Draft findings and recommendations with regard to communication ACCC/C/2013/93 concerning compliance by Norway

 Adopted by the Compliance Committee on …

1. Introduction
2. On 26 June 2013, Mr. Ole Fauchald (the communicant) submitted a communication to the Compliance Committee under the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention) alleging the failure of Norway to comply with the Convention’s provisions on access to environmental information and access to justice.
3. Specifically, the communicant alleges that the Party concerned failed to comply with its obligations under the Convention in connection with its alleged refusal of the communicant’s request for access to the considerations/legal assessment referred to in the preparatory works for the Nature Diversity Act, concerning the relationship between the geographical scope of some of the provisions of the Act and public international law. The communicant alleges on-compliance with article 4 generally, as well as article 4, paragraphs 3(c), 4, 6, 7, and article 9, paragraphs 1 to 4.
4. At its forty-second meeting (24-27 September 2013), the Committee determined on a preliminary basis that the communication was admissible.
5. Pursuant to paragraph 22 of the annex to decision I/7 of the Meeting of the Parties to the Convention, the communication was forwarded to the Party concerned on 17 December 2013.
6. The Party concerned responded to the allegations by letter dated 14 May 2014.
7. At its forty-sixth meeting (22-25 September 2014), the Committee agreed to discuss the content of the communication at its forty-seventh meeting (16-19 December 2014).
8. The Committee discussed the communication at its forty-seventh meeting, with the participation of representatives of the communicant and the Party concerned. During the discussion, the Committee invited the Party concerned to provide English translations of several documents and to respond in writing to a question from the Committee after the meeting.
9. The Party concerned provided its reply to the Committee’s question on 12 January 2015 and provided the requested translations on 2 February and 23 March 2015.
10. The Committee agreed its draft findings at its virtual meeting on 27 March 2017. In accordance with paragraph 34 of the annex to decision I/7, the draft findings were then forwarded for comments to the Party concerned and the communicant on 29 March 2017. Both were invited to provide comments by 26 April 2017.
11. *The Party concerned and the communicant provided comments on […] and […], respectively.*
12. *At its […] meeting, the Committee proceeded to finalize its findings in closed session, taking account of the comments received. The Committee then adopted its findings and agreed that they should be published as a formal pre-session document to its […] meeting. It requested the secretariat to send the findings to the Party concerned and the communicant.*
13. Summary of facts, evidence and issues[[1]](#footnote-2)
14. Legal framework
15. Environmental information is defined in section 2 of the Environmental Information Act (EIA) no. 31, adopted on 9 May 2003:[[2]](#footnote-3)

Environmental information means factual information about and assessments of:

a) the environment,

b) factors that affect or may affect the environment, including

* + - * projects and activities that are being planned or have been implemented in the environment
			* the properties and contents of products
			* factors related to the operation of undertakings, and
			* administrative decisions and measures, including individual decisions, agreements, legislation, plans, strategies and programmes, as well as related analyses, calculations and other assumptions used in environmental decision-making,

c) human health, safety and living conditions to the extent that they are or may be affected by the state of the environment or factors such as are mentioned in litra b).

The environment means the external environment, including archeological and architectural monuments and sites and cultural environments.

1. Section 11 (“Exceptions”) of the EIA sets out the grounds upon which an information request may be refused:

A request for environmental information may be refused if there is a genuine and objective need to do so in a specific case and the information, or the document containing the information, may be exempted from public disclosure pursuant to the Freedom of Information Act. (para. 1)

When considering whether there is a genuine and objective need pursuant to sub-section 1, the environmental and public interests served by disclosure shall be weighed against the interests served by the refusal. If the environmental and public interests outweigh the interests served by refusal, the information shall be disclosed. (para. 2)

If there are grounds for refusing to disclose part of the requested information, the remaining information shall be disclosed provided that this does not give a clearly misleading impression of the contents. (para. 3)

1. Section 13 (“Administrative procedures”) of the EIA describes the procedure for refusing an environmental information request:

…If a request for environmental information is refused, the public authority shall indicate the provision pursuant to which the refusal is made, provide a brief explanation of the refusal, and inform the applicant of the right to request further grounds for the refusal and the time limit for doing so, and of the right of appeal and the time limit for lodging an appeal…(para. 3)

… The applicant may, within three weeks of the date when notification of the refusal was received, request further explanation of the grounds for the refusal. The grounds shall be provided as soon as possible and at the latest ten working days after the request for further grounds was received. The grounds shall be provided in writing if the applicant so requests…. (para. 4)

1. Section 15 (“Appeals”) of the EIA sets out the process for appeals:

… Refusal of a request for environmental information may be appealed to the immediately superior administrative agency… The time limit for lodging an appeal is three weeks from the date when notification of the refusal has reached the party concerned….If no answer has been received to the request for information within two months after it was received by the public authority, this shall be regarded as a refusal that may be appealed. If the applicant has requested further explanation of the grounds for the refusal in accordance with section 13, sub-section 5, the time limit for an appeal shall be interrupted. (para. 1)…

The provisions of the Freedom of Information Act relating to appeals apply insofar as they are appropriate to appeals against a refusal by a public authority to provide environmental information… (para. 3)

1. More generally, access to information is regulated by the Freedom of Information Act (FIA); Act no. 16 of 19 May 2006 relating to the right of access to documents held by public authorities and public undertakings.[[3]](#footnote-4)
2. Pursuant to the first paragraph of section 11 of the EIA, the exemptions set out in the FIA are the basis for exemptions from the right of access to environmental information as well.[[4]](#footnote-5) FIA section 14 (“Documents drawn up for an administrative agency’s internal preparation of a case (internal documents)”) states:

An administrative agency may exempt from access any document which it has drawn up for its internal preparation of a case.

