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## Global Registry

**Created on 18 November 2004, pursuant to Article 6 of the Agreement concerning the establishing of global technical regulations for wheeled vehicles, equipment and parts which can be fitted and/or be used on wheeled vehicles (ECE/TRANS/132 and Corr.1) done at Geneva on 25 June 1998**

## **Addendum 22: United Nations Global Technical Regulation No. 22**

### **United Nations Global Technical Regulation on In-Vehicle Battery Durability for Electrified Vehicles**

(Established in the Global Registry on 9 March 2022)

## **Appendix**

Proposal and report pursuant to Article 6, paragraph 6.2.7. of the Agreement

- Authorization to develop a new UN GTR on in-vehicle battery durability (ECE/TRANS/WP.29/AC.3/57).
- Final status report on the development of a new UN Global Technical Regulation on In-Vehicle Battery Durability for Electrified Vehicles (ECE/TRANS/WP.29/2022/46), adopted by AC.3 at its sixty-third session (ECE/TRANS/WP.29/1164, paras. 136 and 137).



UNITED NATIONS



## **Authorization to develop a new United Nations Global Technical Regulation on in-vehicle battery durability**

### **I. Mandate and objectives**

1. In the framework of the 1998 Agreement and under continued work by the informal working group (IWG) on Electric Vehicles and the Environment (EVE), the main objective of this proposal is to seek authorization for the IWG on EVE to begin a new mandate, specifically to develop a new UN GTR on the topic of in-vehicle battery performance and durability.
2. The IWG on EVE and IWG on Worldwide harmonized Light vehicles Test Procedure (WLTP) will continue collaborating, to ensure each group's work is complimentary to the other, and avoids any duplication of effort.

### **II. Introduction**

3. The IWG on EVE was set up in June 2012 following the approval by WP.29 of ECE/TRANS/WP.29/AC.3/32. This document established two distinct IWGs to examine environmental and safety issues related to EVs (IWGs on EVE, reporting to the Working Party on Pollution and Energy (GRPE) and the IWG on Electric Vehicle Safety (EVS), reporting to the Working Party on Passive Safety (GRSP)). As the two groups were formed at WP.29, they also reported to this forum directly. The proposal was supported by the European Commission, Directorate General for Internal Market, Industry, Entrepreneurship and SMEs (DG GROW), the National Highway Traffic Safety Administration (NHTSA) and the Environmental Protection Agency (EPA) of the United States of America, the Ministry of Industry and Information Technology (MIIT) of China, and Japan's Ministry of Land, Infrastructure, Transport and Tourism (MLIT).
4. During the first mandate of the IWG on EVE, the IWG aimed to accomplish the following objectives, which were successfully completed by November 2014:
  - (a) Develop a priority list of topics to address the most timely and significant considerations before the IWG on EVE;
  - (b) Understand and document the current considerations of Electric Vehicles (EVs) under the work of other established informal working groups: the IWGs on Electric Vehicle Safety (EVS), WLTP, Heavy Duty Hybrids (HDH), Environmental and Propulsion unit Performance Requirements (EPPR), and on Vehicle Propulsion System Definitions (VPSD);
  - (c) Establish a mechanism for sharing information and on-going research on topics related to EVs and the environment;
  - (d) Develop a reference guide for environmentally-related EV requirements already established or being considered by Contracting Parties (EV Regulatory Reference Guide (ECE/TRANS/WP.29/2014/81)).
5. The Guide<sup>1</sup> (ECE/TRANS/WP.29/2014/81), based on the information provided by the Contracting Parties and IWGs, presented the existing requirements relating to environmentally-related EV attributes at the time of the Guide's development (September 2013). As presented in Chapter 5 of the Guide, the analysis of such information led to the identification of gaps in requirements that could be addressed through the development of new GTR(s), and/or through supplementing the GTR(s) that are currently under development (i.e. WLTP, EPPR), and/or through other suitable efforts, like research.
6. Subsequently, a second mandate for the IWG on EVE, divided into Parts A and B was approved in November 2014 by AC.3 to conduct additional research to address the recommendations outlined in Chapter 5 of the Guide and EV power determination, and

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<sup>1</sup> Available at: <https://unece.org/2014-8>

develop GTR(s), if appropriate. The second mandate was separate from the IWG on EVs. Parts A and B of the second mandate addressed the following:

(a) Battery performance and durability (recommendation 5.3, ECE/TRANS/WP.29/2014/81);

(b) Determining the powertrain performance (maximum power and torque) of EVs.

