Global Assessment of Business Registers

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Structure of Presentation

- Overview of ongoing work
- Why a Global Assessment?
- Survey
- Results
- Way forward
Overview of ongoing work

- Implementation of SNA 2008, 2008 IRIS and ISIC, Rev.4
- Linking Trade and Business Statistics
- UNECE Task Force on BR Guidelines
- ECLAC project on Business Registers
- AfDB Guidelines on Business Registers
Overview of ongoing work

- Implementation of SNA 2008, 2008 IRIS and ISIC, Rev.4
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- AfDB Guidelines on Business Registers
Coverage issues

- Legal units: enterprise, establishment, local unit, other
- Private sector, Public sector, Self-employed
- Thresholds – Minimum Income level per year (Tax), minimum number of employees
# Exporting enterprises

<table>
<thead>
<tr>
<th>Activity</th>
<th>Total No. of enterprises (DUIE 2012)</th>
<th>No. of enterprises</th>
<th>Exports (thousand dollars)</th>
<th>Share in total exports</th>
<th>No. of employees</th>
<th>Average enterprise size (in no. of employees)</th>
<th>Value of exports / No. of employees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Industrias manufactureras</td>
<td>4,257</td>
<td>580</td>
<td>2,554,102</td>
<td>76%</td>
<td>105,071</td>
<td>181</td>
<td>24.3</td>
</tr>
<tr>
<td>Comercio al por mayor y al por menor, reparación de vehículos de motor y motocicletas</td>
<td>16,946</td>
<td>678</td>
<td>378,237</td>
<td>11%</td>
<td>48,338</td>
<td>71</td>
<td>7.8</td>
</tr>
<tr>
<td>Agricultura, ganadería, silvicultura y pesca</td>
<td>3,791</td>
<td>280</td>
<td>216,940</td>
<td>6%</td>
<td>35,533</td>
<td>126</td>
<td>6.1</td>
</tr>
<tr>
<td>Actividades administrativas y servicios de apoyo</td>
<td>1,735</td>
<td>26</td>
<td>14,184</td>
<td>0%</td>
<td>4,668</td>
<td>179</td>
<td>3.0</td>
</tr>
<tr>
<td>Actividades profesionales, científicas y técnicas</td>
<td>2,472</td>
<td>35</td>
<td>12,291</td>
<td>0%</td>
<td>2,977</td>
<td>85</td>
<td>4.1</td>
</tr>
<tr>
<td>Información y comunicaciones</td>
<td>801</td>
<td>40</td>
<td>11,700</td>
<td>0%</td>
<td>13,205</td>
<td>330</td>
<td>0.9</td>
</tr>
<tr>
<td>Transporte y almacenamiento</td>
<td>1,722</td>
<td>49</td>
<td>10,271</td>
<td>0%</td>
<td>2,725</td>
<td>55</td>
<td>3.8</td>
</tr>
<tr>
<td>Construcción</td>
<td>1,621</td>
<td>23</td>
<td>9,398</td>
<td>0%</td>
<td>3,320</td>
<td>144</td>
<td>2.8</td>
</tr>
<tr>
<td>Servicios financieros y de seguros</td>
<td>518</td>
<td>8</td>
<td>5,999</td>
<td>0%</td>
<td>2,086</td>
<td>260</td>
<td>2.9</td>
</tr>
<tr>
<td>Servicios sociales y de salud</td>
<td>1,871</td>
<td>5</td>
<td>1,218</td>
<td>0%</td>
<td>186</td>
<td>37</td>
<td>6.5</td>
</tr>
<tr>
<td>Enseñanza</td>
<td>791</td>
<td>5</td>
<td>1,083</td>
<td>0%</td>
<td>1,098</td>
<td>219</td>
<td>1.0</td>
</tr>
<tr>
<td>Otras actividades de servicios comunitarios</td>
<td>2,831</td>
<td>5</td>
<td>330</td>
<td>0%</td>
<td>346</td>
<td>69</td>
<td>1.0</td>
</tr>
<tr>
<td>Alojamiento y servicios de comida</td>
<td>4,892</td>
<td>2</td>
<td>181</td>
<td>0%</td>
<td>511</td>
<td>255</td>
<td>0.4</td>
</tr>
<tr>
<td>Artes, entretenimiento y recreación</td>
<td>576</td>
<td>5</td>
<td>74</td>
<td>0%</td>
<td>455</td>
<td>91</td>
<td>0.2</td>
</tr>
<tr>
<td>Otras</td>
<td>1169</td>
<td>25</td>
<td>8,097</td>
<td>0%</td>
<td>1,199</td>
<td>48</td>
<td>6.7</td>
</tr>
<tr>
<td>Ignorado*</td>
<td>2,988</td>
<td>-</td>
<td>154,736</td>
<td>5%</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>48,981</strong></td>
<td><strong>1,766</strong></td>
<td><strong>3,378,824</strong></td>
<td><strong>100%</strong></td>
<td><strong>221,718</strong></td>
<td><strong>126</strong></td>
<td><strong>15</strong></td>
</tr>
</tbody>
</table>
Overview of ongoing work

- Implementation of SNA 2008, 2008 IRIS and ISIC, Rev.4
- Linking Trade and Business Statistics
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- AfDB Guidelines on Business Registers
Why a Global Assessment?