The first paragraph does not apply to:

(a) any document or part of a document containing the final decision of the administrative agency in a case,

(b) general guidelines for the administrative agency’s case processing,

(c) reasons for proposals that have been decided by the King in Council, and

(d) brief descriptions of the content of documents and the like, but not if such a description reproduces internal assessments…. (para. 1)

1. FIA section 15 (“Documents obtained externally for internal preparation of a case”) states:

Where it is necessary in order to ensure proper internal decision processes, an administrative agency may exempt from access any document that the agency has obtained from a subordinate agency for use in its internal preparation of a case. The same applies to documents which a ministry has obtained from another ministry for use in its internal preparation of a case. (para.1)

Moreover, exemptions may be made in respect of parts of any document containing advice on and assessments of how an administrative agency should stand on a case, and which the agency has obtained for use in its internal preparation of the case, where this is required in the interest of satisfactory protection of the government's interests in that case. (para. 2)

The exemptions in this section apply correspondingly to documents concerning the acquisition of a document as mentioned in the first and second paragraphs, and to notices of and minutes from meetings between a superior and subordinate agency, between ministries and between an administrative agency and any person who gives advice or assessments as mentioned in the second paragraph. (para. 3)

This section does not apply to documents obtained as part of the general procedure of consultation on a matter. (para. 4)

1. FIA, section 11 (“Enhanced access to information”) states:

Where there is occasion to exempt information from access, an administrative agency shall nonetheless consider allowing full or partial access. The administrative agency should allow access if the interest of public access outweighs the need for exemption. (para. 1).

1. With regard to the relationship between the two acts, the Guidance on the FIA states on pages 28-29, inter alia: “To a large extent the rights of access pursuant to the EIA and the FIA have the same coverage. However, since the rights of access pursuant to the Environmental Information Act are to a certain extent stronger than the rights pursuant to the Freedom of Information Act, public authorities covered by both acts will have to consider a request for access in relation to the provisions of both acts, unless of course access is provided pursuant to the Freedom of Information Act. This duty applies regardless of whether the request for information specifies any of the acts or not.”
2. With respect to review procedures, the powers of the Parliamentary Ombudsman are regulated by the Parliamentary Ombudsman Act.[[5]](#footnote-6) Section 10 (“Completion of the Ombudsman’s procedures in a case”) of that Act inter alia provides:

The Ombudsman is entitled to express his opinion on matters within his sphere of responsibility.

The Ombudsman may call attention to errors that have been committed or negligence that has been shown in the public administration. If he finds sufficient reason for so doing, he may inform the prosecuting authority or appointments authority of what action he believes should be taken in this connection against the official concerned. If the Ombudsman concludes that a decision must be considered invalid or clearly unreasonable or that it clearly conflicts with good administrative practice, he may express this opinion. If the Ombudsman believes that there is reasonable doubt relating to factors of importance in the case, he may make the appropriate administrative agency aware of this.

…. The Ombudsman may let a case rest when the error has been rectified or with the explanation that has been given.