Information-sharing issues addressed in Part A and B.

(a) Method of stating energy consumption (recommendation 5.2, ECE/TRANS/WP.29/2014/81);

(b) Battery recycling/recyclability (recommendation 5.4, ECE/TRANS/WP.29/2014/81).

7. In November 2016, Part A of the second mandate was completed. At the June 2016 GRPE meeting, the IWG on EVE presented a technical document (GRPE-73-24) that indicated the areas of research to pursue on battery performance and durability. It indicated that there was sufficient information available to move forward with a UN GTR on determining the powertrain performance of electrified vehicles. The technical report also recommended that battery recycling or recyclability was not a topic suitable to pursue within the forum of the IWG on EVE. The IWG on EVE indicated that it was willing to support efforts to develop a method of stating energy consumption of electrified vehicles if another body within the UNECE framework led them, but that the IWG on EVE could not lead the work independently as it required the input of experts in the generation and distribution of electricity.

8. The IWG on EVE pursued several topics in part B of the second mandate, based on the findings from part A in the technical report presented to GRPE in June 2016 (GRPE-73-24):

(a) Developing a UN GTR for powertrain performance

(b) Continuing research on in-vehicle battery durability and performance

(c) Finding new leadership for the previous method of stating energy consumption work

9. AC.3 approved document ECE/TRANS/WP.29/2019/33 in March 2019, which instructed the IWG on EVE to develop the UN GTR for power determination of electrified vehicles as a standalone UN GTR, rather than an annex to UN GTR no. 15.

10. The IWG on EVE presented a draft status report to GRPE in May 2019 on the work on the method of stating energy consumption and research on in-vehicle battery durability and performance. Based on the content of this status report, the IWG on EVE requested authorization to continue work for one additional year on the UN GTR on power determination, which was subsequently endorsed by WP.29 in November 2019.

11. The status report indicated that there is sufficient information to allow a UN GTR for in-vehicle battery durability to be started, with the intent of achieving the following general goals:

(a) Establishing minimum durability performance criteria and developing guidelines for acceptable evidence that the requirements will be met;

(b) Establishing measures to prevent substandard products from entering the market;

(c) Allowing adequate room for continued development of the regulation as the industry continues to evolve; and

(d) Implementing a mechanism for the collection of data that could provide a basis for refining the UN GTR in the future.

12. Owing to the specific set of goals outlined above that place specific constraints on the possible scope of a UN GTR at this time, the IWG on EVE recommended at the 79<sup>th</sup> GRPE

in May 2019 that the UN GTR on in-vehicle battery durability be developed under a new mandate.

### III. Areas of work

13. AC.3 authorized the IWG on EVE to develop a new UN GTR on in-vehicle battery durability which will be developed in 2 phases:

Phase 1:

(a) Deliver a first version of a UN GTR on in-vehicle battery durability to AC.3 by November 2021 with;

- (i) Definition of and requirements for electrified vehicle battery performance criteria
- (ii) Requirements for reading and/or displaying battery health information and usage data from the vehicle; and
- (iii) A provisional in-service conformity test which will include generic usage criteria and a statistical method.

Phase 2:

(b) Develop a second version of the UN GTR on in-vehicle battery durability with the following:

- (i) The development of a methodology to define Normal Usage Indices (NUI) based on data read from vehicles
- (ii) Refined performance criteria requirements for in-vehicle battery durability through assessment of further modelling and data collected from real vehicles and the use of NUIs

### IV. Existing regulations

14. Battery durability as it affects the environmental or driving range performance of M- and N-class vehicles is not currently regulated by any UN GTR. It is known that electrically powered driving range of PEVs and OVC-HEVs can be reduced by battery degradation over time. It is also known that changes in environmental performance (pollutant emissions and energy consumption) can potentially occur in all electrified vehicle types as a result of battery degradation. The contracting parties sponsoring this activity agree to address that a UN GTR governing battery durability for these vehicles is necessary.

