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Item 15 of the provisional agenda

Use of financial and in-kind resources in 2017–2018

Use of financial and in-kind resources to implement the workplan in 2017–2018

Report by the secretariat

Summary

The present report was prepared by the secretariat in response to the request of the Conference of the Parties to the Convention on the Transboundary Effects of Industrial Accidents at its ninth meeting (ECE/CP.TEIA/32, para. 87). It contains information on the use of financial and in-kind resources provided by Parties to the Convention for the period 2017–2018.

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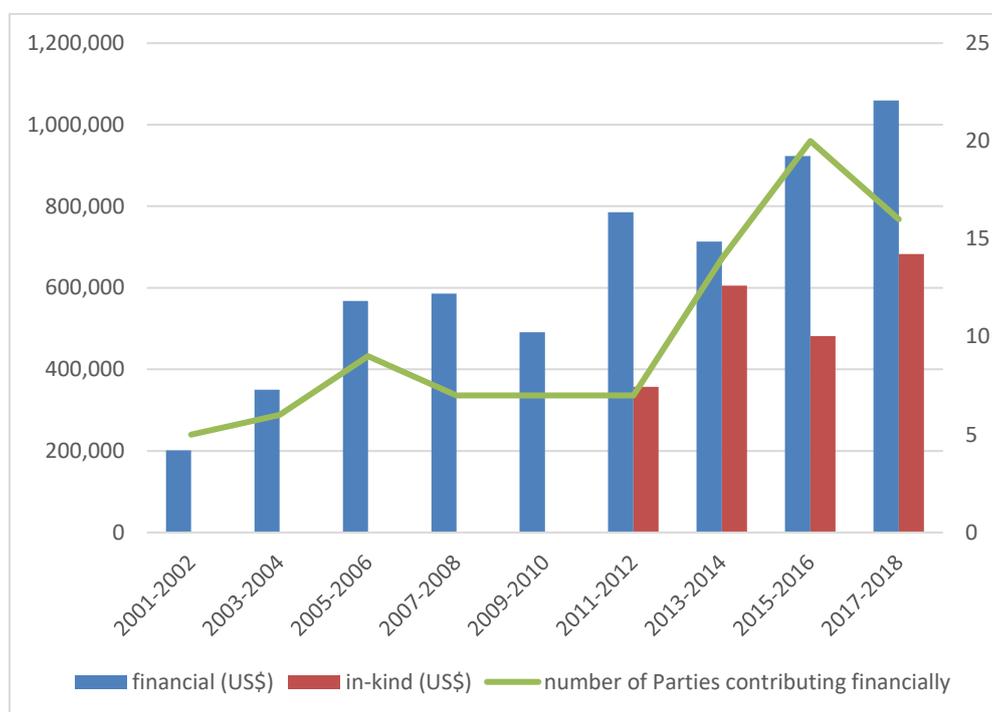
1. At its ninth meeting (Ljubljana, 28–30 November 2016), the Conference of the Parties to the Convention on the Transboundary Effects of Industrial Accidents (Industrial Accidents Convention) accepted the budget for the 2017–2018 workplan. It requested the secretariat to prepare a similar report, to the extent possible, on the use of resources for the 2017–2018 biennium for consideration at its tenth meeting (ECE/CP.TEIA/32, para. 87).
2. The present report responds to the above request and presents information on the use of financial resources and in-kind contributions under the Convention. It also lists all those Parties, other member States of the United Nations Economic Commission for Europe (ECE) and international organizations that have provided financial or in-kind contributions for the implementation of the Convention's activities in the period 2017–2018. All expenditure figures are based on the information that was available as at June 2018, as retrieved from the United Nations Umoja administrative system, and provisional estimates or projections thereafter.
3. During the biennium 2017–2018 a total of \$789,863 in financial contributions was made to the main Convention trust fund by Parties (see table 1).¹ This includes a contribution from Switzerland for the implementation of a project on strengthening the safety of mining operations, in particular tailings management facilities, in Kazakhstan and beyond in Central Asia in 2018, with further expenditures envisaged in 2019. With respect to the contribution from Belgium (Flemish Region) of \$36,179, an estimated \$25,287 has been used for the purpose intended and the remaining amount is being returned to the donor, as agreed. In addition to the contributions received to the main Convention trust fund, in 2016 the Russian Federation committed \$265,550 to a separate trust fund to carry out a project on strengthening the implementation of and accession to the Convention in Central Asia in the period 2016–2019. As most of the expenditure for the project occurred in the 2017–2018 biennium, the project income and expenditure are being reported to the tenth meeting of the Conference of the Parties. The total contributions made by Parties to both trust funds in the period 2017–2018 amount to \$1,059,255.² Additional contributions totalling \$91,638 were provided by Norway and Poland at the end of 2016 for the 2017–2018 biennium, thus bringing the total contributions provided for use during the workplan period 2017–2018 to \$1,150,893. The figure below illustrates the evolution of financial contributions over the past 18 years, since the entry into force of the Convention in 2000.

¹ In addition, the net interest and gain on currency exchange was \$3,754.

² Of which, as indicated above, an estimated \$10,892 will be returned to the government of Flanders (Belgium).

