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# INLAND TRANSPORT COMMITTEE

Working Party on the Transport of Dangerous Goods

Joint Meeting of Experts on the Regulations annexed to the European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN)\* (Sixth session, Geneva, 27-30 May 2002)

# PART 5 OF THE RESTRUCTURED REGULATIONS ANNEXED TO THE EUROPEAN AGREEMENT CONCERNING THE INTERNATIONAL CARRIAGE OF DANGEROUS GOODS BY INLAND WATERWAYS (ADN)

# Note by the UN/ECE secretariat\*\*

The secretariat reproduces below Part 5 of the draft restructured version of the Regulations annexed to ADN. The draft is based on Part 5 of Annex A of ADR (ECE/TRANS/140, vol. II) and takes into account the amendments scheduled to enter into force on 1 January 2003 (TRANS/WP.15/168). The amendments in square brackets represent changes to the text of ADR.

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<sup>\*</sup> This meeting is organized jointly by the Economic Commission for Europe and the Central Commission for the Navigation of the Rhine.

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# PART 5

**Consignment procedures** 

# **CHAPTER 5.1**

#### **GENERAL PROVISIONS**

#### 5.1.1 Application and general provisions

This Part sets forth the provisions for dangerous goods consignments relative to marking, labelling, and documentation, and, where appropriate, authorization of consignments and advance notifications.

## 5.1.2 Use of overpacks

- 5.1.2.1 [(a) An overpack shall be marked with the UN number preceded by the letters "UN" and shall be labelled as required for packages in 5.2.2, for each item of dangerous goods contained in the overpack, unless the markings and the labels representative of all dangerous goods contained in the overpack are visible. If the same marking or the same label is required for different packages, it only needs to be applied once.
  - (b) Label conforming to model No. 11 illustrated in 5.2.2.2.2 shall be displayed on two opposite sides of the following overpacks:
    - overpacks containing packages which shall be labelled in accordance with 5.2.2.1.12, unless the labels remain visible, and
    - overpacks containing liquids in packages which need not be labelled in accordance with 5.2.2.1.12, unless the closures remain visible.]
- 5.1.2.2 Each package of dangerous goods contained in an overpack shall comply with all applicable provisions of AD[R][N]. The intended function of each package shall not be impaired by the overpack.
- 5.1.2.3 The prohibitions on mixed loading also apply to these overpacks.

# 5.1.3 Empty uncleaned packagings (including IBCs and large packagings), tanks, vehicles and containers for carriage in bulk

5.1.3.1 Empty uncleaned packagings (including IBCs and large packagings), tanks (including tank-vehicles, battery-vehicles, demountable tanks, portable tanks, tank-containers, MEGCs), vehicles and containers for carriage in bulk having contained dangerous goods of the different classes other than Class 7, shall be marked and labelled as if they were full.

**NOTE**: For documentation, see Chapter 5.4.

5.1.3.2 Tanks and IBCs used for the carriage of radioactive material shall not be used for the storage or carriage of other goods unless decontaminated below the level of 0.4 Bq/cm<sup>2</sup> for beta and gamma emitters and low toxicity alpha emitters and 0.04 Bq/cm<sup>2</sup> for all other alpha emitters.

# 5.1.4 Mixed packing

When two or more dangerous goods are packed within the same outer packaging, the package shall be labelled and marked as required for each substance or article. If the same label is required for different goods, it only needs to be applied once.

# 5.1.5 General provisions for Class 7

# 5.1.5.1 *Requirements before shipments*

# 5.1.5.1.1 *Requirements before the first shipment of a package*

Before the first shipment of any package, the following requirements shall be fulfilled:

- (a) If the design pressure of the containment system exceeds 35 kPa (gauge), it shall be ensured that the containment system of each package conforms to the approved design requirements relating to the capability of that system to maintain its integrity under that pressure;
- (b) For each Type B(U), Type B(M) and Type C package and for each package containing fissile material, it shall be ensured that the effectiveness of its shielding and containment and, where necessary, the heat transfer characteristics and the effectiveness of the confinement system, are within the limits applicable to or specified for the approved design;
- (c) For packages containing fissile material, where, in order to comply with the requirements of 6.4.11.1, [of ADR], neutron poisons are specifically included as components of the package, checks shall be performed to confirm the presence and distribution of those neutron poisons.

# 5.1.5.1.2 *Requirements before each shipment of a package*

Before each shipment of any package, the following requirements shall be fulfilled:

(a) For any package it shall be ensured that all the requirements specified in the relevant provisions of ADN have been satisfied;

- (b) It shall be ensured that lifting attachments which do not meet the requirements of 6.4.2.2 [of ADR] have been removed or otherwise rendered incapable of being used for lifting the package, in accordance with 6.4.2.3 [of ADR];
- (c) For each Type B(U), Type B(M) and Type C package and for each package containing fissile material, it shall be ensured that all the requirements specified in the approval certificates have been satisfied;
- (d) Each Type B(U), Type B(M) and Type C package shall be held until equilibrium conditions have been approached closely enough to demonstrate compliance with the requirements for temperature and pressure unless an exemption from these requirements has received unilateral approval;
- (e) For each Type B(U), Type B(M) and Type C package, it shall be ensured by inspection and/or appropriate tests that all closures, valves, and other openings of the containment system through which the radioactive contents might escape are properly closed and, where appropriate, sealed in the manner for which the demonstrations of compliance with the requirements of 6.4.8.7 [of ADR] were made;
- (f) For each special form radioactive material, it shall be ensured that all the requirements specified in the special form approval certificate and the relevant provisions of AD[**R**][N] have been satisfied;
- (g) For packages containing fissile material the measurement specified in 6.4.11.4(b) [of ADR] and the tests to demonstrate closure of each package as specified in 6.4.11.7 [of ADR] shall be performed where applicable;
- (h) For each low dispersible radioactive material, it shall be ensured that all the requirements specified in the approval certificate and the relevant provisions of ADR have been satisfied.

#### 5.1.5.2 *Approval of shipments and notification*

#### 5.1.5.2.1 *General*

In addition to the approval for package designs described in Chapter 6.4, multilateral shipment approval is also required in certain circumstances (5.1.5.2.2 and 5.1.5.2.3 [of ADR]). In some circumstances it is also necessary to notify competent authorities of a shipment (5.1.5.2.4).

#### 5.1.5.2.2 *Shipment approvals*

Multilateral approval shall be required for:

- (a) the shipment of Type B(M) packages not conforming with the requirements of 6.4.7.5 [of ADR] or designed to allow controlled intermittent venting;
- (b) the shipment of Type B(M) packages containing radioactive material with an activity greater than 3000 A<sub>1</sub> or 3000 A<sub>2</sub>, as appropriate, or 1000 TBq, whichever is the lower;
- (c) the shipment of packages containing fissile materials if the sum of the criticality safety indexes of the packages exceeds 50;

except that a competent authority may authorize carriage into or through its country without shipment approval, by a specific provision in its design approval (see 5.1.5.3.1).

# 5.1.5.2.3 Shipment approval by special arrangement

Provisions may be approved by a competent authority under which a consignment, which does not satisfy all of the applicable requirements of AD[R][N] may be carried under special arrangement (see 1.7.4).

#### 5.1.5.2.4 *Notifications*

Notification to competent authorities is required as follows:

- (a) Before the first shipment of any package requiring competent authority approval, the consignor shall ensure that copies of each applicable competent authority certificate applying to that package design have been submitted to the competent authority of each country through or into which the consignment is to be carried. The consignor is not required to await an acknowledgement from the competent authority, nor is the competent authority required to make such acknowledgement of receipt of the certificate;
- (b) For each of the following types of shipments:
  - Type C packages containing radioactive material with an activity greater than 3000 A<sub>1</sub> or 3000 A<sub>2</sub>, as appropriate, or 1000 TBq, whichever is the lower;

- (ii) Type B(U) packages containing radioactive material with an activity greater than 3000 A<sub>1</sub> or 3000 A<sub>2</sub>, as appropriate, or 1000 TBq, whichever is the lower;
- (iii) Type B(M) packages;
- (iv) Shipment under special arrangement;

The consignor shall notify the competent authority of each country through or into which the consignment is to be carried. This notification shall be in the hands of each competent authority prior to the commencement of the shipment, and preferably at least 7 days in advance;

- (c) The consignor is not required to send a separate notification if the required information has been included in the application for shipment approval;
- (d) The consignment notification shall include:
  - (i) sufficient information to enable the identification of the package or packages including all applicable certificate numbers and identification marks;
  - (ii) information on the date of shipment, the expected date of arrival and proposed routeing;
  - (iii) the name(s) of the radioactive material(s) or nuclide(s);
  - (iv) descriptions of the physical and chemical forms of the radioactive material, or whether it is special form radioactive material or low dispersible radioactive material; and
  - (v) the maximum activity of the radioactive contents during carriage expressed in becquerels (Bq) with an appropriate SI prefix (see 1.2.2.1). For fissile material, the mass of fissile material in grams (g), or multiples thereof, may be used in place of activity.

# 5.1.5.3 *Certificates issued by the competent authority*

- 5.1.5.3.1 Certificates issued by the competent authority are required for the following:
  - (a) Designs for:
    - (i) special form radioactive material;
    - (ii) low dispersible radioactive material;

- (iii) packages containing 0.1 kg or more of uranium hexafluoride;
- (iv) all packages containing fissile material unless excepted by 6.4.11.2 [of ADR];
- (v) Type B(U) packages and Type B(M) packages;
- (vi) Type C packages;
- (b) Special arrangements;
- (c) Certain shipments (see 5.1.5.2.2).

The certificates shall confirm that the applicable requirements are met, and for design approvals shall attribute to the design an identification mark.

The package design and shipment approval certificates may be combined into a single certificate.

Certificates and applications for these certificates shall be in accordance with the requirements in 6.4.23 [of ADR].

- 5.1.5.3.2 The consignor shall be in possession of a copy of each applicable certificate. The consignor shall also have a copy of any instructions with regard to the proper closing of the package and any preparation for shipment before making any shipment under the terms of the certificates.
- 5.1.5.3.3 For package designs where a competent authority issued certificate is not required, the consignor shall, on request, make available for inspection by the competent authority, documentary evidence of the compliance of the package design with all the applicable requirements.

#### 5.1.5.4 Summary of approval and prior notification requirements

**NOTE 1**: Before first shipment of any package requiring competent authority approval of the design, the consignor shall ensure that a copy of the approval certificate for that design has been submitted to the competent authority of each country en route (see 5.1.5.2.4 (a)).

**NOTE 2**: Notification required if contents exceed  $3 \times 10^3 A_1$ , or  $3 \times 10^3 A_2$ , or 1000 TBq (see 5.1.5.2.4 (b)).

**NOTE 3**: Multilateral approval of shipment required if contents exceed  $3 \times 10^3 A_1$ , or  $3 \times 10^3 A_2$ , or 1000 TBq, or if controlled intermittent venting is allowed (see 5.1.5.2).

