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Meeting of the Parties to the Convention
on Environmental Impact Assessment
in a Transboundary Context

Meeting of the Parties to the Convention
on Environmental Impact Assessment in
a Transboundary Context serving as the
Meeting of the Parties to the Protocol on
Strategic Environmental Assessment

Working Group on Environmental Impact Assessment and Strategic Environmental Assessment

Fifth meeting

Geneva, 11–15 April 2016

Items 3, 4, 5 and 9 of the provisional agenda

Compliance and implementation

Subregional cooperation and capacity-building

Promoting ratification and application of the Protocol on Strategic Environmental Assessment

Preparations for the next sessions of the Meetings of the Parties

Draft decision on the adoption of the workplan for the period 2017–2020

Proposals by the Bureau

Summary

In accordance with the mandate given to the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment by the Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context and the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol on Strategic Environmental Assessment, the Working Group is tasked with making recommendations to the Meetings of the Parties regarding future work under the



Convention and the Protocol (ECE/MP.EIA/SEA/2, decision I/5-V/5, para. 4).

In accordance with the above mandate, the present document contains a draft decision on the adoption of the workplan for the next intersessional period, 2017–2020 for the Working Group’s consideration. A draft workplan is set out in annex I to the draft decision. Annex II to the draft decision lists proposed workplan activities that are to be wait-listed pending identification or confirmation of funding or of a lead country or organization to ensure their implementation.

The document has been prepared by the Bureau to the Meetings of the Parties to the two treaties, with the support of the secretariat.

Acknowledging that the Convention and, in particular, its Protocol provide a framework for the integration of environmental and health concerns into development activities, as well as into sectoral plans, programmes, policies and legislation, the Bureau wishes to highlight that activities promoting the efficient application of the treaties contribute to countries’ implementation, monitoring and review of progress in attaining Sustainable Development Goals (SDGs), as set out in the 2030 Agenda for Sustainable Development. For some of the proposed activities, the document refers to specific SDGs that the activity may contribute to implementing. The Bureau proposes also adding a new category of activities to the workplan dedicated to the opening of the Convention and the Protocol: to global accession as well as promoting global outreach and partnerships (see annex II, section V).

The Working Group will be invited to review and comment on each element of the document. It is expected to identify funding opportunities and lead countries for the implementation of the activities that it agrees should be included into the workplan from the waiting list. Delegations, individually or in groups, will also be invited to make further proposals on workplan activities and ensure that for each of them a lead country or organization and a source of funding is identified. It is also invited to agree on which activities are to remain on the wait-list pending the identification of funding for their implementation. Finally, the Working Group is expected to decide on the further development of the draft workplan in advance of its next meeting (Geneva, 7–10 November 2016) for finalization at that meeting, and subsequent submission for adoption jointly by the Meetings of the Parties to the Convention and the Protocol at their next sessions in June 2017.

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Decision VII/3–III/3

Adoption of the workplan

The Meeting of the Parties to the Convention and the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, meeting in joint session,

Recalling article 11, paragraph 2 (f), of the Convention, stipulating that the Meeting of the Parties to the Convention shall undertake additional action that may be required to achieve the purposes of the Convention,

Recalling also article 14, paragraph 4 (f), of the Protocol on Strategic Environmental Assessment, stipulating that the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol shall take additional action, including action to be carried out jointly under the Protocol and the Convention, that may be required to achieve the purposes of the Protocol,

Recognizing that it is essential for Parties to the Convention and the Protocol to meet fully their legal obligations arising under these treaties,

Recognizing also that Parties to the Convention and the Protocol should take action to maximize the effectiveness of their application of the Convention and the Protocol, respectively, so that the best possible practical results are achieved,

Acknowledging that the Convention and, in particular, its Protocol provide a framework for the integration of environmental and health concerns into development activities and sectoral plans, programmes, policies and legislation, and that, consequently, their effective application contributes to supporting countries to achieve the Sustainable Development Goals (SDGs) as set out in the 2030 Agenda for Sustainable Development,

