AGREEMENT

CONCERNING THE ADOPTION OF UNIFORM TECHNICAL PRESCRIPTIONS FOR WHEELED VEHICLES, EQUIPMENT AND PARTS WHICH CAN BE FITTED AND/OR BE USED ON WHEELED VEHICLES AND THE CONDITIONS FOR RECIPROCAL RECOGNITION OF APPROVALS GRANTED ON THE BASIS OF THESE PRESCRIPTIONS */

(Revision 2, including the amendments which entered into force on 16 October 1995)

DRAFT BASED on Addendum 115: Regulation No. 116

Date of entry into force: 6 April 2005 http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/r116e.pdf

Including:

Regulation No. 116 Corr.1: Entry into Force: 14.October 2005

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/r116c1e.pdf

Regulation No. 116 Corr.2: (French Only) Entry into Force: 14.October 2005

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/r116c2f.pdf

Regulation No. 116 Corr.3: Entry into Force: 6.March 2006

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/r116c3e.pdf

Regulation No. 116 Supplement 1: Entry into force: 10. October 2006

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/r116a1e.pdf

Regulation No. 116 Supplement 2: Entry into force: 15. October 2008

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/r116a2e.pdf

Regulation No. 116 Supplement 2 Corr.1: (French Only) Entry into Force: 10. Februar 2009

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/r116a2c1f.pdf

Regulation No. 116 Supplement 3: Entry into force: 23. June 2011

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/R116am3e.pdf

Regulation No. 116 Supplement 4: Entry into force: 15. July 2013

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/2013/R116am4e.pdf

Regulation No. 116 Supplement 5: Entry into force: 18. June 2016

http://www.unece.org/fileadmin/DAM/trans/main/wp29/wp29regs/updates/R116am5e.pdf

UNIFORM TECHNICAL PRESCRIPTIONS CONCERNING THE PROTECTION

 $[\]underline{*}$ / Former title of the Agreement:

Agreement Concerning the Adoption of Uniform Conditions of Approval and Reciprocal Recognition of Approval for Motor Vehicle Equipment and Parts, done at Geneva on 20 March 1958.

OF MOTOR VEHICLES AGAINST UNAUTHORIZED USE – Device against unauthorized use



UNITED NATIONS

Color Coding:

Text Text change from original wording to indicate this regulation is on Device Against

Unauthorized use only, e.g. "VAS" / "immobilizer" -> device

1.2.3.4. Section Number or Reference to section number need an update.

Regulation No. xxx

UNIFORM TECHNICAL PRESCRIPTIONS CONCERNING THE PROTECTION OF MOTOR VEHICLES AGAINST UNAUTHORIZED USE– DEVICE AGAINST UNAUTHORIZED USE

CONTENTS

REGUL	LATION P2	age
1. 2. 3. 4.	Scope Definitions: General Application for approval Approval	7 7
5.	APPROVAL OF A VEHICLE OF CATEGORY M1 AND N1 WITH REGARD TO ITS DEVICES TO PREVENT UNAUTHORIZED USE	
5.1. 5.2. 5.3. 5.4.	Definitions General specifications Particular specifications Electromechanical and electronic devices to prevent unauthorized use	10 12
<mark>6.4.</mark> 8.4.	Operation parameters and test conditions	
9. 10. 11. 12. 14.	Modification of the type and extension of approval Conformity of production procedures Penalties for non-conformity of production Production definitely discontinued Names and addresses of technical services responsible for conducting approval tests, and of administrative departments	42 42 42
ANNEX	XES	
Annex	1 - Information document:	
	in accordance with paragraphs 5of Regulation No. 116 relating to E system type approval of a vehicle type with regard to the devices prevent unauthorized use	
Annex (2 - Communication concerning approval granted extended refused withdra	wn

production definitively discontinued:

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 4

Rev.2/Add.xxx

DRAFT

of a vehicle type with regard to its devices to prevent unauthorized use pursuant to Regulation No. 116

<u>Annex 3</u> - Arrangements of approval marks

<u>Annex 4</u> - Part 1: Wear producing test procedure for devices to prevent unauthorized use acting on the steering

Part 2: Test procedure for devices to prevent unauthorized use acting on the steering using a torque limiting device

Annex 9 - Electromagnetic compatibility

1. SCOPE

This Regulation applies to:

- 1.1. Approval of a vehicle of category M1 and N1 <u>1</u>1/ with regard to its devices to prevent unauthorized use.
- 1.2. The fitting of devices to vehicles of other categories is optional but any such device fitted is required to comply with all relevant provisions of this Regulation.
- 1.3. At the request of the manufacturer, Contracting Parties may grant approvals under to vehicles of other categories and devices for fitment to such vehicles.
- 2. DEFINITIONS: GENERAL
- 2.1. "*Component*" means a device subject to the requirements of this regulation and intended to be part of a vehicle, which may be type-approved independently of a vehicle where this regulation makes express provisions for so doing;
- 2.2. "Separate technical unit" means a device subject to the requirements of this regulation and intended to be part of a vehicle, which may be type-approved separately, but only in relation to one or more specified types of vehicle where this regulation makes express provisions for so doing;
- 2.3. "Manufacturer" means the person or body who is responsible to the approval authority for all aspects of the type approval process and for ensuring conformity of production. It is not essential that the person or body is directly involved in all stage of the construction of the vehicle, system, component or separate technical unit which is the subject of the approval process.
- 3. APPLICATION FOR APPROVAL
- 3.1. The application for approval of a vehicle or component type with regard to this Regulation shall be submitted by the manufacturer.
- 3.2. It shall be accompanied by an information document in accordance with the model shown in Annex 1, and giving a description of the technical characteristics of the device to prevent unauthorized and the method(s) of installation for each make and type of vehicle on which the protective device is intended to be installed.

 $[\]underline{1}$ / As defined in the Consolidated Resolution on the Construction of Vehicles (R.E.3), Annex 7 (document TRANS/WP.29/78/Rev.1, as amended).

