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Economic Commission for Europe

Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context

Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context serving as the Meeting of the Parties to the Protocol on Strategic Environmental Assessment

Intermediary session

Geneva, 5–7 February 2019

Item 4 of the provisional agenda

Review of the workplan

Workplan implementation status

Note by the secretariat

This note provides for information of the Meetings of the Parties an informal assessment of the status of the implementation of activities in the 2017–2020 workplan of the Convention and its Protocol (adopted through decision VII/3–III/3) until the end of 2018. The workplan activities for which funding is available or that do not require funding are listed in annex I, and the waitlisted activities in annex II to the note. As resources for the waitlisted activities become available, their implementation is monitored as part of the workplan activities (i.e. they are moved to annex I).

In addition, annex III to this note lists additional activities that were not originally included in the workplan, but subsequently requested by countries. The funding for these activities was either identified or not needed. To facilitate the review of the workplan and its annexes, the following colours are used to indicate their degree of implementation.

<i>Degree of implementation</i>	<i>Workplan activities/sub-activities</i>	<i>Activities awaiting funding/confirmation</i>	<i>Additional activities</i>
No arrangements made, or no funding, or cancelled.	3 (9%)		
To be done (in kind by Parties or by the secretariat).	5 (14%)		
Outline arrangements, including funding.	0 (0%)		6 (67%)
Ongoing/Firm arrangements with funding.	10 (27%)		2 (22%)
Completed	18 (50%)		1 (11%)
Total	36	23	9

Annex I

Workplan for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
I. Compliance with and implementation of the Convention and the Protocol			Most items included in Implementation Committee and secretariat costs. Exceptions are identified below.	
I.1. Consideration by the Implementation Committee of compliance submissions and Committee initiatives.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	May require funding for translation of submissions (\$10,000).	Ongoing and will be completed by MOP and MOP/MOP
I.2. Report on the Committee's activities to MOP8 and MOP/MOP4.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	—	Ongoing and will be completed by MOP and MOP/MOP
I.3. If necessary, review of the Committee's structure and functions and operating rules.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	—	Ongoing and will be completed by MOP and MOP/MOP, if necessary
I.4. Examination of the outcome of the fifth review of implementation of the Convention and the second review of implementation of the Protocol.	Implementation Committee, with the support of the secretariat.	By the end of 2017	—	Ongoing by the Implementation Committee
I.5. Distribution to Parties of the questionnaires for reporting on implementation of the Convention	Secretariat.	Questionnaires issued by end of	—	Completed by the secretariat; Questionnaires were sent on

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and the Protocol in 2016–2018.		October 2018 Return of questionnaires by end of March 2019		30 October 2018. Parties to report by 31 March 2019.
I.6. Preparation of draft reviews of implementation of the Convention and the Protocol.	Secretariat.	Presentation of the draft reviews to the Committee and the Working Group in autumn 2019 and to MOP8 and MOP/MOP4	Requires external consultants and translation of national reports (\$25,000 is required from the core budget). ^a	To be done by WG8 and MOPs based on reports by Parties.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
I.7. Pre-accession technical legislative advice to a country or countries wishing to join the Protocol and/or the Convention to review national legislation for implementation of the Protocol and/or the Convention and to draft primary or secondary legislation or propose amendments.	<p>Lead/requesting country:</p> <p>(a) Kazakhstan: advice on drafting legislation on SEA to promote ratification of the Protocol and on transboundary EIA to promote the implementation of the Convention</p> <p><i>(Moved from annex II):</i></p> <p>(b) Tajikistan: review of national SEA legislation; support in legal drafting; awareness-raising event to promote adoption of the amended law;</p>	To be defined by the requesting countries.	Funding from the European Union, ^b Germany, Switzerland, and OSCE.	<p>(a) Completed. Draft recommendations on SEA and EIA procedures, including amendments to the law (Environmental Code) of Kazakhstan, draft secondary legislation on SEA discussed at four consultation meetings in Astana (16-17 November 2017; 15-16 February; 25-26 April; and 5 September 2018). Final consultation held on 14 November 2018. (Further support needed, see annex III).</p> <p>(b) Ongoing. SEA legislative review in Tajikistan to be completed by February 2019. An awareness-raising workshop held on 23 November 2018; second workshop is scheduled for 18 January 2019.</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
	(c) Uzbekistan: review of national SEA two to three national seminars on application of the Protocol.			(c) Completed with funding from Germany. SEA legislative review finalized in Uzbekistan in December 2018. At the request of the Government a concept note on SEA legislative reform, and first draft amendments to primary legislation (Law on Ecological Expertise) developed further to the review results in December 2018. Activity supported by several events: an awareness raising workshop (Tashkent, 27 August 2018), a meeting of a working group on legal drafting (Tashkent, 24-25 October 2018), a capacity building workshop (Tashkent, 23 January 2019). Legal drafting support on EIA/SEA requested for 2019 (see annex III for details).
	(d) Kyrgyzstan (tbc): review of national SEA legislation; two awareness-raising events to promote SEA.			(d) Cancelled. Kyrgyzstan has not confirmed its request/commitment.
I.8. Collection of findings and opinions from the Committee regarding the Convention and the	Secretariat.	Annual updates	—	Ongoing.

