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## **Economic Commission for Europe**

Meeting of the Parties to the Convention  
on Environmental Impact Assessment  
in a Transboundary Context

Meeting of the Parties to the Convention  
on Environmental Impact Assessment in  
a Transboundary Context serving as the  
Meeting of the Parties to the Protocol on  
Strategic Environmental Assessment

### **Second annual financial report in the period between seventh/third and eighth/fourth sessions of the Meeting of the Parties to the Convention/Protocol: period to 30 June 2019**

#### **Note by the secretariat**

##### *Summary*

The Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context and the Meeting of the Parties to the Protocol on Strategic Environmental Assessment requested the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next sessions of the Meetings of the Parties, based on the information contained in the annual reports and giving a clear indication of the significant developments during the period in order that Parties can best meet future demands for resources under the Convention and its Protocol (ECE/MP.EIA/23/Add.1-ECE/MP.EIA/SEA/7/Add.1, para.17).

This draft second annual report covers the period from after the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol (Minsk, 13–16 June 2017) to 30 June 2019. The document was reviewed by the Bureau at its meeting on 18–19 June 2019

The Working Group is invited to consider the financial report at its eighth meeting (26–28 November 2019). It may also wish to take the information into account when deliberating on the Bureau's proposals on how to fill the six-month hole (of July-December 2020) in the budget of the Convention and the Protocol; and how to address the general lack of financing to the two treaties, as set out in informal document 2 (ECE/MP.EIA/WG.2/2019/INF.2) of the Working Group's meeting

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## I. Introduction

1. This report sets out income, in-kind contributions and expenditure regarding the Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention) and its Protocol on Strategic Environmental Assessment (Protocol on SEA) from the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol (Minsk, 13–16 June 2017), and the balance in the Convention’s trust fund at the end of the period. It also identifies savings and overspend. Details of income and expenditure are provided in the annex to this report.

## II. Pledges and fundraising

2. The pledges<sup>1</sup> made at the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol were insufficient to cover the budget of the Convention and the Protocol for the period 2017–2020 agreed by the Meetings of the Parties at these same sessions,<sup>2</sup> representing only some 60 per cent of that budget. The Meetings of the Parties: (a) urged all Parties to contribute to ensuring sustainable funding of activities and an equitable and proportionate sharing of the financial burden among the Parties and Signatories, and also invite signatories, other interested States, organizations and international financial institutions to contribute; and (b) strongly urged Parties that had so far only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.<sup>3</sup>

## III. Income and expenditure

3. Table 1 summarizes the income and expenditure in United States dollars. Parties made financial contributions to the Convention’s trust fund (annex, tables A.1 and A.2).

4. Activities under the workplan<sup>4</sup> were assigned as priority 1, 2 or 3 in the core budget.<sup>5</sup> According to decision VII/4–III/4 (para. 8), Parties agreed that the total of 1183 shares, of 1,000 United States dollars each, budgeted to cover activities not covered by United Nations regular budget would be divided as follows: 625 shares for the core (priority 1) requirements and 558 shares the remaining non-core (priority 2 and 3) requirements. Waitlisted activities<sup>6</sup> would be implemented upon availability of appropriate funding.

5. At their sessions in June 2017, the Meetings of the Parties decided to convene an additional, “intermediary”, session of the Meetings of the Parties before its next “ordinary” sessions, primarily to continue its deliberations on draft decision on compliance with the Convention. Since this additional session was not originally foreseen, no budget had been allocated for it (with respect to financial support to participants and in terms of resources in the secretariat for the preparation of an additional meeting). At its seventh meeting (Geneva, 28–30 May 2018) the Working Group on Environmental Impact Assessment and Strategic

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<sup>1</sup> See ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7, annex.

<sup>2</sup> See ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/4–III/4.

<sup>3</sup> Decision VII/4–III/4, paras. 4 and 5.

<sup>4</sup> ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/3–III/3, annex I.

<sup>5</sup> The budget table in annex to decision VII/4–III/4 assigns priorities 1, 2 and 4 for funding activities, but contains no priority 3 activities. This error is due to the deletion by the Meetings of the Parties of the two priority 3 activities (Guidance on SEA and climate change and on SEA and urban planning) and the omission to renumber the priorities in the decision, as adopted.

<sup>6</sup> Decision VII/3–III/3, annex II.

Environmental Assessment agreed with the recommendations of the Bureau to allocate up to \$35,000 to support the participation of eligible countries (\$20,000–\$25,000) and non-governmental organizations (\$10,000) in the session; and that these costs should be covered from the Convention trust fund’s operating reserve of savings accumulated from previous intersessional periods. (Due to the last-minute cancellation of four participants eligible for financial support, only \$ 22,955, was spent)

6. In the reporting period, in addition to their annual contributions, a number of Parties made extra-ordinary contributions earmarking them, partially or entirely, as follows:

(a) In the framework of the third grant from Norway to the five ECE Multilateral Environmental Agreements for the promotion of environmental protection and cooperation in the ECE region that covers the period 2018–2020, Norway contributed funds to the Espoo Convention and its Protocol that were earmarked for the priority 1 costs of an external expert (2 months /year) and the priority 2 costs of half-time administrative staff member (12 months /year (until 30 June 2019, \$166,370 were received);

(b) Switzerland made funds available for legislative assistance on transboundary environmental impact assessment to Kazakhstan and Tajikistan (CHF 66,000 or some \$66,683). That legislative assistance has been provided as part of the follow-up activity to the revision of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asian Countries;

(c) Czechia made funds available for capacity building on strategic environmental assessment and environmental impact assessment in Georgia (totalling USD 22,230).

7. One activity had not been completed within the previous intersessional period and was/were therefore carried forward, together with the budgeted funds: the update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asia. (\$26,739 from Switzerland).