Recognizing with appreciation the valuable work carried out under the workplan adopted at the sixth session of the Meeting of the Parties to the Convention and the second session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol (decision VI/3–II/3), particularly:

(a) The steps taken by Parties and non-Parties to ensure that their environmental impact assessment (EIA) systems are consistent with the provisions of the Convention and the Protocol, and to report accordingly;

(b) The workshops and pilot projects for subregional cooperation and capacity-building on EIA and strategic environmental assessment (SEA) organized by the Governments of Armenia, Azerbaijan, Belarus, Georgia, Germany, [Latvia], Lithuania, Morocco, the Republic of Moldova, [the Russian Federation] and Ukraine;

(c) The seminars for the exchange of good practices organized by the secretariat on behalf of the Governments of Belarus and Ukraine [and by the European Investment Bank];

(d) The preparation of guidance material and recommendations for improving the implementation of the Convention and the Protocol[, notably regarding:

(i) The application of the Convention to nuclear energy-related matters;

(ii) The implementation of the Convention drawing on opinions of the Implementation Committee;

(iii) Transboundary EIA in Central Asian countries;

(iv) Safety and land-use planning;

(v) Reforming legal and institutional structures with regard to application of the Protocol on SEA];

(e) The preparation by the secretariat of promotional material, including a video [and a brochure] on the Protocol and its benefits,

Noting with satisfaction that the activities in the workplan adopted at the sixth session of the Meeting of the Parties to the Convention and the second session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol[, excluding those that were cancelled,] have been approximately [...] per cent completed, with [...] per cent of priority 1 activities completed and approximately [...] per cent of priority 2 activities and [...] per cent of priority 3 activities completed,¹

[*Also noting with satisfaction* that the implementation of approximately [...] per cent of the remaining workplan activities are ongoing or are planned and are expected to be completed in the next intersessional period,]

Wishing to establish a realistic intersessional workplan under the Convention and the Protocol by ensuring in advance the funding of the workplan activities,

[*Noting with concern*, however, the limited funding available to support the implementation of the workplan activities,]

1. *Decide* that activities for which no funding is identified should remain on a waiting list, as set out in annex II to the present decision, until appropriate funding is made available and invite Parties to the Convention and the Protocol, and other stakeholders to actively seek opportunities for ensuring their financing and implementation;

2. *Adopt* the workplan and the waiting list of activities for the period up to the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, as set out in annexes I and II to the present decision;

3. *Call on* the Parties, and also invite non-Parties, to arrange, host and participate actively in seminars, workshops and meetings to facilitate implementation of and compliance with the Convention and the Protocol;

4. *Invite* every relevant body or agency, whether national or international, governmental or non-governmental and, as appropriate, researchers, commercial firms, developers, consultants or other commercial entities to participate actively in the activities included in the workplan;

5. *Invite* the Economic Commission for Europe (ECE) Executive Secretary to continue supporting the work under the Convention and the Protocol by promoting the activities planned in the workplan, providing formal documentation for and ensuring publication of the outcomes of these activities in the three official languages of ECE, as appropriate. Publications subject to global outreach should be processed and translated by the United Nations Conference Services and made available in the six United Nations languages;

¹ Activities included in the budget adopted by the Convention and the Protocol for the period up to the sixth session of the Meeting of the Parties to the Convention and the first session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol contained in annex I of decision VI/4–II/4 were assigned priorities 1 and 2. Other workplan activities that were financed to the extent possible through earmarked contributions by Parties or through project funding are referred to as priority 3 activities.

6. *Decide* that during the intersessional period the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment should meet in spring 2018, spring 2019 and autumn 2019 and that the Implementation Committee should hold in total [nine] sessions, meeting three times a year — in spring, autumn and winter;

7. *Request* the secretariat to prepare the provisional agendas and other formal documentation for and reports on the meetings mentioned in paragraph 6 above and to issue these documents in the three official languages of ECE.