Biennial financial contributions (2001–2018) and in-kind contributions (2011–2018)

(in United States dollars)



Note: figures along the y axis on the left indicate the amount in United States dollars; figures along the y axis on the right indicate the number of Parties.

4. In-kind contributions from Parties and other stakeholders, by providing expertise or direct financing for the organization of different meetings and events under the Convention, were also provided during the biennium 2017–2018, totalling approximately \$683,250 in value (see table 1).³ During the biennium 2017–2018 in-kind contributions were received from the following Parties: Austria; Belarus; Belgium (Flemish Region); Czechia; European Union, including the European Investment Bank and the Joint Research Centre of the European Commission, among others; Finland; Germany; Hungary; Kyrgyzstan; Netherlands; Poland; Russian Federation; Slovenia; Sweden; Tajikistan; and United Kingdom of Great Britain and Northern Ireland. Similar in-kind contributions were made by the Central Asia Centre for Emergency Situations and Disaster Risk Reduction, the Commonwealth of Independent States Inter-State Council for Industrial Safety, the International Commission for the Protection of the Danube River, the Joint United Nations Environment Programme (UNEP)/United Nations Office for the Coordination of Humanitarian Affairs (OCHA) Environment Unit, the Organization for Economic Cooperation and Development (OECD) and the Organization for Security and Cooperation in Europe (OSCE). Cooperation during the biennium has been pursued with regional environmental centres. Representatives of academia, including the Technical University of Ostrava (Czechia) and National Technical University Dnipro Polytechnic (Ukraine), and non-governmental organizations (NGOs), such as Eco-Peace, have also contributed to the implementation of the workplan. The GCE Group (Russian Federation), a private company, also provided inputs, notably by the organization of a special session on the Industrial

³ It has not been possible to identify all in-kind contributions.

Accidents Convention during its annual meeting in 2017. The in-kind contributions provided during the biennium have, in addition to the financial contributions provided to the Convention's trust fund, substantially contributed to and enhanced the implementation of the programme of work.

5. In addition to the in-kind contributions listed above, elected and nominated members of subsidiary bodies have made significant contributions in meetings of the subsidiary bodies and by raising awareness of the Convention at various events. In addition to the regular meetings of the Bureau and the three small groups established under its auspices – the small group on risk assessment, the small group on communications and the small group on the long-term strategy until 2030 – the Working Group on Implementation, and the Joint Expert Group on Water and Industrial Accidents were active during the biennium.

6. Almost all of the countries in the subregions targeted benefited from subregional workshops carried out in the biennium for South-Eastern Europe; Eastern Europe and the Caucasus; and Central Asia. Furthermore, national activities under the Assistance Programme were carried out in Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Turkmenistan and Ukraine.

7. The cash balance of the main trust fund under the Convention as at December 2016, December 2017 and June 2018 and projections to end December 2018 are presented in table 2. The funding for the project on strengthening industrial safety in Central Asia has largely been spent for the organization of activities under the project; the remainder is expected to be spent for the completion of the activities, the external evaluation and final reporting, before the project formally ends in March 2019.

8. Table 3 presents a summary comparison of planned and actual expenditure. The details of expenditures per activity from both Convention trust funds, including a comparison between planned and actual expenditures, are contained in table 4. The expenditures are presented in terms of payments made directly from both trust funds⁴ and payments covered through in-kind contributions by Parties, with in-kind contributions translated into United States dollars.⁵ Further to the expenditures from the Convention trust funds until June 2018, tables 3 and 4 also detail projections on expenditures for activities that were either implemented or are expected to be implemented between July and December 2018. Minor differences between the totals presented in the tables are due to the use of estimated expenditures for the period July-December 2018, based on projections, and also the fact that the funds for some activities carried out in 2017 were already committed, and accounted for, in the previous biennium. Owing to the switch to the Umoja administrative system, as of the next workplan period 2019–2020 the financial information presented by the secretariat to the Conference of the Parties will comprise the aggregated expenditures per object class for each of the Convention's trust funds – that is, staff and personnel costs, contractual services, grants, travel, operating and other direct costs, and programme support costs. It will no longer be possible to provide a detailed listing for each separate activity.

⁴ The figures reflect the status of the trust funds as at 30 June 2018.

⁵ The following assumptions for costs reflected in United States dollars were made, in accordance with the indicative amounts for estimating the level of workplan resource requirements and for costing in-kind contributions adopted by the Conference of the Parties at its seventh meeting (ECE/CP.TEIA/24, annex I, appendix): (a) hosting a workshop: \$30,000; (b) hosting a joint meeting of the Bureau and Working Group on Implementation: \$7,500; (c) hosting a meeting of the Bureau or Working Group: \$5,000; (d) hosting a task force meeting: \$2,500; (e) expertise when supporting Assistance Programme activities: \$2,000; and (f) travel of experts: \$1,000.

