Towards an Accountability Framework for the Post-2015 Development Agenda:

Perspectives from the UNECE region

**Questionnaire**

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Please return the completed questionnaire by **FRIDAY, 8 AUGUST 2014** to:

United Nations Economic Commission for Europe (UNECE)

Development Policies and Cross-Sectoral Coordination Unit

E-Mail: dpccu@unece.org

*The electronic version of the questionnaire is available at:*


For questions or assistance, please contact Mr. Michael KUNZ at the UNECE secretariat

(michael.kunz@unece.org; phone +41-22 917 24 45)

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**I. Objective**

This questionnaire is meant to collect regional perspectives from a wide spectrum of stakeholders in the UNECE region, including member States, civil society, private sector, and other regional organizations on elements for an accountability framework for the post-2015 development agenda and the potential for a regional framework for accountability anchored at the national level and feeding into the global level.

The UNECE Secretariat will compile and synthesize the responses received. The synthesis report will be submitted as an input from the region to inform the Stocktaking Event of the President of the General Assembly (New York, 11-12 September 2014) on the elements for a monitoring and accountability framework for the post-2015 development agenda. The synthesis report will also
serve as a background document for the Regional Ministerial Consultation on “Monitoring and Accountability for the Post-2015 Development Agenda – The Regional Dimension”, to be held on 15 and 16 (a.m.) September 2014 at the Palais des Nations in Geneva that will be convened upon the request of the Secretary-General.

“Accountability for a universal agenda can be understood as the joint commitment of the global community to monitor, evaluate, share and discuss progress towards the implementation of the agreed goals. An accountability framework could allow each Government and development actor to contribute to and benefit from a better global understanding of challenges and effective strategies. The concept of accountability extends beyond Government, and applies to all stakeholders being held accountable for their role in implementing a universal development agenda, within their respective governance frameworks and scope of responsibility.”

Source: Background note for the interactive dialogue on elements for a monitoring and accountability framework for the Post-2015 Development Agenda, convened by the President of the General Assembly on 1 May 2014

II. Background

In July 2013, the General Assembly decided on the format and organizational aspects of the High-level Political Forum (HLPF) in its resolution 67/290. Paragraph 8 of 67/290 “Decides that the forum, under the auspices of the Economic and Social Council, shall conduct regular reviews, starting in 2016, on the follow-up and implementation of sustainable development commitments and objectives, including those related to the means of implementation, within the context of the post-2015 development agenda.” The reviews shall be voluntary, state-led and provide a platform for partnerships.

Recognizing that a transformative, people-centred and universal post-2015 agenda requires an accountability framework at all levels, the President of the General Assembly convened an interactive dialogue on 1 May 2014, to address the "Elements for a monitoring and accountability framework for the post-2015 development agenda." The dialogue reaffirmed the importance of an accountability framework at the regional level as countries in the same region shared similar challenges and were likely to make greater progress by collectively addressing them. The background note prepared to that event elaborated on a number of experiences with accountability mechanisms, including through peer reviews at the regional level.

The main messages that emerged from the dialogue were:

(a) a universal and transformative agenda would require a strengthened accountability framework that is inclusive, participatory and engages people at all levels; (b) a decentralized system of accountability would ensure that all stakeholders take ownership and are incentivized to share, evaluate and adjust their policies; (c) national and regional accountability frameworks need to be anchored in a global accountability framework that is simple, focused and provide clarity on the roles of different actors; and (d) a multi-layered approach could work with parliaments at the national level, peer review mechanisms at the regional level, and with HLPF and the Economic and Social Council (ECOSOC) at the global level.
III. Questionnaire on Elements for an Accountability Framework at the Regional Level

A) Overall accountability mechanism

As noted above, there is an emerging view that the accountability mechanism for the post-2015 development agenda should be multi-layered.

**Question 1:** In general terms, what should an overall accountability mechanism involving the national, regional and global level look like and what could be the role of the regional level in this mechanism?

The Danish response to this questionnaire represents some initial thoughts about monitoring and accountability and is not a formal final position.

Some general features to consider with regards to an accountability mechanism for the post-2015 agenda:
- Build on existing mechanisms as far as possible, including avoiding heavy reporting procedures.
- Promote action for fulfilling the goals and promote learning about best practices, trends and challenges in implementation.
- Responsibility for ongoing monitoring to rest firmly with national Governments involving all relevant stakeholders.
- Transparent, inclusive and participatory monitoring and accountability at multiple levels. This would entail among others the participation of all relevant private and public stakeholders and the availability of accessible, timely, reliable and disaggregated data and information. Accountability should be people-centred, including promote the participation of women, youth and marginalized groups.
- Mutually supportive linkages between monitoring at national, regional and international levels should be ensured.
- The UN should play a key role. Monitoring and review at global level should rest with the High Level Political Forum, who should regularly review progress in the implementation of the agenda. At national level the UN could support Governments and partners in monitoring as needed.
- The regional level should focus on complementing the analysis of progress with a focus on region specific issues and on aggregating the national monitoring into regional reports. The regional level will also be key in promoting learning about best practices etc. For Members States of the EU, the EU has a central role in joint monitoring, policy guidance and regional accountability.
- Dialogue with civil society, private sector and other actors would be needed on how to capture their contributions to the post-2015 agenda in monitoring and accountability.

