approval, sealing process, details on the consignment note)
- Authority to consign
- Dangerous goods (RID / SMGS Appendix 2)
- Labelling of the goods
- Loading tackle

C. Paper common CIM/SMGS consignment note

- Specimen:
 - Appendix 5 to the manual
 - Combination of the CIM & SMGS consignment notes
 - 6 sheets
- Additional copy of the invoice:
 - for SMGS → CIM traffic: made out by the consignor
 - for CIM → SMGS traffic: made out by the SMGS railway at the CIM/SMGS interface (photocopy of the invoice authenticated with a stamp)

D. Electronic common CIM/SMGS consignment note

- Legal basis for the electronic exchange of consignment note data:
 - Article 6 § 9 CIM: based on functional equivalence
 - Article 7 § 14 SMGS: based on an agreement between the carrier and the customer
- The following provisions are still being worked on:
 - Transmission of messages
 - Content of messages / specifications

Implementation of the CIT/OSShD project

- Phase 1:

End 2005: Drawing up the common CIM/SMGS consignment note

Feb/Mar 2005: Approval by the CIT and OSShD

April 2006: Seminar in Bern to present the new documentation

Nov 2006: Seminar in Moscow to present the new documentation

July 2006: Definition of an electronic common CIM/SMGS consignment note on the basis of the specifications available

1 Sept 2006: First consignment with the common consignment note

- Phase 2:

Drawing up of common provisions for liability for the carriage of freight under the CIM & SMGS