### V. Timeline

15. The timelines proposed below for the new mandate are target timelines. The plan will be regularly reviewed and updated to reflect progress and feasibility of the timeline.

(a) January 2020: IWG on EVE presents timeline and framework for mandate request in GRPE.

(b) June 2020: Request for authorization submitted to AC.3;

(c) January 2020 – June 2020: IWG on EVE formulates drafting group and begins drafting UN GTR with elements agreed upon

(d) June 2020: IWG on EVE provides an update to the June 2020 meeting of GRPE with the detailed elements and proposed timelines to be pursued;

(e) June 2020 – December 2020: IWG on EVE begins validation testing of relevant aspects of the proposed procedure, assesses test results and makes further UN GTR changes as necessary

(f) January 2021: IWG on EVE presents to GRPE

- (i) A status update of the first UN GTR validation results;
- (ii) First draft UN GTR proposal, both as informal documents for the January 2021 session of GRPE for further discussion and recommendation.
- (g) January 2021 – March 2021:
  - (i) IWG on EVE revises draft proposal based on discussions and recommendations from GRPE and;
  - (ii) Submits the draft UN GTR for transmission as a formal document for the June 2021 GRPE session
- (h) June 2021: IWG on EVE presents Final UN GTR to GRPE at June 2021 meeting for endorsement.
- (i) November 2021: establishment of the UN GTR by AC.3 in the Global Registry.
- (j) June 2021-January 2024: IWG on EVE continues information gathering on possible modifications to the UN GTR and develops amendments to the UN GTR for consideration by WP.29 and AC.3, as deemed appropriate.

*Note:* Owing to the lack of prior examples for regulating battery durability, the need to reach consensus among a diversity of parties, and the need to develop and validate new metrics such as usage indices and performance criteria, AC.3 allowed the IWG on EVE up to 1 extra year beyond the timelines above for UN GTR development, if more time is needed to develop, validate, and/or reach consensus on solutions to these issues.

# **Final status report on the development of a new UN Global Technical Regulation on In-Vehicle Battery Durability for Electrified Vehicles**

## **I. Introduction**

1. Owing to the pressing need to reduce emissions of greenhouse gases (GHG) and other air pollutants, the market share of electrified vehicles is expected to grow in the future. A key component of these vehicles is the traction battery that is used to store and deliver energy to power the movement of the vehicle and the systems within it. Improvements in the performance of batteries to deliver increased driving range, reduced charging times and greater affordability are a significant focus for manufacturers and technological developments in this area are expected to accelerate the uptake of electrified vehicles by consumers.

2. Despite the expected improvements in the performance of new electrified vehicles, the continued in-use performance of the battery over time is not currently regulated. The primary motivation for the development of a GTR on in-vehicle battery durability therefore stems from the recognition that the environmental performance of electrified vehicles may be affected by excess degradation of the battery system over time.

3. Loss of electric range and loss of vehicle energy efficiency are both primary concerns. Loss of electric range could lead to a loss of utility, meaning electric vehicles are driven less and therefore displace less distance travelled that might otherwise be driven in conventional vehicles. A loss in utility could also dampen consumer sentiment and curb the market growth necessary for electric vehicle sales to deliver on fleet emissions reductions. Loss of vehicle efficiency could impact the upstream emissions by increasing the amount of electricity needed per vehicle distance travelled. Both can affect not only the utility of the vehicle to the consumer, but also the environmental performance of the vehicle. Loss of environmental performance is important, in particular because governmental regulatory compliance programs often credit electrified vehicles with a certain level of expected environmental benefit, which might not be realized over the life of the vehicle if excess battery degradation occurs.

4. In addition to changes in range and energy consumption, hybrid electric vehicles are often equipped with both a conventional and electric powertrain, and for these vehicles the criteria pollutant emissions from the conventional powertrain could be impacted by the degradation of the battery over time.

5. The development of a GTR on in-vehicle battery durability therefore aims to provide a harmonized methodology to address these concerns by introducing a method by which the health of the battery can be monitored over time and by setting minimum performance requirements for the durability of the battery.