**NOTE 4**: See approval and prior notification provisions for the applicable package for carrying this material.

Subject	UN Number	Competent Authority approval required		Consignor required to notify the competent	
		Country of origin	Countries en route <sup>a</sup>	authorities of the country of origin and of the countries en route <sup>a</sup> before each shipment	Reference
Calculation of unlisted $A_1$ and $A_2$ values	-	Yes	Yes	No	-
Excepted packages - package design - shipment	2908, 2909, 2910, 2911	No No	No No	No No	-
LSA material <sup>b</sup> and SCO <sup>b</sup> Industrial packages types 1, 2 or 3, non fissile and fissile excepted - package design - shipment	2912, 2913, 3321, 3322	No No	No No	No No	-
Type A packages, <sup>b</sup> non fissile and fissile excepted - package design - shipment	2915, 3332	No No	No No	No No	-
Type B(U) packages, <sup>b</sup> non fissile and fissile excepted - package design - shipment	2916	Yes No	No No	See Note 1 See Note 2	5.1.5.2.4 (b), 5.1.5.3.1 (a)
Type B(M) packages, <sup>b</sup> non fissile and fissile excepted - package design - shipment	2917	Yes See Note 3	Yes See Note 3	No Yes	5.1.5.2.4 (b), 5.1.5.3.1 (a), 5.1.5.2.2.
Type C packages, <sup>b</sup> non fissile and fissile excepted - package design - shipment	3323	Yes No	No No	See Note 1 See Note 2	5.1.5.2.4 (b), 5.1.5.3.1 (a)
Packages for fissile material - package design - shipment: - sum of criticality	2977, 3324, 3325, 3326, 3327, 3328, 3329, 3330,	Yes <sup>c</sup>	Yes <sup>c</sup>	No	5.1.5.3.1 (a), 5.1.5.2.2, 6.4.22.4
safety indexes not more than 50 - sum of criticality safety indexes greater than 50	3331, 3333	No <sup>d</sup> Yes	No <sup>d</sup> Yes	See Note 2 See Note 2	
Special form radioactive material - design	_	Yes	No	No	1.6.5.4, 5.1.5.3.1 (a)
- shipment	See Note 4	See Note 4	See Note 4	See Note 4	
Low dispersable radioactive material - design - shipment	- See Note 4	Yes See Note 4	No See Note 4	No See Note 4	5.1.5.3.1 (a), 6.4.22.3

Subject	UN Number	Competent Authority approval required		Consignor required to notify the competent	
		Country of origin	Countries en route <sup>a</sup>	authorities of the country of origin and of the countries en route <sup>a</sup> before each shipment	Reference
Packages containing 0.1 kg or more of uranium hexafluoride					5.1.5.3.1 (a), 6.4.22.3
- design	-	Yes	No	No	
- shipment	See Note 4	See Note 4	See Note 4	See Note 4	
Special Arrangement	2919, 3331				5.1.5.3.1 (b),
- shipment		Yes	Yes	Yes	5.1.5.2.4 (b)
Approved packages designs subjected to transitional measures	-	See 1.6.5	See 1.6.5	See Note 1	1.6.5.2, 1.6.5.3, 5.1.5.2.4 (b), 5.1.5.3.1 (a), 5.1.5.2.2.

<sup>a</sup> Countries from, through or into which the consignment is carried.

<sup>b</sup> If the radioactive contents are fissile material which is not excepted from the provisions for packages containing fissile material, then the provisions for fissile material packages apply (see 6.4.11 [of ADR]).

<sup>c</sup> Designs of packages for fissile material may also require approval in respect of one of the other items in the table.

<sup>d</sup> Shipments may, however, require approval in respect of one of the other items in the table.

# **CHAPTER 5.2**

#### MARKING AND LABELLING

#### 5.2.1 Marking of packages

**NOTE**: For markings related to the construction, testing and approval of packagings, large packagings, gas receptacles and IBCs, see Part 6 [of ADR].

- 5.2.1.1 Unless provided otherwise in AD[R][N], the UN number corresponding to the dangerous goods contained, preceded by the letters "UN" shall be clearly and durably marked on each package. In the case of unpackaged articles the marking shall be displayed on the article, on its cradle or on its handling, storage or launching device.
- 5.2.1.2 All package markings required by this Chapter:
  - (a) shall be readily visible and legible;
  - (b) shall be able to withstand open weather exposure without a substantial reduction in effectiveness.
- 5.2.1.3 Salvage packagings shall additionally be marked with the word "SALVAGE".
- 5.2.1.4 Intermediate bulk containers of more than 450 litres capacity shall be marked on two opposite sides.

#### 5.2.1.5 Additional provisions for goods of Class 1

For goods of Class 1, packages shall, in addition, bear the proper shipping name as determined in accordance with 3.1.2. The marking, which shall be clearly legible and indelible, shall be in an official language of the country of origin and also, if that language is not English, French or German, in English, French or German unless any agreements concluded between the countries concerned in the transport operation provide otherwise.

#### 5.2.1.6 Additional provisions for goods of Class 2

Refillable receptacles shall bear the following particulars in clearly legible and durable characters:

(a) the UN number and the proper shipping name of the gas or mixture of gases, as determined in accordance with 3.1.2.

In the case of gases classified under an N.O.S. entry, only the technical name<sup>1</sup> of the gas has to be indicated in addition to the UN number.

In the case of mixtures, not more than the two constituents which most predominantly contribute to the hazards have to be indicated;

- (b) for compressed gases filled by mass and for liquefied gases, either the maximum filling mass and the tare of the receptacle with fittings and accessories as fitted at the time of filling, or the gross mass;
- (c) the date (year) of the next periodic inspection.

These marks can either be engraved or indicated on a durable information disk or label attached on the receptacle or indicated by an adherent and clearly visible marking such as by printing or by any equivalent process.

**NOTE 1:** See also 6.2.1.7.4 [of ADR].

NOTE 2: For non refillable receptacles, see [6.2.1.7.2.] [6.2.1.8] [of ADR].

- 5.2.1.7 Special marking provisions for goods of Class 7
- 5.2.1.7.1 Each package shall be legibly and durably marked on the outside of the packaging with an identification of either the consignor or consignee, or both.
- 5.2.1.7.2 For each package, other than excepted packages, the UN number preceded by the letters "UN" and the proper shipping name shall be legibly and durably marked on the outside of the packaging. In the case of excepted packages only the UN number, preceded by the letters "UN", is required.

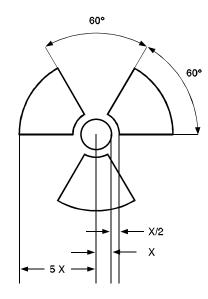
- For UN No. 1078 refrigerant gas, N.O.S.: mixture F1, mixture F2, mixture F3;
- For UN No. 1060 methylacetylene and propadiene mixtures, stabilized: mixture P1, mixture P2;
- For UN No. 1965 hydrocarbon gas mixture, liquefied, N.O.S.: mixture A or butane, mixture A01 or butane, mixture A02 or butane, mixture A0 or butane, mixture A1, mixture B1, mixture B2, mixture B, mixture C or propane.

<sup>&</sup>lt;sup>1</sup> Instead of the technical name the use of one of the following names is permitted:

- 5.2.1.7.3 Each package of gross mass exceeding 50 kg shall have its permissible gross mass legibly and durably marked on the outside of the packaging.
- 5.2.1.7.4 Each package which conforms to:
  - (a) an Industrial package Type 1, an Industrial package Type 2 or an Industrial package Type 3 design shall be legibly and durably marked on the outside of the packaging with "TYPE IP-1", "TYPE IP-2" or "TYPE IP-3" as appropriate;
  - (b) a Type A package design shall be legibly and durably marked on the outside of the packaging with "TYPE A";
  - (c) an Industrial package Type 2, an Industrial package Type 3 or a Type A package design shall be legibly and durably marked on the outside of the packaging with the international vehicle registration code (VRI Code)<sup>2</sup> of the country of origin of design and the name of the manufacturers, or other identification of the packaging specified by the competent authority.
- 5.2.1.7.5 Each package which conforms to a design approved by the competent authority shall be legibly and durably marked on the outside of the packaging with:
  - (a) the identification mark allocated to that design by the competent authority;
  - (b) a serial number to uniquely identify each packaging which conforms to that design;
  - (c) in the case of a Type B(U) or Type B(M) package design, with "TYPE B(U)" or "TYPE B(M)"; and
  - (d) in the case of a Type C package design, with "TYPE C".
- 5.2.1.7.6 Each package which conforms to a Type B(U), Type B(M) or Type C package design shall have the outside of the outermost receptacle which is resistant to the effects of fire and water plainly marked by embossing, stamping or other means resistant to the effects of fire and water with the trefoil symbol shown in the figure below.

<sup>&</sup>lt;sup>2</sup> Distinguishing sign for motor vehicles in international traffic prescribed in the Vienna Convention on Road Traffic (1968).

Basic trefoil symbol with proportions based on a central circle of radius X. The minimum allowable size of X shall be 4 mm



- 5.2.1.7.7 Where LSA-I or SCO-I material is contained in receptacles or wrapping materials and is carried under exclusive use as permitted by 4.1.9.2.3, the outer surface of these receptacles or wrapping materials may bear the marking "RADIOACTIVE LSA-I" or "RADIOACTIVE SCO-I", as appropriate.
- 5.2.2 Labelling of packages
- 5.2.2.1 *Labelling provisions*
- 5.2.2.1.1 For each article or substance listed in Table A of Chapter 3.2, the labels shown in Column (5) shall be affixed unless otherwise provided for by a special provision in Column (6).
- 5.2.2.1.2 Indelible danger markings corresponding exactly to the prescribed models may be used instead of labels.
- 5.2.2.1.3 to
- 5.2.2.1.5 (*Reserved*)
- 5.2.2.1.6 Each label shall:
  - (a) be affixed to the same surface of the package, if the dimensions of the package allow; for packages of Class 1 and 7, near the mark indicating the proper shipping name;
  - (b) be so placed on the package that it is not covered or obscured by any part or attachment to the packaging or any other label or marking; and

(c) be displayed next to each other when more than one label is required.

Where a package is of such an irregular shape or small size that a label cannot be satisfactorily affixed, the label may be attached to the package by a securely affixed tag or other suitable means.

- 5.2.2.1.7 Intermediate bulk containers of more than 450 litres capacity shall be labelled on two opposite sides.
- 5.2.2.1.8 *(Reserved)*
- 5.2.2.1.9 Special provisions for the labelling of self-reactive substances and organic peroxides
  - (a) the label conforming to model No. 4.1 also implies that the product may be flammable and hence no label conforming to model No. 3 is required. In addition, a label conforming to model No. 1 shall be applied for self-reactive substances Type B, unless the competent authority has permitted this label to be dispensed with for a specific packaging because test data have proven that the self-reactive substance in such a packaging does not exhibit explosive behaviour;
  - (b) the label conforming to model No. 5.2 also implies that the product may be flammable and hence no label conforming to model No. 3 is required. In addition, the following labels shall be applied:
    - a label conforming to model No. 1 for organic peroxides type B, unless the competent authority has permitted this label to be dispensed with for a specific packaging because test data have proven that the organic peroxide in such a packaging does not exhibit explosive behaviour;
    - (ii) a label conforming to model No. 8 is required when Packing Group I or II criteria of Class 8 are met.

For self-reactive substances and organic peroxides mentioned by name, the labels to be affixed are indicated in the list found in 2.2.41.4 and 2.2.52.4 respectively.

5.2.2.1.10 Special provisions for the labelling of infectious substances packages

In addition to the label conforming to model No. 6.2, infectious substances packages shall bear any other label required by the nature of the contents.