Table 1
Financial contributions to Convention trust funds in the 2017–2018 biennium
(as at 16 October 2018)
(in United States dollars)

<i>Source</i>	<i>Financial contributions</i>	<i>Approximate value of main in-kind contributions</i>
Albania	816	—
Austria	13 348	8 500
Belarus	—	32 000
Belgium (Flemish Region) ^a	36 179	50 000
Bulgaria	4 200	—
Croatia	6 000	—
Czechia	25 520	6 000
Finland	—	15 000
France	95 703	—
Germany	68 567	324 000
Greece ^b	—	—
Hungary	—	46 500
Kyrgyzstan	—	2 500
Montenegro ^c	—	—
Netherlands	66 303	3 000
Norway ^d	103 470	—
Poland ^e	10 000	11 000
Russian Federation ^f	269 392	3 000
Serbia	3 000	—
Slovenia	9 662	17 700
Sweden	—	37 000
Switzerland	256 960	18 000
Tajikistan	—	2 000
United Kingdom of Great Britain and Northern Ireland	—	5 500
European Union, including the European Investment Bank	80 298	88 350
OSCE	—	13 200
Interest income for main Convention trust fund	9 839	—
Total	1 059 255	683 250

^a The government of Flanders, Belgium provided a total financial contribution of \$36,179. Approximately \$25,287 were spent on the purpose intended, to fund the travel for agreed participants from Eastern and South-Eastern Europe, experts, staff and a consultant from the Convention's trust fund. As agreed with the government of Flanders (Belgium), the remaining funds are being returned to the donor.

^b Financial contribution of €1,000 pledged, request for payment sent.

^c Financial contribution of \$500 pledged, request for payment sent.

^d Additional financial contributions of \$81,638 were provided by Norway in December 2016 for 2017.

^e An additional financial contribution of \$10,000 was provided by Poland in December 2016 for 2017.

^f Includes interest income of \$3,837.

Table 2

Balance of the Convention trust funds and projections (as at 30 June 2018)

(in United States dollars)

<i>Period</i>	<i>Total income^a</i>	<i>Total expenditures</i>	<i>Balance</i>
31 December 2016 (balance)	—	—	716 213 ^b
January–December 2017	366 715	276 113	806 815
January–June 2018	375 317	160 013	1 022 119
July–December 2018 (projections)	47 830 ^c	280 000	789 949
Total 2017–2018	789 862	716 126	

^a Total income, including contributions received and interest income in the respective calendar year.

^b Includes contributions of \$81,638 received from Norway and \$10,000 received from Poland in December 2016 for 2017.

^c Includes contributions received from Austria, Bulgaria, Croatia, the Netherlands and Serbia. In addition, requests for payment for contributions of \$500 from Montenegro, and €1,000 from Greece have been sent.

Table 3

Summary comparison of planned and actual expenditure

(in United States dollars)

<i>Area of work</i>	<i>Planned budget</i>	<i>Actual and projected expenditures^a</i>	<i>Estimated value of in-kind contributions^b</i>
I. Core activities of the Convention	955 000	136 593	339 700
II. Assistance activities of the Convention	1 155 000	186 700	343 550
III. Staff expenditures	1 311 500	659 192	—
Total (all activities and staff)	3 421 500	982 485	683 250

^a Includes expenditures from both the main Convention trust fund and the trust fund for the project on strengthening industrial safety in Central Asia for activities incurred during the period 1 January 2017–30 June 2018 and projected expenditures for the period 1 July–31 December 2018 (see table 4).

^b Includes in-kind contributions from Parties and other stakeholders, as listed in table 4.

9. A number of planned activities were not completed in the biennium. Regarding activities on the exchange of information, guidance on the implementation of the Convention for all Parties was not prepared as no financial resources for such guidance were made available. However, targeted guidance for the implementation of the Convention by countries of Central Asia was developed under the Assistance Programme, in the framework of the project on strengthening the implementation of and accession to the Convention in Central Asia. Furthermore, an online system for national implementation reporting was not developed, as no dedicated financial resources were available for this purpose. Regarding

other activities, the consultation for points of contact to review the effectiveness of the ECE Industrial Accidents Notification System was carried out online, again because of a lack of dedicated financing. Under the Assistance Programme, it was not possible to follow-up to the Danube Delta project to complete the joint contingency plan and support the drafting of a protocol between the Republic of Moldova, Romania and Ukraine, as no funding was provided for this purpose. Finally, the financing of an extrabudgetary General Service staff member was not possible because of a lack of funds.

10. The planned budget for the biennium was \$3,421,500, whereas the sum of actual and projected expenditures and in-kind contributions totalled \$1,665,735.

11. Income received during the biennium exceeded expenditures, resulting in a projected increased balance at the end of the present biennium of \$789,949 as compared with a balance of \$716,213 at the end of the previous biennium.