8. The income, together with the funds for the carried-over activities, totalled \$752,280. Outstanding pledged contributions for the intersessional period total \$212,539.

9. In addition, in the reporting period, the following project funding was available for implementation of capacity building activities foreseen or related to the workplan:

(a) Funding from the European Union under the Greening Economies in the European Union’s Eastern Partnership (EaP GREEN) programme totalled EUR 163,000 or \$ 195,913;<sup>7</sup>

(b) Funding from the European Union for technical assistance on SEA to Kazakhstan for the period 2016–2018 (“Supporting Kazakhstan’s Transition to a Green Economy Model”)<sup>8</sup> totalling \$164,000;

(c) The first tranche of the funding from the European Union under the EU4 Environment programme<sup>9</sup> (2019-2022) was received in April 2019 totalling \$569,720. The total budget of the programme component that will allow ECE to continue to support Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine in finalizing

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<sup>7</sup> The EaP GREEN programme was implemented between January 2013 and the end of April 2018. The total funding received over the five-year period was €2,108,544 or some \$2,608,378.

<sup>8</sup> “Supporting Kazakhstan’s Transition to a Green Economy Model”. A European Union-funded multi-stakeholder project for 2015–2018.

<sup>9</sup> The European Union funded regional programme “EU4Environment” will be implemented in 2019-2022 by ECE, OECD, UNEP, UNIDO and the World Bank, with a total EU funding of \$ 19.5 million. It will build on the progress made under the previous EU programmes, such as EaP GREEN.

their legal reforms and to build capacity on the effective implementation of the Protocol and the Convention is \$2,579,670.

**Table 1**  
**Income and expenditure**

(in United States dollars)

	<i>Amount by priority</i>				
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Earmarked</i>	<i>Total</i>
(a) Contributions made to the trust fund, with non-earmarked contributions being allocated first to priority 1 activities (from tables A.1 and A.2)	540 441	112 923	—	98 916	<b>752 280</b>
(b) Funds for carried-over activities (see para. 8)	—	—	—	26 739	<b>26 739</b>
(c) Total income to the trust fund in the period ((a)+(b))	540 441	112 923	—	125 655	<b>779 019</b>
(d) Expenditure from the Espoo Trust Fund for budgeted priority 1, 2 and 3 workplan activities (from table A.3) and unbudgeted/earmarked activities (from table A.4 + carried over activities from A.3)	411 874	149 179	665	105 980	<b>667 698</b>
(e) 13% United Nations programme support costs	53 544	19 393	86	13 777	<b>86 801</b>
(f) Trust fund balance: income less expenditure in the period ((c)-(d)-(e))	75 023	-55 649	-751	5 897	<b>24 520</b>
(g) In-kind contributions made, valued according to the budgeted activity cost (from table A.7)	—	—	—	—	—

10. The secretariat spent from the trust fund in accordance with the budget (table A.3, with further details in tables A.5 and A.6). In the reporting period, the funds were spent on the priority-1 activity, namely on an external expert to provide secretariat support. Funds were also spent on priority-2 activities in the following areas:

- (a) Six sessions of the Implementation Committee;
- (b) Three free-standing meetings of the Bureau;
- (c) One meeting of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment;
- (d) Secretariat travel in relation to the workplan;
- (e) Costs of a project assistant at 50 per cent basis to support the secretariat (earmarked funding from Norway);

11. The secretariat also completed the following activity/activities using the carried-over funds from Switzerland from the previous period earmarked for:

- (a) Co-funding a sub-regional workshop to discuss the updating of Guidelines on environmental impact assessment in a transboundary context for Central Asian countries (Kyiv, 2–3 November 2017);
- (b) Co-funding a sub-regional seminar to promote the application of transboundary environmental impact assessment as a tool for “greening” economies and

ensuring sustainable investments in the Central Asian countries, including in the context of the recent economic developments in the sub-region (Tashkent, 4 June 2018).<sup>10</sup>

12. In addition, the secretariat spent from the trust fund to implement workplan activities further to the new earmarked contributions by Switzerland, to support the review of the environmental impact assessment legislation of Kazakhstan, Tajikistan and Uzbekistan vis-à-vis the provisions of the Convention; and on the preparation of revised Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asian Countries, including a subregional workshop organized in Tashkent in June 2018.

13. The secretariat spent from the trust fund further to the earmarked contribution by Czechia for the organization of a capacity building workshop in Tbilisi in May 2019 to promote efficient application of SEA in urban planning with a special focus to the screening and scoping stages.

14. As agreed by the Working Group, the secretariat covered the originally unbudgeted travel costs of eligible participants to the intermediary sessions of the Meetings of the Parties using the trust fund's operating reserve of savings accumulated from the previous intersessional periods. Due to the last-minute cancellation of four participants that had requested for financial support \$ 22,955 were spent.

**15. The expenditure totalled \$667,698 to which was added 13 per cent United Nations programme support costs of \$86,801, leaving a balance in the trust fund of \$24,520.**

16. Parties and partner organizations also undertook or financed the following activities in the following areas by providing in-kind contributions (table A.7):

(a) Subregional cooperation meetings: A session on implementation of the Convention, the Protocol and the Bucharest Agreement in the South-Eastern Europe subregion, co-organized by Romania and the secretariat during a regional conference on environmental impact assessment hosted by Croatia (Vodice, Croatia, 14 and 15 September 2017);