Annex I

Proposed elements for a draft workplan for the implementation of the Convention and its Protocol for the period 2017–2020 (not requiring donor funding or with identified donor/in-kind funding)

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|---|--|--|---|---|---|
| Compliance with and implementation of the Convention and the Protocol | | | | | Most items included in Implementation Committee and secretariat costs. Exceptions are identified below. |
| Enhance the implementation of and compliance with the Convention and the Protocol | 1. Consideration by the Implementation Committee of compliance submissions. | Undertaken by the Implementation Committee, with the support of the secretariat. | Recommendations on compliance submissions. | 2017–2020, presented to MOP8 and MOP/MOP4 | [May require funding for translation of submissions (\$10,000).] |
| | 2. Report on the Committee's activities to MOP8 and MOP/MOP4. | Undertaken by the Implementation Committee, with the support of the secretariat. | Reports of the Committee meetings and a synthesis report to MOP8 and MOP/MOP4. | 2017–2020, presented to MOP8 and MOP/MOP4 | — |
| | 3. If necessary, review of the Committee's structure and functions and operating rules. | Undertaken by the Implementation Committee, with the support of the secretariat. | Possible revision of the Committee's structure and functions and operating rules. | 2017–2020, presented to MOP8 and MOP/MOP4 | — |
| | 4. Examination of the outcome of the fifth review of implementation of the Convention and the second review of implementation of the Protocol. | Undertaken by the Implementation Committee, with the support of the secretariat. | Summary of compliance issues from the fifth review of implementation of the Convention and the second review of implementation of the Protocol. | By the end of 2017 | — |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|----------------------------|---|--|--|---|--|
| | 5. Distribution of the questionnaires for the report on implementation of the Convention and for the Protocol in 2016–2018 to the Parties to the Convention and to the Protocol for them to complete and return. | Carried out by the secretariat. | Completed questionnaires. | Issue of questionnaires, by end of October 2018 Return of questionnaires, by end of March 2019 | — |
| | 6. Pre-accession legislative assistance, including in drafting legislation, to promote ratification and implementation of the Convention and the Protocol: Technical advice to Kazakhstan on improving legislation to implement the Protocol and advice in drafting the necessary legislation. | Undertaken by external consultant(s), assisted by a national counterpart, with the support of the secretariat. | Recommendations to the country on strengthening capacity, including new draft legislation/ amendments to legislation, procedures and institutional arrangements. | As decided by the Party concerned 2017 | Costs: approximately \$25,000 per review, plus in-kind contributions from Parties providing experts and from target country for interpretation. Funding from the EU. ^a |
| | 7. Collection of findings and opinions from the Committee regarding the Convention and the Protocol, posted on website. | Carried out by the secretariat. | Online collection of the Committee's findings and opinions. | Annual updates | — |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|---|--|------------------------------------|--|----------------------|---------------|
| <p>Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States outside the ECE region</p> <p>Improved application of the Convention and its Protocol within the subregions.</p> <p>Promotion of cooperation in all subregions.</p> <p>Increased professional skills of officials and increased awareness of the public, including NGOs, as well as of officials at all relevant administrative levels in relation to SEA and transboundary EIA and to the application of the Convention and its Protocol.</p> <p>Increased coordination between environmental treaties in Eastern Europe, the Caucasus and Central Asia.</p> | | | <p>For all subregions:</p> <p>(a) Common understanding of the requirements for implementation of the Convention and the Protocol;</p> <p>(b) Possible development of multilateral agreements;</p> <p>(c) Possible guidance on subregional issues, such as public participation and the role of NGOs.</p> | | |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|----------------------------|---|--|---|----------------------|---|
| | Eastern Europe, the Caucasus and Central Asia, and beyond | | | | |
| | Subregional coordination and experience-sharing events to share successes, challenges, solutions and experiences as well as the results of capacity-building activities on SEA and EIA, followed by the dissemination of seminar results in all countries of Eastern Europe, the Caucasus and Central Asia, including to the public and NGOs. | Lead/host countries, with support from the secretariat and from a local counterpart/NGO for logistical arrangements. | Dissemination of seminar results: All countries of Eastern Europe, the Caucasus and Central Asia. | | Require donor funding and in-kind contributions by beneficiary countries. |
| | Subregional workshop(s) building on the updated Guidelines on EIA in a Transboundary Context. | Lead/host country: Kyrgyzstan. Participation by all countries in Central Asia. Could be extended to countries outside ECE, e.g., in South-East Asia. | Workshop report(s). | 2018 (tbc) | Funding available (carried forward funds from Switzerland); if extended beyond ECE, further donor funding required. |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|--|---|--|---|----------------------|--|
| Promoting ratification and application of the Protocol on SEA | | | Ratifications and other outcomes specified below. | 2017–2020 | |
| | 1. Workshops, including training, on the application of the Protocol for countries in the ECE region and other Parties to the Protocol, in particular countries of Eastern and South-Eastern Europe, the Caucasus and Central Asia: | Lead countries with the support of the secretariat and external consultant(s) and national counterparts. | Workshop and training reports. | | |
| | One national training event and one local training event (tbc). | Kazakhstan. | | 2015–2017 | EU funding for Kazakhstan. ^a |
| | 2. National guidance documents on SEA. | Lead country: Kazakhstan | One published guidance document. | 2017–2018 | Funding from the EU for Kazakhstan. ^a |

