**GLOBAL SURVEY ON TRADE FACILITATION AND PAPERLESS TRADE IMPLEMENTATION 2017**

**About the Survey:**

The United Nations Regional Commissions including ECA, ECE, ECLAC, ESCAP and ESCWA are conducting the second Global Survey on Trade Facilitation and Paperless Trade to collect relevant data and information from their respective member states. The initiative will support the implementation of the WTO Trade Facilitation Agreement, as well as emerging regional and global initiatives on paperless trade and e-trade, such as the new Framework Agreement on Facilitation of Cross-Border Paperless Trade in Asia and the Pacific. Partnership with relevant UN and other Organizations other relevant regional and sub-regional organizations, was key to the success for the First Global Survey, and will be further strengthened for the Second Global Survey. Interested organizations, industry associations, academia, research institutes and other stakeholders, are very welcome to join the initiative.

The Survey for 2017 is available at <https://unnext.unescap.org/content/global-survey-trade-facilitation-and-paperless-trade-implementation-2017>.

The Reports of the Survey conducted in 2015 are available at: <https://unnext.unescap.org/content/global-survey-trade-facilitation-and-paperless-trade-implementation-2015>.

**Outcome:**

The Survey will lead to the production of a Global Report and five Regional Reports, as well as a database[,](https://unnext.unescap.org/content/global-survey-trade-facilitation-and-paperless-trade-implementation-2015) which aim at providing insightful information for policy makers to harness trade as a key means of implementation of the 2030 Agenda for Sustainable Development. It is envisaged that, whenever possible, the Reports will be presented at some important meetings such as the [6th Global Aid for Trade Review](https://www.wto.org/english/tratop_e/devel_e/a4t_e/a4tmonit_6gr_e.htm) to be held from 11 to 13 July 2017, at the WTO, in Geneva.

**Contacts:**

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Any questions or requests for additional information can be sent to the above focal points in your region or to Mr. Tengfei Wang, who coordinates the Survey.

**Advice on completing the questionnaire:**

* Please kindly complete the questionnaire **as much as you can**. A partially completed questionnaire according to your expertise is totally acceptable.
* Please send the completed questionnaire to (the focal point of RC e.g., Tengfei Wang) by 31 March

**SECTION A – TRADE FACILITATION MEASURES**

*Please indicate the correct response by putting an* **X** *in the relevant column for each measure, depending on its level of implementation in your country. Kindly note the following definitions in filling in Section A:*

**Full implementation (FI)***: the trade facilitation measure implemented is in full compliance with commonly accepted international standards, recommendations and conventions such as the Revised Kyoto Convention, UN/CEFACT Recommendations, or the WTO Trade Facilitation Agreement (TFA); it is implemented in law and in practice; it is available to essentially all relevant stakeholders nationwide, supported by adequate legal and institutional framework, as well as adequate infrastructure and financial and human resources. (a TFA measure included in the Notifications of Category A commitments may generally be considered as a measure which is fully implemented by the country,* *with a caveat that the measure will be implemented by a least-developed country member within one year after entry into force of the TFA agreement).*

**Partial implementation (PI)***: a measure is considered to be partially implemented if at least one of the following is true: (1) the trade facilitation measure is in partial – but not in full - compliance with commonly accepted international standards, recommendations and conventions; (2) the country is still in the process of rolling out the implementation of measure; (3) the measure is practiced but on an unsustainable, short-term or ad-hoc basis; (4) the measure is implemented in some – but not all- targeted locations (such as key border crossing stations); or (5) some – but not all - targeted stakeholders are fully involved.*

**Pilot stage of implementation (PS)***: a measure is considered to be at the pilot stage of implementation if, in addition to meeting the general attributes of partial implementation, it is available only to (or at) a very small portion of the intended stakeholder group (location) and/or is being implemented on a trial basis. When a new trade facilitation measure is under pilot stage of implementation, the old measure is often continuously used in parallel to ensure the service is provided in case of disruption of new measure. This stage of implementation also includes relevant rehearsals and preparation for the full-fledged implementation.*