1. Facts
2. The communication relates to the preparatory works for the Nature Diversity Act, which was adopted by the Norwegian Parliament (Act no. 100) in 2009.[[6]](#footnote-7) Section 7.2.4.3 of the preparatory works to the Nature Diversity Act (Ot.prp. no. 52 (2008-2009)) stated that: “An assessment of the relationship of the provisions to international law has been carried out, which has shown the necessity for amendments and adjustments of the provisions if they are to be applied outside of 12 nautical miles.” The communicant requested this assessment from the Norwegian Ministry of the Environment, now Ministry of Climate and Environment (the Ministry) by email of 12 January 2011, pursuant to the Environmental Information Act (no. 31, 2003).
3. The requested information consisted of 25 documents, partly internal documents, partly documents exchanged between the Ministry of Climate and Environment and other Ministries. The request for information was made two years after the adoption of the Nature Diversity Act, and was made for the purpose of writing an academic article concerning the geographical scope of the Act and the establishment of marine protected areas.
4. On 19 January 2011, the Ministry rejected the communicant’s request.[[7]](#footnote-8) His request was considered to fall outside the definition of environmental information in the EIA. The decision to refuse access was based on the exemptions for internal documents in the FIA. According to the Ministry’s reply, it was considered that the need to safeguard the confidentiality of the internal decision-making procedures of the government outweighed the public interest served by disclosure.[[8]](#footnote-9)
5. On 20 January 2011, the communicant filed a complaint to the Parliamentary Ombudsman.[[9]](#footnote-10)
6. By formal statement of 17 November 2011, the Ombudsman expressed doubts regarding the Ministry's interpretation of the definition of ‘environmental information’ and consequently that the requested information was outside the scope of the EIA.[[10]](#footnote-11) The Ombudsman furthermore considered that the explanation of the need to refuse access to the requested information was not elaborated in a satisfactory manner. Finally, the Ombudsman pointed out that the Ministry should have explicitly considered the possibility of providing partial access to the information requested.[[11]](#footnote-12) The Ombudsman concluded that the Ministry erred in failing to inform about the availability of administrative complaint procedures, and that there were reasons to raise questions regarding the Ministry’s findings concerning the applicability of the EIA and whether there was a genuine and objective reasons for denying access to the information.[[12]](#footnote-13) The Ombudsman requested the Ministry to reconsider its decision/the request for access to information.[[13]](#footnote-14)
7. On 26 January 2012, the communicant sent a letter to the Ombudsman and to the Ministry stating that “[a]s more than two months have passed since the Ombudsman’s decision (dated 17 November 2011), and I have not received any message from the Ministry of the Environment, I deem it necessary to send this reminder. I remind the Ministry that according to section 13 of the Environmental Information Act, there is a duty to decide a request for information within 15 working days.”[[14]](#footnote-15) The communicant asked the Ombudsman to consider this case according to section 11 of the Parliamentary Ombudsman Act:[[15]](#footnote-16) “If the Ombudsman becomes aware of shortcomings in acts, regulations or administrative practice, he may notify the ministry concerned to this effect”.[[16]](#footnote-17)
8. On 30 January 2012, the Ombudsman sent a reminder to the Ministry[[17]](#footnote-18) and responded to the communicant that it would await the Ministry’s response.[[18]](#footnote-19) On 2 February 2012, the Ministry informed the claimant that the answer from the Ministry would be delayed[[19]](#footnote-20) and that they did not consider the deadline for responding to information requests to be applicable to that response.[[20]](#footnote-21)
9. On 19 October 2012, the Ministry provided its reconsideration of the request for information to the Ombudsman. Its decision was to refuse access to the requested information.[[21]](#footnote-22)
10. On 23 October 2012, the Ombudsman asked the communicant for comments on the decision.[[22]](#footnote-23) The communicant provided comments on 31 October 2012 regarding six issues: (i) the time spent reconsidering the request, as well as the failure of the Ombudsman to ensure that the Ministry responded within a reasonable time; (ii) the failure of the Ministry to communicate to the communicant the reasons why the reconsideration was delayed; (iii) disagreement regarding the justification for continued denial of access to the information; (iv) disagreement regarding the decision not to provide access to parts of the information; (v) a request that the Ombudsman, on the basis of the document provided by the Ministry, consider whether the Government in this case had misled the Parliament; and (vi) a request that the Ombudsman consider the Ministry's implementation of the Environmental Information Act.[[23]](#footnote-24) The communicant again asked the Ombudsman to consider the case under section 11 of the Storting’s Ombudsman Act.[[24]](#footnote-25)
11. Having received the claimant's comments to the Ministry's answer, on 8 November 2012 the Ombudsman requested the Ministry's comments, on 21 December it informed the claimant and the Ministry of the status and expected conclusion from the Ombudsman, and on 7 March 2013 it informed the claimant of the delayed conclusion.[[25]](#footnote-26)
12. On 10 June 2013, the Ombudsman provided its final views.[[26]](#footnote-27) The Ombudsman stated that the reconsideration provided by the Ministry was “somewhat general” but concluded, with some doubt, that it would not take further action.[[27]](#footnote-28) The Ombudsman stated that it would keep the communicant’s comments in mind in further communication with the Ministry.[[28]](#footnote-29)
13. Domestic remedies
14. The communicant’s use of domestic procedures is described in paragraphs 22 to 32 above. The communicant states that no other international procedure has been initiated in this case.[[29]](#footnote-30)
15. The communicant submits that the Ombudsman is in general the preferred option in cases concerning access to information from ministries. He further alleges that a submission to the Ombudsman in reality pre-empts other remedies, largely due to the time that the Ombudsman process takes. The communicant states that bringing an administrative complaint to the superior ministry is pre-empted by bringing the case to the Ombudsman and further voiced concerns that in any event, such a complaint procedure would be ineffective due to the reviewing authority and the authority that originally took the decision’s joint interest in maintaining confidentiality.
16. The communicant did not attempt to bring his case before the courts of the Party concerned. He submits that the Norwegian courts are rarely used for cases concerning access to information as such cases are expensive and time-consuming, and consequently do not provide any effective remedy.[[30]](#footnote-31) In that regard, he cites a press article discussing a case in which the applicant allegedly spent more than a year working on a case.[[31]](#footnote-32)
17. The Party concerned submits that domestic remedies were not exhausted or unreasonably prolonged, and it is not correct that they did not provide an effective and sufficient means of redress.[[32]](#footnote-33)
18. It submits that the time spent by the Ombudsman to thoroughly handle the complaint has not pre-empted other available remedies. While a claimant must choose between a complaint to the Ombudsman or an administrative appeal to the King in Council,[[33]](#footnote-34) bringing the case to the court would have been possible.[[34]](#footnote-35) The Party states that there are no specific time-limits for bringing cases to court pursuant to section 1-3 of the Disputes Act. As long as a request directed by a person at public authorities has been rejected, and the decision to reject has not since been changed by the authorities themselves or through an appeal or a complaint to the Storting’s Ombudsman, the claimant could still be considered to have a relevant claim towards the public authority that decided to reject the request.[[35]](#footnote-36)
19. With regard to the case cited in the press article, the Party concerned states that the claimant referred to in the article was not complaining about having spent one year to work on the case but rather was pointing out that his case was an important reminder of the possibility to bring a rejection of a request for information to the courts.[[36]](#footnote-37)
20. As regards the costs of bringing a court challenge, the Party concerned submits that the costs of bringing a case to court will depend on the legal procedure involved and the time a case is expected to take. For a case brought before a district court, the standard court fee is NOK 4300 (€474) for a one day hearing. It is only in special cases that a main hearing is stipulated to last for more than one day. The Party concerned also submits that costs for legal assistance may also be incurred, although in this case the communicant's professional background (law professor at the University of Oslo) indicates that legal assistance might not be needed. It adds that for claimants that are in need of legal assistance, possibilities for free legal aid exist.[[37]](#footnote-38) Furthermore, if the claimant in a court action is successful, he/she is entitled to full compensation for his legal costs from the opposite party.[[38]](#footnote-39) The Party concerned also notes that if the claimant is not successful, the court can exempt him/her from liability for legal costs in whole or in part if weighty grounds justify an exemption, for instance if there was justifiable cause to have the case heard because of uncertainty.[[39]](#footnote-40)
21. Substantive issues

*Definition of environmental information (articles 4, paragraph 1 in conjunction with 2, paragraph 3)*

1. The communicant alleges that the Ministry of the Environment and other public authorities of the Party concerned have failed to establish a procedure to determine whether information is to be regarded as “environmental information” when public authorities make their initial assessment of whether access to information should be granted or refused. The communicant alleges that public authorities will therefore rely on the FIA only and not on the specialized EIA Act. To support his submission, the communicant cites the electronic service for seeking access to information in which he alleges all references to the legal basis for confidentiality of certain information are to the FIA. [[40]](#footnote-41)
2. The Party concerned submits that its legislation (the Freedom of Information Act and the Environmental Information Act) as well as guidance provided on its application make it clear that it is always necessary to consider whether a request for access to information concerns environmental information. The Party concerned refers in that regard inter alia, to the Guidance on the FIA which clarifies the relationship between the two acts (see paragraph 20 above).[[41]](#footnote-42)
3. The Party concerned further submits that article 4 of the Convention does not impose any obligation to introduce specific procedures for determining whether information is to be regarded as “environmental information”. The Party concerned submits that it is left to Parties as to how to implement the obligation that environmental information is made available upon request and which measures to introduce in that regard. [[42]](#footnote-43)
4. With regard to the categorization in the online platform referred to by the communicant, the Party concerned notes that this is only an initial classification and need not be complied with by the responsible public authority.[[43]](#footnote-44) The Party concerned further notes that it has, without being under a legal obligation to do so, decided to introduce some measures to improve the handling of requests for access to environmental information, namely to include a reference to the EIA on the mentioned web portal, to amend internal guidance documents and to include a standard in its internal distribution of requests for access to information.[[44]](#footnote-45)

*Exemption for not disclosing environmental information (article 4, paragraphs 3(c))*