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Protocol, posted on the website.				
I.9. Draft terms of reference for possible guidance on addressing the applicability of the Convention with regard to decisions on the lifetime extension of nuclear power plants, involving a workshop to discuss and recommend their adoption by the Working Group on EIA and SEA.	<p>Lead countries: Germany and the United Kingdom of Great Britain and Northern Ireland</p> <p>The terms of reference developed by an ad hoc working group including, among others, the following other States Parties (list updated after WG7): Armenia, Austria, Belarus, Belgium, Bulgaria, Canada, Czechia, France, Finland, Greece, Italy, Luxembourg, the Netherlands, Norway, Poland, Portugal, Slovenia, Slovakia, Spain, Ukraine, and the European Commission, serving as a secretariat to the group. (The ad hoc group might subsequently be extended to include international organizations and NGOs, as decided by the Working Group on EIA and SEA).</p> <p>The workshop organized by the ad hoc working group, with the participation, among others, of the Implementation Committee, civil society and, possibly, IAEA and OECD/NEA.</p>	<p>First meeting of the ad hoc group in the fourth quarter of 2017, to be followed by at least one other meeting prior to the seventh meeting of the Working Group on EIA and SEA (date to be specified).</p> <p>Terms of reference and the possible extended scope of the ad hoc working group to be agreed by the Working Group on EIA and SEA in May 2018.</p>	—	<p>Completed but further work ongoing.</p> <p>The ToR was adopted by the Working Group in May. The ad hoc group held 3 preparatory meetings (Luxembourg, 27-28 December 2017; Brussels, 20-21 February and Berlin, 20-21 June 2018) and organized a workshop in May 2018. The Netherlands funded additional Russian interpretation for the workshop.</p> <p>The Working Group did not extend the group's membership beyond Parties, but requested it to continue consulting relevant NGOs and IGOs.</p>
I.10 Finalization of the development and update of the Guidelines on EIA in a Transboundary Context for Central Asian Countries:	Central Asian countries with the support of external consultants and the secretariat.	By 2020	Funding from Switzerland.	Completed. Draft revised Guidelines prepared and amended further to comments by Working Group in May 2018 and submitted for endorsement by the IS - MOP in 2019. (Will be published by the secretariat once endorsed.)
(a) One subregional meeting for Central Asian Countries (to be organized back to back with the				

