



# **Progress report on assistance activities including selected evaluations of effectiveness**

**Agenda item 3 (d)**

Advance information session

11th meeting of the Conference of the Parties

**Geneva (online), 30 October 2020**



**UNECE**

# Presentation overview

- 1) Assistance and Cooperation Programme**
- 2) 3-steps approach of the evaluation of effectiveness of the Programme**
- 3) Progress report on assistance activities in 2019-2020**
  - Key outcomes and achievements of the activities carried out under the Assistance and Cooperation Programme during the biennium 2019–2020
  - Evaluations of the effectiveness of activities carried out under the Assistance and Cooperation Programme
- 4) Conclusions**



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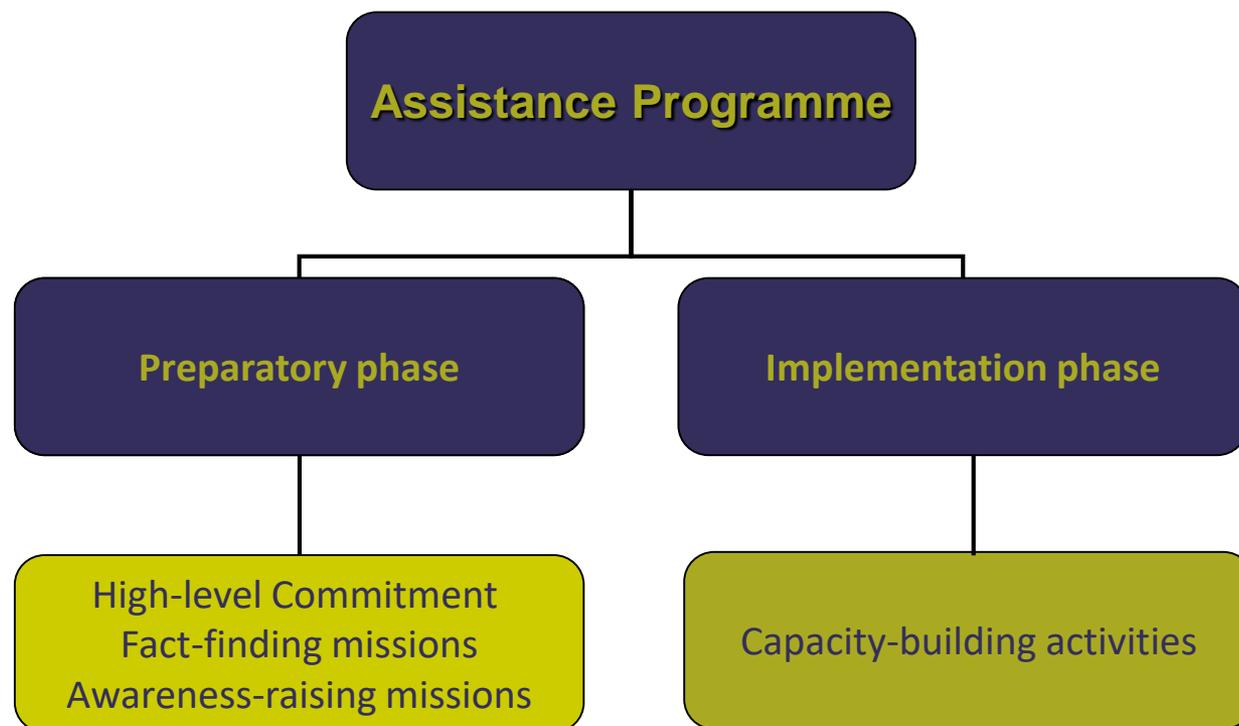
**Convention on the Transboundary  
Effects of Industrial Accidents**



**ASSISTANCE AND COOPERATION PROGRAMME**

# Assistance (and Cooperation) Programme

- Assistance Programme established in 2004
- **Aim:** To assist countries of South-Eastern and Eastern Europe, the Caucasus and Central Asia to address the challenges in implementing the Convention