#### 5.2.2.1.11 Special provisions for the labelling of radioactive material

- 5.2.2.1.11.1 Except as provided for large containers and tanks in accordance with 5.3.1.1.3, each package, overpack and container containing radioactive material shall bear at least two labels which conform to the models Nos. 7A, 7B, and 7C as appropriate according to the category (see 2.2.7.8.4) of that package, overpack or container. Labels shall be affixed to two opposite sides on the outside of the package or on the outside of all four sides of the container. Each overpack containing radioactive material shall bear at least two labels on opposite sides of the outside of the overpack. In addition, each package, overpack and container containing fissile material, other than fissile material excepted under 6.4.11.2 [of ADR] shall bear labels which conform to the labels for radioactive material. Labels shall not cover the markings specified in 5.2.1. Any labels which do not relate to the contents shall be removed or covered.
- 5.2.2.1.11.2 Each label conforming to models Nos. 7A, 7B, and 7C shall be completed with the following information:
  - (a) *Contents*:
    - except for LSA-I material, the name(s) of the radionuclide(s) as taken from Table 2.2.7.7.2.1, using the symbols prescribed therein. For mixtures of radionuclides, the most restrictive nuclides shall be listed to the extent the space on the line permits. The group of LSA or SCO shall be shown following the name(s) of the radionuclide(s). The terms "LSA-II", "LSA-III", "SCO-I" and "SCO-II" shall be used for this purpose;
    - (ii) for LSA-I material, only the term "LSA-I" is necessary; the name of the radionuclide is not necessary;
  - (b) Activity: The maximum activity of the radioactive contents during carriage expressed in becquerels (Bq) with the appropriate SI prefix (see 1.2.2.1). For fissile material, the mass of fissile material in grams (g), or multiples thereof, may be used in place of activity;
  - (c) For overpacks and containers the "contents" and "activity" entries on the label shall bear the information required in (a) and (b) above, respectively, totalled together for the entire contents of the overpack or container except that on labels for overpacks or containers containing mixed loads of packages containing different radionuclides, such entries may read "See Transport Documents";
  - (d) *Transport index [TI]*: see 2.2.7.6.1.1 and 2.2.7.6.1.2 (no transport index entry is required for category I-WHITE).

- 5.2.2.1.11.3 Each label conforming to the model No. 7E shall be completed with the criticality safety index [(CSI)] as stated in the certificate of approval for special arrangement or the certificate of approval for the package design issued by the competent authority.
- 5.2.2.1.11.4 For overpacks and containers, the criticality safety index [(CSI)] on the label shall bear the information required in 5.2.2.1.11.3 totalled together for the fissile contents of the overpack or container.

# 5.2.2.1.12 Additional labelling

With the exception of Classes 1 and 7, label conforming to model No. 11 illustrated in 5.2.2.2.2 shall be displayed on two opposite sides of a package on the following packages:

- packages containing liquids in receptacles, the closures of which are not visible from the outside;
- packages containing vented receptacles or vented receptacles without outer packaging; and
- packages containing refrigerated liquefied gases.

# 5.2.2.2 Provisions for labels

- 5.2.2.2.1 Labels shall satisfy the provisions below and conform, in terms of colour, symbols and general format, to the models shown in 5.2.2.2.
- 5.2.2.2.1.1 Labels, except labels conforming to model No. 11, shall be in the form of a square set at an angle of 45° (diamond-shaped) with minimum dimensions of 100 mm by 100 mm. They have a line of the same colour as the symbol, 5 mm inside the edge and running parallel with it. Labels conforming to model No. 11 shall be rectangular, of standard format A5 (148x210 mm). If the size of the package so requires, the dimensions of the labels may be reduced, provided that they remain clearly visible.
- 5.2.2.2.1.2 Gas cylinders for Class 2 may, on account of their shape, orientation and securing mechanisms for carriage, bear labels representative of those specified in this section, which have been reduced in size, according to the dimensions outlined in ISO 7225:1994, "Gas cylinders Precautionary labels", for display on the non-cylindrical part (shoulder) of such cylinders.

[Notwithstanding the provisions of 5.2.2.1.6, labels may overlap to the extent provided for by ISO 7225. However, in all cases, the primary risk label and the figures appearing on any label shall remain fully visible and the symbols recognizable.]

5.2.2.2.1.3 Labels, except labels conforming to model No. 11, are divided into halves. With the exception of Divisions 1.4, 1.5 and 1.6, the upper half of the label is reserved for the pictorial symbol and the lower half for texts and the class number and the compatibility group letter as appropriate.

**NOTE**: For the labels of Classes 1, 2, 3, 5.1, 5.2, 7, 8 and 9, the respective class number shall be shown in the bottom corner. For the labels of Classes 4.1, 4.2 and 4.3 and of Classes 6.1 and 6.2 only figures 4 and 6 respectively shall be shown in the bottom corner (see 5.2.2.2.2).

- 5.2.2.2.1.4 Except for Divisions 1.4, 1.5 and 1.6, labels for Class 1 show in the lower half the division number and compatibility group letter for the substance or article. Labels for Divisions 1.4, 1.5 and 1.6 show in the upper half the division number and in the lower half the compatibility group letter.
- 5.2.2.2.1.5 On labels other than those for material of Class 7, the optional insertion of any text (other than the class number) in the space below the symbol shall be confined to particulars indicating the nature of the risk and precautions to be taken in handling.
- 5.2.2.2.1.6 The symbols, text and numbers shall be clearly legible and indelible and shall be shown in black on all labels except for:
  - (a) the Class 8 label, where the text (if any) and class number shall appear in white; and
  - (b) labels with entirely green, red or blue backgrounds where they may be shown in white.
  - [(c) labels conforming to model No. 2.1 displayed on cylinders and gas cartridges for UN No. 1965, where they may be shown in the background colour of the receptacle if adequate contrast is provided.]
- 5.2.2.2.1.7 All labels shall be able to withstand open weather exposure without a substantial reduction in effectiveness.

#### **CHAPTER 5.3**

#### PLACARDING AND MARKING OF CONTAINERS, MEGCs, TANK-CONTAINERS, PORTABLE TANKS [AND] [,] VEHICLES [AND WAGONS]

- *NOTE:* For marking and placarding of containers, MEGCs, tank-containers and portable tanks for carriage in a transport chain including a maritime journey, see also 1.1.4.2. If the provisions of 1.1.4.2 (c) are applied, only 5.3.1.3 and 5.3.2.1.1 of this Chapter are applicable.
- 5.3.1 Placarding
- 5.3.1.1 *General provisions*
- 5.3.1.1.1 As and when required in this section, placards shall be affixed to the exterior surface of containers, MEGCs, tank-containers, portable tanks and-[,] vehicles [and wagons]. Placards shall correspond to the labels required in Column (5) and, where appropriate, Column (6) of Table A of Chapter 3.2 for the dangerous goods contained in the container, MEGC, tank-container, portable tank [or] [,] vehicle [or wagon] and shall conform to the specifications given in 5.3.1.7.
- 5.3.1.1.2 For Class 1, compatibility groups shall not be indicated on placards if the transport unit or container is carrying substances or articles belonging to two or more compatibility groups. Transport units or containers carrying substances or articles of different divisions shall bear only placards conforming to the model of the most dangerous division in the order:

1.1 (most dangerous), 1.5, 1.2, 1.3, 1.6, 1.4 (least dangerous).

When 1.5D substances are carried with substances or articles of Division 1.2, the transport unit or container shall be placarded as Division 1.1.

5.3.1.1.3 For Class 7, the primary risk placard shall conform to model No. 7D as specified in 5.3.1.7.2. This placard is not required for vehicles or containers carrying excepted packages and for small containers.

Where both Class 7 labels and placards would be required to be affixed to vehicles, [wagons,] containers, MEGCs, tank-containers or portable tanks, an enlarged label corresponding to the label required may be displayed instead of placard No. 7D to serve both purposes.

5.3.1.1.4 Containers, MEGCs, tank-containers, portable tanks [<del>or</del>] [,] vehicles [or wagons] containing goods of more than one class need not bear a subsidiary risk placard if the hazard represented by that placard is already indicated by a primary or subsidiary risk placard.

5.3.1.1.5 Placards which do not relate to the dangerous goods being carried, or residues thereof, shall be removed or covered.

#### 5.3.1.2 Placarding of containers, MEGCs, tank-containers and portable tanks

**NOTE**: This subsection does not apply to swap-bodies, [except tank swap bodies or swap-bodies carried in combined road/rail transport] [used in combined road/vessel transport].

The placards shall be affixed to both sides and at each end of the container, MEGC, tank-container or portable tank.

[When the MEGC, tank-container or portable tank has multiple compartments and carries two or more dangerous goods, the appropriate placards shall be displayed along each side at the position of the relevant compartments and one placard of each model shown on each side at both ends.]

# 5.3.1.3 *Placarding of vehicles carrying containers, MEGCs, tank-containers or portable tanks*

**NOTE:** This subsection does not apply to the placarding of vehicles carrying swap-bodies [other than tank swap-bodies or than swap-bodies carried in combined road/rail transport; for such vehicles, see 5.3.1.5] [used in combined road/vessel transport].

If the placards affixed to the containers, MEGCs, tank-containers or portable tanks are not visible from outside the carrying vehicles, the same placards shall also be affixed to both sides and at the rear of the vehicle. Otherwise, no placard need be affixed on the carrying vehicle.

# 5.3.1.4 Placarding of vehicles [wagons] for carriage in bulk, tank-vehicles, [tank-wagons,] battery vehicles, [battery-wagons] and vehicles with demountable tanks [wagons with demountable tanks]

Placards shall be affixed to both sides and at the rear of the vehicle, [or, for wagons, to both sides].

[When the tank-vehicle, tank-wagon, battery-vehicle, battery-wagon, the demountable tank carried on the vehicle or the demountable tank carried on the wagon has multiple compartments and carries two or more dangerous goods, the appropriate placards shall be displayed along each side at the position of the relevant compartments and (vehicles only) one placard of each model shown on each side at the rear of the vehicle. However, in such case, if all compartments have to bear the same placards, these placards need be displayed only once along each side and (vehicles only) at the rear of the vehicle.

Where more than one placard is required for the same compartment, these placards shall be displayed adjacent to each other.]

**NOTE:** When, [-in the course of an ADR journey or at the end of an ADR journey,] a tank semi-trailer is separated from its tractor to be loaded on board a ship or a[n inland navigation] vessel, placards shall also be displayed at the front of the semi-trailer.

# 5.3.1.5 Placarding of vehicles carrying packages only

**NOTE:** This subsection applies also to vehicles [or wagons] carrying swap-bodies loaded with packages, [except for combined road/rail transport; for combined road/rail transport, see 5.3.1.2 and 5.3.1.3] [used in combined road/vessel or rail/vessel transport].

- 5.3.1.5.1 For vehicles carrying packages containing substances or articles of Class 1, placards shall be affixed to both sides and at the rear of the vehicle.
- 5.3.1.5.2 For vehicles carrying radioactive material of Class 7 in packagings or IBCs (other than excepted packages), placards shall be affixed to both sides and at the rear of the vehicle.

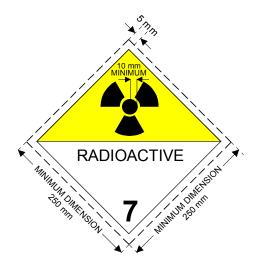
**NOTE:** If, during an ADR journey, a vehicle carrying packages containing dangerous goods of classes other than Classes 1 and 7 is loaded on board a ship for sea transport or if the ADR journey precedes a voyage by sea, placards shall be affixed to both sides and at the rear of the vehicle. Placards may remain affixed to both sides and at the rear of the vehicle after a sea voyage.

- [5.3.1.5.3 For wagons carrying packages, placards corresponding to the goods carried shall be affixed to both sides.]
- 5.3.1.6 Placarding of empty tank-vehicles, [tank-wagons, vehicles with demountable tanks, wagons with demountable tanks,] battery-vehicles, [battery-wagons], MEGCs, tank-containers, portable tanks and empty vehicles, [wagons] and containers for carriage in bulk
- 5.3.1.6.1 Empty tank-vehicles, [vehicles with demountable tanks,] [tank-wagons, vehicles with demountable tanks, wagons with demountable tanks,] battery-vehicles, [battery-wagons], MEGCs, tank-containers and portable tanks uncleaned and not degassed, and empty vehicles, [wagons] and containers for carriage in bulk, uncleaned, shall continue to display the placards required for the previous load.