E/ECE/324 E/ECE/TRANS/505	Rev.2/Add.xxx
Regulation No. xxx	DRAFT
page 6	

- 3.3. Vehicle(s) / component(s) representative of the type(s) to be approved shall be submitted to the technical service responsible for conducting the approval tests.
- 4. APPROVAL
- 4.1. If the type submitted for approval to this Regulation meets the requirements of the relevant part(s) of this Regulation, approval of that type shall be granted.
- 4.2. An approval number shall be assigned to each type approved. Its first two digits (at present 00, corresponding to the Regulation in its original form) shall indicate the series of amendments incorporating the most recent [major] technical amendment made to the Regulation at the time of issue of the approval. The same Contracting Party shall not assign the same number to another type of vehicle or component as defined in this Regulation.
- 4.3. Notice of approval or of extension of approval of a type pursuant to this Regulation shall be communicated to the Contracting Parties to the Agreement applying this Regulation by means of a form conforming to the model in Annex 2 to this Regulation.
- 4.4. There shall be affixed, conspicuously and in a readily accessible place specified on the approval form, to every vehicle or component conforming to a type approved under this Regulation, an international approval mark consisting of:

E/ECE/324 E/ECE/TRANS/505	} Rev.2/Add.xxx
Regulation No. xxx page 7	DRAFT

- 4.4.1. a circle surrounding the letter "E" followed by the distinguishing number of the country which has granted approval 42/, and
- 4.4.2. the number of this Regulation, followed by the letter "R", a dash and the approval number, to the right of the circle prescribed in paragraph 4.4.1..
- 4.5. If a type conforms to a type approved, under one or more other Regulations annexed to the Agreement, in the country which has granted approval under this Regulation, the symbol prescribed in paragraph 4.4.1. need not be repeated; in such a case, the Regulation under which approval has been granted in the country which has granted approval under this Regulation shall be placed in vertical columns to the right of the symbol prescribed in paragraph 4.4.1.
- 4.6. The approval mark shall be clearly legible and be indelible.
- 4.7. In the case of a vehicle, the approval mark shall be placed close to or on the vehicle data plate affixed by the manufacturer.
- 4.9. Annex 3 to this Regulation gives examples of arrangements of approval marks.
- 5. APPROVAL OF A VEHICLE OF CATEGORY M1 AND N1 WITH REGARD TO ITS DEVICES TO PREVENT UNAUTHORIZED USE
- 5.1. **DEFINITIONS** [recommeded to move section 5.1 to section 2 Definitions]

1 for Germany, 2 for France, 3 for Italy, 4 for the Netherlands, 5 for Sweden, 6 for Belgium, 7 for Hungary, 8 for the Czech Republic, 9 for Spain, 10 for Serbia and Montenegro, 11 for the United Kingdom, 12 for Austria, 13 for Luxembourg, 14 for Switzerland, 15 (vacant), 16 for Norway, 17 for Finland, 18 for Denmark, 19 for Romania, 20 for Poland, 21 for Portugal, 22 for the Russian Federation, 23 for Greece, 24 for Ireland, 25 for Croatia, 26 for Slovenia, 27 for Slovakia, 28 for Belarus, 29 for Estonia, 30 (vacant), 31 for Bosnia and Herzegovina, 32 for Latvia, 33 (vacant), 34 for Bulgaria, 35 (vacant), 36 for Lithuania, 37 for Turkey, 38 (vacant), 39 for Azerbaijan, 40 for The former Yugoslav Republic of Macedonia, 41 (vacant), 42 for the European Community (Approvals are granted by its Member States using their respective ECE symbol), 43 for Japan, 44 (vacant), 45 for Australia, 46 for Ukraine, 47 for South Africa, 48 for New Zealand, 49 for Cyprus, 50 for Malta and 51 for the Republic of Korea. Subsequent numbers shall be assigned to other countries in the chronological order in which they ratify or accede to the Agreement Concerning the Adoption of Uniform Technical Prescriptions for Wheeled Vehicles, Equipment and Parts which can be Fitted and/or be Used on Wheeled Vehicles and the Conditions for Reciprocal Recognition of Approvals Granted on the Basis of these Prescriptions, and the numbers thus assigned shall be communicated by the Secretary-General of the United Nations to the Contracting Parties to the Agreement.

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 8

Rev.2/Add.xxx

DRAFT

For the purpose of Part I of this Regulation,

- 5.1.1. "Vehicle type" means a category of motor vehicles which do not differ in such essential respects as:
- 5.1.1.1. the manufacturer's type designation,
- 5.1.1.2. the arrangement and design of the vehicle component or components on which the device to prevent unauthorized use acts,
- 5.1.1.3. the type of device to prevent unauthorized use.
- 5.1.2. Device to prevent unauthorized use means a system designed to prevent unauthorized normal activation of the engine or other source of main engine power of the vehicle in combination with at least one system which:
 - (a) locks the steering; or
 - (b) locks the transmission; or
 - (c) locks the gearshift control; or
 - (d) locks brakes.

In the case of a system which locks brakes, deactivation of the device shall not automatically release the brakes contrary to the driver's intention.

- 5.1.3. "Steering" means the steering control, the steering column and its accessory cladding, the steering shaft, the steering gearbox and all other components which directly affect the effectiveness of the device to prevent unauthorized use.
- 5.1.4. "Combination" means one of the specifically developed and constructed variations of a locking system which, when properly activated, permits operation of the locking system.
- 5.1.5. "Key" means any device designed and constructed to provide a method of operating a locking system which is designed and constructed to be operated only by that device.
- 5.1.6. "Rolling code" means an electronic code consisting of several elements the combination of which changes at random after each operation of the transmitting unit.
- 5.2. GENERAL SPECIFICATIONS
- 5.2.1. The device to prevent unauthorized use shall be so designed that it is necessary to put it out of action in order to enable:
- 5.2.1.1. the engine to be started by means of the normal control, and