(b) Work on draft terms of reference and on draft guidance for the applicability of the Convention to the lifetime extension of nuclear power plants by an ad hoc working group co-chaired by Germany and the United Kingdom of Great Britain and Northern Ireland, with participation of Armenia, Austria, Belarus, Belgium, Bulgaria, Canada, Czechia, France, Finland, Greece, Italy, Luxembourg, the Netherlands, Norway, Poland, Portugal, Slovenia, Slovakia, Spain, Sweden, Switzerland, Ukraine, and the European Commission (including Directorate Generals for Energy and for the Environment), and with support from the secretariat. The ad hoc group held meetings as follows: Luxembourg, 27–28 November 2017, Brussels, 20–21 February and Berlin, 20–21 June 2018; London, 2–3 October 2018; Geneva, 25–26 March 2019; and Lisbon, 3–4 June 2019. In addition, the co-chairs held consultation meetings with NGOs in Brussels on 8 August and 18 December 2018 as well as on 17 June 2019;

(c) Workshop on the application of the Convention to the lifetime extension of nuclear power plants co-organized by Germany and the United Kingdom, with support from the secretariat (Geneva, 28–29 May 2018) during the seventh meeting of the Working Group on EIA and SEA. Additional Russian interpretation was financed in-kind by the Netherlands;

(d) Informal meeting on the future strategy and an action plan for the Convention and the Protocol co-chaired by Austria and the Netherlands, in London, on 1 October 2018;

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<sup>10</sup> The seminar took place back to back with the Central Asian Environmental Forum (Tashkent, 5–8 June 2018).

(e) Workshop on the environmental and health impacts of the lifetime extension of nuclear power plants organized by IAIA in Lisbon, on 5 June 2019, with support from the secretariat;

(f) Informal translation by WWF Russia of IAIA FasTips;

17. In the reporting period, the funding from the European Union under the EaP GREEN Programme allowed the secretariat to implement the following activities foreseen in or related to the workplan in Eastern Europe and the Caucasus: a sub-regional workshop (Kyiv, 31 October–2 November 2017); final dissemination events on SEA/EIA component of the EaP GREEN in Armenia, Azerbaijan, Belarus, the Republic of Moldova, and Ukraine; SEA training workshops in Azerbaijan and Belarus; high-level awareness-raising event on implementation of SEA in Ukraine; finalizing SEA guidelines in Armenia, Azerbaijan, the Republic of Moldova and Ukraine; preparation of awareness raising materials (video and/or a brochure on the Protocol on SEA) in the national languages of Armenia, Azerbaijan, and the Republic of Moldova, and the finalization of a manual for trainers on the application of the Protocol on SEA. That funding covered also additional staff to support the secretariat in the planning and managing of the above activities, as follows: one full time consultant; and an administrative support staff at 50 per cent basis between July 2017 and April 2018. As the secretariat has had to report separately on the use of these funds under the EaP GREEN Programme, these expenditures are not included in the present report. However, the secretariat and the concerned countries regularly reported to the Bureau, the Implementation Committee and the Working Group on the outcomes of the above capacity building activities.

18. The expenditures under the European Union project for Kazakhstan (2015-2018) were also reported separately and not included in the present report. This project funding covered:

(a) A review of the Kazakh national legislation vis-à-vis the Protocol on SEA, involving the two working group meetings (Astana, 15–16 November and 15–16 February 2018);

(b) The drafting of a chapter on SEA for inclusion into the national legislation (the environmental code), which was presented to the Government of Kazakhstan at a third meeting of the project’s working group on 26 April 2018;

(c) The initiation of the pilot application of SEA to the “Concept for the development of fuel and energy system until 2030” of Kazakhstan, including two training workshops on SEA (Astana, 8–9 November 2017 and 5 March 2018) followed by the preparation of a scoping report that was submitted for consideration of the Government in early April 2018;<sup>11</sup>

(d) Five months of a salary of external expert to provide secretariat support between 1 July and 31 November 2018.

#### **IV. Overspending and savings**

18. In the reporting period, the savings and their use has been as follows:

(a) Savings of \$24,760 were realized regarding meetings of the Implementation Committee and the Bureau, since only two Bureau and Committee members elected by the Meetings of the Parties were entitled to financial support for their participation. At its meeting 7–8 February 2018, the Bureau decided that the expected savings from the Committee

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<sup>11</sup> Non-technical summary is available at:  
[http://energo.gov.kz/assets/themes/default/images/upload/pdf/Non-technical%20summary\\_RUS.pdf](http://energo.gov.kz/assets/themes/default/images/upload/pdf/Non-technical%20summary_RUS.pdf)

meetings could be used as needed to allow the Committee to convene longer and more frequent meetings during the intersessional period to tackle its increasing workload.

(b) The project funds from the European Union under EaP GREEN covered the staff costs of a project assistant at 50 per cent basis in the reporting period between July 2017 to April 2018, which allowed the secretariat to set aside the corresponding amount earmarked by Norway for this purpose (approximately \$43,340) and use them for staffing/consultancy costs later during the intersessional period. Moreover, further to the departure of the half-time project assistant at the end of July 2018, the corresponding savings of some \$4,000/month are being used to cover full-time consultancy support to the secretariat;

(c) The project funds from the European Union for Kazakhstan (2015-2018) covered the staff costs of the external expert for a total of 5 months amounting to a savings of some \$75,000. These funds were intended to serve as a reserve to secure staffing for tasks for which the secretariat has no resources, including final reporting on the EU4Environment after the end of the project in 2022.

19. Table 2 presents the budgetary requirements for the period between the seventh and eighth sessions of the Meeting of the Parties to the Convention (and the third and the fourth sessions of the Meeting of the Parties to the Protocol), identifying how much of the budget has been fulfilled by expenditure and in-kind contributions and taking into account savings and overspend. Table 2 refers to priority-1, -2 and -3 activities as only these had a budgetary allocation.