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
subregional conference in Ukraine (Reported under item II.C (b) on subregional activities);				
(b) Reviews of national legislation with regard to compliance with the provisions of the Convention and the development of recommendations (to enhance part III of the draft Guidelines).	(i) Kazakhstan (ii) Uzbekistan (iii) Tajikistan (iv) Turkmenistan	By summer 2018	Costs: Approximately \$7,000–\$10,000 per country for consultancy costs.	(i) Completed. EIA review and recommendations prepared for Kazakhstan (March 2018); (ii) Completed. EIA review and recommendations prepared for Uzbekistan (December 2018); a concept note on EIA legislative reform, and first draft amendments to primary legislation (Law on Ecological Expertise) developed further to the review results in December 2018 (iii) Ongoing for Tajikistan (EIA review to be finalized by February 2019); (iv) To be confirmed for Turkmenistan.
(c) National awareness-raising events to support the updating of the Guidelines on EIA in a Transboundary Context for Central Asian Countries and to promote its application. (<i>Moved from annex II</i>)	Lead/target countries: (a) Kazakhstan, (b) Tajikistan, (c) Uzbekistan. (d) Turkmenistan Undertaken by external consultant(s), with the support of a national coordinator and the secretariat	2017–2020	Requires donor funding (\$7,000 per event). Event in Kazakhstan funded by EU, events in Tajikistan and Uzbekistan by Switzerland	(a) Completed for Kazakhstan (Astana, 15-16 February 2018) back to back with the working group meeting on legal drafting. (b) Completed for Tajikistan (Dushanbe, 22 November 2018). (c) Completed for Uzbekistan (Tashkent, 26 August 2018)

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
				d) No funding yet for Turkmenistan.
II. Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States outside the ECE region				
II.A. Baltic Sea subregion				
Two meetings on topics to be identified by the lead countries.	Lead countries: Denmark, Finland and Sweden.	(a) Autumn 2018 (possibly on a ship between Finland and Sweden); (b) Autumn/winter 2019 in Denmark.	In kind.	(a) Initially planned for September 2018 in Sweden but postponed to early/Spring 2019.
II.B. South-Eastern Europe subregion				
A dedicated session on implementation of the Convention, the Protocol and the Bucharest Agreement in the subregion.	Lead countries/organizations: Croatia, Romania and Slovenia and the secretariat, held under the auspices of a regional conference on EIA hosted by Croatia.	14 September 2017, in Vodice, Croatia	In kind (but funding required for travel of additional experts/participants)	Completed in September 2017.
II.C. Eastern Europe, the Caucasus and Central Asia, and beyond				
Subregional coordination and experience-sharing events to share successes, challenges, solutions and experiences as well as the results of capacity-building	Lead/host countries, with support from the secretariat and from a local counterpart/NGO for logistical arrangements.			

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
activities on SEA and EIA, followed by the dissemination of seminar results in all countries of Eastern Europe, the Caucasus and Central Asia, including to the public and NGOs:				
(a) Subregional workshop building on the updated Guidelines on EIA in a Transboundary Context for Central Asian Countries;	Lead/host country: Kyrgyzstan. Participation by all countries in Central Asia. Could be extended to countries outside ECE, e.g., in South-East Asia. (Organized back to back to the subregional coordination and experience-sharing event scheduled to take place in Ukraine in late 2017).	Autumn 2017	Partial funding available (reallocation of funds Switzerland provided in 2011 for legislative support to Uzbekistan); if extended beyond ECE, further donor funding required.	Completed. Held in Kyiv, 2 and 3 November 2017. (see item I.10 (a))
(b) Seminar on lessons learned from capacity-building activities in 2014–2017, including SEA pilot projects in Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine; and a subregional training-of-trainers event on SEA.	Lead/host country: Ukraine. Participation by all countries in Eastern Europe and the Caucasus.	October–November 2017 (exact dates tbc)	Funding from the European Union (EaP-GREEN). ^d	Completed. Held in Kyiv, 31 October–2 November 2017.
II.C.4. Promotion of principles of the Convention and the Protocol in Central Asia and beyond in the context of the recent economic developments in Asia:	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other countries.			
Subregional seminar to promote the application of the Guidelines on transboundary EIA for Central Asian countries (among high-level officials) at the Central Asian International Environmental Forum in Uzbekistan, possibly in the context of the “one belt one	To organized by CAREC and Uzbekistan.	Tentatively in summer 2018.	Requires funding: (\$7,000-10,000)	Completed. Held in Tashkent on 4 June 2018 with the funding from Switzerland, EU and UN regular programme for technical cooperation.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
road initiative”. (<i>Moved from annex II</i>)				
III. Exchange of good practices Workshops or half-day seminars within meetings of the Working Group or the Meetings of the Parties on:	To be organized by a lead country(ies) with support from the secretariat.	2017–2020	Costs (e.g., for speakers, provision and translation of materials) to be covered in kind by lead countries, to the extent possible (approximately \$10,000 per seminar). (Travel costs for countries eligible for financial support and non-ECE countries should be covered from the budget.)	
(a) Seminar on improving intersectoral cooperation and institutional arrangements for the application of the Protocol on SEA and the Convention;	Secretariat with inputs from volunteering delegates and with the possible participation of WHO. To be held in the framework of a meeting of the Working Group on EIA and SEA.	2019	In kind.	To be held in November 2019 at the meeting of the Working Group with a focus on integration of health and consultation of health authorities in SEA, as proposed by the Bureau and the Working Group.
(b) Synergies between transboundary EIA and SEA and UNCLOS, arts. 204-206 (<i>moved from annex II</i>)	Lead organization: European Commission.	2019-2020	In kind.	European Commission confirmed its interest. Could be held in 2020.
(c) Other workshops (tbc)				
IV. Promoting ratification and application of the Protocol on SEA and/or the Convention		2017–2020		