# 5.3.1.7 *Specifications for placards*

- 5.3.1.7.1 Except as provided in 5.3.1.7.2 for the Class 7 placard, a placard shall:
  - (a) be not less than 250 mm by 250 mm, with a line of the same colour as the symbol running 12.5 mm inside the edge and parallel with it;
  - (b) correspond to the label required for the dangerous goods in question with respect to colour and symbol (see 5.2.2.2); and
  - (c) display the numbers (and for goods of Class 1, the compatibility group letter) prescribed for the dangerous goods in question in 5.2.2.2 for the corresponding label, in digits not less than 25 mm high.
- 5.3.1.7.2 The Class 7 placard shall be not less than 250 mm by 250 mm with a black line running 5 mm inside the edge and parallel with it and is otherwise as shown below (Model No. 7D). The number "7" shall not be less than 25 mm high. The background colour of the upper half of the placard shall be yellow and of the lower half white, the colour of the trefoil and the printing shall be black. The use of the word "RADIOACTIVE" in the bottom half is optional to allow the use of this placard to display the appropriate UN number for the consignment.

Placard for radioactive material of Class 7



(No.7D)

Symbol (trefoil): black; Background: upper half yellow with white border, lower half white; The lower half shall show the word "RADIOACTIVE" or alternatively, when required, the appropriate UN Number (see 5.3.2.1.2) and the figure "7" in the bottom corner.

- 5.3.1.7.3 For tanks with a capacity of not more than 3 m<sup>3</sup> and for small containers, placards may be replaced by labels conforming to 5.2.2.2.
- 5.3.1.7.4 For Classes 1 and 7, if the size and construction of the vehicle are such that the available surface area is insufficient to affix the prescribed placards, their dimensions may be reduced to 100 mm on each side.
- 5.3.2 Orange-coloured plate marking

# 5.3.2.1 General orange-coloured plate marking provisions

[NOTE 1: For the purposes of this subsection, "transport unit" means a motor vehicle without an attached trailer or a combination consisting of a motor vehicle and an attached trailer.

*2*: For carriage in a transport chain including maritime transport, see also 5.3.4].

- 5.3.2.1.1 Transport units carrying dangerous goods shall display two rectangular reflectorized orange-coloured plates conforming to 5.3.2.2.1, set in a vertical plane. They shall be affixed one at the front and the other at the rear of the transport unit, both perpendicular to the longitudinal axis of the transport unit. They shall be clearly visible.
- 5.3.2.1.2 When a hazard identification number is indicated in Column (20) of Table A of Chapter 3.2 [of ADR], tank-vehicles or transport units having one or more tanks carrying dangerous goods shall in addition display on the sides of each tank or tank compartment, clearly visible and parallel to the longitudinal axis of the vehicle, orange-coloured plates identical with those prescribed in 5.3.2.1.1. These orange-coloured plates shall bear the hazard identification number and the UN number prescribed respectively in Columns (20) and (1) of Table A of Chapter 3.2 [of ADR] for each of the substances carried in the tank or in a compartment of the tank.

[The provisions of this paragraph are also applicable to tank-wagons, battery-wagons and wagons with movable tanks.]

- 5.3.2.1.3 For tank-vehicles or transport units having one or more tanks carrying substances with UN Nos. 1202, 1203 or 1223, or aviation fuel classed under UN Nos. 1268 or 1863, but no other dangerous substance, the orange-coloured plates prescribed in 5.3.2.1.2 need not be affixed if the plates affixed to the front and rear in accordance with 5.3.2.1.1 bear the hazard identification number and the UN number prescribed for the most hazardous substance carried, i.e. the substance with the lowest flashpoint.
- 5.3.2.1.4 When a hazard identification number is indicated in Column (20) of Table A of Chapter 3.2, transport units and containers carrying dangerous solid substances in bulk shall in addition display on the sides of each transport unit or

container, clearly visible and parallel to the longitudinal axis of the vehicle, orange-coloured plates identical with those prescribed in 5.3.2.1.1. These orange-coloured plates shall bear the hazard identification number and the UN number prescribed respectively in Columns (20) and (1) of Table A of Chapter 3.2 [of ADR] for each of the substances carried in bulk in the transport unit or in the container.

[The provisions of this paragraph are also applicable to wagons for carriage in bulk and full wagon loads comprising packages containing only one substance. In the latter case the hazard identification number to be used is that indicated in Column (20) of RID.]

- 5.3.2.1.5 For containers carrying dangerous solid substances in bulk and for tank-containers, MEGCs and portable tanks, the plates prescribed in 5.3.2.1.2 and 5.3.2.1.4 may be replaced by a self-adhesive sheet, by paint or by any other equivalent process, provided the material used for this purpose is weather-resistant and ensures durable marking. In this case, the provisions of the last sentence of 5.3.2.2.2, concerning resistance to fire, shall not apply.
- 5.3.2.1.6 For transport units carrying only one substance, the orange-coloured plates prescribed in 5.3.2.1.2 and 5.3.2.1.4 shall not be necessary provided that those displayed at the front and rear in accordance with 5.3.2.1.1 bear the hazard identification number and the UN number prescribed respectively in Columns (20) and (1) of Table A of Chapter 3.2.
- 5.3.2.1.7 The above requirements are also applicable to empty fixed or demountable tanks, tank-containers, MEGCs, portable tanks and battery-vehicles, uncleaned and not degassed and empty vehicles and empty containers for carriage in bulk, uncleaned.
- 5.3.2.1.8 Orange-coloured plates which do not relate to dangerous goods carried, or residues thereof, shall be removed or covered. If plates are covered, the covering shall be total and remain effective after 15 minutes' engulfment in fire.
- 5.3.2.2 Specifications for the orange-coloured plates
- 5.3.2.2.1 The reflectorized orange-coloured plates shall be of 40 cm base and not less than 30 cm high; they shall have a black border not more than 15 mm wide. If the size and construction of the vehicle are such that the available surface area is insufficient to affix these orange-coloured plates, their dimensions may be reduced to 300 mm for the base, 120 mm for the height and 10 mm for the black border.

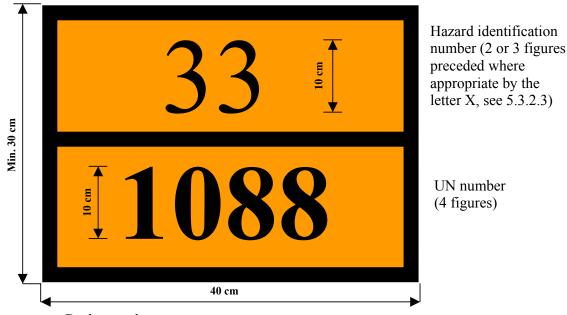
[A non-reflectorized colour is permitted for wagons.]

**NOTE**: The colour of the orange plates in conditions of normal use should have chromaticity coordinates lying within the area on the chromaticity diagram formed by joining the following coordinates:

Chromaticity coordinates of points at the corners							
of the area on the chromaticity diagram							
x	0.52	0.52	0.578	0.618			
У	0.38	0.40	0.422	0.38			

Luminance factor of reflectorized colour:  $\beta > 0.12$ . [Luminance factor of non-reflectorized colour (wagons):  $\beta \ge 0.22$ ] Reference centre E, standard illuminant C, normal incidence 45°, viewed at 0°. Coefficient of reflex luminous intensity at an angle of illumination of 5°, viewed at 0.2°: not less than 20 candelas per lux per m<sup>2</sup> [(not required for wagons)].

- 5.3.2.2.2 The hazard identification number and the UN number shall consist of black digits 100 mm high and of 15 mm stroke thickness. The hazard identification number shall be inscribed in the upper part of the plate and the UN number in the lower part; they shall be separated by a horizontal black line, 15 mm in stroke width, extending from side to side of the plate at mid-height (see 5.3.2.2.3). The hazard identification number and the UN number shall be indelible and shall remain legible after 15 minutes engulfment in fire.
- 5.3.2.2.3 Example of orange-coloured plate with hazard identification number and UN number



Background orange.

Border, horizontal line and figures black, 15 mm thickness.

# 5.3.2.3 Meaning of hazard identification numbers

- 5.3.2.3.1 The hazard identification number consists of two or three figures. In general, the figures indicate the following hazards:
  - 2 Emission of gas due to pressure or to chemical reaction
  - 3 Flammability of liquids (vapours) and gases or self-heating liquid
  - 4 Flammability of solids or self-heating solid
  - 5 Oxidizing (fire-intensifying) effect
  - 6 Toxicity or risk of infection
  - 7 Radioactivity
  - 8 Corrosivity
  - 9 Risk of spontaneous violent reaction

**NOTE**: The risk of spontaneous violent reaction within the meaning of figure 9 includes the possibility following from the nature of a substance of a risk of explosion, disintegration and polymerization reaction following the release of considerable heat or flammable and/or toxic gases.

Doubling of a figure indicates an intensification of that particular hazard.

Where the hazard associated with a substance can be adequately indicated by a single figure, this is followed by zero.

The following combinations of figures, however, have a special meaning: 22, 323, 333, 362, 382, 423, 44, 446, 462, 482, 539, 606, 623, 642, 823, 842, 90 and 99 (see 5.3.2.3.2 below).

If a hazard identification number is prefixed by the letter "X", this indicates that the substance will react dangerously with water. For such substances, water may only be used by approval of experts.

- 5.3.2.3.2 The hazard identification numbers listed in Column (20) of Table A of Chapter 3.2 have the following meanings:
  - 20 asphyxiant gas or gas with no subsidiary risk
  - 22 refrigerated liquefied gas, asphyxiant
  - 223 refrigerated liquefied gas, flammable
  - 225 refrigerated liquefied gas, oxidizing (fire-intensifying)
  - 23 flammable gas
  - flammable gas, which can spontaneously lead to violent reaction
  - 25 oxidizing (fire-intensifying) gas
  - 26 toxic gas
  - 263 toxic gas, flammable
  - 265 toxic gas, oxidizing (fire-intensifying)
  - toxic gas, corrosive

- 30 flammable liquid (flashpoint between 23° C and 61° C, inclusive) or flammable liquid or solid in the molten state with a flashpoint above 61° C, heated to a temperature equal to or above its flashpoint, or self-heating liquid
- 323 flammable liquid which reacts with water, emitting flammable gases
- X323 flammable liquid which reacts dangerously with water, emitting flammable gases<sup>1</sup>
- 33 highly flammable liquid (flashpoint below 23° C)
- 333 pyrophoric liquid
- X333 pyrophoric liquid which reacts dangerously with water<sup>1</sup>
- 336 highly flammable liquid, toxic
- 338 highly flammable liquid, corrosive
- X338 highly flammable liquid, corrosive, which reacts dangerously with water<sup>1</sup>
- 339 highly flammable liquid which can spontaneously lead to violent reaction
- 36 flammable liquid (flashpoint between 23° C and 61° C, inclusive), slightly toxic, or self-heating liquid, toxic
- 362 flammable liquid, toxic, which reacts with water, emitting flammable gases
- X362 flammable liquid, toxic, which reacts dangerously with water, emitting flammable gases<sup>1</sup>
- 368 flammable liquid, toxic, corrosive
- 38 flammable liquid (flashpoint between 23° C and 61° C, inclusive), slightly corrosive or self-heating liquid, corrosive
- 382 flammable liquid, corrosive, which reacts with water, emitting flammable gases
- X382 flammable liquid, corrosive, which reacts dangerously with water, emitting flammable gases<sup>1</sup>
- 39 flammable liquid, which can spontaneously lead to violent reaction
- 40 flammable solid, or self-reactive substance, or self-heating substance
- 423 solid which reacts with water, emitting flammable gases
- X423 flammable solid which reacts dangerously with water, emitting flammable gases<sup>1</sup>
- 43 spontaneously flammable (pyrophoric) solid
- 44 flammable solid, in the molten state at an elevated temperature
- 446 flammable solid, toxic, in the molten state, at an elevated temperature
- 46 flammable or self-heating solid, toxic
- toxic solid which reacts with water, emitting flammable gases
- X462 solid which reacts dangerously with water, emitting toxic gases<sup>1</sup>
- 48 flammable or self-heating solid, corrosive
- 482 corrosive solid which reacts with water, emitting flammable gases
- X482 solid which reacts dangerously with water, emitting corrosive gases<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> Water not to be used except by approval of experts.