- 5.2.1.2. the vehicle to be steered, driven or moved forward under its own power.
- 5.2.1.3. The requirement of paragraph 5.2.1. can be achieved at the same time as or before to the actions described at paragraphs 5.2.1.1. and 5.2.1.2.
- 5.2.2. The requirements of paragraph 5.2.1. shall be met by the application of a single key.
- 5.2.3. Except in the case provided for in paragraph 5.3.1.5., a system operated with a key inserted in a lock shall not permit removal of the key before the device referred to in paragraph 5.2.1. has come into action or has been set to act.
- 5.2.4. The device to prevent unauthorized use referred to in paragraph 5.2.1. above, and the vehicle components on which it operates, shall be so designed that it cannot rapidly and without attracting attention be opened, rendered ineffective or destroyed by, for example, the use of low-cost, easily concealed tools, equipment or fabrications readily available to the public at large.
- 5.2.5. The device to prevent unauthorized use shall be fitted to the vehicle as an item of original equipment (i.e. equipment installed by the vehicle manufacturer prior to first retail sale). It shall be fitted in such a way that even after removal of its housing it cannot, when in the blocked condition, be dismantled otherwise than with special tools. If it is possible to render the device to prevent unauthorized use ineffective by the removal of screws, those screws shall, unless they are of the non-removable type, be covered by parts of the blocked protective device.
- 5.2.6. Mechanical locking systems shall provide at least 1,000 different key combinations or a number equal to the total number of vehicles manufactured annually if less than 1,000. In vehicles of one type the frequency of occurrence of each combination shall be roughly one per 1,000.
- 5.2.7. Electrical/electronic locking systems, e.g. remote control, shall have at least 50,000 variants and shall incorporate a rolling code and/or have a minimum scan time of ten days, e.g. a maximum of 5,000 variants per 24 hours for 50,000 variants minimum.
- 5.2.8. Regarding the nature of the device to prevent the unauthorized use, paragraph 5.2.6. or 5.2.7., shall be applied.
- 5.2.9. The key and lock shall not be visibly coded.
- 5.2.10. The lock shall be so designed, constructed and fitted that turning of the lock cylinder, when in the locked position, with a torque of less than 2.45 Nm is not possible with any key other than the mating key, and

E/ECE/324 E/ECE/TRANS/505	} Rev.2/Add.xxx
Regulation No. xxx	DRAFT
page 10	

- 5.2.10.1. for lock cylinders with pin tumblers no more than two identical tumblers operating in the same direction shall be positioned adjacent to each other, and in a lock there shall not be more than 60 per cent identical tumblers;
- 5.2.10.2. for lock cylinders with disc tumblers no more than two identical tumblers operating in the same direction shall be positioned adjacent to each other, and in a lock there shall not be more than 50 per cent identical tumblers.
- 5.2.11. Devices to prevent unauthorized use shall be such as to exclude any risk of accidental operating failure while the engine is running, particularly in the case of blockage likely to compromise safety.
- 5.2.11.1. It shall not be possible to activate devices to prevent unauthorized use without first setting the engine controls to a stop condition and then performing an action which is not an uninterrupted continuation of stopping the engine or without first setting the engine controls to a stop condition and when the vehicle is stationary with the parking brake applied or the speed of the vehicle does not exceed 4 km/h.
- 5.2.11.2. In the case of devices to prevent unauthorized use, if the action of key withdrawal activates the device it shall either necessitate a minimum movement of 2 mm before activation of the device or incorporate an override facility to prevent accidental removal or partial withdrawal of the key.
- 5.2.11.3. Paragraphs 5.2.10., 5.2.10.1. or 5.2.10.2., and 5.2.11.2. are only applicable to devices which include mechanical keys.
- 5.2.12. Power assistance may be used only to activate the locking and/or unlocking action of the device to prevent unauthorized use. The device shall be kept in its operating position by any suitable means which does not need a power supply.
- 5.2.13. It shall not be possible to activate the motive power of the vehicle by normal means until the device to prevent unauthorized use has been deactivated.
- 5.2.14. Devices to prevent unauthorized use by preventing release of the brakes of the vehicle shall only be permitted when the working parts of the brakes are held in a locked position by a purely mechanical device. In this case the prescriptions of paragraph 5.2.13. do not apply.
- 5.2.15. If the device to prevent unauthorized use is equipped with a driver warning feature it shall be activated when the operator opens the driver's side door, unless the device has been activated and the key removed by the operator.
- 5.3. PARTICULAR SPECIFICATIONS

In addition to the general specifications prescribed in paragraph 5.2, the device to prevent unauthorized use shall meet the particular conditions prescribed below:

- 5.3.1. Devices to prevent unauthorized use acting on the steering
- 5.3.1.1. A device to prevent unauthorized use acting on the steering shall render the steering inoperative. Before the engine can be started, the normal steering operation must be restored.
- 5.3.1.2. When the device to prevent unauthorized use is set to act, it shall not be possible to prevent the device from functioning.
- 5.3.1.3. The device to prevent unauthorized use must continue to meet the requirements of paragraphs 5.2.11., 5.3.1.1., 5.3.1.2. and 5.3.1.4. after it has undergone 2,500 locking cycles in each direction of the wear producing test specified in Part 1 of Annex 4 to this Regulation.
- 5.3.1.4. The device to prevent unauthorized use shall, in its activated position, satisfy one of the following criteria:
- 5.3.1.4.1. It shall be strong enough to withstand, without damage to the steering mechanism likely to compromise safety, the application of a torque of 300 Nm about the axis of the steering spindle in both directions under static conditions.
- 5.3.1.4.2. It shall incorporate a mechanism designed to yield or slip, such that the system will withstand, either continuously or intermittently, the application of a torque of at least 100 Nm. The locking system must still withstand the application of this torque after the test specified in Part 2 of Annex 4 to this Regulation.
- 5.3.1.4.3. It shall incorporate a mechanism designed to permit the steering wheel to rotate freely on the blocked steering spindle. The blocking mechanism shall be strong enough to withstand the application of a torque of 200 Nm about the axis of the steering spindle in both directions under static conditions.
- 5.3.1.5. If the device to prevent unauthorized use is such that the key can be removed in a position other than the position in which the steering is inoperative, it shall be so designed that the manoeuvre required to reach that position and remove the key cannot be effected inadvertently.
- 5.3.1.6. If a component fails such that the torque requirements specified in paragraphs 5.3.1.4.1., 5.3.1.4.2. and 5.3.1.4.3. cannot be easily applied, yet the steering system remains blocked, the system shall satisfy the requirements.
- 5.3.2. <u>Devices to prevent unauthorized use acting on the transmission or on brakes</u>