Table 2  
**Budgetary requirements**  
(in United States dollars)

	<i>Amount by priority</i>				<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Ear-marked</i>	
(a) Budget for period between seventh and eighth sessions of the Meeting of the Parties (decision VII/4-III/4, annex), plus for carried-over activities (from table A.3)	625 000	533 000	25 000	26 739	<b>1 209 739</b>
(b) Expenditure from the trust fund for budgeted workplan activities (from table 1, row (d))	411 874	149 179	—	—	<b>561 053</b>
(c) Expenditure from the trust fund for carried-over activities (from table A.3, item 11)	—	—	—	26 464	<b>26 454</b>
(c) In-kind contributions made (from table 1, row (g))	—	—	—	—	—
(d) Savings against budget for workplan activities (from table A.3), including from cancellation of activities in absence of funds.	9 106	24 760	—	285	<b>34 151</b>
(e) Overspend against budget for workplan activities (from table A.3)	-20 980	-11 030	—	—	-32 010
<b>(f) Outstanding budgetary requirements ((a)-(b)-(c)-(d)+(e))</b>	183 041	348 031	25 000	—	<b>556 072</b>
(g) 13 per cent United Nations programme support costs	23 795	45 244	3 250	—	<b>72 289</b>
<b>Total outstanding requirements ((f)+(g))</b>	206 836	393 275	28 250	—	<b>628 361</b>



## V. Outstanding requirements and operating reserve

20. Table 2 also presents the outstanding budgetary requirements at the end of the period, based on the agreed budget under decision VII/4–III/4, totalling \$628,361. These requirements may be contrasted with the available funds for such activities, totalling \$24,520, and the outstanding pledged contributions of \$212 539. A substantial shortfall is therefore to be expected.

21. An operating reserve of approximately \$530,000 was created by carrying forward unspent funds from the periods between the third and fourth, the fourth and fifth, and the fifth and sixth and sixth and the seventh sessions of the Meeting of the Parties to the Convention, as decided by the Meeting of the Parties at the respective sessions. The operating reserve has provided contingency funding to ensure continued operations under the Convention and allowing notably the yearly extension of the contracts of the staff paid from the trust fund, despite the delayed receipt of contributions to the trust fund.

22. Based on the recommendation of the Bureau, in May 2018 the Working Group instructed the secretariat to make use of the operating reserve for covering the costs for the intermediary session of the Meetings of the Parties of February 2019. Out of the maximum of \$35,000 that the secretariat could use for that purpose, only \$ 22,955 were spent, including due to last minute cancellations of four participants (entailing savings of \$12,045). This leaves an operating reserve of some \$508,000.

23. As requested by the Bureau at its meeting in 2018, the present paragraph clarifies the minimum level of the operating reserve needed for the secretariat to ensure its smooth functioning and support to the workplan. As part of its management of the extrabudgetary resources, in October/November of each calendar year, the secretariat must make a projection of expected expenditures from the trust fund during the year ahead, covering all the cost items and activities envisioned in the budget agreed by the Meetings of the Parties. The actual spending is possible only once sufficient funds (including also the overheads and the operating cash reserve) are available and allotted to the appropriate expenditure lines (the secretariat must issue allotment advice corresponding to the cost plans to authorize the spending of the funds). The UN administration retains 13% of overhead (programme support cost) on all voluntary contributions. In addition, for all UN trust funds, an operating cash reserve of 15% of estimated planned expenditure must be maintained during the implementation of the trust fund activities in a given year to cover shortfalls. This reserve of 15% is released through an official request at the end of the year/early following year. In the light of the above, the minimum operating reserve for one year can be calculated as follows:

(a) For securing the yearly extension of staff contracts of the secretariat's extrabudgetary staff (one full time professional staff and one administrative staff at 50%), the minimum net cash that needs to be available is approximately \$260,000 to which must be added the 13% programme support cost (i.e. some \$33,800) and the required 15% cash reserve (i.e. some \$39,000). This amounts in total to a minimum reserve of some \$332,800;

(b) To also allocate funds for financial support to participation at meetings for a given year (e.g. for two meetings of the Working Group on EIA and SEA, or alternatively for one session of the Meetings of the Parties, and for three meetings of the Implementation Committee and one meeting of the Bureau), the total available cash needed is \$465,900, as a minimum (i.e. \$260,000 for staff + \$104,000 for travel support for meetings + 13% + 15%).

(c) To allow the secretariat to budget all the annual expenditures in accordance with the budget adopted by the Meetings of the Parties for the period 2017–2020 (\$1,180,000/three-year period or \$390,000/year), it should be able to budget for each year a minimum of \$504,320 (i.e. the agreed budget per annum of \$394,000 + 13% + 15%).

## Annex

### Details of the financial report

*Table A.1*

#### Income to the Convention's trust fund

(in United States dollars)

<i>Party<sup>a</sup></i>	<i>Description of pledge in ECE/MP.EIA/23-ECE/MP.EIA/SEA/7 annex, plus additional contributions</i>	<i>Pledge</i>	<i>Amount received</i>
Albania	US\$ 2,000 for the next intersessional period 2017–2020 to be paid by July 2017.	2.000	2.000
Armenia	(None)	0	0
Austria	US\$ 18,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of USD 6,000 each in 2017, 2018 and 2019. Additional unpledged contribution of USD 10,000 in 2019	18.000	22.000
Azerbaijan	(None)	0	0
Belarus	(None)	0	0
Belgium	(None)	0	0
Bosnia and Herzegovina	(None)	0	0
Bulgaria	US\$ 5,000 to the Convention and US\$ 3,000 to the Protocol for the intersessional period 2017–2020, to be paid in 2017	8.000	8.000
Canada	(None)	0	0
Croatia	US\$ 9,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of US\$ 3,000 each in 2017, 2018 and 2019	9.000	6.000
Cyprus	Unpledged Contribution of US\$ 1,000 in 2017 and US\$ 1,000 in 2018	0	2.000
Czechia	US\$ 15,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of US\$ 5,000 each in 2017, 2018 and 2019 and additional unpledged contribution of 500 000 CzK (USD 22,000) for capacity building on SEA and EIA in Georgia 2017-2020.	15.000	32.232
Denmark	US\$ 20,000 for the intersessional period 2017–2020, to be paid by the end of 2017	20.000	19.970
Estonia	€ 3,000 for the intersessional period 2017–2020, to be paid in three instalments of € 1,000 each in 2017, 2018 and 2019.	3.366	2.329