# From Assistance to Cooperation



## The Eighth Conference of the Parties:

- (a) Recognized the progress achieved during the 10 years of the Assistance Programme, and the continuous need to provide further assistance to the countries in Eastern and South-Eastern Europe, the Caucasus and Central Asia;
- (b) Highlighted the need to enhance the exchange of experiences and good practices among Assistance Programme beneficiary countries;
- (c) Encouraged beneficiary countries to increase their ownership of the Programme, among others, through the preparation of self-assessment and action plans and the submission of project proposals;

## The Tenth Conference of the Parties:

- Decided to rename and rebrand the “Assistance Programme” into the “[Assistance and Cooperation Programme](#)” by adopting the revised Long-term strategy for the Convention until 2030

# Moving forward: Assistance and Cooperation Programme

- **Beneficiaries** to cooperate increasingly and support each-other, taking full ownership for activities carried out
- **Objectives:**
  - Enhanced information sharing at and across the sub-regional level among beneficiaries
  - Improved transboundary cooperation, through sub-regional projects and activities
  - Leading to enhanced governance, through national policy dialogues on industrial safety, involving all relevant stakeholders
  - Addressing safety of “hot spots”
- Implementing **multi-year, multi-country projects**

# 3-steps approach of the evaluation of UNECE effectiveness



# CoP-9 (Ljubljana, 2016)



❖ **Endorsed 3 steps** to improve the effectiveness of the Assistance Programme:

**Step 1:** The **WGI** should pursue in its next term (2017–2020) a **more rigorous approach to monitoring the AP, in accordance with its updated terms of reference**, to collect further information on and improve the implementation of the Convention by beneficiary countries

**Step 2:** The Bureau, in cooperation with the WGI, should request **high-level feedback from beneficiary countries** of AP with regard to their **progress made and specific needs for future assistance**. Based on this feedback and the conclusions and observations set out in the note on the effectiveness, results and options for the further development of the AP (ECE/CP.TEIA/2016/15), the **Bureau, in cooperation with the WGI, should consider the further development of the AP** with regard to its design and appeal for both beneficiary countries and donors, including the possibility to organize a high-level meeting in the framework of the next meeting of the Conference of the Parties

**Step 3:** Following the implementation of steps 1 and 2, the **Conference of the Parties could revisit the issue at its eleventh meeting in 2020.**

# CoP-10 (Geneva, 2018)



- ❖ Panel discussion involving donors, beneficiaries and partners, reflecting on achievements and impact in 2017-2018
- ❖ Welcomed the cooperation between experts from countries with economies in transition as part of the ongoing assistance activities, which it recommended as good practice, in view of the rebranding of the “Assistance Programme” into “**Assistance and Cooperation Programme,**” as per the long-term strategy until 2030
- ❖ Took note of **progress made** in implementing the Convention by the countries of Eastern and South-Eastern Europe, the Caucasus and Central Asia, supported by assistance activities while noting that there were **significant remaining assistance needs**, which require to be addressed, in particular in the areas of **inter-institutional coordination, tailings safety, alignment of legislation and strengthening transboundary cooperation**

# CoP-11 (Geneva, 2020)



- ❖ The Conference of the Parties will be invited to take note of the implementation of the three-step approach, and the ongoing evaluation of activities under the Assistance and Cooperation Programme, carried out by the secretariat
- ❖ The Conference of the Parties will also be invited to endorse the progress report on the implementation of assistance activities in 2019– 2020

# Progress report on assistance activities in 2019-2020



ECE/CP.TEIA/2020/7

Организация Объединенных Наций

ECE/CP.TEIA/2020/7



Экономический  
и Социальный Совет

Distr.: General  
25 September 2020  
Russian  
Original: English

Европейская экономическая комиссия  
Конференция Сторон Конвенции  
о трансграничном воздействии  
промышленных аварий

