Towards an Accountability Framework for the Post-2015 Development Agenda:
Perspectives from the UNECE region

Questionnaire

Please complete

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Please return the completed questionnaire by FRIDAY, 8 AUGUST 2014 to:
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The electronic version of the questionnaire is available at:

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I. Objective

This questionnaire is meant to collect regional perspectives from a wide spectrum of stakeholders in the UNECE region, including member States, civil society, private sector, and other regional organizations on elements for an accountability framework for the post-2015 development agenda and the potential for a regional framework for accountability anchored at the national level and feeding into the global level.

The UNECE Secretariat will compile and synthesize the responses received. The synthesis report will be submitted as an input from the region to inform the Stocktaking Event of the President of the General Assembly (New York, 11-12 September 2014) on the elements for a monitoring and accountability framework for the post-2015 development agenda. The synthesis report will also serve as a background document for the Regional Ministerial Consultation on “Monitoring and Accountability for the Post-2015 Development Agenda – The Regional Dimension”, to be held on
II. Background

In July 2013, the General Assembly decided on the format and organizational aspects of the High-level Political Forum (HLPF) in its resolution 67/290. Paragraph 8 of 67/290 “Decides that the forum, under the auspices of the Economic and Social Council, shall conduct regular reviews, starting in 2016, on the follow-up and implementation of sustainable development commitments and objectives, including those related to the means of implementation, within the context of the post-2015 development agenda.” The reviews shall be voluntary, state-led and provide a platform for partnerships.

Recognizing that a transformative, people-centred and universal post-2015 agenda requires an accountability framework at all levels, the President of the General Assembly convened an interactive dialogue on 1 May 2014, to address the "Elements for a monitoring and accountability framework for the post-2015 development agenda." The dialogue reaffirmed the importance of an accountability framework at the regional level as countries in the same region shared similar challenges and were likely to make greater progress by collectively addressing them. The background note prepared to that event elaborated on a number of experiences with accountability mechanisms, including through peer reviews at the regional level.

The main messages that emerged from the dialogue were:

(a) a universal and transformative agenda would require a strengthened accountability framework that is inclusive, participatory and engages people at all levels; (b) a decentralized system of accountability would ensure that all stakeholders take ownership and are incentivized to share, evaluate and adjust their policies; (c) national and regional accountability frameworks need to be anchored in a global accountability framework that is simple, focused and provide clarity on the roles of different actors; and (d) a multi-layered approach could work with parliaments at the national level, peer review mechanisms at the regional level, and with HLPF and the Economic and Social Council (ECOSOC) at the global level.
III. Questionnaire on Elements for an Accountability Framework at the Regional Level

A) Overall accountability mechanism

As noted above, there is an emerging view that the accountability mechanism for the post-2015 development agenda should be multi-layered.

**Question 1:** In general terms, what should an overall accountability mechanism involving the national, regional and global level look like and what could be the role of the regional level in this mechanism?

At all levels the accountability mechanism should include political, legal and moral responsibility of actors (decision makers). The free access to information should be enabled and provided for all citizens. Importantly, citizens need to have mechanisms of control through easy initiation of procedures for formal review and inspection of work of any actor. Additionally, it is crucial to make public debate, civic initiatives, institute of referendum and other participation mechanisms more accessible, transparent and useful for communication and receiving feedback.

At the global level the pledge to accountability with set standards could provide framework to work within and to be easily monitored and compared between the states. The example of UPR process when it comes to Human Rights might be the model to be followed.

On the regional level, we are witnessing forums of leaders of states as places where experiences are shared and joint solutions are found. Thus it might be an avenue to follow with trying to connect those from similar cultural background and facing similar issue when it comes to democratic practices, to jointly look for solutions and support each other with experience of what works in the practice. High visibility of such events and mechanisms might contribute also to raising awareness of citizens about their role.

In the past, review of progress on sustainable development was carried out under the auspices of the Commission on Sustainable Development (CSD). In the area of development, monitoring and accountability under the MDG framework has been carried out through various mechanism and procedures.

**Question 2:** What are the major lessons from CSD progress reviews and MDG accountability that can inform and help improve the post-2015 accountability framework? Have CSD progress reviews and MDG accountability been adequate and if not how should this be different for the SDGs?