Abbreviations: EU = European Union; MOP8 = the Meeting of the Parties to the Convention at its eighth session; MOP/MOP4 = the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol at its fourth session; NGOs = non-governmental organizations; tbc = to be confirmed.

^a “Supporting Kazakhstan’s Transition to a Green Economy Model”. A European Union-funded multi-stakeholder project for 2015–2018. Funding subject to applicable procedures under the project.

Annex II

Proposed list of activities awaiting funding and/or identification of lead countries or organizations for the implementation of the Convention and its Protocol for the period 2017–2020

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|--|--|--|---|--|---|
| I. Compliance with and implementation of the Convention and the Protocol Enhance the implementation of and compliance with the Convention and the Protocol | 1. Preparation of draft reviews of implementation of the Convention and the Protocol. | Carried out by the secretariat. | Draft sixth review of implementation of the Convention and draft third review of implementation of the Protocol for consideration by the Implementation Committee, the Working Group on EIA and SEA, MOP8 and MOP/MOP4. | Presentation of the draft reviews to the Committee and the Working Group in autumn 2019 and to MOP8 and MOP/MOP4 | Requires external consultants and translation of national reports (\$25,000 is required from the core budget). ^a |
| | 2. Reviews of legislation, procedures and practice and technical assistance in drafting legislation to strengthen Parties' implementation of and compliance with the Convention and the Protocol. Prompted by the Implementation Committee or requested by Parties themselves, as follows: | Undertaken by external consultant(s), with the support of the secretariat. Including a period in-country examining national legislation and based on earlier reviews, as available. | Recommendations to the country on strengthening capacity, including amendments to legislation, procedures and institutional arrangements. | | Costs: approximately \$25,000 per review, plus in-kind contributions from Parties providing experts and from target country for interpretation. |
| | (a) Technical legislative advice further to possible Committee initiatives (as decided by the Implementation Committee) [E.g. concerning Parties whose compliance has been/is | Supervised by members of the Committee. | | | Funding to be identified. |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|----------------------------|---|---|-------------------------|----------------------|--------------------------------------|
| | at issue by the Committee, if needed, such as Croatia, Montenegro, Serbia and the former Yugoslav Republic of Macedonia]; | | | | |
| | (b) Technical legislative advice to Parties to the Convention and/or the Protocol requesting assistance. | Lead/requesting country | | | Funding to be identified. |
| | 3. Pre-accession legislative assistance: | | | | |
| | Technical advice to a country/countries wishing to join the Protocol to review its national legislation for implementation of the Protocol and to draft primary or secondary legislation/ propose amendments. | (a) Azerbaijan; (b) (...). | | | |
| | 4. Pilot on the application of transboundary EIA between Armenia and Georgia to test and improve transboundary procedures and to promote a bilateral agreement. | Lead/requesting country: Armenia, in cooperation with Georgia. Undertaken by external consultant(s), with the support of the secretariat | | | Requires funding (around \$100,000). |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|---|---|---|--|--|--|
| | 5. Update and revision of the 1990 guidance on post-project analysis in EIA, taking into account considerations of access to information and public participation. (The need was identified at the fourth meeting of the Working Group on EIA and SEA.) | Prepared by external consultant with support from the secretariat. In consultation with the Bureau and the Working Group. | Updated guidance. | (tbc) | Requires consultant(s) for drafting and funding for translation (\$10,000–\$20,000/review). |
| II. Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States outside the ECE region | | To be carried out by lead countries, with support from the secretariat, as needed. | For all subregions: (a) Possible guidance on subregional issues; (b) Common understanding of elements of application and possible multilateral agreements. | | Participants cover their own travel and accommodation costs to the extent possible, while host countries cover organizational and venue costs in kind (approximately \$5,000–\$20 000 per workshop). |
| | Baltic Sea subregion | | | | |
| | 1. Holding of three meetings on topics to be identified by the lead country: (a)...; (b)...; (c).... | To be organized by lead countries: Denmark, Finland and Sweden (tbc by the countries). | Workshop reports and possible reports on specific issues. | (a) 2017 in ...; (b) 2018 in ...; (c) 2019 in | In kind. |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> | |
|---|---|--|---|----------------------|---|---------------------------|