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
IV.1. Workshops, including training, on the application of the Protocol for countries in the ECE region and other Parties to the Protocol, in particular countries of Eastern and South-Eastern Europe, the Caucasus and Central Asia:	Lead countries with the support of the secretariat and external consultant(s) and national counterparts			
One national training event	Kazakhstan.	2015–2017	European Union funding for Kazakhstan. ^b with co-funding from OSCE	Completed. Training workshops in Astana within SEA pilot (see IV.4 below) Part 1: on scoping and baseline analysis (8–9 November 2017); Part 2. on impact assessment and SEA report (5 March 2018). Final event on 14 November 2018.
IV.2. National guidance documents on SEA.	Lead country: Kazakhstan	2017–2018	Funding from the European Union for Kazakhstan. ^b	Cancelled - funds reallocated for more extensive legal assistance requested by Kazakhstan. (see activity I.7. (a) above).
IV.3. Preparation of two-page informal pamphlets or “FasTips” on key issues in SEA practice on topics to be suggested (e.g., SEA for water management plans or the waste management sector; analytical methods used in SEA; and monitoring) and on the Convention and the Protocol.	Lead organization: IAIA, with the support of WHO, SEA and health experts, and from the secretariat for the dedicated “FasTips” on the Convention and the Protocol.	Ongoing	In kind. Translation of (some five) relevant “FasTips” into Russian funded by WWF Russia.	Ongoing. Draft Convention and Protocol “FasTips” to be prepared by the secretariat in consultation with the Bureau. Work by WWF/Russia ongoing to translate several “FasTips” Completed: Six “FasTips” translated into Russian with EU (EaP GREEN) funding (see:

Activity/sub-activities	Organizational arrangements/lead countries	Time schedule	Budget	Status
<p>IV.4. Pilot SEA in Kazakhstan, involving the following activities:</p> <p>a) Two workshops for scoping and the analysis of the baseline, impact assessment, development of mitigation measures and development of recommendations;</p> <p>(b) Two public consultation events;</p> <p>(c) Preparation of the SEA report.</p>	<p>Lead country: Kazakhstan as the lead/target country, with the support of an external consultant, national experts and the secretariat, and in collaboration with partner organizations, as relevant.</p>		<p>Likely funding from the European Union^b</p> <p>Costs: for the pilots (\$80,000-\$100,000) and for staff costs of a project manager and support personnel, P-3 (\$15,000 per month) and G-4 (\$8,500 per month).</p>	<p>http://www.iaia.org/translated-documents.php.</p> <p>Completed. Pilot launched in November 2017 (see item IV.1. for related training events).</p> <p>The scoping report finalized and submitted to the Ministry of Energy at the end of March 2018. The environmental report finalised in November 2018, discussed with the national stakeholders on 14 November 2018 and submitted to the Ministry of Energy in December 2018.</p>
<p>IV.5. Preparation of fact sheets on the application of the Protocol.</p>	<p>Lead countries: Germany and other Parties. (All Parties invited to propose fact sheets. The Party preparing the fact sheet will be responsible for its layout.)</p>	2017–2020	In kind.	<p>Issued by Germany in January 2018 and presented at the meeting of the Working Group in May 2018. Ongoing for other Parties.</p>
<p>IV.6. Development of country- and sector-specific guidance for the assessment of potential health impacts of plans and programmes and for the involvement of health authorities, building on the chapter on health in the SEA Resource Manual. (Could be linked with a possible subregional workshop.)</p> <p><i>(Moved from annex II)</i></p>	<p>Undertaken by external consultant(s), with the support of the secretariat and WHO.</p>		<p>Likely EIB funding. (Beneficiary countries to reconfirm their needs.)</p>	<p>A concept note prepared jointly with WHO presented to the Working Group on May 2018. EIB reconfirmed its funding in October; two consultants identified; the implementation will start in the first quarter of 2019. A draft guidance will be presented to the Working Group in November 2019.</p>

In addition, the preparation of

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
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national sector or issue specific guidelines on SEA in Eastern Europe and the Caucasus is likely to be funded through EU4Environment. If requested by the beneficiary countries, some of them could address the consideration of health effects in the national SEA procedures.

Abbreviations: Bucharest Agreement = Multilateral Agreement among the Countries of South-Eastern Europe for Implementation of the Espoo Convention; EIA = environmental impact assessment; IAEA = International Atomic Energy Agency; IAIA = International Association for Impact Assessment; MOP8 = the Meeting of the Parties to the Convention at its eighth session; MOP/MOP4 = the Meeting of the Parties to the Protocol at its fourth session; NGOs = non-governmental organizations; OECD/NEA = Organization for Economic Cooperation and Development Nuclear Energy Agency; SDGs = Sustainable Development Goals; SEA = strategic environmental assessment; tbc = to be confirmed; WG7 = the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment at its seventh meeting; WHO = World Health Organization; WWF = World Wide Fund for Nature.

^a The financing of the activities foreseen in the budget of the Convention and its Protocol for 2017–2020, as set out in the annex to decision VII/4/III4, is contingent on sufficient funds being made available by Parties through their voluntary contributions to the Convention trust fund.

^b Through “Supporting Kazakhstan’s Transition to a Green Economy Model”, a European Union-funded multi-stakeholder project for 2015–2018. Funding subject to applicable procedures under the project.

^d “Greening Economies in the European Union’s Eastern Partnership” (EaP-GREEN), a European Union-funded regional multi-stakeholder project (2013-April 2018). Funding subject to applicable procedures under the project.

^e “EU4Environment” is a large EU funded Programme to follow up to the EaP GREEN Programme in the EU’s Eastern Partnership countries for the next 4-5 years. The implementing organizations include three UN organizations: UNECE, UN Environment and UNIDO. In November 2017, the European Commission adopted an “Action Document” confirming the overall funding. The project preparations are ongoing. UNECE has prepared a description of action (DoA) and a proposed budget for the component under its responsibility, with demand driven activities on SEA and transboundary EIA included or related to the Convention and the Protocol workplan. The final EU feedback on the activities and the detailed budget is still expected. The project is expected to start in late 2018/early 2019.