- 50 oxidizing (fire-intensifying) substance
- 539 flammable organic peroxide
- 55 strongly oxidizing (fire-intensifying) substance
- 556 strongly oxidizing (fire-intensifying) substance, toxic
- 558 strongly oxidizing (fire-intensifying) substance, corrosive
- 559 strongly oxidizing (fire-intensifying) substance, which can spontaneously lead to violent reaction
- 56 oxidizing substance (fire-intensifying), toxic
- 568 oxidizing substance (fire-intensifying), toxic, corrosive
- 58 oxidizing substance (fire-intensifying), corrosive
- 59 oxidizing substance (fire-intensifying), which can spontaneously lead to violent reaction
- 60 toxic or slightly toxic substance
- 606 infectious substance
- 623 toxic liquid, which reacts with water, emitting flammable gases
- 63 toxic substance, flammable (flashpoint between 23° C and 61° C, inclusive)
- 638 toxic substance, flammable (flashpoint between 23° C and 61° C, inclusive), corrosive
- 639 toxic substance, flammable (flashpoint not above 61° C) which can spontaneously lead to violent reaction
- 64 toxic solid, flammable or self-heating
- toxic solid, which reacts with water, emitting flammable gases
- 65 toxic substance, oxidizing (fire-intensifying)
- 66 highly toxic substance
- 663 highly toxic substance, flammable (flashpoint not above 61° C)
- 664 highly toxic solid, flammable or self-heating
- 665 highly toxic substance, oxidizing (fire-intensifying)
- 668 highly toxic substance, corrosive
- 669 highly toxic substance which can spontaneously lead to violent reaction
- 68 toxic substance, corrosive
- 69 toxic or slightly toxic substance, which can spontaneously lead to violent reaction
- 70 radioactive material
- 72 radioactive gas
- radioactive gas, flammable
- radioactive liquid, flammable (flashpoint not above 61° C)
- 74 radioactive solid, flammable
- 75 radioactive material, oxidizing (fire-intensifying)
- 76 radioactive material, toxic
- 78 radioactive material, corrosive

- 80 corrosive or slightly corrosive substance
- X80 corrosive or slightly corrosive substance, which reacts dangerously with water<sup>1</sup>
- 823 corrosive liquid which reacts with water, emitting flammable gases
- 83 corrosive or slightly corrosive substance, flammable (flashpoint between 23° C and 61° C, inclusive)
- X83 corrosive or slightly corrosive substance, flammable, (flashpoint between 23° C and 61° C, inclusive), which reacts dangerously with water<sup>1</sup>
- 839 corrosive or slightly corrosive substance, flammable (flashpoint between 23° C and 61° C inclusive) which can spontaneously lead to violent reaction
- X839 corrosive or slightly corrosive substance, flammable (flashpoint between 23° C and 61° C inclusive), which can spontaneously lead to violent reaction and which reacts dangerously with water<sup>1</sup>
- 84 corrosive solid, flammable or self-heating
- 842 corrosive solid which reacts with water, emitting flammable gases
- 85 corrosive or slightly corrosive substance, oxidizing (fire-intensifying)
- 856 corrosive or slightly corrosive substance, oxidizing (fire-intensifying) and toxic
- 86 corrosive or slightly corrosive substance, toxic
- 88 highly corrosive substance
- X88 highly corrosive substance, which reacts dangerously with water<sup>1</sup>
- 883 highly corrosive substance, flammable (flashpoint between 23° C and 61° C inclusive)
- highly corrosive solid, flammable or self-heating
- 885 highly corrosive substance, oxidizing (fire-intensifying)
- 886 highly corrosive substance, toxic
- X886 highly corrosive substance, toxic, which reacts dangerously with water<sup>1</sup>
- 89 corrosive or slightly corrosive substance, which can spontaneously lead to violent reaction
- 90 environmentally hazardous substance; miscellaneous dangerous substances
- 99 miscellaneous dangerous substance carried at an elevated temperature.

#### 5.3.3 Mark for elevated temperature substances

Tank-vehicles, [tank-wagons], tank-containers, portable tanks, special vehicles, [wagons] or containers or especially equipped vehicles, [wagons] or containers for which a mark for elevated temperature substances is required according to

<sup>&</sup>lt;sup>1</sup> Water not to be used except by approval of experts.

special provision 580 in Column (6) of Table A of Chapter 3.2 shall bear [on both sides for wagons], on both sides and at the rear for vehicles, and on both sides and at each end for containers, tank-containers and portable tanks, a triangular shaped mark with sides of at least 250 mm, to be shown in red, as reproduced below.



# [5.3.4 Marking for carriage in a transport chain including maritime transport

- 5.3.4.1 For carriage in a transport chain including maritime transport, containers, portable tanks and MEGCs are not required to carry the orange-coloured plate marking according to sections 5.3.2 and 5.3.3 if they carry the marking prescribed in section 5.3.2 of the IMDG Code, where:
  - (a) The proper shipping name of the contents is durably marked on at least two sides:
    - of portable tanks and MEGCs;
    - of containers for carriage in bulk;
    - of containers containing dangerous goods in packages constituting only one substance for which the IMDG Code does not require a placard or the marine pollutant mark;
  - (b) The UN number for the goods is displayed in black digits not less than 65 mm high:
    - either on a white background in the lower half of the placards affixed to the transport unit;

 or on an orange rectangular panel not less than 120 mm high and 300 mm wide, with a 10 mm black border, to be placed immediately adjacent to the placard or the marine pollutant marks of the IMDG Code, or, if no placard or marine pollutant mark is prescribed, adjacent to the proper shipping name.

Examples: [Insert below the "B.1" examples reproduced in Annex B.1, Appendix 2 of ADN]

- 5.3.4.2 If portable tanks, MEGCs or containers marked in accordance with 5.3.4.1 are carried on board a vessel loaded on vehicles, only paragraph 5.3.2.1.1 of section 5.3.2 applies to the carrying vehicle.
- 5.3.4.2 In addition to the placards, orange-coloured plate marking and marks prescribed or permitted by ADN, transport units may carry additional marks, placards and other markings prescribed where appropriate by the IMDG Code, for example, the marine pollutant mark or the "LIMITED QUANTITIES" mark.]

# **CHAPTER 5.4**

#### **DOCUMENTATION**

5.4.0 Any carriage of goods governed by AD[R][N] shall be accompanied by the documentation prescribed in this Chapter, as appropriate, unless exempted under 1.1.3.1 to 1.1.3.5.

*NOTE 1*: For the list of documentation to be carried on board [vessels] [*transport units*], see 8.1.2.

**NOTE 2**: The use of electronic data processing (EDP) or electronic data interchange (EDI) techniques as an aid to or instead of paper documentation is permitted, provided that the procedures used for the capture, storage and processing of electronics data meet the legal requirements as regards the evidential value and availability of data during transport in a manner at least equivalent to that of paper documentation.

#### 5.4.1 Dangerous goods transport document and related information

#### 5.4.1.1 *General information required in the transport document*

- 5.4.1.1.1 The transport document(s) shall contain the following information for each dangerous substance, material or article offered for carriage:
  - (a) the UN number, [preceded by the letters "UN"][or the substance identification number];
  - (b) [the proper shipping name supplemented, when applicable (see 3.1.2.6), with the technical, chemical or biological name, as determined in accordance with 3.1.2;]

[The proper shipping name supplemented, when applicable (see 3.1.2.8.1) with the technical name (see 3.1.2.8.1.1) or the chemical group, as determined in accordance with 3.1.2.]

- (c) [the class of the goods, or for substances and articles of Class 1 the division, followed immediately by the compatibility group letter;]
  - For substances and articles of Class 1: the classification code given in Column (3 b) of Table A of Chapter 3.2.

When, in Column (5) of Table A of Chapter 3.2, label model numbers are given other than 1, 1.4, 1.5 and 1.6, these label model numbers, in brackets, shall follow the classification code;

- For radioactive material of Class 7: see 5.4.1.2.5;
- For substances and articles of other classes: the label model numbers given in Column (5) of Table A of Chapter 3.2. When more than one label model number is given, the numbers following the first one shall be given in brackets;]

#### (d) [where assigned, the packing group for the substance or article;]

[Where assigned, the packing group for the substance which may be preceded by the letters "PG" (e.g. "PG II"), or the initials corresponding to the words "Packing Group" in the languages used according to 5.4.1.4.1;]

[(e) the initials ADR or RID;]

[(f)][e] the number and a description of the packages;

[<del>(g)</del>][f] [the total quantity of dangerous goods covered by the description]

[the total quantity of each item of dangerous goods bearing a different UN number, proper shipping name] (as a volume or as a gross mass, or as a net mass as appropriate);

[**NOTE:** In the case of application of 1.1.3.6 the total quantity of dangerous goods carried per transport unit shall be expressed as a value calculated in accordance with the provisions of 1.1.3.6.]

[**NOTE**: In the case of intended application of 1.1.3.6, the total quantity of dangerous goods for each transport category shall be indicated in the transport document in accordance with 1.1.3.6.3.]

[(h)][g] the name and address of the consignor;

[(i)][h] the name and address of the consignee(s);

[(i)][i] a declaration as required by the terms of any special agreement.

[The location and order in which the elements of information required appear in the transport document is left optional, except that (a), (b), (c), (d) and (e) shall appear in that sequence, e.g: "1098 ALLYL ALCOHOL, 6.1, I, ADR"]

[The location and order in which the elements of information required appear in the transport document is left optional, except that (a), (b), (c) and (d) shall be shown either in sequence (a), (b), (c), (d) or in sequence (b), (c), (a), (d) with no information interspersed, except as provided in AD[R][N]. Examples of such permitted dangerous goods descriptions are:

#### "UN 1098 ALLYL ALCOHOL, 6.1 (3), I" or "ALLYL ALCOHOL, 6.1 (3) UN 1098, I"

- [5.4.1.1.2] The information required on a transport document shall be legible. [Although upper case is used in Chapter 3.1 and in Table A of Chapter 3.2 to indicate the elements which shall be part of the proper shipping name, and although upper and lower case are used in this Chapter to indicate the information required in the transport document, the use of upper or of lower case for entering the information in the transport document is left optional.]
- [5.4.1.1.2 The transport document(s) shall contain the following information for each dangerous substance or article offered for carriage:
  - (a) the UN number of the substance identification number;
  - (b) the proper shipping name given in Column (2) of Table C of Chapter 3.2, supplemented, when applicable, by the technical, chemical or biological name;
  - (c) the class of the goods;
  - (d) where assigned, the packing group for the substance [or article];
  - [(e) the initials ADN;]
  - (f) the mass in tonnes;
  - (g) the name and address of the consignor;
  - (h) the name and address of the consignee(s).]

The information required on a transport document shall be legible.

[Although upper case is used in Chapter 3.1 and in Table A of Chapter 3.2 to indicate the elements which shall be part of the proper shipping name, and although upper and lower case are used in this Chapter to indicate the information required in the transport document, the use of upper or of lower case for entering the information in the transport document is left optional.]