1. The communicant alleges that the Party concerned failed to comply with article 4 of the Convention by not giving access to the information subject to his request, namely the legal assessment regarding the limits that public international law implies for the Parliament concerning its decision regarding the geographical scope of the Nature Diversity Act. The communicant submits that the request was made two years after the adoption of the Act for the purpose of writing an academic article.[[45]](#footnote-46) The communicant states that the requested information concerns legal analyses, rather than political statements, in which he has no interest. [[46]](#footnote-47) He also submits that therefore only documents 3, 5, 10 and 21, as identified by the Party concerned, are relevant to his request. [[47]](#footnote-48)
2. The Party concerned submits that access to the requested information was validly withheld in accordance with the exemption for “internal communications of public authorities” in article 4, paragraph 3(c) of the Convention.[[48]](#footnote-49)
3. The Party submits that the requested documents consist of different Ministries’ views on the geographical scope of the Nature Diversity Act in light of public international law. The Party concerned alleges that the need for confidentiality in the correspondence and preliminary discussions was vital to reach an agreement in this case.[[49]](#footnote-50) It submits that the requested information was exchanged within and between Ministries for the purpose of reaching an agreement on the proposal for the geographical scope of the Act which was decided both on the basis of a legal assessment and on the basis of political deliberations. The Party concerned alleges that this situation is reflected in the documents, where the legal and political discussions are not distinctly separated.

*Taking into account the public interest served by disclosure (article 4, paragraph 3 (c))*

1. The communicant alleges that the Ministry has consistently failed to consider and specify how it has taken into account the “public interest served by disclosure” and submits that it is not sufficient that the Ministry merely states that it has considered the issue.[[50]](#footnote-51)
2. The Party concerned considers that it had fulfilled the requirement in article 4, paragraph 3(c) of the Convention to take the public interest served by disclosure into account. The Party concerned submits that the public interest served by disclosure was taken into account when the Ministry considered the claimant’s request for information, even though initially the refusal was based on the FIA and not the EIA, and even though no detailed explanation was given on how the public interest was taken into account until after the complaint to the Ombudsman.[[51]](#footnote-52) The Party concerned submits that, in the initial refusal of 19 January 2011, the Ministry stated that the need to safeguard the confidentiality of the internal decision-making procedures of the government outweighed the public interest served by disclosure.[[52]](#footnote-53) It alleges that this was further explained in the Ministry’s response to the Ombudsman of 12 April 2011 and even more thoroughly explained in the Ministry’s reply to the Ombudsman of 19 October 2012.[[53]](#footnote-54)

*Ensuring the transmission of environmental information which can be separated from information exempted from disclosure (article 4, paragraph 6)*

1. The communicant alleges that, in contravention of article 4 of the Convention, the documentation in this case demonstrate that the Ministry failed to conduct any real assessment of whether parts of the information could be disclosed. He further alleges that the authorities did not provide any reasons for why disclosure of parts of the documents was refused.[[54]](#footnote-55)
2. The communicant further alleges that there seems to be generally no effective procedure within the Ministry of the Environment, and perhaps more broadly in the public authorities of the Party concerned, to effectively assess whether information should be partially disclosed.[[55]](#footnote-56)
3. The Party concerned submits that the requirement to make the non-exempted parts of the requested information available was fulfilled, since all the documents requested and the information therein were considered to be covered by the exemption from disclosure.[[56]](#footnote-57) The Party concerned concedes that the possibility of making the remainder of the information available was not mentioned in the initial refusal and in the initial correspondence with the Ombudsman, because the Ministry up to that point had interpreted the definition of “environmental information” in section 2 of the EIA too narrowly, and consequently wrongly assumed that the requested information fell outside the scope of article 4, paragraph 6, of the Convention as reflected in section 11(3) of the EIA.[[57]](#footnote-58) The Party concerned submits, however, that these shortcomings were corrected by the Ministry in its last answer to the Ombudsman.[[58]](#footnote-59) It asserts that a real assessment was therefore indeed made and reasons were given for the conclusion that none of the requested information could be disclosed.[[59]](#footnote-60)
4. With regard to a general procedure to assess whether part of the information can be disclosed, the Party concerned submits that the Convention does not impose an obligation in that regard, and refers to its argumentation concerning the assessment of whether information is to be classified as “environmental” (see paragraph 42 above) and the measures adopted in response (see paragraph 43 above).

*Stating reasons for the refusal of providing information and giving information on access to the review procedures in accordance with article 4, paragraph 7)*

1. The communicant alleges that the Party concerned did not give sufficient reasons for its decision to refuse access to the requested information. The communicant submits that the initial refusal does not indicate whether and how the Ministry has considered the interests in providing access to information.[[60]](#footnote-61)
2. The Party concerned maintains that it has fulfilled the requirements of article 4, paragraph 7, of the Convention to state the reasons for the refusal to provide the requested information.
3. The Party concerned submits that the Ministry’s initial refusal of 19 January 2011 stated that the information requested consisted of documents prepared as part of internal preparations within the Ministries, that the need to safeguard the confidentiality of the internal decision-making procedures of the government outweighed the public interest served by disclosure, and that the request therefore was refused pursuant to Sections 14 and 11 of the FIA provisions. The Party states that the reasons given may be considered a bit brief and the initial rejection did not contain information on the right to request further grounds for the refusal and to appeal pursuant to Section 13 of the EIA. It further submits that, during the handling of the Ombudsman complaint, the Ministry admitted that it had erred in its interpretation of the EIA and in omitting this information.[[61]](#footnote-62) The Party concerned alleges, however, that while there may have been shortcomings in the reasons given in the initial refusal, any such shortcomings were corrected by the Ministry due to the Ombudsman’s proeedings.[[62]](#footnote-63) In this regard, the reasons for the refusal were further explained in the Ministry’s reply to the Ombudsman of 12 April 2011 and even more thoroughly in the Ministry’s reply to the Ombudsman of 19 October 2012.[[63]](#footnote-64)

*Time frames for reconsidering the decision to refuse access to information (article 4, paragraph 9)*