**Table A.1**

Finland	US\$ 20,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of US\$ 5,000 in 2018, US\$ 5,000 in 2019 and US\$ 10,000 in 2020, of which US\$ 5,000 for the organization of the 2020 sessions of the Meetings of the Parties	20.000	5.000
France	€60,000 for the intersessional period 2017–2020, to be paid in three instalments of € 20,000 each in 2017, 2018 and 2019.	67.325	45.610
Germany	US\$ 45,000 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 15,000 each in 2017, 2018 and 2019, subject to adoption of the budget, and possible additional earmarked funding.	45.000	45.000
Greece	Unpledged Contribution for 2017 of US\$ 1,000 and for 2018 of US\$ 1,000	0	2.000
Hungary	Unpledged Contribution in 2017 of US\$ 4,000 and for 2018 for US\$ 4,000	0	7.976
Ireland	(None)	0	0
Italy	€30,000 for the intersessional period 2017–2020, to be paid in four instalments of €5,000 for June – December 2017 (already paid); €10,000 in 2018 and in 2019; and €5,000 in January–June 2020.	33.662	28.948
Kazakhstan	(None)	0	0
Kyrgyzstan	(None)	0	0
Latvia	Unpledged Contribution in 2018 of €1,000 and in 2019 of €1,000	0	2.313
Liechtenstein	(None)	0	0
Lithuania	US\$ 6,000 for the intersessional period 2017–2020, to be paid by the end of 2017.	6.000	6.000
Luxembourg	(None)	0	0
Malta	(None)	0	0
Montenegro	Unpledged Contribution in 2018 of EUR 6,000	0	6.818
Netherlands	€60,000 for the intersessional period 2017–2020 (already paid)	67.325	63.694
North Macedonia	(None)	0	0
Norway	NKr 360,000 for the intersessional period 2017–2020, to be paid in three instalments of NKr 120,000 each in 2018, 2019 and 2020. In addition, unpledged contribution of approximately USD 247,500 in total for 2017–2020 (2 months/year of priority 1 external expert;	42.412	181.274

**Table A.1**

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	and 6 months/year of priority 2 admin.support to the secretariat, in 3 yearly allotments.		
Poland	US\$ 15,000 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 5,000 each in 2018, 2019, 2020.	15.000	10.000
Portugal	(None)	0	0
Republic of Moldova	Unpledged contribution of US\$ 1,000 in 2018	0	1.000
Romania	US\$ 15,000 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 5,000 each in 2018, 2019 and 2020.	15.000	10.000
Serbia	US\$ 4,800 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 1,600 each in 2017, 2018 and 2019.	4.800	3.200
Slovakia	US\$ 2,000 for the intersessional period 2017–2020, to be paid in 2017.	2.000	2.000
Slovenia	US\$ 9,000 for the intersessional period 2017–2020 to be paid in three instalments of US\$ 3,000 each in May 2018, 2019 and 2020	9.000	3.000
Spain	(None)	0	0
Sweden	Unpledged Contribution in 2018 of US\$ 8,300 and in 2019 of US\$ 8,300	0	16.540
Switzerland	Subject to the annual budgetary approval by the Parliament, SwF 42,000 per year for the intersessional period 2017–2020, to be paid in 2017, 2018 and 2019, including an annual contribution of SwF 20,000 and an additional contribution of SwF 22,000 earmarked for capacity building activities in Central Asia that are to be specified subsequently.	130.260	149.995
Ukraine	US\$ 6,000 for the intersessional period 2017–2020	6.000	6.000
United Kingdom of Great Britain and Northern Ireland	(None)	0	0
European Union	Subject to the adoption of the budget, €150,000 for the intersessional period 2017–2020, to be paid in three instalments of €50,000 each in 2018, 2019 and 2020.	168.312	61.350
	<b>Total</b>	<b>707.463</b>	<b>752.280</b>

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**Table A.1**

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<sup>a</sup> All Parties to the Convention are listed, whether or not contributing to the trust fund.

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**Table A.2**

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**Income to the Convention's trust fund by date**

(in United States dollars)

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income in USD</i>
<b>2016</b>			
6 March	Netherlands	EUR 60,000	63.694
<b>2017</b>			
6 March	Italy	EUR 5,000	5.302
9 May	Croatia	USD 3,000	3.000
20 June	Germany	USD 15,000	15.000
11 July	France	EUR 20,000	22.753
19 September	Albania	USD 2,000	2.000
25 September	Switzerland	CHF 42,000	44.397
9 October	Czech Republic	CZK 500,000	22.232
14 November	Czech Republic	USD 5,000	5.000
21 November	Bulgaria	USD 8,000	8.000
27 November	Lithuania	USD 6,000	6.000
1 December	Austria	USD 6,000	6.000
12 December	Ukraine	USD 6,000	6.000
15 December	Greece	USD 1,000	1.000
18 December	Cyprus	USD 1,000	1.000
20 December	Estonia	EUR 1,000	1.185
27 December	Norway	NOK 706,364	84.934
<b>2018</b>			
5 January	Denmark	USD 19,970	19.970
1 March	European Union	EUR 50,000	61.350
2 March	Poland	USD 5,000	5.000
26 March	Sweden	USD 8,270	8.270
27 March	Italy	EUR 10,000	12.270