| Improved and developed application of the Convention and its Protocol within the subregions. Promotion of cooperation in all subregions. Increased understanding and professional skills of officials and increased awareness of the public, including NGOs, as well as of officials at all relevant administrative levels in relation to SEA and transboundary EIA and to the application of the Convention and its Protocol. Common understanding of elements of application and possible multilateral agreements. | South-Eastern Europe subregion | | Workshop reports and possible reports on specific issues. | | In kind contributions/ donor funding required. | |
| | 2. Workshop/dedicated session on implementation of the Convention, the Protocol and the Bucharest Agreement in the subregion. | Lead countries: Croatia with Romania and Slovenia under the auspices of a regional conference on EIA hosted by Croatia (tbc). | | (tbc) | Donor/in-kind funding required for travel of experts and participants; | |
| | 3. Workshop(s) on implementation of the Convention, Protocol and Bucharest Agreement in the subregion: workshop to prepare for the first Meeting of the Parties to the Bucharest Agreement. | Lead country: Romania | | | (tbc) | In-kind. (tbc) |
| | 4. First Meeting of the Parties to the Bucharest Agreement. | Romania. | | | (tbc) | No funding confirmed yet. |
| | Eastern Europe, the Caucasus and Central Asia (and beyond) | | | | | |
| | 5. Promotion of SEA in the “Silk Road countries” | Lead countries: Central-Asian Republics, China, Islamic Republic of Iran, Pakistan (tbc). | Workshop and training reports. | 2017–2020 | Costs: Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on the duration). | |
| | (a) Awareness-raising/training subregional event; | | Recommendations to the country on strengthening capacity, including amendments to legislation, procedures and institutional arrangements. | | National (2-day) trainings: \$20,000/training. | |
| | (b) national awareness-raising/training event; | To be carried out in cooperation with the Netherlands Commission for Environmental Assessment and with the support of the secretariat — subject to availability of project-funded staff (tbc), and a | | | Legislative assistance: \$25,000/country. | |
| | (c) legislative assistance, including assistance for legal drafting. | | | | Staff costs for project management: P-3, | |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|----------------------------|---|---|--------------------------------|----------------------|---|
| | | local counterpart/NGO for logistical arrangements. | | | \$15,000/month and G-4, \$8,500/month. Partial Dutch funding €50,000/year (tbc). |
| | 6. Subregional conference with training of trainers on the application of SEA to climate change mitigation [to be followed by national training sessions, and supplemented by preparation of guidance]. | To be hosted by a lead country and contributed to by all participants. Interest expressed by Armenia, Azerbaijan, Belarus, Georgia, Kazakhstan, the Republic of Moldova, the Russian Federation and Ukraine during the subregional conference held in Kakheti, Georgia, in November 2014. Could be extended to all Central Asian Republics (and beyond). To be carried out by external consultants, with support from a local counterpart and the secretariat. | Workshop and training reports. | | Costs: Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on the duration). Staff costs for project management: P-3, \$15,000/month and G-4, \$8,500/month. Requires donor funding. |
| | 7. Subregional training workshop on quality control of SEA documentation | To be hosted by a lead country and contributed to by all participants. Interest expressed by Armenia, Azerbaijan, Belarus, Georgia, Kazakhstan, the Republic of Moldova, the Russian Federation and Ukraine during the subregional conference held in Kakheti, Georgia, in November 2014. Could | Workshop and training reports. | | Costs: Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on the duration). Staff costs for project management: P-3, \$15,000/month and G-4, \$8,500/month. Requires donor funding. |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|----------------------------|--|--|---|----------------------|---|
| | | be extended to all Central Asian Republics (and beyond). | | | |
| | <p>8. Subregional capacity-building activities to promote public participation in decision-making on EIA and SEA, including to strengthen the capacities of national Aarhus Centres for Armenia, Azerbaijan and Georgia and the regional Aarhus Centres Network in the EIA and SEA processes, using the Good Practice Recommendations on Public Participation on SEA and the general guidance on enhancing systemic consistency:</p> <p>(a) Preparation of capacity-building materials on public participation in decision-making on environmental matters;</p> <p>(b) Multi-stakeholder national workshops in each country and one subregional workshop on challenges and opportunities for effective public participation in decision-making in various sectors and fields, as well as on communication and facilitation skills and techniques for stakeholder dialogue in the field of the environment and one regional</p> | <p>Lead/target countries: Countries in Eastern Europe and Central Asia, in cooperation with the Aarhus Convention.</p> | <p>Capacity-building materials.</p> <p>Workshop and training reports.</p> | (tbc) | <p>Requires donor funding. [The total costs of the project originally submitted for ENVSEC financing: \$600,000. Individual project elements could also be implemented separately].</p> |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|----------------------------|---|--|--------------------------------|----------------------|---------------------------------|