In the past, review of progress on sustainable development was carried out under the auspices of the Commission on Sustainable Development (CSD). In the area of development, monitoring and accountability under the MDG framework has been carried out through various mechanism and procedures.
**Question 2:** What are the major lessons from CSD progress reviews and MDG accountability that can inform and help improve the post-2015 accountability framework? Have CSD progress reviews and MDG accountability been adequate and if not how should this be different for the SDGs?

The general lesson from CSD and MDG mechanism is that they were weak in terms of review and accountability. Challenges with monitoring and reporting in countries also influenced the overall monitoring and accountability. Especially the MDG status reporting has been helpful in directing attention at the unfinished business.

A further analysis of existing global monitoring, review and accountability mechanisms would be useful. This could include lessons regarding peer review mechanisms.

The UN should play a key role in identifying the framework for international accountability as well as be responsible for aggregating the diverse inputs of progress into one single status report with regular interval and for regular review at high political level at the HLPF.

**B) Nature of possible review at the regional level**

**Scope of the review**

In terms of the substantive scope of the review, there are a number of options. For instance, the progress towards all SDGs could be reviewed in one review cycle. Alternatively, the review could be limited to certain selected SDGs or themes. It might also involve other existing commitments that are not directly part of, but nevertheless relevant to the SDGs. There are also different possibilities in terms of the country coverage. For instance, all member States could be reviewed over a multi-year cycle. Alternatively, only governments volunteering to be reviewed could be included. In addition, the reviews could also cover other stakeholders that have responsibilities for achieving the SDGs (e.g., private sector).

**Question 3:** What should be reviewed and who should be reviewed?

The review should be a combination of global review of all countries’ progress on the entire post-2015 agenda, review of national progress, and thematic reviews of clusters of SDGs, including Means of Implementation. It should be considered to include peer reviews in the cycle of reviews at appropriate level – global, regional and/or national. The thematic reviews should be complementary and focus on deepening the understanding of challenges and best practices.

**Review process**
Accountability can take different forms and modalities, ranging from more basic monitoring to more comprehensive reviews and, accordingly, with a different capacity to assist, support and advise governments and other stakeholders in achieving the SDGs:

- Monitoring of data on SDG performance which highlights where progress is and is not on track.
- Analytical reports on SDG implementation in the region which would provide an analysis of best practices and make policy recommendations where progress has been poor.
- Discussions and exchange of experiences and best practices at regional meetings, for instance Regional Forums on Sustainable Development convened by UNECE.
- Review of progress of members States by other member States (peer reviews).

Monitoring of data and tracking progress against the agreed goals will be the basis of any further analysis or review process. Different information and inputs will be needed for the various types of reviews, e.g. quantitative data or qualitative assessments and policy analysis. Different parts of the UN system (Regional Commission, the inter-agency Regional Coordination Mechanism and the regional UNDG, specialized agencies on specific SDGs) could play a role in the various reviews. While the review process will be state-led, it will also benefit from the contributions of other stakeholders (civil society, the private sector, academia).

**Question 4:** What type(s) of review should be conducted and what kind of information should it be based on? What should be the role of the UN system and other stakeholders in the process?

Please see previous comments.

Peer review mechanisms are considered to be an effective instrument to strengthen accountability in a multilateral context with strong ownership by participating governments. Some examples are the Universal Periodic Review conducted under the auspices of the Human Rights Council, the OECD Peer Reviews, ECOSOC’s Annual Ministerial Review, the WTO Trade Policy Review Mechanism and the UNECE Environmental Performance Reviews.

**Question 5:** If you favour peer reviews, what could such reviews at the regional level look like and what existing models do you consider relevant?

Further reflection needs to be done in this area taking into account the lessons learned from among others the ECOSOC (AMR) and the strong review mechanism of the HCR’s UPR. Several aspects of the present UPR mechanism could direct a global mechanism anchored in the HLPF, including government-led review, universality, and inclusion of non-state actors.

The role of the regional level in reviews would need to take into account that the UN Regional Commissions function differently in different regions, and that other relevant regional institutions
such as the EU will have a strong role to play. The regional level could also explore opportunities for enhancing accountability of civil society, private sector, local authorities etc.

Enhanced availability and quality of data will be of paramount importance and will require capacity building.

**Linkage with global and national reviews and other mechanisms**

A regional accountability mechanism needs to be part of a multi-layered structure with a strong national and global dimension. This requires regional reviews to be anchored at the national level and to feed into the global level. Reviews at the global level will be carried out by the High-level Political Forum. For example, the regional level could therefore provide a regional synthesis to the global deliberations and align its theme with the global review. It could also go beyond merely complementing the HLPF and be more systematic and ongoing, taking into account the regional priorities and particularly transboundary issues. A key pillar of the overall system will be national accountability. National SDG reports, prepared by governments and supported by the UN Country Teams and the UNDG agencies as appropriate, could play a key role in the review process and provide important inputs into the regional review. National parliaments could also be involved. In addition, it will be critical to build on and integrate existing accountability mechanisms in the post-2015 follow-up process, for example those under relevant existing legal instruments or programme activities or carried out in other fora.

**Question 6: How should the reviews at national, regional and global level be linked? And how can existing accountability mechanisms be integrated?**

- The different levels of reviews should be complementary and avoid duplication. Sequencing and coherence will be key in this regard, though without necessarily having a step-wise approach from national to global level.
- The regional level should build on existing regional frameworks, such as EU, OECD, AU etc.

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