Table 4
Details of expenditures for 2017–2018

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
I. Core activities of the Convention						
Involvement of Parties and other stakeholders	Targeted communication (newsletters, press releases, leaflets, website, participation in forums)	15 000	The following communication activities were carried out:			
			Press releases, news articles and continuous website updates	—		
			Translation of various materials between ECE official languages	3 600		
			Layout, issuance and printing of <i>Guidance on Land-use Planning, the Siting of Hazardous Activities and Related Safety Aspects</i> (funded by the United Nations regular budget)	—		
			Development of video <i>From Sandoz to Sendai: From managing disasters to managing risks</i> , in cooperation with the United Nations Office for Disaster Risk Reduction (UNISDR)	—	Expertise provided by Belarus, Slovenia, Tajikistan, the International Commission for the Protection of the Danube River (ICPDR) and the private company Danube Logistics (Republic of Moldova)	6 000
			Development of video <i>The benefits of enhanced coordination and cooperation between land-use planning and industrial safety</i> , in cooperation with Zoï Environment Network and the secretariats to the ECE Committee on Housing and Land Management, the Convention on Environmental Impact	—	The European Investment Bank funded the development of the video by the NGO Zoï Environment Network	10 000 (projection)

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
			Assessment in a Transboundary Context (Espoo Convention) and its Protocol on Strategic Environmental Assessment (financing provided by the European Investment Bank)			
			Reprinting of publications, namely a brochure on the Industrial Accidents Convention, “Cross-border concerns, shared strategies”, and <i>Safety Guidelines and Good Industry Practices on Oil Terminals, Pipelines and Tailings Management Facilities</i> , and first printing of publications that were originally published electronically, i.e., <i>Benchmarks in the Implementation of the Convention (user-friendly version)</i> and <i>Convention on the Transboundary Effects of Industrial Accidents: A Summary in Cartoons</i>	—	In 2017 Sweden financed the layout and reprinting of publications and it will finance the initial printing of publications in 2018 in kind	20 000 (projection)
			Sending of publications and related expenditures	388		
	Working visits and high-level missions to Parties	9 000	Participation by the Bureau or the secretariat in the following meetings:			
			Working visit to the European Union in the framework of the Seveso Expert Group meeting, Brussels, 19 January 2017 (travel and subsistence for one secretariat staff member)	282		
			GCE Fifteenth Forum on Industrial Safety in Saint Petersburg, Russian Federation, 31 May 2017, comprising a special session on the Industrial Accidents Convention (residual expenses related to the participation of one secretariat staff; travel and accommodation covered by the organizers)	310	Provision of travel and expertise by Hungary, further to the funding of travel and accommodation by the GCE Group for a representative of academia from Ukraine and the secretariat	3 000

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
			Working visit to the European Union in the framework of the tenth meeting of the Community of Users of Safe and Resilient Societies, including the Major Accident Hazards Day, and the European Union Seveso Expert Group meeting in Brussels, 6–8 March 2018 (subsistence for one secretariat staff member, further to in-kind contribution provided by the European Union)	1 040	The European Commission covered travel and per diem for one secretariat staff member participating in the meeting on 6 March 2018	500
			Working visit to the European Union in Brussels, 18 May 2018, back to back with the workshop on land-use planning and industrial safety, 16–17 May 2018 (travel and subsistence for two secretariat staff members and the ECE Regional Adviser on Environment provided through the United Nations regular budget)	—		
			Working visit to Berlin and Dessau, Germany, 26–28 April 2018 (residual travel and subsistence for one secretariat staff member, further to funding by Germany)	314		
	Working visits to other stakeholders	6 000	Fifteenth meeting of the Commonwealth of Independent States Inter-State Council on Industrial Safety in Khujand, Tajikistan, 14–15 September 2017 (travel and subsistence for one secretariat staff member covered through the United Nations regular budget)	—		
			Sixteenth meeting of the Commonwealth of Independent States Inter-State Council on Industrial Safety in Tsaghkadzor, Armenia, 3–4 September 2018 (travel and subsistence for one secretariat staff member)	1 161		

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
			Presentation on the Convention at the annual European Investment Bank Development Financial Institutions/Export Credit Agencies (DFI/ECA) Health and Safety Working Group Meeting in Luxembourg, 24 September 2018 (travel and accommodation of consultant in follow-up to consultancy project that was funded by the European Investment Bank)	—		
Subtotal		30 000		7 095		39 500
Exchange of information	Exchange of experience and good practices among Parties, for example, regarding risk assessment methodologies <i>Lead/supporting countries:</i> to be determined	30 000	Implemented in the framework of the tenth meeting of the Conference of the Parties	—		
	Implementation guide for the Convention <i>Lead/supporting countries:</i> to be determined	45 000	Not implemented due to lack of financial contributions			
	Online system for national implementation reporting <i>Supporting body:</i> Working Group on Implementation	20 000	Not implemented due to lack of financial contributions			
	Follow-up to the development of guidance on safety and land-use planning: finalization of publication and a seminar to exchange experiences, possibly based on case studies	70 000	Workshop on land-use planning and industrial safety in Mechelen, Belgium, 16–17 May 2018 (travel and residual subsistence for participants from Eastern and South-Eastern Europe, the Caucasus and Central Asia, consultant and one secretariat staff funded by the government of Flanders (Belgium); travel of expert funded by the trust fund;	23 000	The government of Flanders (Belgium) hosted the meeting and funded the accommodation and meals for participants from Eastern and South-Eastern Europe, the Caucasus and	73 000