E/ECE/324 E/ECE/TRANS/505	} Rev.2/Add.xxx
Regulation No. xxx	DRAFT
page 12	

- 5.3.2.1. A device to prevent unauthorized use acting on the transmission shall prevent the rotation of the vehicle's driving wheels.
- 5.3.2.2. A device to prevent unauthorized use by acting on brakes shall brake at least one wheel on each side of at least one axle.
- 5.3.2.3. When the device to prevent unauthorized use is set to act, it shall not be possible to prevent the device from functioning.
- 5.3.2.4. It shall not be possible for the transmission or brakes to be blocked inadvertently when the key is in the lock of the device to prevent unauthorized use, even if the device preventing starting of the engine has come into action or been set to act. This does not apply wherever the requirements of paragraph 5.3.2. of this Regulation are met by devices used for another purpose in addition and the lock under the conditions above is necessary for this additional function (e.g. electrical parking brake).
- 5.3.2.5. The device to prevent unauthorized use shall be so designed and constructed that it remains fully effective even after some degree of wear as a result of 2,500 locking cycles in each direction. In the case of protective device acting on brakes, each mechanical or electrical sub-part of the device is concerned.
- 5.3.2.6. If the device to prevent unauthorized use is such that the key can be removed in a position other than the position in which the transmission or brakes are locked, it shall be so designed that the manoeuvre required to reach that position and remove the key cannot be effected inadvertently.
- 5.3.2.7. In the case when the protective device acting on the transmission is used, it shall be strong enough to withstand, without damage likely to compromise safety, the application in both directions and in static conditions of a torque 50 per cent greater than the maximum torque that can normally be applied to the transmission. In determining the level of this testing torque account shall be taken not of the maximum engine torque, but of the maximum torque that can be transmitted by the clutch or by the automatic transmission.
- 5.3.2.8. In the case of a vehicle equipped with protective device acting on brakes, the device must be capable of holding the laden vehicle stationary on a 20 per cent up or down-gradient.
- 5.3.2.9. In the case of a vehicle equipped with protective device acting on brakes, the requirements of this Regulation shall not be construed as a departure from the requirements of Regulation No. 13 or 13-H even in the case of a failure.
- 5.3.3. Devices to prevent unauthorized use acting on the gearshift control

E/ECE/324 E/ECE/TRANS/505	} Rev.2/Add.xxx
Regulation No. xxx page 13	DRAFT

- 5.3.3.1. A device to prevent unauthorized use acting on the gearshift control shall be capable of preventing any change of gear.
- 5.3.3.2. In the case of manual gearboxes it must be possible to lock the gearshift lever in reverse only; in addition locking in neutral shall be permitted.
- 5.3.3.3. In the case of automatic gearboxes provided with a "parking" position it must be possible to lock the mechanism in the parking position only; in addition locking in neutral and/or reverse shall be permitted.
- 5.3.3.4. In the case of automatic gearboxes not provided with a "parking" position it must be possible to lock the mechanism in the following positions only: neutral and/or reverse.
- 5.3.3.5. The device to prevent unauthorized use shall be so designed and constructed that it remains fully effective even after some degree of wear as a result of 2,500 locking cycles in each direction.
- 5.4. ELECTROMECHANICAL AND ELECTRONIC DEVICES TO PREVENT UNAUTHORIZED USE

Electromechanical and electronic devices to prevent unauthorized use, where fitted, shall comply with the requirements of paragraphs 5.2. and 5.3. above and paragraph 8.4. below, mutatis mutandi.

If the technology of the device is such that paragraphs 5., 6., and 8.4. are not applicable it shall be verified that care has been taken to preserve safety of the vehicle. The functioning process of these devices shall incorporate secure means to prevent any risk of blocking or accidental disfunctioning which could compromise the safety of the vehicle.

- 6.4. OPERATION PARAMETERS AND TEST CONDITIONS 83/
- 6.4.1. Operation parameters

All components of the VAS device shall operate without any failure under the following conditions.

6.4.1.1. Climatic conditions

Two classes of environmental temperature are defined as follows:

^{8/} Lamps which are used as part of the optical warning devices and which are included in the standard car lighting system need not comply with the operation parameters in paragraph 6.4.1. and shall not be submitted to tests listed under paragraph 6.4.2.

E/ECE/324 E/ECE/TRANS/505 Regulation No. xxx DRAFT DRAFT

- (a) -40°C to +85°C for parts to be fitted in the passenger or luggage compartment,
- (b) -40°C to +125°C for parts to be fitted in the engine compartment unless otherwise specified.

6.4.1.2. Degree of protection for installation

The following degrees of protection in accordance with IEC Publication 529-1989 shall be provided:

- (i) IP 40 for parts to be fitted in the passenger compartment,
- (ii) IP 42 for parts to be fitted in the passenger compartment of roadsters/convertibles and cars with moveable roof-panels if the installation location requires a higher degree of protection than IP 40,
- (iii) IP 54 for all other parts.

The VAS device manufacturer shall specify in the installation instructions any restrictions on the positioning of any part of the installation with respect to dust, water and temperature.

6.4.1.3. Weatherability

7 days according to IEC 68-2-30-1980.

6.4.1.4. Electrical conditions

Rated supply voltage: 12 V

Operation supply voltage range: from 9 V to 15 V in the temperature range according to paragraph 6.4.1.1.

Time allowance for excess voltages at 23°C:

U = 18 V, max. 1 hU = 24 V, max. 1 min.