| | workshop on the role of civil society organizations in addressing environment and security issues; (c) Support Aarhus Centres in organizing and facilitating public hearings on draft legislation, development and investment projects, and policies and programmes. | | | | |
| | (South-East) Asia | | | | |
| | 9. Organization or contribution to a subregional awareness-raising/capacity - building workshop on transboundary EIA and SEA. | Lead/target countries: (China), Mongolia, Republic of Korea, the Russian Federation, Viet Nam, to be organized in collaboration with the Mekong River Commission and the Asian Development Bank (tbc). | Workshop and training reports. | (tbc) | Requires donor funding. |
| | Arctic subregion | | | | |
| | 10. Workshop/dedicated session on implementation of the Convention [and the Protocol] under the framework of the Arctic Council and its Working Group (tbc). | Possible lead/participating countries: Canada, Denmark/Greenland, Finland, Iceland, Norway, Russian Federation, Sweden and United States of America (tbc). | | 2017–2019 | Requires in-kind/donor funding. |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|--|---|--|---|----------------------|--|
| <p>III. Exchange of good practices</p> <p>Shared knowledge and experience in appropriate legislation for implementation of the Convention and the Protocol, leading to better national legislation and application.</p> <p>Improved implementation and application of the Convention and the Protocol by learning from Parties' experiences.</p> <p>Awareness-raising on the Convention, its amendments and its ratification.</p> | Workshops or half-day seminars within meetings of the Working Group on: | To be organized by a lead country/lead countries With support from the secretariat. | From each workshop or seminar, a brief, clear document presenting advice on the most important problems identified for the theme in question. | (tbc) | <p>Costs (e.g., costs for speakers, provision and translation of materials) to be covered in kind by lead countries, to the extent possible (approximately \$10,000 per seminar). (Travel costs for countries eligible for financial support and non-ECE countries should be covered from the budget.)</p> |
| | (a) Application to the Protocol on SEA to urban planning; | (a) Lead country/ countries or organization(s) to be identified. Possibly in cooperation with THE PEP, the ECE Housing and Land Management Unit, and the Local Governments for Sustainability (ICLEI) network; | Contributes to implementation of SDG target 11.3: "By 2030, enhance inclusive and sustainable urbanization and capacity for participatory, integrated and sustainable human settlement planning and management in all countries". | | |
| | (b) Application of the Protocol on SEA to climate change adaptation and mitigation; | (b) Lead country/ countries or organization(s) to be identified. With possible participation of the UNFCCC secretariat; | Contributes to implementation of SDG target 13.2 "Integrate climate change measures into national policies, strategies and planning". | | |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|---|--|--|--|----------------------|--|
| | (c) Improving intersectoral cooperation and institutional arrangements for the application of the Protocol on SEA and the Convention (e.g., for scoping, identification of indicators, incorporation of health impacts, and quality control of EIA and SEA reports); | (c) Lead country/ countries or organization(s) to be identified. With participation from WHO (tbc); | | | |
| | (d) Linkages with transboundary EIA and SEA with biodiversity assessment. | (d) Lead country/ countries or organization(s) to be identified. Possibly in cooperation with the Bern Convention on the Conservation of European Wildlife and Natural Habitats. | Contributes to implementation of SDG 15: “Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss”. | | |
| IV. Promoting ratification and application of the Protocol on SEA. | | Lead: secretariat in cooperation with the concerned countries and as specified below: | Ratifications and other outcomes specified below. | 2017–2020 | |
| Ratification, full legal implementation and practical application of the Protocol. Increased professional skills of officials, and increased awareness of the public, including NGOs, as well as of officials at all relevant administrative levels in | 1. Development of country and sector specific guidance for the assessment of potential health impacts of plans and programmes and for the involvement of health authorities, building on the chapter on health in the SEA Resource Manual. (Could be linked with a possible subregional workshop). | Undertaken by external consultant(s), with the support of the secretariat and WHO. | Guidance documents. Contributes to implementation of SDG target 3.9: “By 2030 substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination”. | (tbc) | Requires consultant(s) for drafting and funding for translation (\$10,000–\$20,000). |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|---|--|--|--|----------------------|---|