1. How many countries have a Business Register?
2. What are the main problem areas?
3. What are the problems in developing countries?
4. Are there regional differences?
Survey

- Letter signed by Assistant Secretary General
- Online and in PDF
- English, French, Spanish
- 30 Questions
- Logical branching function built in
Intended coverage of Survey

The assessment aims to collect information on the status of the business register in all countries.

This questionnaire is not only applicable to national offices that operate a comprehensive single business register, but also covers countries that use a combined list or frame of businesses covering multiple industrial sectors. The data source could be survey or administrative data.
Actual coverage of Survey

Request was sent to 208 economies, of which 116 economies completed the questionnaire

• 116 economies
  o 32 OECD and 84 non-OECD
  o 37 developed and
  o 77 developing or transition economies
    ▪ 23 Africa
    ▪ 18 Latin America and Caribbean
    ▪ 18 Asia and Pacific
    ▪ 18 Southern and Eastern Europe
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- 116 economies
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  - 77 developing or transition economies
    - 23 Africa
    - 18 Latin America and Caribbean
    - 18 Asia and Pacific
    - 18 Southern and Eastern Europe
Coverage

4. What is the coverage of the industrial sectors in the Business Register? (Mark all that apply)

☐ A: Agriculture, forestry and fishing
☐ B: Mining and quarrying
☐ C: Manufacturing
☐ D: Electricity, gas, steam and air conditioning supply
☐ E: Water supply, sewerage, waste management and remediation activities
☐ F: Construction
☐ G: Wholesale and retail trade, repair of motor vehicles and motorcycles
Coverage of Industrial Sectors in the Business Register

Section of the International Standard Industrial Classification

Percentage of respondents

ALL  OECD  Non-OECD
- O: Public administration and defence, compulsory social security
- P: Education
- Q: Human health and social work activities
- R: Arts, entertainment and recreation
- S: Other service activities
- T: Activities of households as employers, undifferentiated goods and services produced for own use
- U: Activities of extraterritorial organizations and bodies
5. What are the statistical units in the Business Register? (Check all that apply)

- Enterprise group
- Enterprise
- Establishment
- Kind-of-Activity unit (KAU)
- Local unit
- Other (please specify)
Statistical Unit in the Business Register

Percentage of respondents

<table>
<thead>
<tr>
<th>Statistical Unit</th>
<th>Non-OECD</th>
<th>OECD</th>
<th>ALL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enterprise Group</td>
<td>Enterprise</td>
<td>Establishment</td>
<td>KAU</td>
</tr>
<tr>
<td>0%</td>
<td>20%</td>
<td>30%</td>
<td>40%</td>
</tr>
<tr>
<td>10%</td>
<td>30%</td>
<td>50%</td>
<td>70%</td>
</tr>
<tr>
<td>20%</td>
<td>40%</td>
<td>60%</td>
<td>80%</td>
</tr>
<tr>
<td>30%</td>
<td>50%</td>
<td>70%</td>
<td>90%</td>
</tr>
<tr>
<td>40%</td>
<td>60%</td>
<td>80%</td>
<td></td>
</tr>
<tr>
<td>50%</td>
<td>70%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>60%</td>
<td>80%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>70%</td>
<td>90%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>80%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>90%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>100%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Statistical Unit:
- Non-OECD
- OECD
- ALL
Percentage of countries with one or more Statistical Units

- Non-OECD
- OECD
- ALL

- 1
- 2
- 3
- 4
- 5
- 6 and more
7. Which version of ISIC is used?