**Not implemented (NI)***: a measure has not been implemented at this stage. However, this stage may still include initiatives or efforts towards implementation of the measure. For example, under this stage, (pre)feasibility or planning of implementation can be carried out; and consultation with stakeholders on the implementation may be arranged.*

| **FI**: Fully Implemented ; **PI**: Partially Implemented; **PS:** Pilot Stage of Implementation; **NI**: Not implemented; **DK**: Don’t know | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | **Please specify progress or improvement made over the past 12 months** | **Further information** *(e.g., website, date of implementation…)* |
| **General Trade Facilitation Measures** | | | | | | | | |
| **1. Has your country established a national trade facilitation committee (NTFC) or similar body?***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 1.1. Does the committee include executive authority, border agencies and private sector stakeholders from key sectors?  [ ] Yes [ ] No [ ] Don’t know  1.2. Has the committee established terms of reference and procedures for the conduct of its activities?  [ ] Yes [ ] No [ ] Don’t know  1.3. Are sufficient and qualified staff available to support the committee?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *A national trade facilitation body (NTFB) generally refers to an institutional arrangement that brings together relevant diverse parties with interest in trade facilitation (including both government and private sector) and provides a mechanism for identifying problems and implementing measures to streamline trade procedures. Examples of NTFBs include PRO-Committees, FAL Committees, and National Trade and Transport Facilitation Committees (See* [*UN/CEFACT Recommendation 4*](http://www.unece.org/fileadmin/DAM/cefact/recommendations/rec04/ECE_TRADE_425_CFRec4.pdf)*).* | | | | | | | |
| **2. Publication of existing import-export regulations on the Internet** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 2.1. Is there a legal act or formal policy in place on publication of existing import-export regulations on the Internet?  [ ] Yes [ ] No [ ] Don’t know  2.2. Can traders and interested parties easily find the information they need?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **3. Stakeholders’ consultation on new draft regulations (prior to their finalization)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 3.1. Are there established structures for regular stakeholders’ consultations?  [ ] Yes [ ] No [ ] Don’t know  3.2. Are there specific consultations when introducing or amending trade related laws and regulations?  [ ] Yes [ ] No [ ] Don’t know  3.3. Please list the groups of stakeholders (e.g. SMEs, large traders, transporters, Customs brokers, citizens) who are involved.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [ ] Do not know | | | | | | |
| 4. **Advance publication/notification of new regulations before their implementation (e.g., 30 days prior)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 4.1. Is there legislation, which requires the administrative agencies to make proposed new regulations or amendments publicly available before their entry into force?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **5. Advance ruling (on tariff classification)***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 5.1. Are the procedures for submission of an application for advance ruling published?  [ ] Yes [ ] No [ ] Don’t know  5.2. What is the length of time for which the advance ruling is valid?  \_\_\_\_\_ Months [ ] Don’t know  5.3. Is it possible to request a review of an advance ruling or its revocation/modification?  [ ] Yes [ ] No [ ] Don’t know  5.4. Is information on advance rulings of significant interest to other parties made publicly available?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *An advance ruling for customs purposes can be defined as a binding official decision prior to an import or export, issued by a competent authority in writing, which provides the applicant with a time-bound tariff classification, valuation, entitlement to preferences, or an assessment of the origin accorded to a particular good.* | | | | | | | |
| 6. **Risk management (as a basis for deciding whether a shipment will be or not physically inspected)***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 6.1. Are policies/procedures established to ensure that risk information (e.g., profiles and related control instructions) are disseminated to all relevant Customs offices and used in customs declaration processing?  [ ] Yes [ ] No [ ] Don’t know  6.2. Do different border agencies in your country use the same risk management approach?  [ ] Yes [ ] No [ ] Don’t know  6.3. Does your country give appropriate priority to perishable goods when scheduling physical examinations?  [ ] Yes [ ] No [ ] Don’t know  6.4. Are cold storage facilities available at land border crossings for perishable goods?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | ‘*Risk’ means the potential for non-compliance with customs and/or other relevant laws, regulations or procedural requirements connected with the importation, exportation or transit of goods. Risk Management means the systematic application of management procedures and practices providing customs and other relevant border agencies with the necessary information in order to address movements or consignments which present a risk.* | | | | | | | |
| **7. Pre-arrival processing***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 7.1. Are procedures established to allow a declarant to require the customs and/or other relevant authorities to process declarations and supporting documents prior to arrival of the goods?  [ ] Yes [ ] No [ ] Don’t know  7.2. Is pre-arrival processing applicable to all traders?  [ ] Yes [ ] No [ ] Don’t know  7.3. Is pre-arrival processing applicable to all goods?  [ ] Yes [ ] No [ ] Don’t know  7.4. Are procedures on pre-arrival processing published?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Pre-arrival processing can be described as a procedure allowing traders to submit clearance data to Customs for advance processing and release of the goods immediately upon arrival into the country. Release may even take place prior to the actual arrival of the goods, provided all necessary details have been communicated and screened by Customs in advance*. | | | | | | | |