#### 5.4.1.1.3 Special provisions for wastes

If waste containing dangerous goods (other than radioactive wastes) is being carried, the UN number and the proper shipping name shall be preceded by the word "**WASTE**", unless this term is part of the proper shipping name, e.g.:

**"WASTE, 1230 METHANOL, 3, II[<del>, ADR</del>]"**, or **"WASTE, 1993 FLAMMABLE LIQUID, N.O.S., (toluene and ethyl alcohol), 3, II"[<del>, ADR</del>]** 

5.4.1.1.4 Special provisions for dangerous goods packed in limited quantities

No information is required in the transport document, if any, for carriage of dangerous goods packed in limited quantities according to Chapter 3.4.

5.4.1.1.5 Special provisions for salvage packagings

When dangerous goods are carried in a salvage packaging, the words "SALVAGE PACKAGE" shall be added after the description of the goods in the transport document.

- 5.4.1.1.6 *Special provisions for empty uncleaned packagings, vehicles, containers, tanks, battery-vehicles and MEGCs*
- [5.4.1.1.6.1] For empty means of containment, uncleaned, [which contain the residue of dangerous goods of classes other than Class 7], the description in the transport document shall be "EMPTY PACKAGING", "EMPTY RECEPTACLE", "EMPTY IBC", "EMPTY VEHICLE", "EMPTY TANK-VEHICLE", "EMPTY DEMOUNTABLE TANK", "EMPTY PORTABLE TANK", "EMPTY TANK-CONTAINER", "EMPTY CONTAINER", "EMPTY BATTERY-VEHICLE", "EMPTY MEGC", as appropriate, followed by the class number [and the letters "ADR or RID"]. "EMPTY PACKAGING, 3[, ADR]".

[supplemented, if necessary (see 3.1.2.8) by the technical name and, if applicable, by the packing group of the last goods loaded at the end of the second paragraph before "See example:"]

[5.4.1.1.6.2] In the case of empty gas receptacles with a capacity of more than 1,000 litres, empty tank-vehicles, battery-vehicles, demountable tanks, portable tanks, tank-containers, MEGCs, vehicles and containers for carriage in bulk, uncleaned, this description shall be followed by the words "**last load**" together with the UN number and proper shipping name of the goods last loaded. See example as follows:

#### "EMPTY TANK-VEHICLE, 2, LAST LOAD: [UN] 1017 CHLORINE"

- [5.4.1.1.6.3] If empty tanks, battery-vehicles and MEGCs, uncleaned, are carried to the nearest place where cleaning or repair can be carried out in accordance with the provisions of 4.3.2.4.3 [of ADR] or 7.5.8.1 [of ADR], the following additional entry shall be made in the transport document: **"Carriage in accordance with 4.3.2.4.3 [of ADR]"**.
- [5.4.1.1.6.4 For tank vessels with empty cargo tanks or cargo tanks that have been discharged, the master is deemed to be the consignor for the purpose of the transport documents required. In this case, the following particulars shall be entered on the transport document for each empty cargo tank or cargo tank that has been discharged:
  - (a) the number of the cargo tank;
  - (b) the UN number or substance identification number;
  - (c) the proper shipping name of the last substance carried, the class and, if applicable, the packing group in accordance with 5.4.1.1.2.]
- 5.4.1.1.7 Special provisions for carriage in a transport chain including maritime [, road, rail] or air carriage

For carriage in accordance with 1.1.4.2, a statement shall be included in the transport document, as follows: **"Carriage in accordance with 1.1.4.2"**.

5.4.1.1.8 [Special provisions for use of portable tanks approved for maritime carriage

For carriage in accordance with 1.1.4.3, a statement shall be included in the transport document, as follows: "Carriage in accordance with 1.1.4.3"] [(Reserved)]

- 5.4.1.1.9 (*Reserved*)
- 5.4.1.1.10 Special provisions for exemptions related to quantities carried per transport unit
- 5.4.1.1.10.1 In the case of exemptions provided for in 1.1.3.6, the transport document shall bear the following inscription: **"Load not exceeding the exemption limits prescribed in 1.1.3.6."**
- 5.4.1.1.10.2 Where consignments from more than one consignor are carried in the same transport unit, the transport documents accompanying these consignments need not bear the inscription mentioned in 5.4.1.1.10.1.

5.4.1.1.11 Special provisions for the carriage of IBCs after the date of expiry of the last periodic test inspection

For carriage in accordance with 4.1.2.2, a statement to this effect shall be included in the transport document, as follows: **"Carriage in accordance with 4.1.2.2"** 

[5.4.1.1.12 Special provisions for the carriage of substances carried under elevated temperature

If the proper shipping name of a substance which is carried or offered for carriage in a liquid state at a temperature equal to or exceeding 100° C, or in a solid state at a temperature equal to or exceeding 240° C, does not convey the elevated temperature condition (for example, by using the term "MOLTEN" or "ELEVATED TEMPERATURE" as part of the proper shipping name), the word "HOT" shall immediately precede the proper shipping name.]

[5.4.1.1.13 Special provisions for the carriage of substances stabilized by temperature control

If the word "STABILIZED" is part of the proper shipping name (see also 3.1.2.6), when stabilization is by means of temperature control, the control and emergency temperatures (see 2.2.41.1.17) shall be indicated in the transport document, as follows:

"Control temperature: ...<sup>o</sup> C Emergency temperature: ...<sup>o</sup> C".]

[5.4.1.1.14 Information required in accordance with special provision 640 in Chapter 3.3

Where it is required by special provision 640 of Chapter 3.3, the transport document shall bear the inscription "**Special provision 640X**" where "X" is the capital letter appearing after the pertinent reference to special provision 640 in column (6) of Table A of Chapter 3.2.]

[5.4.1.1.15 Special provisions for carriage in oil separator vessels and supply vessels

5.4.1.1.2 and 5.4.1.1.6.3 are not applicable to oil separator vessels or supply vessels.]

- 5.4.1.2 Additional or special information required for certain classes
- 5.4.1.2.1 Special provisions for Class 1
  - (a) The transport document shall indicate, in addition to the requirements in 5.4.1.1.1 (g):
    - the total net mass, in kg, of explosive contents<sup>2</sup> for each substance or article [covered by a description][identified by its UN number];

<sup>&</sup>lt;sup>2</sup> For articles, "explosive contents" means the explosive substance contained in the article.

- the total net mass, in kg, of explosive contents<sup>1</sup> for all substances and articles covered by the transport document.
- (b) For mixed packing of two different goods, the description of the goods in the transport document shall include the UN numbers and names printed in capitals in Columns (1) and (2) of Table A of Chapter 3.2 of both substances or articles. If more than two different goods are contained in the same package in conformity with the mixed packing provisions given in 4.1.10 [of ADR] special provisions MP1, MP2 and MP20 to MP24, the transport document shall indicate under the description of the goods the UN numbers of all the substances and articles contained in the package, in the form, "Goods of UN Nos. ...";
- (c) For the carriage of substances and articles assigned to an n.o.s. entry or the entry "0190 SAMPLES, EXPLOSIVE" or packed conforming to packing instruction P101 of 4.1.4.1 [of ADR], a copy of the competent authority approval with the conditions of carriage shall be attached to the transport document. It shall be in an official language of the forwarding country and also, if that language is not English, French or German, in English, French or German unless agreements, if any, concluded between the countries concerned in the transport operation provide otherwise;
- (d) If packages containing substances and articles of compatibility groups B and D are loaded together in the same vehicle [or wagon] in accordance with the requirements of 7.5.2.2 [or ADR or RID], the approval certificate of the protective container/separate compartment in accordance with 7.5.2.2, note <sup>a</sup> under the table [of ADR or RID], shall be attached to the transport document;
- (e) When explosive substances or articles are carried in packagings conforming to packing instruction P101 [of ADR], the transport document shall bear the inscription "**Packaging approved by the competent authority of ...**" (see 4.1.4.1, packing instruction P101).

**NOTE:** The commercial or technical name of the goods may be entered additionally to the proper shipping name in the transport document.

- [(f) (Reserved)
- (g) When fireworks of UN Nos. 0333, 0334, 0335, 0336 and 0337 are carried, the transport document shall bear the inscription:
  "Classification recognized by the competent authority of ... (State referred to in special provision 645 of 3.3.1).]

# 5.4.1.2.2 Additional provisions for Class 2

- (a) For the carriage of mixtures (see 2.2.2.1.1) in tanks (demountable tanks, fixed tanks, portable tanks, tank-containers or elements of battery-vehicles or of MEGCs), the composition of the mixture as a percentage of the volume or as a percentage of the mass shall be given. Constituents below 1% need not be indicated [(see also 3.1.2.6.1.2);][(see also 3.1.2.8.1.2)];
- (b) For the carriage of cylinders, tubes, pressure drums, cryogenic receptacles and bundles of cylinders under the conditions of 4.1.6.[6][5][of ADR], the following entry shall be included in the transport document: "Carriage in accordance with 4.1.6.[6][5]".
- 5.4.1.2.3 *Additional provisions for self-reactive substances of Class 4.1 and organic peroxides of Class 5.2*
- 5.4.1.2.3.1 For self-reactive substances of Class 4.1 and for organic peroxides of Class 5.2 that require temperature control during carriage [(for self-reactive substances see 2.2.41.1.17; for organic peroxides, see 2.2.52.1.15 to 2.2.52.1.17)], the control and emergency temperatures shall be indicated in the transport document, as follows: "Control temperature: ...° C Emergency temperature: ...° C".
- 5.4.1.2.3.2 When for certain self-reactive substances of Class 4.1 and certain organic peroxides of Class 5.2 the competent authority has permitted the label conforming to model No. 1 to be dispensed with for a specific packaging (see 5.2.2.1.9), a statement to this effect shall be included in the transport document, as follows: **"The label conforming to model No. 1 is not required"**.
- 5.4.1.2.3.3 When organic peroxides and self-reactive substances are carried under conditions where approval is required (for organic peroxides see 2.2.52.1.8, 4.1.7.2.2 and special provision TA2 of 6.8.4 [of ADR]; for self-reactive substances see 2.2.41.1.13 and 4.1.7.2.2, a statement to this effect shall be included in the transport document, e.g. **"Carriage in accordance with 2.2.52.1.8"**.

A copy of the approval of the competent authority with the conditions of carriage shall be attached to the transport document.

5.4.1.2.3.4 When a sample of an organic peroxide (see 2.2.52.1.9) or a self-reactive substance (see 2.2.41.1.15) is carried, a statement to this effect shall be included in the transport document, e.g. **"Carriage in accordance with 2.2.52.1.9"**.

5.4.1.2.3.5 When self-reactive substances type G (see Manual of Tests and Criteria, Part II, paragraph 20.4.2 (g)) are carried, the following statement may be given in the transport document: **"Not a self-reactive substance of Class 4.1"**.

When organic peroxides type G (see Manual of Tests and Criteria, Part II, paragraph 20.4.3 (g)) are carried, the following statement may be given in the transport document: **"Not a substance of Class 5.2"**.