| <p>relation to SEA and to the application of the Protocol.</p> <p>Increased exchange of information on and experience in the application of the Protocol.</p> | 2. Development of good practice recommendations on the application of SEA to climate change mitigation. | Undertaken by external consultant(s), with the support of the secretariat, involving a survey to gather good practice. | <p>Guidance document.</p> <p>Contributes to implementation of SDG target 13.2: “Integrate climate change measures into national policies, strategies and planning”.</p> | | Requires consultant(s) for drafting and funding for translation of the survey and responses to it (approximately \$25,000). |
| | 3. Development of guidance on the application of the Protocol on SEA to urban planning. | Undertaken by external consultants, with support from the secretariat, possibly in cooperation with THE PEP, the ECE Housing and Land Management Unit, WHO and the Local Governments for Sustainability (ICLEI) network. | <p>Guidance document.</p> <p>Contributes to the implementation of SDG target 11.3: “By 2030, enhance inclusive and sustainable urbanization and capacity for participatory, integrated and sustainable human settlement planning and management in all countries”.</p> | | Requires consultant(s) for drafting and funding for translation (approximately \$25,000). |
| | 4. Translation into national languages of the video promoting the benefits of SEA and the Protocol. | To be carried out in cooperation with the target country, with support from an external consultant. | A video for dissemination within countries. | 2017–2020 | Requires donor funding (around \$3,000/language version) and in-kind contributions. |
| | 5. Workshops for national and local sectoral authorities as well as environmental and health authorities, including training, on the application of the Protocol for countries in the ECE region and beyond; | To be carried out in cooperation with the lead/target countries, e.g., Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine, with support | Increased awareness about SEA among key stakeholders with a view to promoting the adoption of the legislation (where relevant) and | 2017–2020 | Requires donor funding (\$10,000 for 2-day workshop) and in-kind contributions. |
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| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|----------------------------|---|---|---|----------------------|---|
| | including on the application of SEA to climate change adaptation and mitigation. | from an external consultant and the secretariat. | improving its application. | | |
| | 6. Pilot SEAs in selected countries, in selected sectors, involving the following activities: (a) 2-3 workshops for scoping and the analysis of the baseline, impact assessment; development of mitigation measures and development of recommendations; (b) 2-3 public consultation events; (c) Preparation of the SEA report and training on its quality control. | Lead/target countries and selected sectors (based on requests by the countries): (a) Armenia (urban development); (b) Georgia; (c) Republic of Moldova; (d) Russian Federation; (e) Kazakhstan; (f) Ukraine (waste management and energy sectors); (g) Tajikistan. In collaboration with the support of an external consultant, national experts and the secretariat, in collaboration with partner organizations, as relevant. | | | Requires funding (\$80,000–\$100,000/ pilot and staff costs of a project manager and support personnel P-3, \$15,000/month and G-4, \$8,500/month). |
| | 7. National guidance documents on the implementation of SEA. | Lead/target country to be identified. | A guidance document. | 2017–2018 | Requires funding |
| | 8. Preparation of fact sheets on application of the Protocol on SEA. | Lead: All Parties invited to propose fact sheets. (The Party preparing the fact sheet will be responsible for its layout.) | Fact sheets to be published on the Convention website by the secretariat. | 2017–2020 | In kind. |
| | 9. Preparation of two-page | Lead organization: IAIA, | Informal pamphlets on | Ongoing (tbc) | In kind (tbc). |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|--|---|---|-------------------------|----------------------|---|
| | informal pamphlets or “fasTips” on key issues in SEA practice (on topics to be suggested, e.g., SEA for water management plans; waste management sector, analytical methods used in SEA; monitoring). | with the support of WHO, SEA and health experts and the secretariat. | key issues | | |
| V. Opening of the Convention and the Protocol: promoting global outreach and partnerships. | | With lead countries/organizations to be identified; with support from key partners, external consultant(s) and the secretariat. | | 2017–2020 | Requires donor funding and in-kind contributions. |
| Raising awareness on the Convention and the Protocol outside the ECE region. Developing capacity in non-Parties and involving them in activities under the Convention and the Protocol. | 1. Development of a strategy for the implementation of the Convention and the Protocol at the global level for adoption by the Meetings of the Parties at their next session (2020), listing activities and identifying partnerships and funding mechanisms for their implementation. | Bureau and the Working Group with support from lead countries, partners, and the secretariat. | A strategy document | 2017–2020 | Requires in-kind contributions/ possibly external consultant to support drafting (\$10,000-20,000). |