1. The communicant alleges that the time spent by the Ministry to reconsider the request for information amounted to non-compliance with articles 4 and 9 of the Convention, because the Ministry spent approximately eleven months (17 November 2011 to 19 October 2012) to reconsider the request, despite the communicant’s reminder to the Ministry and Ombudsman on 26 January 2012.[[64]](#footnote-65)
2. The communicant submits that both its reminder of 26 January 2012 and the request from the Ombudsman to reconsider the initial decision should be regarded as requests for information and should thus have been responded within the timeframe prescribed for an access to information request.[[65]](#footnote-66) The communicant points to the fact that the complaint was filed on 20 January 2011 and was finalized only on 10 June 2013, when the the Ombudsman provided its final views, i.e. almost 2.5 years later.[[66]](#footnote-67)
3. The Party concerned contends that the requirement for giving a timely response to requests of information in article 4, paragraph 7, is not applicable to requests to reconsider decisions to refuse information. It submits that the Convention does not expressly provide a time-frame for such reconsiderations. The Party concedes that the Ministry's reconsideration could have been handled more swiftly, but states that it does not consider that the time spent by the Ministry to reconsider the request for information is contrary to article 4, paragraph 7, of the Convention.
4. The Party concerned submits that the request for reconsideration of the refusal to provide access to information is part of an appeal procedure under Norwegian law and is thus regulated by article 9, paragraph 1 of the Convention. As such it is not governed by the time-limits set out in article 4 of the Convention and consequently, the time spent to reconsider the request for information was not contrary to article 4, paragraph 7, of the Convention.[[67]](#footnote-68) The Party concerned submits that since a request for reconsideration is regulated by article 9, paragraph 1 of the Convention, there is no need to consider it as a further request for information in order to safeguard the interests of the public in obtaining access to information. The Party concerned submits that an applicant always has the choice between appealing the decision to refuse access to information or submitting a new request, and consequently can choose the procedure he considers will be the best way to safeguard his interests.

*Violations of article 9*

1. The communicant alleges that the procedure of the Ombudsman was in non-compliance with the provisions of article 9 because it took prolonged periods of time to provide the first formal statement (ten months) and the final statement (seven months). In addition, the Ombudsman failed to follow up with the Ministry when it reconsidered its decision and did not adequately address the points raised in the initial complaint and subsequent comments. With regard to the latter point, the communicant submits that that the Ombudsman in particular failed to address the time taken to resolve his complaint and to adequately consider the possibility to provide partial access.[[68]](#footnote-69)
2. The Party contends that the Ombudsman adequately processed the communicant’s claims in accordance with section 11(3) of the EIA and does not agree that the Ombudsman failed to address the delays caused by the Ministry in a way that contravened article 9 of the Convention. The Party concerned submits that the Ombudsman did address the issue of time by sending a reminder to the Ministry on 30 January 2012 and that referring to the time taken in its final decision would not have changed the outcome as regards access to information.[[69]](#footnote-70)
3. With regard to providing partial access, the Party concerned submits that the Ombudsman addressed this issue by explicitly requesting in its statement of 17 November 2011 to consider partial disclosure, inquiring in its letter of 1 March 2013 whether the Ministry had considered this possibility, and stating in its final decision that the assessment by the Ministry could have been more explicit and thorough in that regard.[[70]](#footnote-71)

 III. Consideration and evaluation by the Committee

1. Norway deposited its instrument of ratification of the Convention on 2 May 2003. The Convention entered into force for Norway on 31 July 2003.

***Admissibility***

1. The Committee notes that the communicant filed a complaint to the Ombudsman for Public Administration on 20 January 20112. After ten months, in November 2011, the Ombudsman requested the Ministry to reconsider its decision to deny the request. It took another eleven months for the Ministry to provide its reconsideration, and again turn down the request, which appears to the Committee to be a relatively long time. The communicant then again asked the Ombudsman to consider the case. In June 2013, almost two and a half years after the original request for information, the Ombudsman provided its final answer, expressing some doubts about the Ministry’s decision, but declaring that it would not take any further action. While the Committee cannot rule out that there might have been some other options for bringing the case further under domestic law, taking into account the remedies used, the lengthy time taken already in the domestic procedure and the uncertainty concerning the availability of further remedies, the Committee considers the communication admissible.

***Environmental information - article 2, para. 3***

1. In its initial answer to the communicant, the Ministry refused to provide the information requested, maintaining that it was not environmental information. At a later stage, on the advice of the Ombudsman, the Ministry accepted that the information requested amounted to environmental information, but refused to provide it for other reasons.
2. The Committee wishes to confirm what the Party concerned now acknowledges, namely that the information requested by the communicant indeed amounts to environmental, as under article 2, paragraph 3, of the Convention.

***Procedure to assess whether requested information is environmental information (article 4)***

1. The communicant alleges that the Party concerned has no procedure in place to assess whether requested information is environmental information and that the lack of such a procedure constitutes a breach of article 4, paragraph 1 of the Convention.
2. While article 4 of the Convention obliges the Parties to ensure that public authorities make environmental information available, and sets out a number of procedural requirements to that end, there is no express requirement in article 4 for a specific procedure to be followed when assessing whether requested information is environmental information. The communicant’s allegation might have been framed as an allegation under article 3, paragraph 1 of the Convention that the Party concerned had failed to take the necessary measures to establish and maintain a clear, transparent and consistent framework to implement article 4, paragraph 1 of the Convention. However, in this case, nothing turns on this point, since the communicant has provided no evidence that would indicate that Party concerned’s public authorities systematically fail to correctly identify environmental information in practice and that the measures in place in the Party concerned to handle information requests are therefore inadequate in this regard.
3. In light of the above, the Committee finds the communicant’s allegation that the Party concerned has failed to comply with article 4, by not having put in place a procedure to assess whether requested information is environmental information, to be unsubstantiated.