6.4.2. <u>Test conditions</u>

6.4.2.1. Operation tests

For the operation tests required according to paragraphs 6.4.2.3., 6.4.2.4., 6.4.2.5., 6.4.2.6. and 6.4.2.8.4., if some of the tests required in each of these paragraphs prior to the operation tests are performed in series on a single VAS

device, the operation test may be carried out one time only after the chosen tests are completed instead of performing the operation tests required in the paragraphs after each of the chosen tests. Vehicle manufacturers and suppliers have to guarantee satisfactory results only on non accumulated procedures.

6.4.2.1.2.	Normal test conditions
	Voltage U = (12 \pm 0.2) V Temperature
6.4.2.2.	Resistance to temperature and voltage changes
	Compliance with the specifications shall also be checked under the following conditions:
6.4.2.2.1.	$Test temperature T (-40 \pm 2)^{\circ}C$ $Test voltage U = (9 \pm 0.2) V$ $Storage duration 4 hours$
6.4.2.2.2.	For parts to be fitted in the passenger or luggage compartment: Test temperature
6.4.2.2.3.	For parts to be fitted in the engine compartment unless otherwise specified: Test temperature
6.4.2.2.4.	The VAS device, in both set and unset state, shall be submitted to an excess voltage equal to (18 ± 0.2) V for 1 hour.
6.4.2.2.5.	The $\frac{VAS}{VAS}$ device, in both set and unset state, shall be submitted to an excess voltage equal to (24 ± 0.2) V for 1 min.
6.4.2.3.	Safe operation after foreign body and water-tightness testing
	After the test for tightness to foreign body and water according to IEC 529-1989, for degrees of protection as in paragraph 6.4.1.2., the operation tests according to paragraph 6.4.2.1. shall be repeated.
6.4.2.4.	Safe operation after condensed water test
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After a resistance-to-humidity test to be carried out according to IEC 68-2-30 (1980) the operation tests according to paragraph 6.4.2.1. shall be repeated.

E/ECE/324	Rev.2/Add.xxx
E/ECE/TRANS/505	Kev.2/Add.xxx
Regulation No. xxx	DRAFT
page 16	

6.4.2.5. Test for safety against reversed polarity

The VAS device and components thereof shall not be destroyed by reversed polarity up to 13 V during 2 min. After this test the operation tests according to paragraph 6.4.2.1. shall be repeated with fuses changed, if necessary.

6.4.2.6. Test for safety against short-circuits

All electrical connections of the VAS device must be short-circuit proof against earth, max. 13 V and/or fused. After this test the operation tests according to paragraph 6.4.2.1. shall be repeated, with fuses changed if necessary.

6.4.2.7. Energy consumption in the set condition

The energy consumption in set condition under the conditions given in paragraph 6.4.2.1.2. shall not exceed 20 mA on average for the complete alarm system device including status display.

6.4.2.8. Safe operation after vibration test

6.4.2.8.1. For this test, the components are subdivided into two types:

Type 1: components normally mounted on the vehicle,

Type 2: components intended for attachment to the engine.

6.4.2.8.2. The components/VAS device shall be submitted to a sinusoidal vibration mode whose characteristics are as follows:

6.4.2.8.2.1. For type 1

The frequency shall be variable from 10 Hz to 500 Hz with a maximum amplitude of \pm 5 mm and maximum acceleration of 3 g (0-peak).

6.4.2.8.2.2. For type 2

The frequency shall be variable from 20 Hz to 300 Hz with a maximum amplitude of ± 2 mm and maximum acceleration of 15 g (0-peak).

6.4.2.8.2.3. For both type 1 and type 2

The frequency variation is 1 octave/min.

The number of cycle is 10, the test shall be performed along each of the 3 axes.

The vibrations are applied at low frequencies at a maximum constant amplitude and at a maximum constant acceleration at high frequencies.

- 6.4.2.8.3. During the test the VAS device shall be electrically connected and the cable shall be supported after 200 mm.
- 6.4.2.8.4. After the vibration test the operation tests according to paragraph 6.4.2.1. shall be repeated.
- 6.4.2.12. Electromagnetic compatibility

The VAS device shall be submitted to the tests described in Annex 9.

8.4. OPERATION PARAMETERS AND TEST CONDITIONS

8.4.1. Operation parameters

All components of the immobilizer device shall comply with prescriptions given in paragraph 6.4. of this Regulation.

This requirement does not apply to:

- (i) those components that are fitted and tested as part of the vehicle, whether or not an <u>immobilizer</u> device is fitted (e.g. lamps), or
- (ii) those components that have previously been tested as part of the vehicle and documentary evidence has been provided.

8.4.2. Test conditions

All the tests shall be carried out in sequence on a single immobilizer device. However, at the discretion of the test authority, other samples may be used if this is not considered to affect the results of the other tests.

8.4.3. Operation test

Upon completion of all the tests specified below, the immobilizer device shall be tested under the normal test conditions specified in paragraph 6.4.2.1.2. of this Regulation to check that it continues to function normally. Where necessary, fuses may be replaced prior to the test.

All components of the immobilizer device shall comply with prescriptions given in paragraphs 6.4.2.2. to 6.4.2.8. and 6.4.2.12. of this Regulation.

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 18

Rev.2/Add.xxx

DRAFT

9. MODIFICATION OF THE TYPE AND EXTENSION OF APPROVAL

- 9.1. Every modification of a vehicle or component type with regard to this Regulation shall be notified to the administrative department which approved the vehicle or component type. The department may then either:
- 9.1.1. consider that the modifications made are unlikely to have an appreciable adverse effect and that in any case the component or the vehicle still complies with the requirements, or
- 9.1.2. require a further report from the technical service responsible for conducting the tests.
- 9.2. Confirmation or refusal of approval, specifying the alteration, shall be communicated by the procedure specified in paragraph 4.3. above to the Contracting Parties to the Agreement applying this Regulation.
- 9.3. The competent authority issuing the extension of approval shall assign a serial number to each communication form drawn up for such an extension.