Однадцатое совещание  
Женева, 7-9 декабря 2020 года  
Пункт 10 предварительной повестки дня  
Помощь странам Кавказа, Центральной Азии  
и Восточной и Юго-Восточной Европы —  
Доклад о работе по оказанию помощи в 2019-2020 годах

Доклад об осуществлении деятельности по оказанию  
помощи в 2019-2020 годах

Записка секретариата

Резюме

Программа оказания помощи по Конвенции о трансграничном воздействии промышленных аварий, утвержденная на третьем совещании Конференции Сторон в 2004 году (ECE/CP.TEIA/12, пункт 39) и пересмотренная на десятом совещании Конференции Сторон Комиссии в 2018 году в Программу помощи и сотрудничества в соответствии с долгосрочной стратегией Конвенции на период до 2030 года (ECE/CP.TEIA/38/Add.1, пункт 25), направлена на активизацию усилий стран с переходной экономикой в регионе Европейской экономической комиссии Организации Объединенных Наций (ЕЭК ООН) по повышению уровня промышленной безопасности в этих странах посредством осуществления Конвенции. В настоящем документе представлены мероприятия по оказанию помощи, проведенные в период 2019-2020 годов, и их основные результаты.

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Просьба отправить на вторичную переработку



Nations Unies

ECE/CP.TEIA/2020/7



Conseil économique et social

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25 septembre 2020  
Français  
Original: anglais

Commission économique pour l'Europe  
Conférence des Parties à la Convention sur les effets  
transfrontières des accidents industriels

Onzième réunion  
Genève, 7-9 décembre 2020  
Point 10 de l'ordre du jour provisoire  
Aide aux pays du Caucase, de l'Asie centrale et de l'Europe  
de l'Est et du Sud-Est — rapport sur les activités  
d'assistance menées en 2019-2020

Rapport sur les activités d'assistance menées en 2019-2020

Note du secrétariat

Résumé

Le Programme d'aide relevant de la Convention sur les effets transfrontières des accidents industriels, créé à la troisième réunion de la Conférence des Parties en 2004 (ECE/CP.TEIA/12, par. 39) et renommé « Programme d'aide et de coopération » à la dixième réunion de la Conférence des Parties en 2018, conformément à la Stratégie à long terme pour la Convention jusqu'à 2030 (ECE/CP.TEIA/38/Add.1, par. 25), a pour objet d'intensifier les efforts déployés par les pays en transition de la région de la Commission économique pour l'Europe (CEE) afin d'améliorer leur niveau de sécurité industrielle, grâce à l'application de la Convention. Le présent document rend compte des activités d'assistance menées au cours de la période 2019-2020 et de leurs principaux résultats.

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United Nations

ECE/CP.TEIA/2020/7



Economic and Social Council

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25 September 2020  
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Economic Commission for Europe  
Conference of the Parties to the Convention on the  
Transboundary Effects of Industrial Accidents

Eleventh meeting  
Geneva, 7-9 December 2020  
Item 10 of the provisional agenda  
Assistance to countries in the Caucasus, Central Asia  
and Eastern and South-Eastern Europe — Progress report  
on assistance activities carried out in 2019-2020

Progress report on the implementation of assistance activities  
in 2019-2020

Note by the secretariat

Summary

The Convention on the Transboundary Effects of Industrial Accidents Programme, established at the third meeting of the Conference of the Parties in 2004 (ECE/CP.TEIA/12, para. 39) and rebranded as the Assistance and Cooperation Programme at the tenth meeting of the Conference of the Parties to the Convention in 2018, as per the Long-term strategy for the Convention until 2030 (ECE/CP.TEIA/38/Add.1, para. 25), seeks to enhance the efforts of countries with economies in transition in the United Nations Economic Commission for Europe (ECE) region to raise their levels of industrial safety, through implementation of the Convention. The present document presents the assistance activities carried out in the period 2019-2020 and their main outcomes.