## **II. Procedural background**

6. The Informal Working Group (IWG) on Electric Vehicle and the Environment (EVE) was set up in June 2012 following the approval by WP.29/AC.3 of ECE/TRANS/WP.29/AC.3/32. This document established two distinct IWGs to examine environmental and safety issues related to Electric Vehicles (EVs): the IWG on EVE, reporting to the Working Party on Pollution and Energy (GRPE), and the IWG on Electric Vehicle Safety (EVS), reporting to the Working Party on Passive Safety (GRSP). The proposal was supported by the European Commission, the United States of America, China, and Japan.

7. A second mandate for the IWG on EVE, divided into Parts A and B was approved in November 2014 by AC.3 to conduct additional research to address several recommendations that grew out of the first mandate, and develop UN GTR(s), if appropriate. The second mandate was separate from the IWG on EVS.

8. Part A of the second mandate of the IWG on EVE (ECE/TRANS/WP.29/AC.3/40) included “battery performance and durability” as one of the topics authorized for study and potential GTR development. Specifically, Part A authorized activity “to further develop the recommendations for future work outlined in the Electric Vehicle Regulatory Reference Guide by:

- (a) Conducting additional research to support the recommendations;
- (b) Identifying which recommendations are suitable for the development of Global Technical Regulation(s) (GTR(s)) by the World Forum for Harmonization of Vehicle Regulations (WP.29);
- (c) Developing a work plan.

9. The work of the IWG on EVE on battery performance and durability under Part A of the EVE mandate was reported to WP.29 in a status report as informal document WP.29-170-31 at the 170th meeting of WP.29, 15-18 November 2016.

10. At the close of Part A the IWG on EVE recommended that GRPE and WP.29 endorse the option of extending the mandate of the IWG on EVE to continue active research into the topic of battery performance and durability without committing to the development of a GTR at that time. This was endorsed and work continued on this topic within Part B of the mandate.

11. The IWG on EVE presented a draft status report to GRPE in May 2019 on the research on in-vehicle battery durability and performance. The status report indicated that there was sufficient information to allow a UN GTR for in-vehicle battery durability to be started. The IWG on EVE recommended at the 79th GRPE session in May 2019 that the UN GTR on in-vehicle battery durability be developed under a new mandate.

12. AC.3 subsequently approved document ECE/TRANS/WP.29/AC.3/57 authorizing the IWG on EVE to develop a new UN GTR on in-vehicle battery durability which will be developed in 2 phases:

(a) Phase 1: deliver a first version of a UN GTR on in-vehicle battery durability to AC.3 by November 2021 with:

- (i) Definition of and requirements for electrified vehicle battery performance criteria;
- (ii) Requirements for reading and/or displaying battery health information and usage data from the vehicle;
- (iii) A provisional in-service conformity test which will include generic usage criteria and a statistical method.

(b) Phase 2: develop a second version of the UN GTR on in-vehicle battery durability with the following:

- (i) The development of a methodology to define Normal Usage Indices (NUI) based on data read from vehicles;
- (ii) Refined performance criteria requirements for in-vehicle battery durability through assessment of further modelling and data collected from real vehicles and the use of NUIs.

13. This report covers the development of the first version of the UN GTR under phase 1 of the mandate.

### **III. Development of the UN GTR**

14. Following several years of information gathering and deliberation among the IWG members on the feasibility of drafting a UN GTR, the UN GTR was developed over the course of around 20 IWG meetings over approximately two years, with 50 to 60 attendees participating in the meetings. The meetings and development process are transparent. Documents and reports generated for all of the IWG meetings are posted on the UN website:

<https://wiki.unece.org/pages/viewpage.action?pageId=2523151>

15. The governing committee of the IWG comprises of a Chairperson, two Vice-Chairs, and a Technical Secretary. A drafting coordinator is typically appointed for the drafting of specific UN GTRs. Chairperson is taken by the representatives of the United States and the Vice-Chairs are taken by the representatives of Japan and China. The Technical Secretary is taken by the representative(s) of Canada. For this UN GTR, the drafting coordinator was a representative of the European Commission.

16. Other members of the group who have contributed to the development of the UN GTR include representatives from many other Contracting Parties, automotive industry trade association groups, vehicle manufacturers, and technical experts.