***Article 4, paragraph 3(c) – meaning of “internal communications”***

1. The Party concerned contends that the information requested was validly refused on the basis of the exception in article 4, paragraph 3(c), of the Convention concerning the internal communications of public authorities. As to what constitutes “internal communications” for the purposes of article 4, paragraph 3(c), the Committee notes that the term is not expressly defined in the Convention. Nevertheless, the Committee considers that the underlying purpose of such an exception is to give a public authority’s officials the possibility to exchange views freely. Accordingly, not every document that is communicated internally can be considered as an “internal communication”. For instance, factual matters and the analysis thereof may be distinguished from policy perspectives or opinions.
2. The communicant claims that the information he sought concerned the legal analyses concerning the Nature Diversity Act, rather than political statements, in which he had no interest. The Party concerned counters that the legal arguments were intertwined with political arguments and that therefore none of the documents could be disclosed.[[71]](#footnote-72) While the Committee cannot verify whether that was the case with regard to the specific documents, it has nothing before it that would demonstrate this to be untrue. The Committee notes, however, that if it were shown that the public authorities routinely denied access to information, including assessments (legal, environmental, technical or otherwise), by referring to it as internal communication, thus denying access to assessments informing its internal decision-making relating to the environment, this could very well constitute non-compliance with article 4, paragraph 1 of the Convention. However, having nothing before it to demonstrate that this was the case here, the Committee does not find the Party concerned to be non-compliant in this respect.

***Article 4(3)(c) - taking into account the public interest served by disclosure***

1. The communicant alleges that the authorities of the Party concerned failed to consider and to specify how “the public interest served by disclosure” was taken into account as required by article 4, paragraph 3(c) of the Convention. The Party concerned concedes that there may have been shortcomings in considering the public interest in the Ministry’s initial response of 19 January 2011, but submits that the Ministry rectified its earlier failure in its reconsideration decision of 19 October 2012.
2. It indeed appears to the Committee that the public interest in disclosure was not adequately considered in the Ministry’s initial response to the communicant’s request, not least because the public authorities did not consider the request to concern environmental information. The Party concerned’s general access to information legislation provides for potential enhanced access for information where “the interest of public access outweighs the need for exemption” (section 11 of the Freedom of Information Act), and this was also allegedly tested for by the Ministry at the time of its initial response.[[72]](#footnote-73) Still, the Committee considers that, in the context of a request for environmental information, an assessment of the public interest in disclosure is not complete without weight being given to the fact that the information relates to the environment, including whether the information requested relates to emissions into the environment.
3. However, the Committee accepts that the initial failure to properly take into account the public interest in disclosure was rectified, although belatedly, in the Ministry’s reconsideration of 19 October 2012 which expressly recognized the public interest in disclosure, namely:

“The Ministry assumes that the interests served by Fauchald being given access to the information for use in his academic article are relevant pursuant to section 11 [of the Environmental Information Act], and that academic articles may play an important role in setting the agenda for public debate”.

1. While the Ministry’s reconsideration decision concluded that the interests being served by not disclosing the information outweigh those being served by disclosure,[[73]](#footnote-74) the Committee considers that in coming to that conclusion, the Ministry took into account the public interest served by disclosure and specified how it had done so. The Committee accordingly does not find the Party concerned to be in non-compliance with article 4, paragraph 3(c) of the Convention in this regard.

***Article 4, paragraph 6 - partial disclosure***

1. The communicant alleges that the Party concerned did not consider whether parts of the requested information could be made available, as required by article 4, paragraph 6 of the Convention. The Party concerned concedes that this possibility was not referred to in the Ministry’s initial response of 19 January 2011, but submits that this shortcoming was later rectified in its reconsideration decision of 19 October 2012.
2. The Committee notes that the last paragraph of the Ministry’s reconsideration decision of 19 October 2012 indeed demonstrates that it did at that stage consider whether or not it was possible to disclose parts of the requested documents. It decided that the information could not be separated in all but one document, which was disclosed on the Ministry’s website.[[74]](#footnote-75) As noted above, the Committee does not have evidence before it to indicate that this conclusion was incorrect in the present case.
3. The communicant further alleges that in general, there is no effective procedure within the Ministry, or more broadly within public authorities of the Party concerned, to effectively ensure partial disclosure. As with the communicant’s contention concerning the Party concerned’s alleged failure to put in place a procedure to determine whether requested information is environmental information (see paragraph 68 above), the Committee considers the communicant’s allegation might have been more suitably framed as an allegation under article 3, paragraph 1 of the Convention, i.e. that the Party concerned has failed to take the necessary measures to establish and maintain a clear, transparent and consistent framework to implement article 4, paragraph 6 of the Convention. However, again, nothing turns on that point since the communicant has provided no evidence that would indicate that the Party concerned’s public authorities routinely fail to separate out and disclosure information not exempted from disclosure and that the measures in place in the Party concerned to handle information requests are therefore inadequate in this regard.
4. In the light of the above, the Committee finds the communicant’s allegations that the Party concerned has failed to comply with article 4, paragraph 6, both with respect to his specific information request and through a failure to put in place procedures more generally, to be unsubstantiated.

***Article 4, paragraph 7 - stating the reasons for refusal***

1. The communicant alleges that the Party concerned did not give adequate reasons to substantiate its decision to refuse access to the requested information as required by article 4, paragraph 7 of the Convention. The Party concerned concedes that there may have been shortcomings with respect to the reasons given in the Ministry’s initial response dated 17 January 2011, but contends that the Ministry rectified its earlier failure in the reconsideration decision dated 19 October 2012.
2. The Committee notes that the duty to state reasons is of great importance, not least to enable the applicant to be in a position to challenge the refusal for information under the procedures stipulated in article 9, paragraph 1 of the Convention. It is, therefore, inadequate if these reasons are only provided at a very late stage, as the applicant will potentially only then be able to fully formulate the grounds for challenging the decision.
3. Nevertheless, the Committee considers that in the present case, the Ministry’s original failure to state sufficient reasons for refusing the communicant’s request was rectified in its reconsideration decision of 19 October 2012 (see in particular the third paragraph of page 3 of that decision). In keeping with its findings in paragraphs 74-71 above, the Committee does not find the Party concerned to be in non-compliance with article 4, paragraph 7, of the Convention.

***Article 4, paragraph 7 – time- frame for refusal***

1. The communicant alleges that the recommendation in the Ombudsman’s statement of 17 November 2011 that the Ministry re-assess the communicant’s information request should be treated as a new information request for the purposes of article 4 of the Convention and that the Ministry was therefore required to notify the communicant of the outcome of its reconsideration decision within the time-frame set in article 4, paragraph 7 of the Convention. The Committee is not persuaded by this line of argument. First, the communicant did not make a new request for information to the Ministry, as under article 4. Second, the Ombudsman, being a public authority, cannot make a request for information to the Ministry under article 4 of the Convention when acting in accordance with its powers for “completion of the Ombudsman’s procedure” as set out in section 10 of the Parliamentary Ombudsman Act (see paragraph 21 above). For these reasons, the Committee finds the allegation that, because of the long time for the Ministry to react the Ombudsman’s request, the Party concerned failed to comply with article 4, paragraph 7, unsubstantiated.