GE.20-12522(E)



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Available in ENG, FR & RUS: <https://www.unece.org/index.php?id=53220>

# Progress report on assistance activities in 2019-2020



Document ECE/CP.TEIA/2020/7

• Available in ENG, FR, RUS : <http://www.unece.org/index.php?id=53220>

- I. Key outcomes and achievements of the activities carried out under the Assistance and Cooperation Programme during the biennium 2019–2020
- II. Evaluations of the effectiveness of activities carried out under the Assistance and Cooperation Programme
- III. Implementation of the Strategic Approach in 2019–2020
- IV. Key results and achievements of other assistance activities

# **Key outcomes and achievements of the activities carried out under the Assistance and Cooperation Programme during the biennium 2019–2020**



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## A. Project on strengthening industrial safety in Central Asia through the implementation of and accession to the Convention



- Implemented in the period March 2016–March 2019 with financial support from the Russian Federation
- Beneficiary countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan
- During 2017–2018 biennium - key implementation phase, with activities in all five beneficiary countries and a subregional workshop

**Implementation Guide  
Central Asia on the UNECE Convention on the  
Transboundary Effects of Industrial Accidents**

# In the current biennium

- Implementation Guide for Central Asia on the Convention on the Transboundary Effects of Industrial Accidents finalized and published in ENG, FR & RUS
  - <https://www.unece.org/index.php?id=52980>
- External evaluation of the Project finalized, management response and 3 progress reports submitted
  - <https://www.unece.org/info/open-unece/evaluation/evaluation-reports.html>



## B. Subregional workshops on land-use planning and industrial safety



- For Eastern Europe and the Caucasus, Chisinau, 22-24 May 2019
- For South-Eastern Europe, Belgrade, 18-20 March -> 21-23 October 2020 -> 27-29 October 2021

# Subregional Workshop on Land-use Planning and Industrial Safety for Eastern Europe and Caucasus

- Beneficiary countries : Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine
- Provide a platform to share experiences and good practices
- Discuss challenges and opportunities for improved coordination
- Improve the understanding and implementation of the UNECE instruments, frameworks and guidance
- Raise awareness of the linkages to Agenda 2030 on Sustainable Development and the Sendai Framework for Disaster Risk Reduction 2015 – 2030



# Workshop on land-use planning and industrial safety: key conclusions



- Positive step in improving coordination across disciplines
- There is a need to progress with the implementation of article 7 (and the related annexes V and VI) on siting and land-use planning
- UNECE was requested, in cooperation with partners, to provide further support on land-use planning and industrial safety under the Assistance and Cooperation Programme
- Representative of Kyrgyzstan expressed the need for a sub-regional workshop on land-use planning and industrial safety for countries of Central Asia and offered to host such an activity.
- Representative of Serbia confirmed hosting of a subregional workshop for countries of South Eastern Europe in Belgrade in spring 2020
- **Positive evaluations by the participants:**
  - 100% of respondents agreed that the workshop provided a platform for discussion and sharing of experiences (71% rated this as “very satisfactory” and 29% as “satisfactory”)
  - 97 % said the workshop improved their understanding of article 7 of the Convention (68% rated this as “very satisfactory” and 29% as “satisfactory”)

Full summary of evaluations is available online: <http://www.unece.org/index.php?id=52356>

# Subregional workshop on land-use planning and industrial safety for South-Eastern Europe, Belgrade, ~~18-20 March -> 21-23 October 2020~~ -> **27-29 October 2021**



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**Convention on the Transboundary Effects of Industrial Accidents**



ASSISTANCE AND COOPERATION PROGRAMME

**Beneficiaries:** Albania, Bosnia and Herzegovina, Montenegro, North Macedonia, Serbia

## **Main objectives:**

- Provide a platform to share experiences and good practices in coordinating land-use planning and industrial safety procedures
- Discuss challenges and opportunities for improved coordination
- Improve the understanding and implementation of the UNECE instruments, frameworks and guidance
- Raise awareness of the linkages to Agenda 2030 on Sustainable Development and its Sustainable Development Goals (SDGs) and the Sendai Framework for Disaster Risk Reduction 2015 – 2030 in the context of land-use planning and industrial safety