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
	<p><i>Supporting country/organization:</i> Belgium (Flemish Region) and the European Union/European Investment Bank, possibly in cooperation with the Espoo Convention, its Protocol on Strategic Environmental Assessment and the ECE Committee on Housing and Land Management</p>		additional travel of two secretariat staff funded by the United Nations regular budget)		Central Asia in kind; the European Investment Bank provided funding for a consultancy to organize the workshop and develop, in cooperation with the Zoi Environment Network, the video on the benefits of enhanced coordination and cooperation between land-use planning and industrial safety	
	<p>Development of safety guidelines and good practices for firefighting water retention under the Joint Expert Group on Water and Industrial Accidents</p> <p><i>Lead country:</i> Germany</p>	40 000	Development of safety guidelines and good industry practices for the management and retention of firefighting water by the expert group on firewater retention (see below under meetings of the Joint Expert Group on Water and Industrial Accidents)	—		
	<p>Enhancing knowledge on industrial safety at universities: improving and increasing knowledge among students and teachers on tailings safety, and a legislative review, in Ukraine (2017)</p> <p><i>Lead country:</i> Germany</p>	40 000	Round table within the project on raising knowledge on tailings safety at universities in Kyiv, 7 February 2017 (residual expenses for the travel of one secretariat staff member; travel and accommodation covered by Germany)	152	Germany financed the project in kind (approximate expenditures in 2017)	50 000
			Final workshop of the project on raising knowledge on tailings safety at universities, Dnipro, Ukraine, 18 May 2017 (residual expenses for the travel of	152		

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
			one secretariat staff member; travel and accommodation covered by Germany)			
	Multilateral activities to strengthen industrial accident preparedness and response, including a bilateral exercise between Armenia and Georgia	300 000 (estimate)	Implemented within the project on improving the safety of tailings management facilities in Armenia and Georgia in July 2018			
	<i>Lead/supporting countries:</i> to be determined					
	Two transboundary response exercises overseen by the Joint Expert Group on Water and Industrial Accidents: between Poland and Germany on the Oder River (4–5 September 2017); and between Hungary and its neighbours (first half of 2018)	200 000 (estimate)	Transboundary response exercise between Germany and Poland on the Oder River and international seminar on firewater retention in Slubice, Poland, 4–6 September 2017 (travel and subsistence for four experts from Eastern and South-Eastern Europe and Central Asia and one secretariat staff member, and grant for meeting room and catering services)	8 703	Germany financed the organization of the exercise in kind	30 000
	<i>Lead countries:</i> Germany and Hungary, together with the respective river commissions					
			Accidental water pollution exercise on the Danube River with possible transboundary effects in Százhalombatta, Hungary, on 2 October 2018 (travel and accommodation for consultant to the secretariat provided by Hungary)	—	Hungary hosted and financed the organization of the exercise in kind	30 000
Subtotal		745 000		32 007		183 000

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
Strategic partnerships	Cooperation with OECD on reducing the risk of natural hazards triggering technological accidents (Natech) (OECD workshop in Germany in 2018 in the framework of the Environmental Emergencies Forum; sharing of good practices)	5 000	United Nations/OECD Workshop on Natech risk management in Potsdam, Germany, 5–7 September 2018 (travel and subsistence for one secretariat staff member and one consultant)	2 500		
	<i>Supporting body:</i> Bureau					
	Meetings with partner organizations to coordinate joint activities, including inter-agency coordination meetings	10 000	Twenty-seventh meeting of the OECD Working Group on Chemical Accidents in Paris, 10–12 October 2017 (travel and subsistence for one secretariat staff member funded from the United Nations regular budget)	—		
			UNISDR Expert Meeting on the Global Risk Assessment Framework in Geneva, 20–21 November 2017	—		
			Inter-agency coordination meeting on industrial accidents in Cardiff, United Kingdom, 29 May 2018 (travel and subsistence for one secretariat staff member)	1 227		
			UNISDR Global Expert Consultation on the zero-draft global strategy for capacity development in support of the implementation of the Sendai Framework for Disaster Risk Reduction 2015–2030 in Geneva, 14–15 March 2018	—		
		UNISDR subregional platform on disaster risk reduction in Yerevan, 26–27 June 2018, including a presentation on the Convention during sessions on Natech and a statement on land-use	—			

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
			planning (travel and subsistence for the ECE Environment Division Director covered by the United Nations regular budget)			
			Twenty-eighth meeting of the OECD Working Group on Chemical Accidents in Paris, 23–25 October 2018 (travel and subsistence for one secretariat staff member funded from the United Nations regular budget)	—		
	Subtotal	15 000		3 727		
Financing	Donor meetings and bilateral visits <i>Supporting body:</i> Bureau	15 000	See above entries under “Working visits and high-level missions to Parties”	—		
	Subtotal	15 000		—		—
Review of the long-term strategy	Development of a long-term strategy to 2030, including on communication and the opening of the Convention to countries outside the ECE region <i>Supporting body:</i> Bureau	10 000	Long-term strategy and communication, outreach and engagement strategy developed by the Bureau, in cooperation with the secretariat			
	Subtotal	10 000				
Servicing intergovernmental bodies and expert groups	Tenth meeting of the Conference of the Parties	80 000	Tenth meeting of the Conference of the Parties in Geneva, 4–6 December 2018, including seminar on risk assessment methodologies (travel and subsistence for participants and experts from Eastern and South-Eastern Europe, the Caucasus and Central Asia provided by Germany, Norway, Slovenia and Switzerland)	50 000 (projection)	Austria and Slovenia will be hosting a reception during the tenth meeting of the Conference of the Parties	7 200