***Article 9, paragraph 1 - applicability to Parliamentary Ombudsman***

1. Article 9, paragraph 1 of the Convention requires Parties to ensure that any person who considers that his or her request for information under article 4 of the Convention has not been dealt with in accordance with that article to have access to a review procedure before a court of law or another independent and impartial body established by law. Furthermore, where a Party provides for such a review by a court of law, it shall also ensure that there exists an expeditious procedure established by law that is free of charge or inexpensive for reconsideration by a public authority or review by an independent and impartial body other than a court of law.
2. As indicated by the Party concerned, it provides for access before a court of law in cases where requests under article 4 of the Convention have been refused. Additionally, the Party concerned provides for two mutually exclusive alternative routes (see paragraph 37 above) of which the Ombudsman proceedings constitute one. Neither the communicant nor the Party concerned dispute the competence of the Ombudsman to review access to information requests. In fact, the communicant submits that, in the majority of cases, the Ombudsman is the review procedure addressed by members of the public seeking a remedy in cases where access to information requests have been refused. The Committee considers that, under the Party concerned’s legal framework, the Parliamentary Ombudsman is an inexpensive, independent and impartial body established by law through which members of the public can request review of an information request made under article 4 of the Convention. The Committee therefore find that the Party concerned’s Parliamentary Ombudsman constitutes a review procedure within the scope of article 9, paragraph 1, second indent of the Convention.

***Article 9, paragraph 1, second indent and article 9, paragraph 4- “expeditious” and “timely”***

1. While the Committee does not find the lengthy procedures following the Ombudsman’s request to amount to non-compliance with article 4, paragraph 7, of the Convention, it will now examine whether this procedure complied with article 9 of the Convention. Article 9, paragraph 4, of the Convention requires that the procedures referred to in article 9, paragraphs 1 to 3, of the Convention provide inter alia adequate and effective remedies and are fair, equitable and timely. This provision is applicable to all remedies within the scope of article 9 of the Convention, including those referred to in article 9, paragraph 1, second indent.
2. Article 9, paragraph 1, second indent, sets out that procedures within the scope of that indent be “expeditious”, a reference lacking in regard to the other remedies in article 9 of the Convention. Procedures under article 9, paragraph 1, second indent, of the Convention will potentially be used prior to seeking review by a court of law under the first indent of article 9, paragraph 1, which may justify the imposition of the additional requirement on authorities to act without undue delay. The Committee notes in that regard that time is an essential factor in many access to information requests, for instance because the information may have been requested to facilitate public participation in an ongoing decision-making procedure.
3. The Committee is concerned about the time taken for the completion of the Ombudsman procedure in the communicant’s case, i.e. nearly two and a half years (20 January 2011-10 June 2013). The Committee also notes that nowhere in the documentation before it, does the Ombudsman appear to have instructed the Ministry to respond within a certain time or even to request it to reply in a timely or expeditious manner. This is despite the communicant’s letter of 26 January 2012 requesting the Ombudsman to consider the Ministry’s delay under section 11 of the Parliamentary Ombudsman Act.
4. Of the two and a half years taken to conclude the Ombudsman’s procedure, the Committee considers two periods to be of particular concern. First, the 11 months taken by the Ministry to issue its reconsideration decision of 19 October 2012, and second, the nearly 8 months taken thereafter for the Ombudsman to issue its final conclusion of 10 June 2013. In this context, the Committee notes that a number of the Parties to the Convention impose explicit deadlines for public authorities to reconsider a refusal of an information request. While article 4, paragraphs 2 and 7, do not directly apply to such reconsideration, the Committee sees no reason why a public authority should need more time to reconsider its decision at the request of an Ombudsman, a court or the original applicant, than when deciding a request for information by a member of the public in the first place. Accordingly, when considering in these contexts whether the procedure is “expeditious” or “timely” under article 9, paragraphs 1 and 4, respectively, the time limits set out in article 4, paragraphs 2 and 7, are indicative.
5. Considering the time taken (nearly two and a half years) for the completion of the Ombudsman procedure, and in particular the time taken for the Ministry’s reconsideration decision (11 months) and the Ombudsman’s final conclusion (nearly 8 months thereafter), the Committee finds that in this case the Party concerned failed to comply with the requirements in article 9, paragraph 1, second indent, and article 9, paragraph 4, to ensure an “expeditious” and “timely” procedure.
6. The Committee emphasises the importance that the Party concerned take necessary measures to ensure that the review procedures and remedies related to requests for environmental information from the Ministry are timely. However, taking into consideration that no evidence has been presented to substantiate that the non-compliance in this case was due to a systemic error, the Committee refrains from presenting any recommendations.[[75]](#footnote-76)

 IV. Conclusions and recommendations

1. Having considered the above, the Committee adopts the findings and recommendations set out in the following paragraphs.
2. Main findings with regard to non-compliance
3. The Committee finds that that the review procedure before the Parliamentary Ombudsman failed to comply with the requirement in article 9, paragraph 1, second indent, to be “expeditious” and in article 9, paragraph 4, to be “timely”.
4. Taking into consideration that no evidence has been presented to substantiate that the non-compliance with article 9, paragraphs 1 and 4, was due to a systemic error, the Committee refrains from presenting any recommendations in the present case.