- [ ] ISIC Rev.4
- [ ] ISIC Rev.3.1
- [ ] ISIC Rev.3
- [ ] ISIC Rev.2
- [ ] ISIC Rev.1
Classification of Economic Activities

- ISIC Rev.4
- ISIC Rev.3.1
- ISIC Rev.3
- ISIC Rev.1
- Not ISIC Compatible

Non-OECD
OECD
ALL

Friends of the Chair Group on Internationalization
The Most Detailed Level of ISIC
Specific Types of Units Coverage

<table>
<thead>
<tr>
<th>Specific Unit Type</th>
<th>Non-OECD</th>
<th>OECD</th>
<th>ALL</th>
</tr>
</thead>
<tbody>
<tr>
<td>public Sector</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>private Sector</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>self Employed</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Data sources

14. **Which data sources are used for which characteristics?** (Check all that apply)

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Survey(s) conducted by National Statistical Office</th>
<th>Survey(s) conducted by other government agencies</th>
<th>Tax Records</th>
<th>Social Security Register</th>
<th>Transport Registers</th>
<th>Health Records</th>
<th>Customs Records</th>
<th>Administration data from Regional/Local Government</th>
<th>Other sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registration Number (Admin. code)</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
<tr>
<td>Identification Number</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
<tr>
<td>Name / Address / Telephone no.</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
<tr>
<td>Enterprise type**</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
<tr>
<td>Industrial classification</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
<tr>
<td>Number of employees</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
<tr>
<td>Date of Birth/Closure</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
</tbody>
</table>
Data Sources are Used for Specific Characteristics - Non OECD Countries

![Bar chart showing data sources used for specific characteristics in non-OECD countries.](chart)

- **Percentage of respondents** is the y-axis, ranging from 0% to 100%.
- **Data Sources** include NSO Survey, Government Survey, Tax Records, Social Security Registry, Transportation Registry, Health Records, Customs, Local Governments, Other sources, Registration Number, Identification Number, Contact Information, Enterprise Type, Industrial Classification, No. of Employees, Date of Birth/Closure, Active Status, Control Type, Turnover, and Net Fixed Assets.

The chart illustrates the frequency with which different data sources are used for specific characteristics in non-OECD countries.
## Frequency of updates

15. With which frequency are the following characteristics updated in the business register? (Check all that apply)

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Continuously or regularly for all units - at least yearly</th>
<th>Continuously or regularly for at least part of the units - at least yearly</th>
<th>Occasionally - less frequent than yearly</th>
<th>Never - no change has been made to the list since it was established</th>
<th>Not applicable (characteristic is available in business register)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registration Number (Admin. code)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Identification Number</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Name / Address / Telephone no.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Enterprise type</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Industrial classification</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Number of employees</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date of Birth/Closure</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Longest time gap between Business Register Update and Sample Survey Selection

- Non-OECD
- OECD
- ALL

- Less than 3 months
- Between 3 and 6 months
- Between 6 and a year
- Between 1 and 3 years
- More than 3 years
- N.A.
19. Please indicate what kinds of information are recorded when handling errors (tick those that apply)

- [ ] The original value (the wrong one)
- [ ] The new value (the correct one)
- [ ] The date of detection
- [ ] The date of occurrence
- [ ] The date of correction
- [ ] The source of the corrected value

- [ ] Other (please specify)
Specific Error-handling Information Recorded

- Original value
- New value
- Detection date
- Occurrence date
- Correction date
- Source
- Other

Percentage of Respondents

- Non-OECD
- OECD
- ALL
### Legal framework

**23. Are the following aspects of the maintenance and updating of the Business Register mandated by a legal act?**

<table>
<thead>
<tr>
<th>Aspect</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Establishment and maintenance of a list</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Use of list by other agencies for statistical purposes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Protection/Safeguard of confidentiality of the data</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Access to administrative data</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Legal Mandate for Maintenance and Updating of Business Register

- Percentage of Respondents

<table>
<thead>
<tr>
<th>category</th>
<th>Non-OECD</th>
<th>OECD</th>
<th>ALL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Establishment and maintenance</td>
<td>100%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Use by other agencies for statistical purposes</td>
<td>60%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Protection of confidentiality</td>
<td>90%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Access to administrative data</td>
<td>90%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other aspects</td>
<td>20%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Business demographics are compiled from SBR

- Non-OECD
- OECD
- ALL
Is documentation available on the SBR to enable ease of interpretation by staff?
28. To what kind of users is the Business Register available?

- Internal* users only
- External users also

* Internal use refers to use by the national statistical system only

29. Who are the major external users/customers of the Business Register?

30. What is the scope of access* for external users?

- Full
- Partial

Additional comments:
Structure of Presentation

- Overview of ongoing work
- Why a Global Assessment?
- Survey
- Results
- Way forward
Way Forward

1. Follow-up on answers received

2. Further exploration of open answers
   a) Other specific unit type and thresholds in SBR
   b) Other data sources are used for SBR
   c) Relationships between units
   d) Time gap between register updates and sample selection comments
   e) How to link the data from different sources?
   f) Legal framework additional comments
   g) Documentation with weblink
   h) External user and the access to external user

3. Use of results for Guidelines
Thank you

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