| **8. Post-clearance audit***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 8.1. Are standard policies and procedures established to guide field audits (i.e., notification of audit, disclosure of audit report, notification of audit results)?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Post-clearance audit means audit-based Customs control performed subsequent to the release of the cargo from Customs' custody. The purpose of such audits is to verify the accuracy and authenticity of declarations and covers the control of traders' commercial data, business systems, records, books. Such an audit can take place at the premises of the trader, and may take into account individual transactions, so-called "transaction based" audit, or cover imports and/or exports undertaken over a certain period of time, so called "company based" audit.* | | | | | | | |
| **9. Independent appeal mechanism (for traders to appeal Customs and other relevant trade control agencies’ rulings)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 9.1. Are the procedures for filing an administrative appeal published?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **10. Separation of release from final determination of customs duties, taxes, fees and charges** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 10.1. Does Customs have authority to release imported goods before the final determination and payment of duties, taxes, fees and charges under some conditions (e.g., a guarantee is provided)?  [ ] Yes [ ] No [ ] Don’t know  10.2. Is it applicable to all goods?  [ ] Yes [ ] No [ ] Don’t know  10.3. Is it applicable to all traders?  [ ] Yes [ ] No [ ] Don’t know  10.4. Does your country give appropriate priority to perishable goods?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **11. Establishment and publication of average release times** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 11.1. Has Customs (or other responsible authority) established a formal policy to implement and publish the results of a time release study on a regular basis?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **12. Trade facilitation measures for authorized operators***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 12.1. Has an authorized operator programme/scheme been established in your country?  [ ] Yes [ ] No [ ] Don’t know  12.2. Are procedures on submission and review of applications for authorized operator status published?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Authorized operators refers to parties involved in the international movement of goods (e.g., a manufacturer, trader, carrier, terminal operator…) that have been recognized by Customs or other relevant authorities as having achieved high-level of compliance with trade-related laws and regulations and, therefore, are provided with simplified import, export and transit formalities.* | | | | | | | |
| **13. Expedited shipments***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 13.1. Is a policy or legal framework in place to allow Customs to provide expedited release of goods on the request of an operator?  [ ] Yes [ ] No [ ] Don’t know  13.2. Are procedures on rapid release of expedited shipments published?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Expedited shipments refer to trade facilitation procedures allowing for expedited release of at least those goods entered through air cargo facilities to persons (e.g., express shipping company) that apply for such faster procedure, while maintaining Customs control. The applicant typically has to cover the additional costs involved in expediting release.* | | | | | | | |
| **14. Acceptance of paper or electronic copies of supporting documents required for import, export or transit formalities.** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| PAPERLESS TRADE FACILITATION | | | | | | | | |
| **15. Electronic/automated Customs System (e.g., ASYCUDA)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **16. Internet connection available to Customs and other trade control agencies at border-crossings** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **17. Electronic Single Window System***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 17.1. Are all relevant trade facilitation stakeholders (including government agencies, traders and other stakeholders) connected to an electronic single window system?  [ ] Yes [ ] No [ ] Don’t know  17.2. Is there a legal framework requiring all trade-related government agencies to use an electronic single window system?  [ ] Yes [ ] No [ ] Don’t know  17.3. Is an electronic single window system fully functional?  [ ] Yes [ ] No [ ] Don’t know  17.4 is there a single window available at city or provincial level?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *A national single window refers to a facility that allows parties involved in trade and transport to lodge standardized information and documents with a single entry point to fulfil all import, export, and transit-related regulatory requirements. If information is electronic, then individual data elements should only be submitted once. An electronic single window refers to a single window where data and documents are exchanged in electronic form. Please refer to definition of single window (*[*See UN/CEFACT Recommendation 33*](http://www.unece.org/fileadmin/DAM/cefact/recommendations/rec33/rec33_trd352e.pdf)*)* | | | | | | | |
| **18. Electronic submission of Customs declarations** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  | ***Submitted through e- single window?***  [ ] yes  [ ] No  [ ] Don’t know |
| 18.1. Is a procedure on electronic submission of Customs declaration published?  [ ] Yes [ ] No [ ] Don’t know  18.2. Is any paper document still required for submission?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **19. Electronic Application and Issuance of import and export permit, if such permit is required** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  | ***Submitted through e- single window?***  [ ] yes  [ ] No  [ ] Don’t know |