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
Meetings of the Bureau (including a joint meeting with the Working Group on Implementation)	20 000	The following meetings were held:				
		Thirty-fourth meeting of the Bureau in Geneva, 30–31 January 2017, and the thirty-fifth meeting of the Bureau, held jointly with the Working Group on Implementation in Geneva, 31 January 2017 (travel and subsistence for a Bureau member from South-Eastern Europe)	1 297			
		Thirty-sixth meeting of the Bureau in Warsaw, 4–5 July 2017 (travel and subsistence for one Bureau member from South-Eastern Europe and one secretariat staff member, further to a contribution from the regular budget for travel of one staff member)	2 280	Hosting of Bureau meeting by Poland	5 000	
		Meeting of the Bureau's small group on risk assessment in Bern, 29 September 2017 (travel of one secretariat staff member)	240			
		Thirty-seventh meeting of the Bureau in Hernstein, Austria, 30–31 January 2018 (travel and subsistence for one Bureau member from South-Eastern Europe and one secretariat staff member; travel for two further secretariat staff members from the regular budget)	1 933	Hosting of Bureau meeting by Austria	5 000	
Thirty-eighth meeting of the Bureau in Bern, 26–27 June 2018 (travel and subsistence for one secretariat staff member; travel for one further secretariat staff member from the regular budget), back to back with meeting of the	1 164	Hosting of Bureau meeting by Switzerland	5 000			

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
			Bureau's small group on financing, 25 June 2018			
			Thirty-ninth meeting of the Bureau in Geneva, 3 December 2018 (travel and subsistence for one Bureau member from South-Eastern Europe, also attending the tenth meeting of the Conference of the Parties, included in projected expenditures for the Conference of the Parties tenth meeting)	—		
	Meetings of the Working Group on Implementation	20 000	The following meetings were held:			
			Thirty-third meeting of the Working Group on Implementation in Geneva, 1 February 2017, and thirty-second meeting of the Working Group, jointly with the Bureau in Geneva, 31 January 2017 (travel and subsistence for two Working Group members from Eastern and South-Eastern Europe) ^a	3 585		
			Thirty-fourth meeting of the Working Group on Implementation, Stockholm, 26–27 September 2017 (travel and subsistence for two Working Group members from Eastern and South-Eastern Europe and one secretariat staff member)	3 148	Hosting of Working Group meeting by Sweden	5 000
			Thirty-fifth meeting of the Working Group on Implementation in Geneva, 20–21 February 2018 (travel and subsistence for one member from South-Eastern Europe; travel and subsistence for one Working Group member from Eastern Europe funded by Norway)	3 072		

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
			Thirty-sixth meeting of the Working Group on Implementation in Munich, Germany, 14–15 June 2018 (travel and subsistence for one member from South-Eastern Europe and travel and subsistence for one secretariat staff member; travel and subsistence for one Working Group member from Eastern Europe financed by Norway)	3 948	Hosting of Working Group meeting by Germany	5 000
	Meetings of the Joint Expert Group on Water and Industrial Accidents and the expert group on firewater retention	20 000	The following meetings were held:			
			Sixteenth meeting of the Joint Expert Group, Dnipro, Ukraine, 18 May 2017, back to back with the final workshop of the project on raising knowledge on tailings safety (travel and subsistence for one secretariat staff member financed by Germany, see expenses for the final workshop below)	—		
			First meeting of the expert group on firewater retention, Geneva, 17 January 2017	574	Travel and expertise: Czechia and Finland (one expert each); Germany (three experts); and Hungary, Poland, Sweden and Switzerland (one expert each), also with inputs by ICPDR	27 000
			Second meeting of the expert group on firewater retention, Budapest, 20–21 June 2017 (travel and subsistence for one secretariat staff member)	1 231	Hosting of an expert group meeting by Hungary. Provision of travel and expertise from Finland (one	14 500

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
					expert), Germany (two experts) and Sweden (one expert)	
			Seventeenth meeting of the Joint Expert Group and third meeting of the expert group on firewater retention, held jointly in Slubice, Poland, 6 September 2017, back to back with the transboundary response exercise and seminar on firewater retention (financing provided by Germany for travel and subsistence for one secretariat staff member and four experts in addition to meeting organization, see expenses for transboundary exercise above)	—	Provision of travel and expertise by Finland (one expert), Germany (three experts), Hungary, Poland, Sweden and Switzerland (one expert) and ICPDR (one expert)	24 000
			Fourth meeting of the expert group on firewater retention in Edinburgh, United Kingdom, 18 January 2018 (travel and subsistence for one secretariat staff member)	1 038	Hosting of the meeting by the United Kingdom. Provision of travel and expertise by Finland (one expert), Germany (two experts) Sweden and Switzerland (one expert each).	17 500
			Eighteenth meeting of the Joint Expert Group in Tsaghkadzor, Armenia, 7 September 2018, back to back with a training of trainers under the project on improving tailings safety in Armenia and Georgia (financed by Germany; travel and subsistence for four experts from Eastern and South-Eastern Europe and Central Asia)	4 540 (projection)	Germany funded the travel for one member of the Joint Expert Group from Central Asia and travel and accommodation for one secretariat staff member, as part of its overall funding of the project (see “Other assistance activities” below)	2 000