Annex II

List of activities awaiting funding and/or identification of lead countries or organizations for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
<p>I. Compliance with and implementation of the Convention and the Protocol</p> <p>I.1. Reviews of legislation, procedures and practice and technical assistance in drafting legislation to strengthen Parties' implementation of and compliance with the Convention and the Protocol. Prompted by the Implementation Committee or requested by Parties themselves, as follows:</p>	<p>Undertaken by external consultant(s), with the support of the secretariat.</p> <p>Including a period in-country examining national legislation and based on earlier reviews, as available.</p>		<p>Costs: approximately \$25,000 per review, plus in-kind contributions from Parties providing experts and from target country for interpretation.</p>	
<p>(a) Technical legislative advice further to possible Committee initiatives (as decided by the Implementation Committee);</p>	<p>Supervised by members of the Committee.</p>		<p>Requires funding.</p>	<p>No requests so far.</p>
<p>(b) Technical legislative advice to Parties to the Convention and/or the Protocol requesting assistance (review of primary or secondary legislation; proposals for amendments).</p>	<p>Lead/requesting country:</p> <p>(a) Azerbaijan; drafting of secondary legislation;</p> <p>(b) Kyrgyzstan: drafting of secondary legislation for the application of the Convention and an awareness-raising event to promote the adoption of the amended legal framework.</p>		<p>Funding being identified.</p>	<p>(a) Further technical legislative advice to Armenia, Azerbaijan, Belarus, and the Republic of Moldova (including organizing</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
				awareness raising events to promote adoption of the legislation) likely to be funded through EU4Environment (2019-2022) (b) No funding identified yet
I.3. After the adoption of national law by Georgia, pilot on the application of transboundary EIA between Armenia and Georgia to test their respective laws and improve transboundary procedures.	Lead/requesting country: Armenia, in cooperation with Georgia. Undertaken by external consultant(s), with the support of the secretariat.		Funding being identified. (Requires around \$100,000).	Likely to be funded through EU4Environment (2019-2022). The focus of the pilot may change to the national SEA (to be further confirmed by Armenia and Georgia).
I.4. Elaborate a long-term strategy and action plan for the future of the Convention and the Protocol (see draft decision VII/7–III/6).	To be carried out by lead countries and organizations, with support from a consultant and the secretariat. To be adopted by the Meetings of the Parties.	2017–2020	Requires in-kind contributions or a consultant for drafting (approximately \$15,000).	No volunteering lead countries/funding identified at this point.
II. Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States outside the ECE region	To be carried out by lead countries, with support from the secretariat, as needed.		Participants cover their own travel and accommodation costs to the	

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
			extent possible, while host countries cover organizational and venue costs in kind (approximately \$5,000–\$20 000 per workshop).	
II.A. South-Eastern Europe subregion			In kind contributions/do nor funding required.	
II.A.1. Workshop(s) on implementation of the Convention, the Protocol and the Bucharest Agreement in the subregion: workshop to prepare for the first Meeting of the Parties to the Bucharest Agreement.	Lead country: Romania.	tbc	In kind (tbc).	No Arrangements/ no funding
II.A.2. First Meeting of the Parties to the Bucharest Agreement.	Lead country: Romania.	tbc	No funding confirmed yet.	No Arrangements/ no funding
II.B. Eastern Europe, the Caucasus and Central Asia (and beyond)				
II.B.1. Subregional conference with training of trainers on the application of SEA to climate change mitigation, to be followed by national training sessions and supplemented by the preparation of guidance.	To be hosted by a lead country and contributed to by all participants. Could be extended to all Central Asian countries (and beyond). To be carried out by external consultants, with support from a local counterpart and the secretariat.		Costs: Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on	(a) Organization of a subregional conference for six Eastern Europe and the Caucasus countries likely to be funded through EU4Environment

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
			the duration). Staff costs for project management: P-3, \$15,000 per month and G-4, \$8,500 per month. Requires donor funding.	(2019-2022). The topic of the conference to be confirmed with beneficiary countries after the EU4Environment implementation is launched. (b) Funding for the countries of Central Asia likely.
II.B.2. Subregional training workshop on the practical application of SEA and quality control of SEA documentation for SEA practitioners and sectoral authorities.	To be hosted by a lead country and contributed to by all participants. Could be extended to all Central Asian countries (and beyond).		Costs: Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on the duration). Staff costs for project management: P-3, \$15,000 per month and G-4, \$8,500 per month. Requires donor funding.	Organization of subregional conference for six Eastern Europe and the Caucasus countries likely to be funded through EU4Environment (2019-2022). The topic of the conference to be confirmed with beneficiary countries after the EU4Environment implementation is launched.
II.B.3. Promotion of principles of the Convention and the Protocol in	Lead/target countries:			