| 19.1. Is the relevant procedure published?  [ ] Yes [ ] No [ ] Don’t know  19.2. Is any paper document still required for submission?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **20. Electronic Submission of Sea Cargo Manifests** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  | ***Submitted through e- single window?***  [ ] yes  [ ] No  [ ] Don’t know |
| 20.1. Is the relevant procedure published?  [ ] Yes [ ] No [ ] Don’t know  20.2. Is any paper document still required for submission?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **21. Electronic Submission of Air Cargo Manifests** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  | ***Submitted through e- single window?***  [ ] yes  [ ] No  [ ] Don’t know |
| 21.1. Is the relevant procedure published?  [ ] Yes [ ] No [ ] Don’t know  21.2. Is any paper document still required for submission?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **22. Electronic Application and Issuance of Preferential Certificate of Origin** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  | ***Submitted through e- single window?***  [ ] yes  [ ] No  [ ] Don’t know |
| 22.1. Is the relevant procedure published?  [ ] Yes [ ] No [ ] Don’t know  22.2. Is any paper document still required for submission?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **23. E-Payment of Customs Duties and Fees** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  | ***Submitted through e- single window?***  [ ] yes  [ ] No  [ ] Don’t know |
| 23.1. Is the relevant procedure published?  [ ] Yes [ ] No [ ] Don’t know  23.2. Is any paper document still required for submission?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **24. Electronic Application for Customs Refunds** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  | ***Submitted through e- single window?***  [ ] yes  [ ] No  [ ] Don’t know |
| 24.1. Is the relevant procedure published?  [ ] Yes [ ] No [ ] Don’t know  24.2. Is any paper document still required for submission?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| TOWARDS CROSS-BORDER PAPERLESS TRADE | | | | | | | | |
| **25. Laws and regulations for electronic transactions are in place (e.g. e-commerce law, e-transaction law)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 25.1. Please list the key laws and regulations for electronic transactions (up to 3)  [ ] Yes If “yes”   * Please list the laws and regulations\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   [ ] No.  25.2 National Laws and regulations include provision(s) on legal recognition of trade-related data and documents in electronic form originating abroad.  [ ] Yes If “yes”   * Please list the laws and regulations\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ * Please specify whether legal recognition of foreign trade-related data and documents is achieved on the basis of bilateral agreements or of a general provision applicable to all trade-related data and documents originating from abroad.   [ ] No. | | | | | | |
| **26. Recognised certification authority(ies) issuing digital certificates to traders to conduct electronic transactions*C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 26.1. Please list the main digital certificate service providers in your country. | | | | | | |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *For digital signatures to work, a trusted third party known as a Certification Authority is needed to issue digital certificates that certify the electronic identities of users and organisations. Examples of such certification authority include Controller of Certification Authorities in Malaysia and Singapore.* | | | | | | | |
| **27. Does your country participate in trade-related cross-border electronic data exchange with other countries?** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 27.1. Please list the key documents electronically exchanged with which countries (up to 6)  27.2. Is the single window in your country connected with one or more single window(s) in partner country(ies)  [ ] Yes If “yes”,   * Please list the partner country(ies) with which your national Single Window is connected \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ * Please list the documents electronically exchanged between national single windows \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   [ ] No. | | | | | | |
| **28. Certificate of Origin electronically exchanged between your country and other countries** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 28.1. Please list the key countries with which Certificate of Origin are electronically exchanged (up to 6). | | | | | | |
| **29. Sanitary&Phyto-Sanitary Certificate electronically exchanged between your country and other countries** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 29.1. Please list the key countries with which Sanitary&Phyto-Sanitary Certificates are electronically exchanged (up to 6). | | | | | | |
| **30. Traders in your country apply for letters of credit electronically from banks or insurers without lodging paper-based documents** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 30.1 Please list the main banks and insurers in your country, which can retrieve letters of credit electronically without lodging paper-based documents. | | | | | | |  |  |
| BORDER AGENCY COOPERATION | | | | | | | | |
| **31. National legislative framework and institutional arrangement are available to ensure border agencies to cooperate with each other** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 31.1. Does legislation or government policy enable or require national border agencies to coordinate, cooperate and assist each other in carrying out border control operations?  [ ] Yes [ ] No [ ] Don’t know  31.2. Is there an inter-agency agreement or memorandum-of-understanding that defines modes of cooperation among national border agencies?  [ ] Yes [ ] No [ ] Don’t know  31.3. Is there an inter-agency working group or similar body to develop strategy and oversee implementation of border agency cooperation?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **32. Government agencies delegating controls to Customs authorities** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 32.1. Is there an inter-agency agreement or memorandum-of-understanding that defines how government agencies delegate controls to Customs?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **33. Alignment of working days and hours with neighbouring countries at border crossings** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 33.1. Is there an agreement between countries on alignment of working days and hours?  [ ] Yes [ ] No [ ] Don’t know  33.2. Is there an agreement between countries on joint controls?  [ ] Yes [ ] No [ ] Don’t know  33.3. Are there common facilities developed and shared with neighbouring countries?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **34. Alignment of formalities and procedures with neighbouring countries at border crossings** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 34.1. Is there an agreement between countries on alignment of formalities and procedures?  [ ] Yes [ ] No [ ] Don’t know  34.2. Alignment with which countries?  [ ] with all neighbouring countries  [ ] with some neighbouring countries  Please elaborate \_  [ ] with no other countries | | | | | | |