10. CONFORMITY OF PRODUCTION PROCEDURES

The conformity of production procedures shall comply with those set out in the Agreement, Appendix 2 (E/ECE/324-E/ECE/TRANS/505/Rev.2), with the following requirements:

- 10.1. Vehicles/components under this Regulation shall be so manufactured as to conform to the type approved by meeting the requirements of the relevant part(s) of this Regulation.
- 10.2. For each type of vehicle or component the tests prescribed in the relevant part(s) of this Regulation shall be carried out on a statistically controlled and random basis, in accordance with one of the regular quality assurance procedures.
- 10.3. The authority which has granted approval may at any time verify the conformity control methods applied in each production facility. The normal frequency of these verifications shall be one every two years.

11. PENALTIES FOR NON-CONFORMITY OF PRODUCTION

The approval granted in respect of a vehicle/component type pursuant to this Regulation may be withdrawn if the requirements laid down in paragraph 10. above are not complied with.

- If a Contracting Party to the Agreement applying this Regulation withdraws an approval it has previously granted, it shall forthwith so notify the other Contracting Parties applying this Regulation, by means of a form conforming to the model in Annex 2.
- 12. PRODUCTION DEFINITELY DISCONTINUED

If the holder of the approval completely ceases to manufacture a vehicle/component type approved in accordance with this Regulation, he shall so inform the authority which granted the approval. Upon receiving the relevant communication, that authority shall inform thereof the other Contracting Parties to the Agreement applying this Regulation by means of a form conforming to the model in Annex 2.

14. NAMES AND ADDRESSES OF TECHNICAL SERVICES RESPONSIBLE FOR CONDUCTING APPROVAL TESTS, AND OF ADMINISTRATIVE DEPARTMENTS

The Contracting Parties to the Agreement applying this Regulation shall communicate to the United Nations secretariat the names and addresses of the technical services responsible for conducting approval tests and of the administrative departments which grant approval and to which forms certifying approval or extension or refusal or withdrawal of approval, issued in other countries are to be sent.

 $\begin{array}{c} E/ECE/324 \\ E/ECE/TRANS/505 \end{array} \begin{array}{c} Rev.2/Add.xxx \\ Regulation No. xxx \\ page 20 \\ Annex 1 - Part 1 \end{array}$

Annex 1

(Maximum format: A4 (210 mm x 297 mm))

INFORMATION DOCUMENT

in accordance with paragraphs 5., 7. and 8. as appropriate of Regulation No. 116 relating to ECE system type approval of a vehicle type with regard to the devices to prevent unauthorized use

1.	GENERAL
1.1.	Make (trade name of manufacturer):
1.2.	Type:
1.3.	Means of identification of type, if marked on the device (b):
1.3.1.	Location of that marking:
1.4.	Category of vehicle (c):
1.5.	Name and address of the manufacturer:
1.6.	Location of the ECE approval mark:
1.7.	Address(es) of assembly plant(s):
2.	GENERAL CONSTRUCTION CHARACTERISTICS OF THE VEHICLE
2.1.	Photographs and/or drawings of a representative vehicle:
2.2.	Hand of drive: left / right <u>1</u> /
3.	MISCELLANEOUS
3.1.	Devices to prevent unauthorized use of the vehicle
3.1.1.	Protective device:
3.1.1.1.	A detailed description of the vehicle type with regard to the arrangement and design of the control or of the unit on which the protective device acts:
3.1.1.2.	Drawings of the protective device and of its mounting on the vehicle:

E/ECE/324 E/ECE/TRANS/505	}	Rev.2/Add.xxx
Regulation No. xxx		
page 21		DRAFT
Annex 1 – Part 1		

- 3.1.1.3. A technical description of the device:
- 3.1.1.4. Details of the lock combinations use:

^{1/} Strike out what does not apply (there are cases where nothing needs to be deleted, when more than one entry is applicable).

⁽b) If the means of identification of type contains characters not relevant to describe the vehicle, component or separate technical unit types covered in this information document, such characters shall be represented in the documentation by the symbol "?" (e.g. ABC??123??).

⁽c) As defined in Annex 7 to the Consolidated Resolution on the Construction of Vehicles (R.E.3) (document TRANS/WP.29/78/Rev.1, as amended).

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 22
Annex 2 – Part 1

Rev.2/Add.xxx
DRAFT

Annex 2

COMMUNICATION

(Maximum format: A4 (210 x 297 mm))

E	1/		issued by	/:			ndministration:		
concerning	AF AF AF	PPROVAL GI PPROVAL EX PPROVAL RI PPROVAL W ODUCTION	XTENDE EFUSED ITHDRA	ED AWN	SCC	NTINUT	'ED		
of a vehi to Regulatio		with regard	to its	devices	to	prevent	unauthorized	use	pursuan
Approval N	o					Extensio	n No		···
Reason for	extension:								
SECTION 1	<u>I</u>								
1.	GENERA	L							
1.1.	Make (tra	de name of m	nanufactu	rer):					
1.2.	Type:								
1.3.		identification identi	on of typ	pe, if ma	ırked	l on the	vehicle/comp	onent/	' separate
1.3.1.	Location	of that markir	ng:						
1.4.	Category	of vehicle (c)	:						
1.5.	Name and	l address of m	nanufactu	rer:					

E/ECE/324 E/ECE/TRANS/505 Regulation No. xxx page 23 DRAFT Annex 2 – Part 1

1.6.	Location	of the	ECE	approval	mark:

1.7. Address(es) of assembly plant(s):

SECTION II

- 1. Additional information (where applicable): see addendum
- 2. Technical service responsible for carrying out the tests:
- 3. Date of test report:
- 4. Number of test report:
- 5. Remarks (if any): see addendum
- 6. Place:
- 7. Date:
- 8. Signature:
- 9. The index to the information package lodged with the approval authority, which may be obtained on request, is attached.

Addendum

to ECE type approval certificate No. ...

concerning the type approval of a vehicle with regard to Regulation No. 116

- 1. Additional information:
- 1.1. Brief description of the device(s) against unauthorized use and the vehicle parts on which it (they) act(s):

1/ Distinguishing number of the country which has granted/extended/refused/ withdrawn approval (see approval provisions in the Regulation).

^{2/} Strike out what does not apply (there are cases where nothing needs to be deleted, when more than one entry is applicable).