#### 5.4.1.2.4 Additional provisions for Class 6.2

- (a) If the infectious substance is a genetically modified substance, the words "Genetically modified micro-organisms" shall be added in the transport document;
- (b) [For diagnostic specimens which are offered for carriage under the conditions of 2.2.62.1.8, the proper shipping name shall be: "Diagnostic specimen, containing ..." (the infectious substance determining the classification to be entered);][(Reserved)];
- (c) For the carriage of easily perishable substances, appropriate information shall be provided, e.g. "Cool at +2/+4° C" or "Carry in frozen state" or "Do not freeze".
- 5.4.1.2.5 Special provisions for Class 7
- 5.4.1.2.5.1 The consignor shall include in the transport documents with each consignment the following information, as applicable in the order given:
  - (a) The UN number assigned to the material preceded by the letters "UN";
  - (b) The proper shipping name;
  - (c) The Class number "7";
  - (d) The name or symbol of each radionuclide or, for mixtures of radionuclides, an appropriate general description or a list of the most restrictive nuclides;
  - (e) A description of the physical and chemical form of the material, or a notation that the material is special form radioactive material or low dispersible radioactive material. A generic chemical description is acceptable for chemical form;
  - (f) The maximum activity of the radioactive contents during carriage expressed in becquerels (Bq) with an appropriate SI prefix (see 1.2.2.1). For fissile material, the mass of fissile material in grams (g), or appropriate multiples thereof, may be used in place of activity;

- (g) The category of the package, i.e. I-WHITE, II-YELLOW, III-YELLOW;
- (h) The transport index (categories II-YELLOW and III-YELLOW only);
- (i) For consignments including fissile material other than consignments excepted under 6.4.11.2 [of ADR], the criticality safety index;
- (j) The identification mark for each competent authority approval certificate (special form radioactive material, low dispersible radioactive material, special arrangement, package design, or shipment) applicable to the consignment;
- (k) For consignments of packages in an overpack or container, a detailed statement of the contents of each package within the overpack or container and, where appropriate, of each overpack or container in the consignment. If packages are to be removed from the overpack or container at a point of intermediate unloading, appropriate transport documents shall be made available;
- (1) Where a consignment is required to be shipped under exclusive use, the statement "EXCLUSIVE USE SHIPMENT"; and
- (m) For LSA-II and LSA-III substances, SCO-I and SCO-II, the total activity of the consignment as a multiple of A<sub>2</sub>.
- 5.4.1.2.5.2 The consignor shall provide in the transport documents a statement regarding actions, if any, that are required to be taken by the carrier. The statement shall be in the languages deemed necessary by the carrier or the authorities concerned, and shall include at least the following information:
  - (a) Supplementary requirements for loading, stowage, carriage, handling and unloading of the package, overpack or container including any special stowage provisions for the safe dissipation of heat [(see 7.1.4.14.7.3.2)], or a statement that no such requirements are necessary;
  - (b) Restrictions on the mode of carriage or vehicle and any necessary routeing instructions;
  - (c) Emergency arrangements appropriate to the consignment.
- 5.4.1.2.5.3 The applicable competent authority certificates need not necessarily accompany the consignment. The consignor shall make them available to the carrier(s) before loading and unloading.

# 5.4.1.3 (*Reserved*)

## 5.4.1.4 Format and language

5.4.1.4.1 The document containing the information in 5.4.1.1 and 5.4.1.2 may be that already required by other regulations in force for carriage by another mode of carriage. In case of multiple consignees, the name and address of the consignees and the quantities delivered enabling the nature and quantities carried to be evaluated at any time, may be entered in other documents which are to be used or in any other documents made mandatory according to other specific regulations and which shall be on board the vehicle.

The particulars to be entered in the document shall be drafted in an official language of the forwarding country, and also, if that language is not English, French or German, in English, French or German, unless international [road] carriage tariffs, if any, or agreements concluded between the countries concerned in the transport operation, provide otherwise.

5.4.1.4.2 [If by reason of the size of the load, a consignment cannot be loaded in its entirety on a single transport unit, at least as many separate documents, or copies of the single document, shall be made out as transport units loaded. Furthermore, in all cases, separate transport documents shall be made out for consignments or parts of consignments which may not be loaded together on the same vehicle by reason of the prohibitions set forth in 7.5.2.][is this paragraph useful in ADN?]

The information relative to the hazards of the goods to be carried (as indicated in 5.4.1.1) may be incorporated in, or combined with, an existing transport or cargo handling document. The layout of the information in the document (or the order of transmission of the corresponding data by electronic data processing (EDP) or electronic data interchange (EDI) techniques) shall be as provided in 5.4.1.1.1 [or 5.4.1.1.2 as relevant].

When an existing transport document or cargo handling document cannot be used for the purposes of dangerous goods documentation for multimodal transport, the use of documents corresponding to the example shown in 5.4.4 is considered advisable.<sup>3</sup>

<sup>&</sup>lt;sup>3</sup> If used, the relevant recommendations of the UN/ECE Working Party on Facilitation of International Trade Procedures may be consulted, in particular Recommendation No. 1 (United Nations Lay-out Key for Trade Documents) (ECE/TRADE/137, edition 96.1), Recommendation No. 11 (Documentary Aspects of the International Transport of Dangerous Goods) ECE/TRADE/204, edition 96.1) and Recommendation No. 22 (Lay-out Key for standard Consignment Instructions) (ECE/TRADE/168, edition 96.1). Refer to the Trade Data Elements Directory, Volume III, Trade Facilitation Recommendations (ECE/TRADE/200) (United Nations publication Sales No. E.96.II.E.13).

## 5.4.1.5 Non-dangerous goods

When goods mentioned by name in Table A of Chapter 3.2, are not subject to AD[R][N] because they are considered as non-dangerous according to Part 2, the consignor may enter in the transport document a statement to that effect, e.g.: "Not goods of Class ..."

**NOTE**: This provision may be used in particular when the consignor considers that, due to the chemical nature of the goods (e.g. solutions and mixtures) carried or to the fact that such goods are deemed dangerous for other regulatory purposes the consignment might be subject to control during the journey.

# 5.4.2 Container packing certificate

If the carriage of dangerous goods in a large container precedes a voyage by sea, a container packing certificate conforming to section 5.4.2 of the IMDG Code<sup>4</sup> shall be provided with the transport document.<sup>5</sup>

# *"5.4.2 Container/vehicle packing certificate"*

5.4.2.1 When packages containing dangerous goods are packed onto or into any unit, such as, freight container, flat, trailer or other vehicle intended for transport by sea, those responsible for the packing of the unit shall provide a 'container/vehicle packing certificate' specifying the container/vehicle/unit identification number(s) and certifying that the operation has been carried out in accordance with the following conditions:

- .1 The cargo transport unit was clean, dry and apparently fit to receive the goods;
- .2 If the consignments include goods of Class 1, other than Division 1.4, the cargo transport unit is structurally serviceable in conformity with 7.4.10 (of the IMDG Code);
- .3 Goods, which should be segregated, have not been packed together onto or in the cargo transport unit (unless approved by the competent authority concerned in accordance with 7.2.2.3 (of the IMDG Code));
- .4 All packages have been externally inspected for damage, leakage or sifting, and only sound packages have been loaded;
- .5 Drums have been stowed in an upright position, unless otherwise authorized by the competent authority;

<sup>&</sup>lt;sup>4</sup> Guidelines for use in practice and in training for loading goods in transport units have also been drawn up by the International Maritime Organization (IMO), the International Labour Organization (ILO) and the United Nations Economic Commission for Europe (UN/ECE) and have been published by IMO ("IMO/ILO/UN-ECE Guidelines for Packing of Cargo Transport Units (CTUs)").

<sup>&</sup>lt;sup>5</sup> Section 5.4.2 of the IMDG Code requires the following:

The functions of the transport document required under 5.4.1 and of the container packing certificate as provided above may be incorporated into a single document; if not, these documents shall be attached one to the other. If these functions are incorporated into a single document, the inclusion in the transport document of a statement that the loading of the container has been carried out in accordance with the applicable modal regulations together with the identification of the person responsible for the container packing certificate shall be sufficient.

**NOTE**: The container packing certificate is not required for portable tanks, tank-containers and MEGCs.

### 5.4.3 Instructions in writing

- 5.4.3.1 As a precaution against any accident or emergency that may occur or arise during carriage, the master shall be given instructions in writing, specifying concisely for each dangerous substance or article carried or for each group of goods presenting the same dangers to which the substance(s) or article(s) carried belong(s):
  - .6 All packages have been properly packed onto or in the cargo transport unit and secured;
  - .7 When dangerous goods are transported in bulk packagings, the cargo has been evenly distributed;
  - .8 The cargo transport unit and the packages therein are properly marked, labelled, and placarded;
  - .9 When solid carbon dioxide (CO<sub>2</sub>-dry ice) is used for cooling purposes, the cargo transport unit is externally marked or labelled in a conspicuous place, such as, at the door end, with the words: DANGEROUS CO<sub>2</sub> GAS (DRY ICE) INSIDE. VENTILATE THOROUGHLY BEFORE ENTERING;
  - .10 The dangerous goods transport document required in 5.4.1 (of the IMDG Code) has been received for each dangerous goods consignment packed onto or in the cargo transport unit.

5.4.2.2 The functions of the dangerous goods transport document required in 5.4.1 (of the IMDG Code) and of the container/vehicle packing certificate required in 5.4.2.1 (of the IMDG Code) may be incorporated into a single document; if not, these documents shall be attached one to the other. If these functions are incorporated into a single document, such as, a dangerous goods declaration, a shipping note, etc., the inclusion of a signed declaration phrase such as 'It is declared that the packing of the goods into the unit has been carried out in accordance with the provisions of 5.4.2.1' will suffice. The person signing this declaration shall be identified on the document.

Note: The container/vehicle packing certificate is not required for tanks."

- (a) the name of the substance or article or group of goods, the Class and the UN number or for a group of goods the UN numbers of the goods for which these instructions are intended or are applicable;
- (b) the nature of the danger inherent in these goods as well as the measures to be taken by the master and the personal protection equipment to be used by the master;
- [(c) [ADN 10 385 (i) (b)] the action to be taken and the treatment to be given in the event of any person coming into contact with the goods being carried or with any substances which might be expelled from them;]
- [(c)](d) the general actions to be taken, e.g. to warn the road users and passers-by and call the police/fire brigade;
- [(e) [ADN 10 385 (i) (c)] the measures to be taken in case of fire and the fire-extinguishing agents or groups of agents to be used or not to be used to fight the fire;]
- [(f) [ADN 10 385 (i) (d)] the measures to be taken in case of breakage or other deterioration of the packagings or of the dangerous goods being carried, in particular where such dangerous goods have spilled;]
- [(d) the additional actions to be taken to deal with minor leakages or spillages to prevent their escalation, if this can be achieved without personal risk;]
- [(g)] [<del>(e)</del>] the special actions to be taken for certain goods, if applicable;
- [(h)] [(f)] [the necessary equipment for general and, if applicable, additional and/or special actions.] [the necessary equipment for additional and/or special actions, if applicable] [if the equipment referred to in 8.1.5. is not sufficient.]
- 5.4.3.2 These instructions shall be provided by the consignor and shall be handed out to the driver at the latest when the dangerous goods are loaded on the [vehicle] [vessel]. Information on the content of the instructions shall be supplied to the carrier at the latest when the carriage order is given, so as to enable him to take the necessary steps to ensure that the employees concerned are aware of these instructions and are capable of carrying them out properly and to ensure that the necessary equipment is on board the [vehicle] [vessel].