| TRANSIT FACILITATION | | | | | | | | |
| **35. Transit facilitation agreement(s) with neighbouring country(ies)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 35.1. Please list the key transit facilitation agreement(s) with other neighbouring country(ies) | | | | | | |
| **36. Customs Authorities limit the physical inspections of transit goods and use risk assessment** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 36.1. Are regulations or rules in place to require Customs Authorities to limit the physical inspections of transit goods and use risk assessment?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **37. Supporting pre-arrival processing for transit facilitation** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 37.1. Are regulations or rules in place to require Customs Authorities and other border agencies to support pre-arrival processing for transit facilitation?  [ ] Yes [ ] No [ ] Don’t know  37.2. Does your country allow and provide for advance filing and processing of transit documentation and data prior to the arrival of goods?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **38. Cooperation between agencies of countries involved in transit** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 38.1. Are regulations or rules in place to require Customs Authorities and other border agencies to cooperate to support transit facilitation?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |
| **39. Trade and transport facilitation in your sub-region or Regional Economic Community (REC)*C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| 39.1. Is there a harmonized Customs Guarantee Scheme in your sub-region or for your REC?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |  | [ ] *Not effective at all*  [ ] *Somehow effective*  [ ] *Neutral (don’t know)*  [ ] *Effective*  [ ] *Very Effective* |
| 39.2. Does your REC have harmonized transit charges?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |  | [ ] *Not effective at all*  [ ] *Somehow effective*  [ ] *Neutral (don’t know)*  [ ] *Effective*  [ ] *Very Effective* |
| 39.3. Does your REC have harmonized axle load limits for its member States?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |  | [ ] *Not effective at all*  [ ] *Somehow effective*  [ ] *Neutral (don’t know)*  [ ] *Effective*  [ ] *Very Effective* |
| 39.4. Does your REC have a Third Party Insurance Scheme?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |  | [ ] *Not effective at all*  [ ] *Somehow effective*  [ ] *Neutral (don’t know)*  [ ] *Effective*  [ ] *Very Effective* |
| 39.5. Does your REC have a common (harmonised) Customs Document?  [ ] Yes [ ] No [ ] Don’t know  39.6. Is your country implementing a One Stop Border Post?  [ ] Yes [ ] No [ ] Don’t know | | | | | | |  |  |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*For questions 39.1 through 39.4, please indicate, on the rightmost column titled ‘**Further information…**’ how effective or ineffective have these measures been in reducing trade costs and time in your country. You may choose *Not effective at all*, *Somehow effective*, *Neutral (don’t know)*, *Effective* and *Very Effective* | | | | | | | | |
| **MAINSTREAM THE WTO TFA AND OTHER TRADE FACILITATION MEASURES INTO AN SME POLICY FRAMEWORK*C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*** | | | | | | | | |
| **40. Government has developed trade facilitation measures that ensure easy and affordable access for SMEs to trade related information** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **41. Government has developed specific measures that enable SMEs to more easily benefit from the AEO scheme** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **42. Government has taken actions to make the single windows more easily accessible to SMEs (e.g., by providing technical consultation and training services to SMEs on registering and using the facility.)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **43. Government has taken actions to ensure that SMEs are well represented and made key members of National Trade Facilitation Committees (NTFCs)** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* References: (i) UNESCAP-ITC, Making the WTO Trade Facilitation Agreement Work for SMEs, <http://www.unescap.org/sites/default/files/MakingWTOTFAWorkforSMEs.pdf>; and (ii) ITC, SMEs and the WTO Trade Facilitation Agreement, <http://www.intracen.org/publication/SMEs-and-the-WTO-Trade-Facilitation-Agreement-A-training-manual/> | | | | | | | | |
| **TRADE FACILITATION AND AGRICULTURE TRADE** | | | | | | | | |
| **44. Testing and laboratory facilities are equipped for compliance with sanitary and phytosanitary (SPS) standards in your country** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **45. National standards and accreditation bodies are established for the purpose of compliance with SPS standards in your country** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **46. Application, verification and issuance of SPS certificates is automated** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **WOMEN IN TRADE FACILITATION** | | | | | | | | |
| **47. The existing trade facilitation policy/strategy incorporates special consideration of women involved in trade** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |
| **48. Government has introduced trade facilitation measures to benefit women involved in trade** | | **FI**  **[ ]** | **PI**  **[ ]** | **PS**  **[ ]** | **NI**  **[ ]** | **DK**  **[ ]** |  |  |