We have no information that this was done. Thus, there might be issue with visibility of such Commission and how much importance was it given on daily political agenda by governmental and civil sector.
B) Nature of possible review at the regional level

Scope of the review

In terms of the substantive scope of the review, there are a number of options. For instance, the progress towards all SDGs could be reviewed in one review cycle. Alternatively, the review could be limited to certain selected SDGs or themes. It might also involve other existing commitments that are not directly part of, but nevertheless relevant to the SDGs. There are also different possibilities in terms of the country coverage. For instance, all member States could be reviewed over a multi-year cycle. Alternatively, only governments volunteering to be reviewed could be included. In addition, the reviews could also cover other stakeholders that have responsibilities for achieving the SDGs (e.g., private sector).

Question 3: What should be reviewed and who should be reviewed?

The model of UPR could be used as bases for developing this model. The strong involvement of CSOs should be ensured and comparability between states accented. All governmental institutions, including state own enterprises, as well as all decision makers at different levels of power holding should be reviewed in this framework. Also, private and civil sector within their scope of work. The reviews should be done by independent bodies at national level (sort of state revision board) and international commissions ensuring external control and second level of checking the impartiality of national revisions.

Review process

Accountability can take different forms and modalities, ranging from more basic monitoring to more comprehensive reviews and, accordingly, with a different capacity to assist, support and advise governments and other stakeholders in achieving the SDGs:

- Monitoring of data on SDG performance which highlights where progress is and is not on track.
- Analytical reports on SDG implementation in the region which would provide an analysis of best practices and make policy recommendations where progress has been poor.
- Discussions and exchange of experiences and best practices at regional meetings, for instance Regional Forums on Sustainable Development convened by UNECE.
- Review of progress of members States by other member States (peer reviews).

Monitoring of data and tracking progress against the agreed goals will be the basis of any further analysis or review process. Different information and inputs will be needed for the various types of reviews, e.g. quantitative data or qualitative assessments and policy analysis. Different parts of the
UN system (Regional Commission, the inter-agency Regional Coordination Mechanism and the regional UNDG, specialized agencies on specific SDGs) could play a role in the various reviews. While the review process will be state-led, it will also benefit from the contributions of other stakeholders (civil society, the private sector, academia).

**Question 4:** What type(s) of review should be conducted and what kind of information should it be based on? What should be the role of the UN system and other stakeholders in the process?

Reviews should be conducted on regular bases as part of continuous and sustainable monitoring mechanism. Also reviews should be conducted as response to any report coming from CSOs, media, citizens...

UN should have observant status closely working with national review board with possibility to send external commission to assess severe breaches of set standards. The countries should agree that the external commission’s findings and recommendations should be binding as are those from national review board. However, it is crucial in all this that all countries (who agree to be part of this) have the same treatment according to framework under which review is being conducted.

Peer review mechanisms are considered to be an effective instrument to strengthen accountability in a multilateral context with strong ownership by participating governments. Some examples are the [Universal Periodic Review](#) conducted under the auspices of the Human Rights Council, the [OECD Peer Reviews](#), ECOSOC’s [Annual Ministerial Review](#), the WTO [Trade Policy Review Mechanism](#) and the [UNECE Environmental Performance Reviews](#).

**Question 5:** If you favour peer reviews, what could such reviews at the regional level look like and what existing models do you consider relevant?

We are mostly familiar with UPR review, and believe it to be good model that can be expanded. Namely, alongside peer review there should be also possibility to put in place specialised commissions that would have more in-depth review in cases when such action is demanded by national actors or UN’s observant missions.

**Linkage with global and national reviews and other mechanisms**

A regional accountability mechanism needs to be part of a multi-layered structure with a strong national and global dimension. This requires regional reviews to be anchored at the national level and to feed into the global level. Reviews at the global level will be carried out by the High-level Political Forum. For example, the regional level could therefore provide a regional synthesis to the global deliberations and align its theme with the global review. It could also go beyond merely complementing the HLPF and be more systematic and ongoing, taking into account the regional priorities and particularly transboundary issues. A key pillar of the overall system will be national accountability. National SDG reports, prepared by governments and supported by the UN Country
Teams and the UNDG agencies as appropriate, could play a key role in the review process and provide important inputs into the regional review. National parliaments could also be involved. In addition, it will be critical to build on and integrate existing accountability mechanisms in the post-2015 follow-up process, for example those under relevant existing legal instruments or programme activities or carried out in other fora.

**Question 6: How should the reviews at national, regional and global level be linked? And how can existing accountability mechanisms be integrated?**

The main responsibility should lay on the national level and that is were strong and independent review board should be set up with power to act. The parliament should adopt legislation to set up such body similarly to State Audit Institute, and then have final political responsibility for adopting reports and recommendations from the Review Board. Only then the regional and global should be there as wider framework allowing for further pressure to be exercised, but also healthy competition to be better and learning on how to overcome some obstacles.