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
Subtotal		140 000		78 050		117 200
General programme management	Other secretariat activities, including human resources, financial and other management-related functions, administrative actions needed to ensure the functioning of the ECE industrial safety unit and reporting on substantive and administrative issues as part of the ECE secretariat.	—				
Subtotal		—				
Subtotal section I				120 879 (projection)		
Subtotal section I, including 13 per cent programme support costs		955 000		136 593 (projection)		339 700
II. Non-core activities, including assistance activities to support countries in the Caucasus, Central Asia and Eastern and South-Eastern Europe						
Assistance Programme ^b	Activities to support the implementation of the Strategic Approach through national and subregional expert and high-level meetings and support for the development of self-assessments and action plans (to be determined)	100 000 (estimate)	Joint UNEP/OCHA and ECE training of trainers on environment and emergencies and hazardous industrial activities in Almaty, Kazakhstan, 25–26 October 2017 (travel and subsistence for one secretariat staff covered by the UNEP/OCHA Joint Environment Unit)	—		
	<i>Lead/supporting countries:</i> to be determined, but in		High-level awareness-raising mission to Kyiv, 18 April 2018 (financing provided	8 811	Provision of travel and expertise for one expert	9 000

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	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
	cooperation with the Regional Environmental Centre for Central and Eastern Europe		by Germany; travel and subsistence for one secretariat staff member and one expert from Eastern Europe and grant to the United Nations Development Programme (UNDP) Ukraine for meeting organization)		each by European Commission, Germany and Slovenia	
			Awareness-raising workshop on the Industrial Accidents Convention for Kyrgyzstan and Uzbekistan in Geneva, 6 June 2018, organized in cooperation with OSCE	—		
	Project on strengthening implementation of and accession to the Convention in Central Asia, including national seminars in 2017–2018 (five countries), assistance with drafting self-assessments and action plans and a subregional workshop (Kyrgyzstan, 2018), with finalization envisaged in 2019		National expert group meeting for Kyrgyzstan in Bishkek, 16–18 May 2017 (financing provided by the Russian Federation; travel and subsistence for one secretariat staff member and three experts and grant to UNDP Kyrgyzstan for meeting organization)	6 436	Hosting of meeting by Kyrgyzstan	2 500
	<i>Lead/supporting countries:</i> Russian Federation, with possible support from others for national expert meetings		National expert group meeting for Kazakhstan in Borovoe, Kazakhstan, 20–22 June 2017 (funded by the Russian Federation; travel and subsistence for one secretariat staff member and two experts)	1 665	A grant for the organization of the meeting was provided in the framework of the ECE-led national policy dialogues for integrated water resources management under the European Union Water Initiative	9 850
			National expert group meeting for Turkmenistan in Ashgabat, 24–26 January 2018 (funded by the Russian	7 856		

<i>Area of work</i>	<i>Planned workplan activities</i>		<i>Implemented workplan activities (including also projections for activities between July–December 2018)</i>			
	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
		215 000	Federation; travel and subsistence for one secretariat staff member and two experts and grant to UNDP Turkmenistan for meeting organization)	10 736		
			National expert group meeting for Uzbekistan in Tashkent, 7–9 February 2018 (funded by the Russian Federation; travel and subsistence for one secretariat staff and two experts and grant to UNDP Uzbekistan for meeting organization)			
			National expert group meeting for Tajikistan in Dushanbe, 13–15 March 2018 (travel and subsistence for one secretariat staff member and two experts and grant to UNDP Tajikistan for meeting organization)	9 417		
			Subregional workshop for Central Asia, 25–26 September 2018 (funded by the Russian Federation; travel and subsistence for one secretariat staff and two experts and grant to UNDP Kazakhstan for meeting organization)	34 434 (projection)	The meeting room was provided by the Central Asia Centre for Emergency Situations and Disaster Risk Reduction; OSCE funded the travel of 11 representatives from Central Asia; Hungary provided expertise (one expert) and the Russian Federation and Slovenia provided travel and expertise (one expert each).	21 200
			Project Evaluation	6 000 (projection)		

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	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
			Preparation of a guide on key requirements of the Convention for Central Asia	15 000 (projection)		
	Follow-up to Danube Delta project to complete joint contingency plan and support the drafting of a protocol among the three concerned countries	200 000 (estimate)	Not implemented due to lack of financing	—		
	<i>Lead/supporting countries:</i> to be determined					
	Two advisory missions	20 000	Not implemented as a separate activity	—		
	Activity to build capacity for the implementation of amended annex I to the Convention in countries of South-Eastern Europe through a subregional workshop on chemicals management and the identification and notification of hazardous activities, in cooperation with partners, including the ECE Transport Division, as the custodian of the United Nations Globally Harmonized System of Classification and Labelling of Chemicals (Zagreb, February 2017)	100 000	Subregional workshop for South-Eastern Europe on industrial accidents prevention in Zagreb, 21–23 February 2017 (financing provided by Norway and the European Union through the Technical Assistance and Information Exchange Instrument of the European Commission (TAIEX); travel and subsistence for three secretariat staff members)	3 352	The European Union funded the organization of the workshop through the TAIEX programme; provision of travel and expertise by the European Union, the Netherlands, Slovenia and the United Kingdom (one expert each) and expertise by Switzerland (one expert)	44 000
	<i>Supporting organization:</i> the Technical Assistance and Information Exchange instrument of the European Union (TAIEX)					