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 24
Annex 2 – Part 1

Rev.2/Add.xxx
DRAFT

- (b) If the means of identification of type contains characters not relevant to describe the vehicle, component or separate technical unit types covered in this information document, such characters shall be represented in the documentation by the symbol "?" (e.g. ABC??123??).
- (c) As defined in Annex 7 to the Consolidated Resolution on the Construction of Vehicles (R.E.3) (document TRANS/WP.29/78/Rev.1, as amended).

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 25
Annex 3

Rev.2/Add.xxx

DRAFT

Annex 3 [the complete section requires to be reworked to new regulation number]

ARRANGEMENTS OF APPROVAL MARKS

MODEL A

(see paragraph 4.4. of this Regulation)

Figure 1

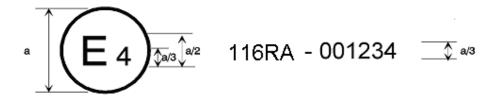
(see paragraph 4.4.3.4. of this Regulation)



a = 8 mm min

The above approval mark figure 1 affixed to a vehicle shows that the type concerned was approved in the Netherlands (E4) pursuant to Part I of Regulation No. 116 under approval No. 001234. The first two digits (00) of the approval number indicate that the approval was granted in accordance with the requirements of Regulation No. 116 in its original form.

Figure 2 (see paragraph 4.4.3.1. of this Regulation)



a = 8 mm min

The above approval mark figure 2 affixed to a VAS shows that the type concerned was approved in the Netherlands (E4) pursuant to Part II of Regulation No. 116 under approval number 001234. The first two digits (00) of the approval number indicate that the approval was granted in accordance with the requirements of Regulation No. 116 in its original form.

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 26
Annex 3

Rev.2/Add.xxx
DRAFT

Figure 3 (see paragraph 4.4.3.2. of this Regulation)



a = 8 mm min

The above approval mark figure 3 affixed to an immobilizer shows that the type concerned was approved in the Netherlands (E 4) pursuant to Part IV of Regulation No. 116 under approval number 001234. The first two digits (00) of the approval number indicate that the approval was granted in accordance with the requirements of Regulation No. 116 in its original form.

Figure 4 (see paragraph 4.4.3.5. of this Regulation)



a = 8 mm min

The above approval mark figure 4 affixed to a vehicle shows that the type concerned was approved in the Netherlands (E 4) pursuant to Part III of Regulation No. 116 under approval number 001234. The first two digits (00) of the approval number indicate that the approval was granted in accordance with the requirements of Regulation No. 116 in its original form.

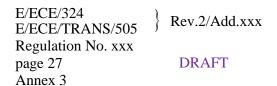


Figure 5 (see paragraph 4.4.3.6. of this Regulation)



a = 8 mm min

The above approval mark figure 5 affixed to a vehicle shows that the type concerned was approved in the Netherlands (E4) pursuant to Part I and IV of Regulation No. 116 under approval mark 001234. The first two digits (00) of the approval number indicate that the approval was granted in accordance with the requirements of Regulation No. 116 in its original form.

Figure 6 (see paragraph 4.4.3.7. of this Regulation)



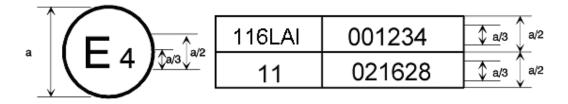
a = 8 mm min

The above approval mark figure 6 affixed to a vehicle shows that the type concerned was approved in the Netherlands (E4) pursuant to Part I, II and IV of Regulation No. 116 under approval No. 001234. The first two digits (00) of the approval number indicate that the approval was granted in accordance with the requirements of Regulation No. 116 in its original form.

E/ECE/324 E/ECE/TRANS/505 Regulation No. xxx DRAFT page 28 Annex 3

Model B (see paragraph 4.5. of this Regulation)

Figure 7 (example)



a = 8 mm min

The above approval mark affixed to a vehicle shows that the type concerned was approved in the Netherlands (E4) pursuant to Parts I, II and IV of Regulation No. 116 and to Regulation No. 11. The first two digits of the approval number indicate that on the dates on which these approvals were granted, Regulation No. 116 was in its original form and Regulation No. 11 included the 02 series of amendments.

Annex 4 - Part I

WEAR PRODUCING TEST PROCEDURE FOR DEVICES TO PREVENT UNAUTHORIZED USE ACTING ON THE STEERING

The test equipment shall consist of:

- 1.1. A fixture suitable for mounting the sample steering complete with the device to prevent unauthorized use attached, as defined in paragraph 5.1.2. of this Regulation.
- 1.2. A means for activating and deactivating the device to prevent unauthorized use which shall include the use of the key.
- 1.3. A means for rotating the steering shaft relative to the device to prevent unauthorized use.
- 2. Test method
- 2.1. A sample of the steering complete with the device to prevent unauthorized use is attached to the fixture referred to in paragraph 1.1. above.
- 2.2. One cycle of the test procedure shall consist of the following operations:
- 2.2.1. <u>Start position</u>. The device to prevent unauthorized use shall be deactivated and the steering shaft shall be rotated to a position which prevents engagement of the device to prevent unauthorized use, unless it is of the type which permits locking in any position of the steering.
- 2.2.2. <u>Set to activate</u>. The device to prevent unauthorized use shall be moved from the deactivated to the activated position, using the key.
- 2.2.3. $\underline{1}$ / Activated. The steering spindle shall be rotated such that the torque on it, at the instant of engagement of the device to prevent unauthorized use, shall be $40 \text{ Nm} \pm 2 \text{ Nm}$.
- 2.2.4. <u>Deactivated</u>. The device to prevent unauthorized use shall be deactivated by the normal means, the torque being reduced to zero to facilitate disengagement.
- 2.2.5. <u>1</u>/ <u>Return</u>. The steering spindle shall be rotated to a position which prevents engagement of the device to prevent unauthorized use.