# Online Teaser Event: Sub-regional workshop on land-use planning and industrial safety for South-Eastern Europe, 21 October 2020

- Interactive online session
- Aimed at supporting the efforts of the countries of South-Eastern Europe in coordinating their land-use planning and industrial safety procedures, including in transboundary context, and inspiring them with examples from other countries
- Preparatory session for the upcoming Sub-regional workshop on land-use planning and industrial safety for South-Eastern Europe, which had to be postponed to 27-29 October 2021 (Belgrade) due to the ongoing pandemic
- International experts from France, Norway, Slovenia and other countries
- Participants from Albania, Bosnia and Herzegovina, Montenegro, North Macedonia and Serbia
- Organised and moderated by UNECE



21 October 2020,  
10:00-12:00 (CEST)

Online via Webex  
(details will be  
communicated by email)



# C. Technical mission to Ukraine to facilitate the process of accession to the Convention, ~~19-21 May 2020~~ → ~~6-8 October 2020~~ → 2021 (dates tbc)

## **Main Objectives:**

- ❖ Support Ukraine in its accession to the Convention
- ❖ Improve the understanding of the Industrial Accidents Convention and Strategic Approach, including the benchmarks with its indicators and criteria to measure progress
- ❖ Provide support in the elaboration and finalization of the national self-assessment and national action plan
- ❖ Exchange of experience between international and national experts
- ❖ Provide a forum for Ukraine to share its views in respect of the future of the Convention

## **Elements:**

- ❖ Bilateral meetings with relevant authorities
- ❖ High-level & international segment
- ❖ Technical/working level segment



# National Policy Dialogues (NPD) for Industrial Safety

- The need for NPDs for Industrial Safety has been expressed by countries of South-Eastern Europe, Eastern Europe, the Caucasus and Central Asia
- Part of the Convention's long-term strategy until 2030 and vision of the Assistance and Cooperation Programme
- Inspired by the successful model of NPDs on Integrated Water Resources Management and Water Supply and Sanitation implemented under the EU Water Initiative
- Will be implemented in cooperation with the Organisation for Economic Co-operation and Development (OECD)

# D. Launch of the National Policy Dialogue (NPD) for Industrial Safety in Serbia



- NPDs in Serbia **are in the process of being launched** thanks to the additional financial contribution by France for the implementation of LTS and efforts by the Serbian Ministry of Environmental Protection with support by the secretariat
- The **NPD Launch meeting** in Serbia was envisaged for 20 October 2020 but had to be postponed due to COVID-19 pandemic to 2021
- Serbia is being supported in the elaboration of the **Terms of Reference of the NPD Steering Committee** which are currently being finalized before being shared with all relevant authorities

It is currently planned that:

- This work will continue **in 2021**, including the organization and holding of the NPD launch meeting in Belgrade

# E. National Policy Dialogue (NPD) for Industrial Safety in Central Asia

- Project on National Policy Dialogues for Industrial Safety in Central Asia (Phase I: Launch) implemented thanks to financing by the Russian Federation in August 2020 - December 2021
- Project beneficiaries are all five Central Asian countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan



- Key project activities include:

- ✓ Outreach missions and consultations with governments of beneficiary countries with subsequent signature of agreement on the establishment of NPDs
- ✓ Advisory services on reviewing national policies and legislation, in view of alignment with the UNECE Industrial Accidents Convention and national legal requirements, and other related policy areas (including disaster risk reduction)