| <i>Activity objectives</i> | <i>Method of work (sub-activities)</i> | <i>Organizational arrangements</i> | <i>Expected outcome</i> | <i>Time schedule</i> | <i>Budget</i> |
|--|---|--|-------------------------|----------------------|---|
| Promoting exchange of experience with other regions of the world. Supporting countries in their efforts to accede to the Convention and the Protocol. | 2. Development of a strategy for the contribution of the Convention and the Protocol to the achievement of relevant SDGs and targets, including their follow-up and review of activities under the High-level Political Forum on Sustainable Development. | Bureau and the Working Group, with support from lead countries, partners and the secretariat | A strategy document. | 2017–2020 | Requires in-kind contributions/ possibly external consultant to support drafting (\$10,000-20,000). |

Abbreviations: ENVSEC = Environment and Security Initiative; EU = European Union; NGOs = non-governmental organizations; IAIA = International Association for Impact Assessment; tbc = to be confirmed; THE PEP = Transport, Health and Environment Pan-European Programme; UNFCCC = United Nations Framework Convention on Climate Change; and WHO = World Health Organization.

^a The financing of the activities foreseen in the budget of the Convention and its Protocol for 2017–2020, to be set out in annex I to draft decision [VII/4–III/4], will be contingent on sufficient funds being made available by Parties through their voluntary contributions to the Convention trust fund.