E/ECE/324 E/ECE/TRANS/505 Regulation No. xxx DRAFT page 30 Annex 4 – Part 1

- 2.2.6. Opposite rotation. Repeat procedures described in paragraphs 2.2.2., 2.2.3., 2.2.4. and 2.2.5, but in the opposite direction of rotation of the steering spindle.
- 2.2.7. The time interval between two successive engagements of the device shall be at least 10 seconds.
- 2.3. The wear-producing cycle shall be repeated the number of times specified in paragraph 5.3.1.3. of this Regulation.

^{1/} If the device to prevent unauthorized use permits locking in any position of the steering, the procedures described in paragraphs 2.2.3. and 2.2.5. shall be omitted.

Annex 4 - Part 2

TEST PROCEDURE FOR DEVICES TO PREVENT UNAUTHORIZED USE ACTING ON THE STEERING USING A TORQUE LIMITING DEVICE

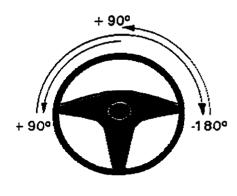
1. Test equipment

The test equipment shall consist of:

- 1.1. A fixture suitable for holding the relevant parts of a steering system or, if the test is carried out on a complete vehicle, a jacking system capable of lifting all the steered wheels clear of the ground, and
- 1.2. A device or devices capable of producing, and measuring, a torque applied to the steering control as prescribed in paragraph 2.3. The measurement precision must be less than or equal to 2 per cent.
- 2. Test procedure description
- 2.1. If the test is carried out on a complete vehicle, the test shall be carried out with all the steered wheels of the vehicle held clear of the ground.
- 2.2. The steering lock shall be activated such that the steering is blocked.
- 2.3. A torque shall be applied to the steering control such that it rotates.
- 2.4. The test cycle includes a rotation of the steering control of 90° followed by a rotation in the opposite direction of 180°, and a new rotation of 90° in the original direction (see figure);

1 cycle = $+90^{\circ}$ / -180° / $+90^{\circ}$ with a tolerance of ± 10 per cent.

E/ECE/324 E/ECE/TRANS/505 Regulation No. xxx DRAFT page 32 Annex 4 – Part 2



- 2.5. A cycle duration is equal to $20 \text{ s} \pm 2 \text{ s}$.
- 2.6. Five test cycles shall be carried out.
- 2.7. During each of the test cycles the minimum recorded value of the torque shall be higher than that given in paragraph 5.3.1.4.2. of this Regulation.

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 33
Annex 9

Rev.2/Add.xxx

DRAFT

Annex 9

ELECTROMAGNETIC COMPATIBILITY

<u>Note</u>: To test the electromagnetic compatibility, either paragraph 1. or paragraph 2. shall be used, depending on the test facilities.

1. METHOD ISO

Immunity against disturbances conducted along supply lines

Apply the test pulses 1, 2a/2b, 3a, 3b, 4 and 5a/5b according to the International Standard ISO 7637-2:2004to the supply lines as well as to other connections of VAS/AS device which may be operationally connected to supply lines.

Concerning pulse 5, pulse 5b shall be applied on vehicles which include an alternator with internal limitation diode and pulse 5a shall be applied for others cases.

Concerning the pulse 2, pulse 2a shall always be applied and pulse 2b could be performed with the agreement between the vehicle manufacturer and the technical approval services.

VAS/AS Device in unset state and set state

The test pulses 1 through 5, shall be applied with a degree of severity III. The required functional status for all applied test pulses are given in table 1.

Table 1 – Severity/functional status (for supply lines)

Test pulse number	Test level	Functional status
1	III	C
2a	III	В
2b	III	С
3a	III	A
3b	III	A
4	III	В
4		
5a/5b	III	A

Immunity against disturbance coupled on signal lines

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 34
Annex 9

Rev.2/Add.xxx

DRAFT

Leads which are not connected to supply lines (e.g. special signal lines) shall be tested in accordance with the International Standard ISO7637-3:1995 (and Corr.1). The required functional status for all applied test pulses are given in table 2.

Table 2 – Test level / functional status (for signal lines)

Test pulse number	Test level	Functional status
3a	III	C
3b	III	A

Immunity against radiated high frequency disturbances

Testing of the immunity of a VAS/AS device in a vehicle may be performed according to the technical prescriptions and transitional provisions of Regulation No. 10, 04 series of amendments and test methods described in Annex 6 for the vehicles and Annex 9 for a separate technical unit.

Electrical disturbance from electrostatic discharges

Immunity against electrical disturbances shall be tested in accordance with Technical Report ISO/TR 10605-1993.

Radiated emissions

Tests shall be performed according to the technical prescriptions and transitional provisions of Regulation No. 10, 04 series of amendments prescriptions and according to the test methods described in Annexes 4 and 5 for vehicles or Annexes 7 and 8, for a separate technical unit.

2. METHOD IEC

Electromagnetic field

The VAS/AS device shall undergo the basic test. It shall be subjected to the electromagnetic field test described in IEC Publication 839-1-3-1998 test A-13 with a frequency range from 20 to 1000 MHz, and for a field strength level of 30 V/m.

In addition, the VAS/AS device shall be subjected to the electrical transient conducted and coupled tests described in the International Standard ISO 7637 Parts 1:1990, 2:1990 and 3:1995, as appropriate.

Electrical disturbance from electrostatic discharges

E/ECE/324
E/ECE/TRANS/505
Regulation No. xxx
page 35
Annex 9

Rev.2/Add.xxx

DRAFT

The <u>VAS/AS</u> device shall undergo the basic test. It shall be subjected to testing for immunity against electrostatic discharge as described in either EN 61000-4-2, or ISO/TR 10605-1993, at the manufacturer's choice.

Radiated emissions

The <u>VAS/AS device</u> shall be subjected to testing for the suppression of radio frequency interference according to the technical prescriptions and transitional provisions of Regulation No. 10, 04 series of amendments and according to tests method described in Annexes 4 and 5 for vehicles and Annexes 7 and 8 for a separate technical unit.

E/ECE/324
E/ECE/TRANS/505
Regulation No. 116
page 36
Annex 10
Rev.2/Add.115