**Table A.2**

20 April	Hungary	USD 3,975.5	3.976
15 May	Cyprus	USD 1,000	1.000
1 June	Norway	NOK 118,837	14.899
19 June	Finland	USD 5,000	5.000
6 July	Slovakia	USD 2,000	2.000
16 July	Serbia	USD 3,200	3.200
24 July	Austria	USD 6,000	6.000
6 August	Romania	USD 5,000	5.000
3 August	Slovenia	USD 3,000	3.000
20 August	France	EUR 20,000	22.860
27 August	Croatia	USD 3,000	3.000
13 September	Latvia	EUR 1,000	1,165
27 September	Estonia	EUR 500	583
29 November	Montenegro	EUR 6,000	6,818
10 December	Germany	USD 30,000	30.000
13 December	Czechia	USD 5,000	5.000
14 December	Switzerland	CHF 42,000	42.170
17 December	Norway	USD 81,440	81.440
19 December	Greece	USD 1,000	1.000
21 December	Republic of Moldova	USD 1,000	1.000
<b>2019</b>			
17 January	Latvia	EUR 1,000	1.148
1 March	Austria	USD 10,000	10,000
14 March	Italy	EUR 10,000	11.376
25 March	Romania	USD 5,000	5.000
2 April	Poland	USD 5,000	5.000
4 April	Estonia	EUR 500	560
7 May	Sweden	USD 8,300	8.300
11 June	Hungary	USD 4,000	4.000
21 June	Switzerland	CHF 64,000	63.428
<b>Total</b>			<b>752.280</b>

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**Table A.3**

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**Expenditure from the Convention's trust fund on budgeted activities**

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure<sup>a</sup></i>
1	Eighth session of the Meeting of the Parties to the Convention and the fourth session of the Meeting of the Parties to the Protocol (2020)	2		Participation of countries with economies in transition (CITs)  Participation of non-governmental organizations  Invited speakers  Participation of non-UNECE countries	
(a)	<b>Total for activity</b>				
2.1	Meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment, Geneva	2	Seventh meeting, 28-30 May 2018	Participation of CITs  Participation of non-governmental organizations  Participation of non-UNECE countries	18.388  9.492  -
2.2			Eighth meeting, 26-28 November 2019	Participation of CITs  Participation of non-governmental organizations  Participation of non-UNECE countries	
2.3			Ninth meeting, 2020	Participation of CITs  Participation of non-	

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				governmental organizations	
				Participation of non-UNECE countries	
(b)	<b>Total for activity</b>				<b>27.880</b>
3.1	Bureau meetings (free standing)	2	Fourth meeting, 7-8 February 2018	Participation of CITs (Bureau members)	3.235
3.2			Fifth meeting, 22-23 October 2018		2.491
3.3			Sixth meeting, 17-18 June 2019		3.324
3.4			Seventh meeting, 2020		
(c)	<b>Total for activity</b>				<b>9.050</b>
4.1	Meetings of the Implementation Committee	2	39th session, 5-7 Sept 2017	Participation of CITs (Committee members)	2.870
4.2			40th session, 5-7 Dec 2017		1.539
4.3			41th session, 13-15 Mar 2018		3.915
4.4			42th session, 11-13 Sep 2018		3.562
4.5			43th session, 4-6 Dec 2018		4.846
4.6			44th session, 12-15 March 2019		3.457
4.7			45th session, 2019		
4.8			46th session, 2019		
4.9			47th session, 2020		
(d)	<b>Total for activity</b>				<b>20.190</b>
5.1	External expert to provide secretariat support for the implementation of the Convention and the Protocol	1	Year 2017 (Jul - Dec)	External expert (United Nations Standard Salary Cost, including net salary, taxes and common staff costs)	112.056
5.2			Year 2018 (Jan - June)		97.917
			Year 2018 (Jul - Dec)		92.977
5.3			Year 2019 (Jan - Jun)		108.924



			Year 2019 (Jul - Dec)		
5.4			Year 2020 (Jan-Jun)		
(e)	<b>Total for activity</b>				<b>411.874</b>
6.1	Administrative staff to provide secretariat support (half time)	2	Year 2017 (Jul - Dec)	Administrative staff at 50% (United Nations Standard Salary Cost, including net salary, taxes and common staff costs)	-
6.2			Year 2018 (Jan - June)		8.718
			Year 2018 (Jul - Dec)		32,630
6.3			Year 2019 (Jan - Jun)		33.400
			Year 2019 (Jul - Dec)		
6.4			Year 2020 (Jan-Jun)		
(f)	<b>Total for activity</b>				<b>74.748</b>
7	Informal translations of informal papers for meetings listed above	2			
(g)	<b>Total for activity</b>				
8.1	Further support for the implementation of the Convention and the Protocol	2	Secretariat travel in relation to the workplan	Secretariat travel and DSA (detailed in Table A.6)	17.311
8.2			Promotional materials	(None)	
(h)	<b>Total for activity</b>				<b>17.311</b>
9	Reporting under the Convention and the Protocol	1	Consultancy costs for drafting reviews of implementation, translation of reports	Consultant	
(i)	<b>Total for activity</b>				
10.1	Promotion of contacts with countries outside	3		mission	665
10.2				mission	

10.3	the ECE region (with reporting of results to Working Group)		Travel of secretariat, experts and Chair	mission	
10.4				mission	
10.5				mission	
(j)	<b>Total for activity</b>				665
(k)	<b>Subtotal (Organizational)</b>				<b>561.053</b>
(l)	Future of the Convention and the Protocol	2	A long-term strategy and an action plan, including regarding the opening of the Convention and the Protocol and contribution to the 2030 Agenda for Sustainable Development (support to drafting)	Consultant	
(m)	<b>Subtotal (substantive activities)</b>				
(n)	<b>Total for all budgeted activities (as in decision VII/4- III/4, annex) (k) + (m)</b>				<b>561.053</b>
11	Carried forward activities	3	update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asia		26.454
(o)	<b>Total for carried over activities</b>				<b>26.454</b>
(p)	<b>Total for all activities (n) + (o)</b>				<b>587.507</b>