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**SECTION B – KEY CHALLENGES AND RECOMMENDATIONS FOR TRADE FACILITATION & PAPERLESS TRADE**

**1. Referring to measures listed in Section A, please list up to three trade facilitation measures for which your country has made the most progress in implementation in the last 12 months.**

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**2. Please describe any other important trade facilitation measures/initiatives implemented in your country in the last 12 months:**

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**3. What are the most serious challenges faced by your country in implementing trade facilitation measures**

*(Please rank the three main challenges from 1 to 3. ‘1’: the most challenging factor; ‘3’: the least challenging factor)*

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| [ ] Lack of coordination between government agencies [ ] Lack of political will  [ ] No clearly designated lead agency [ ] Financial constraints  [ ] Limited human resource capacity [ ] Other\_\_\_\_\_\_\_\_\_\_\_  Please elaborate further: |

**4. What are the key benefits your country has achieved in implementing trade facilitation measures**

*(Please include quantitative data, such as data related to increased revenue collection, reduced time and costs to export and import, number of jobs created, financial savings)*

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**5.What are the** [**UN/CEFACT**](http://www.unece.org/cefact.html) **(UN Centre for Trade Facilitation and Electronic Business) recommendations and standards that you have used in developing your trade facilitation and paperless trade measures?**

*(For example UN/CEFACT Recommendations 33 to 35 on Single Window, UN/LOCODE, UN/EDIFACT. Further information on the UN/CEFACT Recommendations is available at* [*https://www.unece.org/cefact/recommendations/rec\_index.html*](https://www.unece.org/cefact/recommendations/rec_index.html)*)*

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**\*\*\*\*\*\* End of Survey, Thank You for Your Time \*\*\*\*\*\***