- 5.4.3.3 The consignor shall be responsible for the content of these instructions. They shall be provided in a language the driver(s) taking over the dangerous goods is (are) able to read and to understand, and in all languages of the countries of origin, transit and destination. In the case of countries with more than one official language, the competent authority shall specify the official language or languages applicable throughout the territory or in each region or part of the territory.
- 5.4.3.4 These instructions shall be [kept readily identifiable in the driver's cab.] [kept readily at hand in the wheelhouse. This requirement does not apply to oil separator vessels.]
- 5.4.3.5 Instructions in writing according to this section which [are not applicable to the goods which are on board of the vehicle] [are on board but which do not concern the goods on board] shall be kept separate [from pertinent documents] [from the applicable instructions] in such a way as to prevent confusion.
- 5.4.3.6 [The carrier shall ensure that the drivers concerned understand and are capable of carrying out these instructions properly.] [The master shall bring the instructions to the attention of the persons on board to enable them to understand them and carry them out properly.]
- 5.4.3.7 In case of mixed loads of packaged goods including dangerous goods which belong to different groups of goods presenting the same dangers, the instructions in writing may be restricted to one instruction per class of dangerous goods carried on board of the vehicle. In such case no name of goods, or UN number has to be mentioned in the instructions.
- 5.4.3.8 These instructions shall be drafted according to the following format:

# LOAD

- Mention of the proper shipping name of the substance or article, or the name of the group of goods presenting the same dangers, the class and the UN number [or the identification number of the substance] or for a group of goods the UN numbers of the goods for which these instructions are intended or are applicable.
- Description shall be restricted to e.g. the physical state with indication of any colour and mention of any odour, to aid identification of leakages or spillages.

## NATURE OF DANGER

Short enumeration of dangers:

- Main danger;
- Additional dangers including possible delayed effects and dangers for the environment;
- Behaviour under fire or heating (decomposition, explosion, development of toxic fumes, etc.);
- If applicable, it shall be mentioned here that the goods carried react dangerously with water.

#### PERSONAL PROTECTION

[Mention of the personal protection intended for the driver in accordance with the requirements of 8.1.5.] [Mention of the personal protection intended for the [driver] [crew] in accordance with the requirements of 8.1.5. (b) and (c).]

#### GENERAL ACTIONS TO BE TAKEN BY [DRIVER] [THE CREW]

Mention of the following instructions:

- [Stop the engine;] [Inform the competent authority;]
- No naked lights. No smoking;
- [Mark roads and warn other road users or passers-by;]
- [Inform the public about the hazard and give advice to keep upwind;]
- [Remove people from the danger zone;]
- [Remain upwind;]
- Notify police and fire brigade as soon as possible.

# ADDITIONAL AND/OR SPECIAL ACTIONS TO BE TAKEN BY THE [DRIVER] [CREW]

Appropriate instructions shall be included here as well as the list of equipment necessary for [the driver] [the crew] to perform the additional and/or special actions according to the class(es) of the goods being carried [(e.g. shovel, collecting container, etc.)].

It is considered that [drivers of vehicles] [the crew] should be instructed and trained to take additional actions with minor leakages or spillages to prevent their escalation, provided that this can be achieved without personal risk.

It is considered that any special action recommended by the consignor requires a special training of [the driver] [the crew]. If applicable, appropriate instructions shall be included here as well as the list of equipment needed for these special actions.

#### FIRE

Information for [the driver] [the crew] in case of fire:

[Drivers] [Crew members] should be instructed during training to deal with minor [vehicle] [vessel] fires. They shall not attempt to deal with any fire involving the load.

#### FIRST AID

Information for [the driver] [the crew] in case of contact with the carried good(s).

### **ADDITIONAL INFORMATION**

#### 5.4.4. Example of a multimodal dangerous goods form

Example of a form which may be used as a combined dangerous goods declaration and container packing certificate for multimodal carriage of dangerous goods.

# MULTIMODAL DANGEROUS GOODS FORM

	1. Shipper/Consignor/Sender		2. Transport document number					
			3. Page 1 of F	Pages	4. Shipper's refe	rence		
					5. Freight Forwa	rder's reference		
6. Consignee			7. Carrier (to be completed by the carrier)					
			SHIPPER'S DECLARATION I hereby declare that the contents of this consignment are fully and accurately described below by the proper shipping name, and are classified, packaged, marked and labeled/placarded and are in all respects in proper condition for transport according to the applicable international and national governmental regulations.					
8. This shipment is within the limitations prescribed for: (Delete non-applicable) PASSENGER AND CARGO AIRCRAFT ONLY		,	9. Additional har	ndling information				
CARGO AIRCRAFT	1							
10. Vessel/ flight No. and date	11. Port/place of loa	ading						
12. Port/place of discharge	13. Destination							
14. Shipping marks	* Number and kind o	f packages; description	on of goods	Gross mass (kg)	Net mass	Cube (m <sup>3</sup> )		
15. Container identification No./ vehicle registration No.	16. Seal number	(s)	17. Container/ve	thicle size & type	18. Tare (kg)	19. Total gross mass (including tare) (kg)		
CONTAINER/VEHICLE PACKING of hereby declare that the goods described a backed/loaded into the container/vehicle id accordance with the applicable provisions of MUST BE COMPLETED AND SIGNED FOR ALL CONTAINER/VEHICLE LOADS BY PERSON	CERTIFICATE above have been entified above in	21.RECEIVING OF Received the above	RGANIZATION RE		rs in apparent good	(including tare) (kg)		
Vehicle registration No. CONTAINER/VEHICLE PACKING of hereby declare that the goods described a backed/loaded into the container/vehicle id uccordance with the applicable provisions * NUST BE COMPLETED AND SIGNED FOR ALL CONTAINER/VEHICLE LOADS BY PERSON RESPONSIBLE FOR PACKING/LOADING	CERTIFICATE above have been entified above in	21.RECEIVING OF Received the above	RGANIZATION RE	ECEIPT ages/containers/traile DRGANIZATION REM	rs in apparent good IARKS:	(including tare) (kg)		
Vehicle registration No. CONTAINER/VEHICLE PACKING of hereby declare that the goods described a backed/loaded into the container/vehicle id accordance with the applicable provisions * NUST BE COMPLETED AND SIGNED FOR ALL CONTAINER/VEHICLE LOADS BY PERSON RESPONSIBLE FOR PACKING/LOADING 20. Name of company	CERTIFICATE above have been entified above in	21.RECEIVING OF Received the above unless stated hereo	RGANIZATION RE	ECEIPT ages/containers/traile DRGANIZATION REM	rs in apparent good IARKS: ny (OF SHIPPER PR	(including tare) (kg)		
vehicle registration No. CONTAINER/VEHICLE PACKING ( hereby declare that the goods described a backed/loaded into the container/vehicle id accordance with the applicable provisions ' MUST BE COMPLETED AND SIGNED FOR ALL CONTAINER/VEHICLE LOADS BY PERSON RESPONSIBLE FOR PACKING/LOADING 20. Name of company Name/Status of declarant	CERTIFICATE above have been entified above in	21.RECEIVING OF Received the above unless stated hereof Haulier's name	GANIZATION RE e number of pack on: RECEIVING C	ECEIPT ages/containers/traile DRGANIZATION REM 22. Name of compa	rs in apparent good IARKS: ny (OF SHIPPER PR	(including tare) (kg)		
	CERTIFICATE above have been entified above in	21.RECEIVING OF Received the above unless stated hereo Haulier's name Vehicle reg. No.	GANIZATION RE e number of pack on: RECEIVING C	ECEIPT ages/containers/traile DRGANIZATION REN 22. Name of compa Name/Status of dec	rs in apparent good IARKS: ny (OF SHIPPER PR Iarant	(including tare) (kg)		

\* FOR DANGEROUS GOODS: you must specify: proper shipping name, hazard class, UN no., packing group (where assigned) and any other element of information required under applicable national and international regulations

1. Shipper/Consignor/Sender		2. Transport	document number		
		3.		4. Shipper's refere	ence
		Page 1 of pages		5. Freight Forwarder's reference	
14. Shipping marks	* Number and kind of packages; description	n of goods	Gross mass (kg)	Net mass	Cube (m <sup>3</sup> )

# **CHAPTER 5.5**

## SPECIAL PROVISIONS

# 5.5.1 Special provisions for the consignment of infectious substances in risk groups 3 and 4

- 5.5.1.1 Unless an infectious substance cannot be consigned by any other means, live vertebrate or invertebrate animals shall not be used to consign such a substance. Such animals shall be packed, marked, indicated, and carried in accordance with the relevant regulations governing the carriage of animals.<sup>1</sup>
- 5.5.1.2 The transport of infectious substances requires coordinated action by the consignor, the carrier and the consignee to ensure safety and arrival on time and in proper condition. To this end, the following measures shall be taken:
  - (a) Advance arrangements between consignor, carrier and consignee. Dispatch of infectious substances shall not take place before advance arrangements have been made between consignor, carrier and consignee or before the consignee has confirmed with his competent authorities that the substances can legally be imported and that no delay will be incurred in the delivery of the consignment to its destination;
  - (b) Preparation of dispatch documents. In order to secure transmission without hindrance it is necessary to prepare all dispatch documents, including the transport document (see Chapter 5.4), in strict accordance with rules governing the acceptance of the goods to be dispatched;
  - (c) *Routeing*. Transport shall be by the quickest possible routeing. If trans-shipment is necessary, precautions shall be taken to ensure special care, expeditious handling and monitoring of the substances in transit;
  - (d) Timely notification of all transport data by consignor to consignee. The consignor shall notify the consignee in advance of transport details, such as: means of transport, transport document number and date and hour of expected arrival at the point of destination, so that the consignment can be collected promptly. The most rapid means of communication shall be used for this notification.

<sup>&</sup>lt;sup>1</sup> Regulations governing the carriage of live animals are contained in, e.g. Directive 91/628/EEC of 19 November 1991 on the protection of animals during transport (Official Journal of the European Communities No. L 340 of 11.12.1991, p. 17) and in the Recommendations of the Council of Europe (Ministerial Committee) on the carriage of certain animal species.

5.5.1.3 Dead animals which are known or reasonably believed to contain an infectious substance shall be packed, marked, labelled and carried in accordance with the conditions<sup>2</sup> specified by the competent authority of the country of origin.<sup>3</sup>

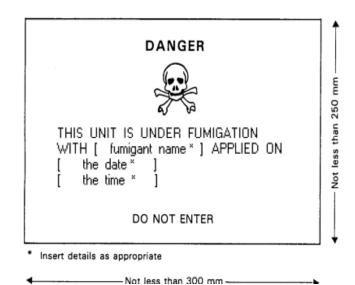
# 5.5.2 Special provisions for fumigated [containers and vehicles] [vehicles, wagons, containers and tanks]

- 5.5.2.1 Transport documents associated with [containers and vehicles] [vehicles, wagons, containers and tanks] that have been fumigated shall show the date of fumigation and the type and amount of the fumigant used. These particulars shall be drafted in an official language of the forwarding country and also, if the language is not English, French or German, in English, French or German, unless agreements, if any, concluded between the countries concerned in the transport operation provide otherwise. In addition, instructions for disposal of any residual fumigant including fumigation devices (if used) shall be provided.
- 5.5.2.2 [A warning sign as specified in the figure below] [A warning sign as specified in 5.5.2.3] shall be placed on each fumigated [container or vehicle] [vehicle, wagon, container or tank] in a location where it will be easily seen by persons attempting to enter the interior of the container or vehicle. The particulars concerning the warning sign shall be drafted in a language considered appropriate by the consignor.
- [5.5.2.3 The fumigation warning sign shall be rectangular and shall not be less than 300 mm wide and not less than 250 mm high. The markings shall be black print on a white background with lettering not less than 25 mm high. An illustration of this sign is given in the figure below.]

<sup>&</sup>lt;sup>2</sup> Such regulations are contained e.g. in the Council of the European Communities Directive 90/667/EEC of 27.11.1990, laying down the veterinary rules for the disposal and processing of animal waste, for its placing on the market and for the prevention of pathogens in feedstuffs of animal or fish origin and amending Directive 90/425/EEC (Official Journal of the European Communities, No. L 363 of 27.12.1990, pp. 0051-0060).

<sup>&</sup>lt;sup>3</sup> If the country of origin is not a contracting party to ADR, the competent authority of the first country contracting party to ADR reached by the consignment.

# Fumigation warning sign



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