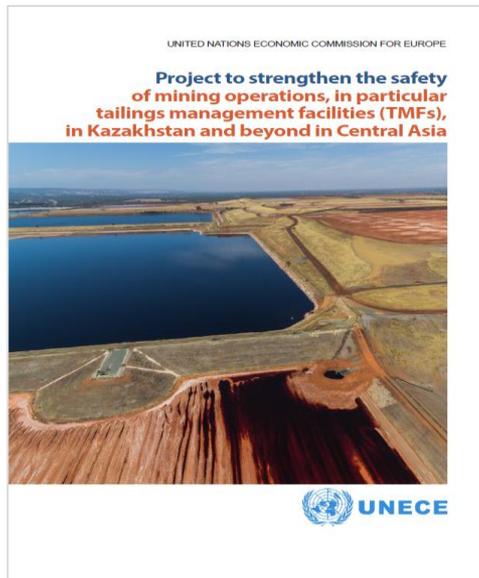
# F. UNECE Projects on mine tailings safety in Central Asia



**Donor:** Swiss Federal Office for the Environment

**Objective:** Strengthen mine tailings safety and management

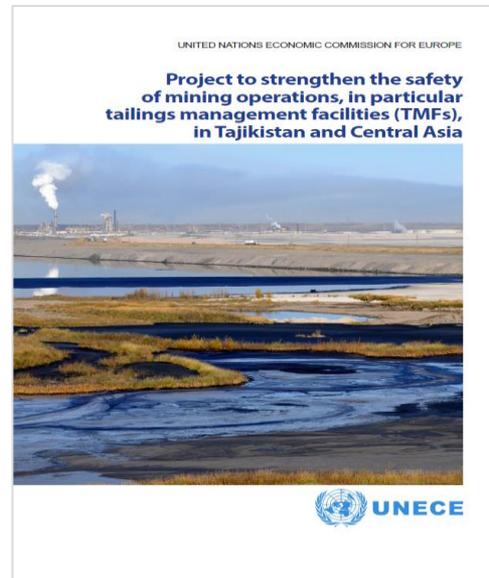
**Beneficiaries:** Competent authorities and operators in Central Asia



**Implemented 2018-2019**

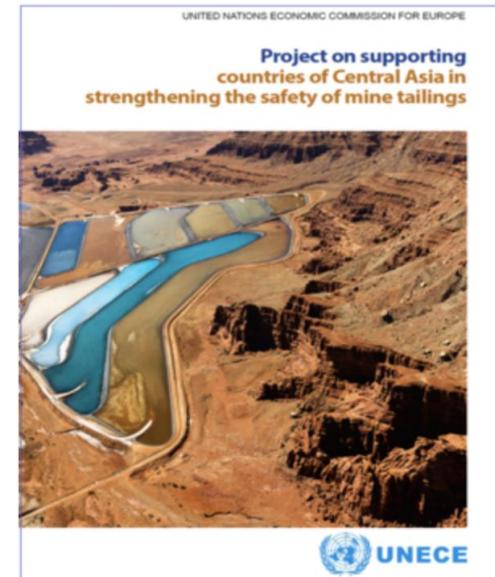
Subregional workshop for Central Asia (Almaty, **KAZ**, 20-21 Nov 2019)

Introduction workshop and on-site training session (Kokshetau, Kazakhstan, 11–13 June 2019)