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Central Asia and beyond in the context of the recent economic developments in Asia:	Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other countries.			
Pilot application of the Guidelines on transboundary EIA for Central Asian countries	Lead organization: CAREC (tbc)	tbc	Requires funding: (\$100,000-\$150,000).	No funding identified
III. Exchange of good practices Workshops or half-day seminars within meetings of the Working Group or the Meetings of the Parties on:	To be organized by a lead country(ies) with support from the secretariat.	2017–2020	Costs (e.g., for speakers, provision and translation of materials) to be covered in kind by lead countries, to the extent possible (approximately \$10,000 per seminar). (Travel costs for countries eligible for financial support and non-ECE countries should be covered from the budget.)	
(a) Application of the Protocol on SEA to climate change adaptation and mitigation;	Lead country(ies) or organization(s) to be identified. With possible participation of the UNFCCC secretariat;	(a) Application of the Protocol on SEA to climate change adaptation and	Lead country(ies) or organization(s) to be identified. With possible	No funding/lead countries identified at this point.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
		mitigation;	participation of the UNFCCC secretariat;	
IV. Promoting ratification and application of the Protocol on SEA and/or the Convention				
IV.1. Development of good practice recommendations for the integration of climate change mitigation and adaptation measures into plans and programmes through SEA (supported by good practice examples).	Undertaken by external consultant(s), with the support of the secretariat, involving a survey to gather good practice.		Requires consultant(s) for drafting and funding for translation of the survey and responses to it (approximately \$25,000).	
IV.2. Development of guidance on the application of the Protocol on SEA to urban planning.	Undertaken by external consultants, with support from the secretariat, possibly in cooperation with THE PEP, the ECE Housing and Land Management Unit, WHO and the ICLEI network.		Requires consultant(s) for drafting and funding for translation (approximately \$25,000).	
IV.3. Workshops for national and local sectoral authorities, as well as environmental and health authorities, including training, on the application of the Protocol for countries in the ECE region and beyond and the application of SEA for integrating climate change adaptation and mitigation measures into plans or programmes.	To be carried out in cooperation with the lead/target countries, e.g., Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine, with support from an external consultant and the secretariat.	2017–2020	Requires donor funding (\$10,000 for two-day workshop) and in-kind contributions.	Organizing national awareness raising/capacity building events targeted to environmental and health authorities to increase their capacities to

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
				perform their role in SEA and EIA procedures likely to be funded through EU4Environment.
IV.4. Pilot SEAs in selected countries, in selected sectors, involving the following activities: (a) Two to three workshops for scoping and the analysis of the baseline impact assessment and the development of mitigation measures and recommendations; (b) Two to three public consultation events; (c) Preparation of the SEA report and training on its quality control.	Lead/target countries and selected sectors (based on requests by the countries): (a) Armenia; (b) Georgia (urban development, agriculture); (c) Republic of Moldova; (d) Russian Federation; (e) Kazakhstan; (f) Ukraine (waste management and energy sectors); (g) Tajikistan. In collaboration with the support of an external consultant, national experts and the secretariat, and partner organizations, as relevant.		Requires funding for pilot (\$80,000-\$100,000) and for staff costs of a project manager and support personnel, P-3 (\$15,000 per month) and G-4 (\$8,500 per month).	Five pilot SEAs likely to be funded through EU4Environment (2019-2022).
IV.5. National guidance documents on the implementation of SEA.	Lead/target country to be identified.	2017–2018	Requires funding (\$20,000–\$40,000).	Preparing sector or issue specific guidelines on SEA to complement existing general guidelines on SEA procedure is likely to be funded through EU4Environment

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
IV.6. Preparation of a video to raise awareness about and promote application of the Convention.	Secretariat, consultants	2017–2020	Requires funding: (\$35,000–\$40,000).	Preparation of the video on the application of the Convention likely to be funded through EU4Environment.