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1. This section summarizes only the main facts, evidence and issues considered to be relevant to the question of compliance, as presented to and considered by the Committee. [↑](#footnote-ref-2)
2. Act of 9 May 2003 No. 31, English version, page http://www.regjeringen.no/en/doc/laws/acts/environmental-information-act.html?id=173247 (ensure that the English tab at corner of the screen has been selected). NB. The English version online states “Translation for information use only. Amendments after 2003 is not translated”. [↑](#footnote-ref-3)
3. http://www.ub.uio.no/ujur/ulovdata/lov-20060519-016-eng.pdf. [↑](#footnote-ref-4)
4. Response to the communication, page 25. [↑](#footnote-ref-5)
5. Act relating to the Parliamentary Ombudsman for Public Administration (the Parliamentary Ombudsman Act) Act of 22 June 1962 No. 8. [↑](#footnote-ref-6)
6. Communication, page 1. [↑](#footnote-ref-7)
7. Annex 1 to email from the Party concerned, dated 2 February 2015. [↑](#footnote-ref-8)
8. [↑](#footnote-ref-9)
9. Annex 1 to email from the Party concerned, dated 2 February 2015. [↑](#footnote-ref-10)
10. Annex 4 to email from the Party concerned, dated 2 February 2015. [↑](#footnote-ref-11)
11. Response to communication, page 4. [↑](#footnote-ref-12)
12. Communication, page 1. [↑](#footnote-ref-13)
13. Communication, page 1, and response to communication, page 4. [↑](#footnote-ref-14)
14. Annex 10 to communication, page 1. [↑](#footnote-ref-15)
15. Act relating to the Storting’s Ombudsman for Public Administration (the Parliamentary Ombudsman Act) of 22 June 1962 No. 8. [↑](#footnote-ref-16)
16. Annex 10 to communication, page 1. [↑](#footnote-ref-17)
17. Annex 5 to email from the Party concerned, dated 2 February 2015. [↑](#footnote-ref-18)
18. Communication, page 2 referring to Annex 11 (not translated). [↑](#footnote-ref-19)
19. Response to communication , page 4. [↑](#footnote-ref-20)
20. Communication, page 2 referring to Annex 12 (not translated). [↑](#footnote-ref-21)
21. Annex 6 to email from the Party concerned, dated 2 February 2015. [↑](#footnote-ref-22)
22. Communication, page 2, referring to Annex 13. Not translated. [↑](#footnote-ref-23)
23. Communication, page 2, third paragraph, and see Annex 14. [↑](#footnote-ref-24)
24. Communication, page 2. [↑](#footnote-ref-25)
25. Party concerned, page 4. [↑](#footnote-ref-26)
26. Communication, page 2, referring to Annex 4. [↑](#footnote-ref-27)
27. Annex 7 to email from the Party concerned, dated 2 February 2015. [↑](#footnote-ref-28)
28. Communication, page 2. [↑](#footnote-ref-29)
29. Communication, page 5. [↑](#footnote-ref-30)
30. Communication, page 5. [↑](#footnote-ref-31)
31. http://offentlighet.no/Nyhetsarkiv/2013/Dokumentinnsyn-til-tingretten (only in Norwegian) [↑](#footnote-ref-32)
32. Response to communication, page 29. [↑](#footnote-ref-33)
33. EIA, section 15, last paragraph, FIA, section 32 (1) and OA, section 4 (1) (b). Response to communication , page 28. [↑](#footnote-ref-34)
34. Response to communication, page 28. [↑](#footnote-ref-35)
35. Response to communication, pages 28-29. [↑](#footnote-ref-36)
36. Response to communication, page 29. [↑](#footnote-ref-37)
37. http://sivilrett.no/free-legal-aid.307230.no.html. [↑](#footnote-ref-38)
38. Disputes Act, section 20-2 (2). [↑](#footnote-ref-39)
39. Disputes Act, section 20- 2(3). Response to communication, page 29. [↑](#footnote-ref-40)
40. Communication, page 4. [↑](#footnote-ref-41)
41. Response to communication, page 24. [↑](#footnote-ref-42)
42. Response to communication, page 25. [↑](#footnote-ref-43)
43. Response to communication, page 25. [↑](#footnote-ref-44)
44. Response to communication, pages 25-6. [↑](#footnote-ref-45)
45. Communication, page 2. [↑](#footnote-ref-46)
46. Communicant’s opening statement at the Committee’s 47th meeting, page 1. [↑](#footnote-ref-47)
47. Ibid. [↑](#footnote-ref-48)
48. Response to communication, page 5. [↑](#footnote-ref-49)
49. Response to communication, page 10. [↑](#footnote-ref-50)
50. Communication, page 2-3. [↑](#footnote-ref-51)
51. Response to communication, page 9. [↑](#footnote-ref-52)
52. Response to communication, page 9-10. [↑](#footnote-ref-53)
53. Response to communication, page 10. [↑](#footnote-ref-54)
54. Communication, page 3. [↑](#footnote-ref-55)
55. Communication, page 4. [↑](#footnote-ref-56)
56. Response to communication, page 13. [↑](#footnote-ref-57)
57. Response to communication, page 13. [↑](#footnote-ref-58)
58. Response to communication, page 13. [↑](#footnote-ref-59)
59. Response to communication, page 15. [↑](#footnote-ref-60)
60. Communication, page 3. [↑](#footnote-ref-61)
61. Response to communication, page 18. [↑](#footnote-ref-62)
62. Response to communication, page 11. [↑](#footnote-ref-63)
63. Response to the communication, page 12. [↑](#footnote-ref-64)
64. Communication, page 3. [↑](#footnote-ref-65)
65. Communication, page 3 and communicant’s opening statement at the Committee’s 47th meeting, p. 3. [↑](#footnote-ref-66)
66. Annex 15 summary. [↑](#footnote-ref-67)
67. Response to communication , page 15. [↑](#footnote-ref-68)
68. Communication, page 3. [↑](#footnote-ref-69)
69. Response to communication, page 22. [↑](#footnote-ref-70)
70. Response to communication, page 22. [↑](#footnote-ref-71)
71. Opening Statement of the Party concerned at the 47th Compliance Committee meeting, p. 2. [↑](#footnote-ref-72)
72. Annex 1 to email of the Party concerned, dated 2 February 2015, page 2, enclosing email of the Ministry, dated 19 January 2011. [↑](#footnote-ref-73)
73. Annex 6 to email of the Party concerned, dated 2 February 2015, entitled “Ministry’s letter to the Ombudsman, 19 October 2012”, page 3. [↑](#footnote-ref-74)
74. Annex 6 to email of the Party concerned, dated 2 February 2015, entitled “Ministry’s letter to the Ombudsman, 19 October 2012” page 3. [↑](#footnote-ref-75)
75. See the Committee’s findings on communication ACCC/C/2008/23 (United Kingdom) in which the Committee also refrained from presenting any recommendations since the failure to comply was not due to a systemic error. [↑](#footnote-ref-76)