17. The main discussions on the development of the UN GTR commenced at the 34th session of the IWG on EVE and focussed on the format and content of the UN GTR. A framework for the UN GTR was soon developed that centred around the concepts of a minimum performance requirement (MPR) for the in-vehicle battery, a readable on-board battery health monitor, an in-use verification procedure for assessment of the health monitor and a data collection process for assessment of durability against the MPR.

18. The framework established also provided the means by which to collect data for ongoing development of the UN GTR in a phase 2, together with negating the need to conduct validation testing in the course of preparing the UN GTR.

19. Early agreement was reached that the UN GTR should not seek to dictate the algorithm used by the manufacturer in determination of on-board battery health metrics, but instead provide a means to ensure the accuracy of any values through in-use verification. Two metrics were created, named the state of certified energy (SOCE) and the state of certified range (SOCR), which would form the basis for assessment within the UN GTR.

20. Early agreement was also reached that the UN GTR would not seek to create new test methods for assessment of vehicle range and battery energy, but instead rely upon the test procedures already employed within a Contracting Party for those purposes.

21. Initial drafting of the UN GTR started in the 37th session of IWG on EVE and an increased frequency of meetings was commenced in recognition of the significant work required on drafting and the novel basis of this UN GTR.

22. The IWG spent a significant amount of time considering appropriate MPR values that would prevent underperforming products entering the market whilst still being technically feasible for manufacturers. A number of data sources were considered in order to try and understand the performance of electric vehicles within the current fleet. A consensus amongst Contracting Parties was eventually reached at the beginning of 2021, which resulted in the establishment of MPRs based upon SOCE that are included within this UN GTR. A view was taken that SOCR would be monitored but not subject to an MPR requirement in phase 1 of the UN GTR for potential inclusion of range-based MPRs in phase 2.

23. Other key areas that the IWG focussed on included: the creation of family definitions for both the verification of on-board monitors and the assessment of battery durability, the statistical procedure for assessment of accuracy requirements for the on-board monitors, the handling of vehicles that have been used atypically or for vehicle-to-grid, and the definition of usable battery energy for the purposes of this UN GTR.

24. IWG on EVE has kept GRPE up to date on the development of the UN GTR. This included sharing a first draft of the proposed UN GTR as an informal document at the 82nd session of GRPE in January 2021 (see informal document GRPE-82-27). A second draft was also submitted as a working document (ECE/TRANS/WP.29/GRPE/2021/18) at the 83rd session of GRPE in June 2021, but it was necessary to defer consideration of the proposal pending agreement on the final provisions of the UN GTR within the IWG on EVE. A special November session of GRPE was therefore requested, in which a final draft would be presented.

25. Key outstanding issues that needed to be resolved in order to finalize the UN GTR included:



- (a) Agreement on handling of vehicles that had not been subject to normal use;
- (b) Allowances for vehicles that have been used for vehicle-to-grid;
- (c) Agreement on a statistical procedure for verification of on-board monitors; and
- (d) Finalization of usable battery energy definitions.

26. A breakout group was established to explore options for the statistical method used in Part A (Verification of Monitors). Representatives from the European Commission's Joint Research Centre used datasets from the 'Transport tEchnology and Mobility Assessment Platform' (TEMA) to evaluate various methods, comparing against simulations by industry experts, before presenting their findings to the IWG.

27. The subject of vehicle-to-grid was discussed, and how it could be considered in the UN GTR. An equation was devised to calculate a 'virtual distance' value for vehicles designed for vehicle-to-grid usage, which could be summed with the distance driven to establish a total distance.

28. Significant discussion was also held regarding the handling of vehicles that had been subject to abnormal use. Initial proposals included the use of monitor flags to identify abnormal use, however, consensus was eventually reached to simplify and improve robustness of the process by eliminating the flags and adjusting the processes accordingly.

29. A breakout group was also created to establish definitions for certified and measured values of usable battery energy. Representatives from the European Commission, Japan and industry experts worked together closely to determine a solution that also addressed regional regulations, ensuring the UN GTR is applicable to regions that do not apply UN GTR No. 15 or the WLTP procedure.

30. A finalized version of the UN GTR is to be presented by the IWG on EVE at the 84th session of GRPE.

31. More detailed discussion of the technical approaches considered by the IWG on EVE can be found in the Technical Background section of this UN GTR.

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