**Table A.4****Expenditure from the Convention's trust fund on unbudgeted activities**

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Justification</i>	<i>Type of expenditure</i>	<i>Expenditure</i>
1	Sub-regional workshop to promote application of transboundary EIA in CA sub-region, Tashkent 4 June 2018		Contribution from Switzerland	Financial authorization UNDP: Payment for the venue, simultaneous interpretation, coffee break and lunch	6,018
				Travel and DSA for 2 senior experts to Subregional workshop on transboundary EIA as a tool for "greening" economies and sustainable investments in Central Asian countries (Tashkent, June 2018)	332
				<b>Total:</b>	<b>6,350</b>
	EIA review and recommendations for Kazakhstan (see item I.10 (b) (i) of the workplan)		Contribution from Switzerland	Grant: Payment for the venue, simultaneous interpretation, coffee break and lunch	4,920
				Contract with an external consultant	480
				Contract with an external consultant	1,800
				<b>Total:</b>	<b>7,200</b>
3	EIA review and recommendations for Uzbekistan (see item I.10 (b) (ii) of the workplan)		Contribution from Switzerland	Grant: Payment for the venue, simultaneous interpretation, coffee break and lunch	4,843
				Travel and DSA for two external experts to conduct two workshops: on SEA in Uzbekistan" (27 August 2018); and on EIA in Uzbekistan (26 August 2018)	3,027 + 2,315
				Contracts to cover fees of two external consultants	3,950 + 2,350
				<b>Total:</b>	<b>16,485</b>

**Table A.4**

4	EIA review and recommendations in Tajikistan (see item I.10 (b) (ii) of the workplan)	Contribution from Switzerland	Grant: Payment for the venue, simultaneous interpretation, coffee break and lunch	5,665
			Contracts with two external consultants	2,350 + 3,950
			<b>Total:</b>	<b>11,965</b>
5	Intermediary session of the Meeting of the Parties to the Convention and to the Protocol	The Working Group agreed on a budget of up to 35,000 dollars to be used from the operative reserve of the trust fund to support the participation of eligible countries (20,000–25,000 dollars) and NGOs (10,000 dollars) in the MOP sessions	<i>Participation of countries with economies in transition (CITs) and participation of non-governmental organizations</i>	15,888 (CITs) 7,066 (NGOs)
			<b>Total:</b>	<b>22,954</b>
6.	<i>Training session on SEA screening and scoping for the municipal spatial plans (16 - 17 May 2019, Tbilisi, Georgia)</i>	<i>Contribution from Czechia</i>	Services provided by UNDP Georgia: translation services, interpretation, rental of the conference room etc)	7,777
			Contracts and travel of two external consultants	6,796
			<b>Total:</b>	<b>14,573</b>
	<b>Total for all activities</b>			<b>79,526</b>

**Table A.5****Promotion of contacts with countries outside the ECE region: travel and subsistence allowances**

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
	A workshop on regional cooperation to enhance transboundary consultation on nuclear power plant development in South-East Asia (Singapore, 8–9 May 2019) <i>(To cover terminal costs of the secretariat. Flights and accommodation were paid for by Singapore).</i>	665
	<b>Activity total</b>	<b>665</b>

**Table A.6**  
**Secretariat travel in relation to the workplan: travel and subsistence allowances**

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
8.1.1	Third Regional Conference on EIA (13-16 September 2017), with a special session on the Espoo Convention, the Protocol on SEA and the Bucharest Agreement	1.635
8.1.2	Second sub-regional workshop, Ukraine, November 2017	815
8.1.3	Ad hoc working group on life time extension of nuclear power plants (1st meeting) (27 - 28 November 2017), Luxembourg	1.314
8.1.4	EaP GREEN project management meeting and final conference (6 April 2018), Baku, Azerbaijan	1.105
8.1.5	Ad hoc working group on lifetime extension of nuclear power plants (2nd meeting) (20 - 21 February 2018), Brussels	3.385
8.1.6	Subregional Workshop "Feasibility of the application of a transboundary environmental impact assessment procedure as a tool for "greening" economies and ensuring sustainable investments in Central Asian countries" (Tashkent, June 2018)	1.807
8.1.7	EUs Eastern Partnership Panel on environment and climate change: Including launch of EU4Environment, Kiev, June 2018	1.393
8.1.8	Ad hoc working group on lifetime extension of nuclear power plants (3rd meeting) (20 - 21 June 2018), Berlin	854
8.1.9	Ad hoc working group on lifetime extension of nuclear power plants (4th meeting) (2-3 October 2018), London	2053
8.1.10	Ad hoc working group on lifetime extension of nuclear power plants (6th meeting) (3-4 June 2019), and international workshop of IAIA on the lifetime extension of nuclear power plants (2 June 2019), Lisbon	1740.37
8.1.11	Consultancy meeting on developing capacity building material on SEA of nuclear power programmes organized by IAEA (3-5 July 2019), Vienna	1208.9
	<b>Activity total</b>	<b>17.311</b>

Table A.7

**In-kind contributions made**

(in United States dollars)