**Ongoing (extended)**

Onsite training in **TAJ** (29 Sep-1 Oct 2020) postponed to 2021



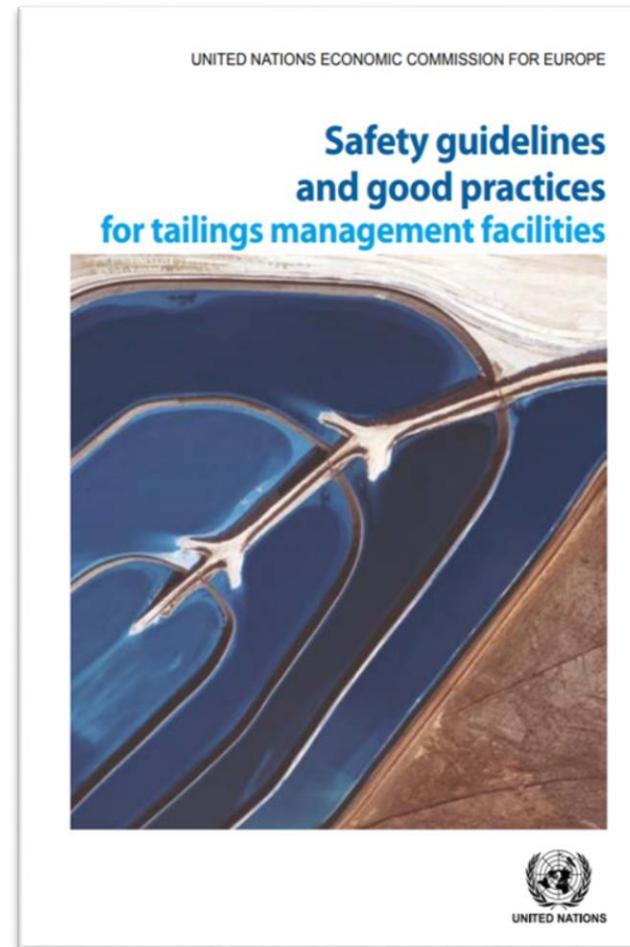
**Ongoing (2020-2021)**

Establishment of inter-institutional working groups on tailings safety in **KAZ** and in **TAJ** → nominations

Mapping of TMFs in **UZB**

## Other tailings activities in 2019-2020

- Consultation for Central Asia on a draft global industry standard on tailings management (Almaty, KAZ, 18-19 Nov 2019) – with the Global Tailings Review (ICMM, UNEP, PRI)
- Contributions to consultations on mineral resource governance and sustainable infrastructure in 2020
- Projects on tailings safety financed by the German Environment Agency in the Danube Basin (2019-20), Caucasus (2017-19) and KYR/KAZ (since 2020)
- Seminar on mine tailings safety in the UNECE region and beyond (online, 1 Dec 2020) – upcoming



# **Evaluations of the effectiveness of activities carried out under the Assistance and Cooperation Programme**



**UNECE**

## A. External evaluation of the Project on strengthening industrial safety in Central Asia through the implementation of and accession to the Convention implemented in 2016–2019



- Purpose: to assess the extent to which the Project achieved its intended objective: strengthening the implementation of and accession to the Industrial Accidents Convention in Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan
- Scope: the evaluation considered relevance, effectiveness and impact of the Project at the subregional level and in the beneficiary countries
- Key conclusions:
  - ❖ Project made a significant contribution to increasing understanding of key requirements of the Convention in Central Asia;
  - ❖ Project activities considerably enhanced capacities for assessment of industrial safety and development of national action plans for the implementation of and accession to the in all five countries of the Central Asia subregion

Full results of the evaluation, management response and progress report thereon are available on Open ECE: <https://www.unece.org/info/open-unece/evaluation/evaluation-reports.html>

## B. Continuous evaluation of assistance activities

- Regular practice to develop and distribute evaluation questionnaires, aiming to evaluate participants' perceptions of the:
  - organization of and practical arrangements for the activity
  - overall satisfaction with the activity;
  - the extent to which the activity's goals were achieved
- Evaluation summaries with satisfaction rates available on the Convention's web-page
- Results are taken into account in the design and implementation of the subsequent activities

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Materials for the Practical Exercise with a Role Play [ENG](#)  [RUS](#) , the Land Use Plans [ENG](#)  [RUS](#) , the Presentation by the Moderators [ENG](#) , the Practical Exercise Conclusions [ENG](#)  and the Evaluation Summary [ENG](#) 

# Conclusions



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**Convention on the Transboundary  
Effects of Industrial Accidents**



**ASSISTANCE AND COOPERATION PROGRAMME**

# Assistance and Cooperation Programme: reflections on the effectiveness



The Programme is being implemented in effective and efficient manner, as showcased, inter alia, by:

- ✓ selected external evaluations
- ✓ assistance activities' evaluation reports
- ✓ observations by Bureau, WGI, beneficiary and donor countries



Thank you for your  
attention!

Convention on the Transboundary  
Effects of Industrial Accidents

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<http://www.unece.org/env/teia>