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
	and in cooperation with the Regional Environmental Centre for Central and Eastern Europe					
	Activity to build capacity for the implementation of amended annex I in countries of Eastern Europe and the Caucasus, through a subregional workshop on chemicals management and the identification and notification of hazardous activities, in cooperation with partners, including the ECE Transport Division, as the custodian of the United Nations Globally Harmonized System of Classification and Labelling of Chemicals (Minsk, April–May 2017)	100 000	Subregional workshop for the Caucasus and Eastern Europe on industrial accidents prevention in Minsk, 11–13 April 2017 (financing provided by Norway and Germany; travel and subsistence for three secretariat staff members and one expert and grant to UNDP Belarus for organization of the workshop)	44 316	Belarus hosted the workshop. Provision of travel and expertise by the European Union (three experts), Hungary and Slovenia (one expert each); and provision of expertise by Switzerland (one expert)	47 000
	<i>Lead/supporting countries:</i> Belarus, with financial support from Germany and Norway					
Other assistance activities	Activities to address risk management at tailings management facilities in Georgia (2017)	200 000	Training of trainers under the project on improving tailings safety in Armenia and Georgia in Tsaghkadzor, Armenia, 4–6 September 2018 (financing provided by Germany; residual travel and subsistence for one secretariat staff member)	314 (projection)	Germany finances the project on improving tailings safety in Armenia and Georgia through a direct in-kind contribution	200 000
	<i>Lead country:</i> Germany					

Area of work	Planned workplan activities		Implemented workplan activities (including also projections for activities between July–December 2018)			
	Planned activities	Planned budget	Implemented activities (nature of expenditure)	Expenditures	In-kind contributions received	Estimated value, in-kind contributions
	Activities to address risk management at tailings management facilities in specific countries in the Caucasus, Central Asia or Eastern Europe	200 000 (estimate)	Inception workshop under the ECE project to strengthen the safety of mining operations, in particular tailings management facilities, in Kazakhstan and beyond in Central Asia in Astana, 7–8 November 2018 (financing provided by Switzerland; travel and subsistence for one secretariat staff member and grant to Water Initiative Centre for organization of the workshop) ^b	16 884 (projection)	Provision of travel and expertise from Czechia, Germany and Finland (one expert each); Germany will finance the travel and accommodation for a representative of the NGO Eco-Peace	10 000
	<i>Lead country:</i> to be determined					
Awareness-raising in and outreach to other regions	Promotion and raising awareness of the Convention including beyond the ECE region (production of information materials and establishment of a network of contacts)	10 000	Outreach, in cooperation with partner organizations	—		
	<i>Supporting bodies/ organizations:</i> ECE and possibly other regional commissions and lead countries					
ECE Industrial Accident Notification System	Consultation for Points of Contact to review the effectiveness of the ECE Industrial Accident Notification System and discuss preparedness and response for disaster risk reduction (possibly face to face, which would imply higher costs of approximately \$40,000)	10 000	To be implemented electronically in November 2018	—		

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	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
	<i>Lead country/ organization: to be determined</i>					
Subtotal, section II				165 222 (projection)		
Subtotal, section II with 13 per cent programme support costs		1 155 00		186 700 (projection)		343 550
Total activities (sections I+II with 13 per cent programme support costs)		2 110 000		323 293 (projection)		
III. Staff expenditures						
	Extrabudgetary, Professional staff (42 work months)		Environmental Affairs Officer (January–December 2017, January–December 2018)	292 643 (projection)		
			Environmental Affairs Officer (January–December 2017, January–December 2018, of which on special leave without pay April–August 2018)	227 213 (projection)		
			Consultants to support secretariat (March–December 2018 and April–June 2018; in addition to consultancy November–December 2017 and September 2018 funded by the United Nations regular budget)	63 400 (projection)		
	Extrabudgetary, General Service staff (11 work months)	140 000	No funds available	—		

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	<i>Planned activities</i>	<i>Planned budget</i>	<i>Implemented activities (nature of expenditure)</i>	<i>Expenditures</i>	<i>In-kind contributions received</i>	<i>Estimated value, in-kind contributions</i>
				583 356 (projection)		—
	Subtotal , section III			659 192 (projection)		—
	Subtotal , section III with 13 per cent programme support costs			982 485 (projection)		683 250
	Total (sections I, II and III, all activities and staff, with 13 per cent programme support costs)					

^a The majority of the cost of travel and subsistence for the participation of two members in the Working Group meeting was already committed and accounted for in 2016.

^b The activities under the project to strengthen the safety of mining operations, in particular tailings management facilities, in Kazakhstan and beyond in Central Asia are taking place under the Assistance Programme in the period 2018–2019.]