Abbreviations: Bucharest Agreement = Multilateral Agreement among the Countries of South-Eastern Europe for Implementation of the Convention on Environmental Impact Assessment in a Transboundary Context; CAREC = Regional Environmental Centre for Central Asia; EIA = environmental impact assessment; ICLEI = Local Governments for Sustainability; NGOs = non-governmental organizations; SDGs = Sustainable Development Goals; SEA = strategic environmental assessment; SEA Resource Manual = Resource Manual to Support Application of the Protocol on Strategic Environmental Assessment (ECE/MP.EIA/17); THE PEP = Transport, Health and Environment Pan-European Programme; tbc = to be confirmed; UNCLOS = United Nations Convention on the Law of the Sea; and WHO = World Health Organization.

Annex III

List of additional activities related to the workplan for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Manual on the Protocol on SEA for trainers	Undertaken by external consultant(s), with the support of the secretariat.	2015 – 2018	Funded by EaP GREEN	Completed. A draft was prepared in 2015 for the first subregional train-the-trainers workshop, the final version completed in 2018 in English and Russian.
Final publication on lessons learned from EaP GREEN funded technical	Undertaken by external consultant(s), with	2018	Funded by EaP	In progress. to be completed by

<i>Activity/sub-activities)</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
assistance to support establishing modern environmental assessment systems in selected countries of Eastern Europe and the Caucasus	the support of the secretariat		GREEN	early 2019.
National training scheme (mainly for SEA and EIA practitioners)	Undertaken by external consultant(s), with the support of the secretariat.	2018 – 2020	Requires funding (\$50,000–\$100,000 per country).	Awareness raising and capacity building activities likely to be funded through EU4Environment. It may be partially used for establishing a national training scheme (instead of organizing individual training events). This needs to be discussed with the beneficiary countries after the EU4Environment implementation is launched.
Web-based SEA/EIA databases or information systems	Undertaken by external consultant(s), with the support of the secretariat.	2018 – 2020	Requires funding (\$100,000–\$150,000 per country).	Development of a model for a SEA database likely to be funded through EU4Environment.
Promotion of principles of the Convention and the Protocol in Central Asia and beyond in the	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other			

<i>Activity/sub-activities)</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
context of the recent economic developments in Asia:	countries. To be implemented by OSCE			
(a) Awareness raising event on SEA	(a)-(c) Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan (tbc) and Uzbekistan			Funding under consideration by Germany
(b) Study-tour to Germany to learn about the German SEA system	(d) Lead/target countries: Kyrgyzstan			
(c) Needs assessment exercise for preparation of the capacity-building strategy on SEA				
(d) Pilot application of SEA				
Drafting of guidance on application of the Convention to the lifetime extension of nuclear power plants; including an interim progress report	By the ad hoc group of volunteering Parties to the Convention co-chaired by Germany and the UK, in consultation with the relevant intergovernmental and non-governmental organizations, and with support from the secretariat.	Progress report presented to IS-MOP in February 2019; To be submitted for adoption by MOP-8 in 2020	In-kind by the contributing Parties	Ongoing. A progress report to IS-MOP was drafted. As mandated by the Working Group, the ad hoc group pursues its work to draft guidance for submission to the MOP8 in 2020.
Compliance with and implementation of the Convention and the Protocol	Undertaken by external consultant(s), with the support of the secretariat.			
Technical legislative advice to Parties to the Convention and/or the Protocol requesting assistance (review of primary or secondary legislation; proposals for	(a) Kazakhstan: drafting secondary legislation on EIA linked and an awareness raising events to promote the adoption of the legislation (as a follow-up to activities under item I/7 (a) in Annex I, subject of additional conformation by the		Costs: approximately \$40,000-60,000 per drafting process	(a) Partial funding under consideration of the OSCE programme office in Astana, other potential funding to be identified

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
amendments).	Government) (b) Uzbekistan: drafting of secondary legislation for the application of the Convention and the Protocol and an awareness-raising event to promote the adoption of the amended legal framework (as a follow-up to activities under item I/7 (c) in Annex I, subject of additional conformation by the Government)			(b) Funding requested from Germany and OSCE programme office in Uzbekistan