<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Date</i>	<i>Source</i>	<i>Value<sup>a</sup></i>	<i>Notes</i>
Sub-regional cooperation	3	Session on implementation of the Convention, the Protocol and the Bucharest Agreement in the South-Eastern Europe subregion	14-15 September 2017, Vodice, Croatia	Croatia	Unknown	Co-organized by Romania and the secretariat during a regional conference on EIA
	3	Preparation of guidance on the applicability of the Convention to the lifetime extension of nuclear power plants by an ad hoc working group led by Germany and the UK, including preparatory meetings hosted by the Co-Chairs and the members of the group and Co-Chairs' consultations with NGOs	27-28 November 2017, Luxembourg and 20-21 February 2018, Brussels and 20-21 June 2018, Berlin, London (2-3 October 2018); Geneva (25-26 March 2019); Lisbon (3-4 June 2019) and Rotterdam (8-9 October 2019). NGO consultations August and December 2018 and 17 June 2019.	Germany the UK and the European Commission (DG Energy and Environment), Portugal, Netherlands	Unknown	Ad hoc working group led + co-chaired by Germany and the UK, with participation of Armenia, Austria, Belarus, Bulgaria, Canada, Czechia, France, Finland, Greece, Italy, Luxembourg, Netherlands, Norway, Poland, Portugal, Slovenia, Slovakia, Sweden, Switzerland, Spain, Ukraine, and the European Commission (Energy and Environment DGs)

3	Workshop on the application of the Convention to the lifetime extension of nuclear power plants (+ additional hour of Russian interpretation paid by the Netherlands)	28-29 May 2018, Geneva	Germany, the UK, (interpretation costs: Netherlands)	Unknown	Workshop co-organized by Germany and the United Kingdom, with support from the secretariat
3	International Workshop on the application of the Convention to the lifetime extension of nuclear power plants	5 June 2019, Lisbon	IAIA	Unknown	Workshop organized by IAIA with secretariat support
3	Informal Translation of IAIA FastTips		WWF Russia	Unknown	

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**Total**

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<sup>a</sup> Values for activities not indicated in the current budget (decision VII/4-III/4) and are unknown.

Table A.8

**Budget, after decision VII/4-III/4**

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation/Justificaton</i>
	<b>Organizational activities</b>						
1	Eighth session of the Meeting of the Parties to the Convention and the fourth session of the Meeting of the Parties to the Protocol	2		80,000			Not yet completed
2.1	Meetings of the Working Group on Environmental	2	Seventh meeting, 28-30 May 2018	36,667	7,742		Completed
2.2	Impact Assessment and Strategic Environmental		Eight meeting, 2019	36,667			
2.3	Assessment, Geneva		Ninth meeting, 2020	36,667			
3.1	Bureau meetings (free standing)	2	Fourth meeting, 7-8 February 2018	6,000	2,765		Completed
3.2			Fifth meeting, 22-23 October 2018	6,000	3,509		Completed
3.3			Sixth meeting, 17-18 June 2019	6,000	2,676		Completed
3.4			Seventh meeting, June 2020	6,000			
4.1	Meetings of the Implementation Committee	2	39th session, 5-7 Sept 2017	6,000	3,130		Completed
4.2			40th session, 5-7 Dec 2017	6,000	4,461		Completed
4.3			41th session, 13-15 Mar 2018	6,000	2,085		Completed
4.4			42th session, 11-13 Sep 2018	6,000	2,438		Completed
4.5			43th session, 4-6 Dec 2018	6,000	1,154		Completed
4.6			44th session, 12-15 March 2019	6,000	2,543		Completed
4.7			45th session, 2019	6,000			
4.8			46th session, 2019	6,000			
4.9			47th session, 2020	6,000			
5.1	External expert to provide secretariat support for the implementation of the Convention and the Protocol	1	Year 2017 (Jul - Dec)	100,000		-12,056	Completed
5.2			Year 2018 (Jan - June)	100,000	2,083		Completed
			Year 2018 (Jul - Dec)	100,000	7,023		Completed
5.3			Year 2019 (Jan - Jun)	100,000		-8,924	Completed
			Year 2019 (Jul - Dec)	100,000			



5.4			Year 2020 (Jan-Jun)	100,000			
6.1	Administrative staff to	2	Year 2017 (Jul - Dec)	27,500	27,500		Completed
6.2	provide secretariat support		Year 2018 (Jan - June)	27,500	18,782		Completed
	(half time)		Year 2018 (Jul - Dec)	27,500		-5,130	Completed
6.3			Year 2019 (Jan - Jun)	27,500		-5,900	Completed
			Year 2019 (Jul - Dec)	27,500			
6.4			Year 2020 (Jan-Jun)	27,500			
7	Informal translations of	2		25,000			
	informal papers for						
	meetings listed above						
8.1	Further support for the	2	Secretariat travel in relation to	45,000			
	implementation of the		the workplan				
8.2	Convention and the		Promotional materials	15,000			
	Protocol						
9	Reporting under the	1	Consultancy costs for drafting	25,000			
	Convention and the		reviews of implementation,				
	Protocol		translation of reports				
10	Promotion of contacts with	3	Travel of secretariat, experts and	25,000			
	countries outside the ECE		Chair				
	region (with reporting of						
	results to Working Group)						
	<b>substantive activities</b>						
	Future of the Convention	2	A long-term strategy and an	15,000			
	and the Protocol		action plan, including regarding				
			the opening of the Convention				
			and the Protocol and				
			contribution to the 2030 Agenda				
			for Sustainable Development				
			(support to drafting)				
	<b>Carried forward</b>						
	<b>activities</b>						
11	Carried forward	3	update of the Guidelines on	26739	285		
	activities		Environmental Impact				
			Assessment in a Transboundary				
			Context for Central Asia				
<b>Total</b>				<b>1,183,000</b>	<b>82,553</b>	<b>-32,010</b>	
+13% United Nations programme support costs				<b>153,790</b>			
<b>Grand total</b>				<b>1,336,790</b>			