



## Economic and Social Council

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### Economic Commission for Europe

Meeting of the Parties to the Convention  
on Environmental Impact Assessment  
in a Transboundary Context

#### Seventh session

Meeting of the Parties to the Convention  
on Environmental Impact Assessment in  
a Transboundary Context serving as the  
Meeting of the Parties to the Protocol on  
Strategic Environmental Assessment

#### Third session

Geneva, 13–16 June 2017

Items 3 (a) (ii) and 10 (a) of the provisional agenda

**Outstanding issues: draft joint decisions: draft decision on the  
budget, financial arrangements and financial assistance**

**Adoption of decisions: decisions to be taken jointly**

### **Report on the budget and financial arrangements in the period since the sixth session of the Meeting of the Parties to the Convention**

#### **Note by the secretariat**

##### *Summary*

The Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context and the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol on Strategic Environmental Assessment (Meeting of the Parties to the Protocol) requested the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next sessions of the Meetings of the Parties, based on the information contained in the biannual reports and giving a clear indication of the significant developments during the

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period in order that Parties can best meet future demands for resources under the Convention and its Protocol (ECE/MP.EIA/20/Add.3-ECE/MP.EIA/SEA/4/Add.3, para.17).

This report covers the period from after the sixth and second sessions of the Meetings of the Parties to the Convention and the Protocol, respectively, in June 2014, to mid-March 2017, and includes estimated expenditure up to and including the seventh and third sessions of the Meetings of the Parties. The trust fund balance was positive at the end of the period.

The Meetings of the Parties are invited to consider the information and take it into account in their deliberations on budgetary matters.

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## I. Introduction

1. This report sets out income, in-kind contributions and expenditure regarding the Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention) and its Protocol on Strategic Environmental Assessment (Protocol on SEA) from the sixth session of the Meeting of the Parties to the Convention and the second session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol (Meeting of the Parties to the Protocol), (Geneva, 2–5 June 2014), to mid-March 2017. The report also includes estimated expenditure up to and including the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol (Minsk, 13–16 June 2017), and the balance in the Convention's trust fund at the end of the period. It also identifies savings and overspend. Details of income and expenditure are provided in the annex to this report.

## II. Pledges and fundraising

2. The pledges made at the sixth session of the Meeting of the Parties to the Convention and the second session of the Meeting of the Parties to the Protocol were insufficient to cover the budget of the Convention and the Protocol for the period 2014–2017 agreed by the Meetings of the Parties at these same sessions,<sup>1</sup> representing only less than 50 per cent of that budget. The Parties were therefore invited to come forward with further contributions, noting also that the Meetings of the Parties had: (a) urged all Parties to contribute to ensuring sustainable funding of activities and an equitable and proportionate sharing of the financial burden among the Parties and Signatories; and (b) strongly urged Parties that had so far only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.<sup>2</sup>

3. At the request of the Bureau, the Executive Secretary of the United Nations Economic Commission for Europe (ECE) wrote to the environment and foreign ministers of a number of Parties in March 2015 for this purpose. Based on the financial reports regularly presented by the secretariat during the intersessional period, the Bureau and the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment also acknowledged the continuing insufficiency and unpredictability of funding to fulfil the workplan and the expected functions of the secretariat, and the dependency on a limited number of main donors.

4. A number of Parties made unpledged contributions or made contributions beyond their pledged amounts in the reporting period. In particular, Norway contributed considerably more than its initial pledge (with a total contribution of \$275,712). Unpledged contributions included those from Albania, Armenia, Belgium (Flanders), Cyprus, Greece, Hungary, Italy, Latvia, Lithuania, Serbia and Sweden.

5. Important currency fluctuations during the reporting period led to considerable budget losses that had mainly affected large contributions.

6. It is expected that nearly all Parties will have fulfilled their pledges for the intersessional period. Owing to the currency fluctuations, however, several contributions paid in national currencies amounted to less than initially expected in United States dollars. At the time of writing, in mid-March 2017, outstanding pledged contributions from the

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<sup>1</sup> See ECE/MP.EIA/20/Add.3–ECE/MP.EIA/SEA/4/Add.3, decision VI/4–II/4.

<sup>2</sup> Decision VI/4–II/4 (paras. 6 and 7)

European Commission, Finland, Romania and Slovenia for the reporting period were still under way, and their estimated amounts recorded in the report.

### III. Income and expenditure

7. Table 1 summarizes the income and expenditure in United States dollars. Parties made financial contributions to the Convention's trust fund (annex, tables A.1 and A.2).

8. Activities under the workplan<sup>3</sup> were assigned priorities 1 or 2 in the budget of the Convention and the Protocol. According to decision VI/4–II/4 (para. 9), Parties agreed that the total of 1,100 shares (of US\$ 1,000 each) budgeted to cover activities not covered by United Nations regular budget would be divided as follows: 565 shares for the core (priority 1) requirements and 535 shares for the remaining non-core (priority 2) requirements. Any activities not explicitly listed in the workplan or not expected to be covered by the budget of 1,100 shares were considered priority 3. Pledged and non-earmarked contributions were only to be used to fund activities with priorities 1 or 2.

9. In the reporting period, in addition to their annual contributions, a number of Parties made extra-ordinary contributions earmarking them, partially or entirely, as follows:

(a) In the framework of the second grant from Norway to the five ECE Multilateral Environmental Agreements for the promotion of environmental protection and cooperation in the ECE region that covered the period 2015–2017, Norway contributed funds to the Espoo Convention that were partly earmarked for the costs of an administrative staff member at 50 per cent basis and for financial support for the travel of eligible meeting participants to official meetings;

(b) Switzerland made funds available for legislative assistance to Kyrgyzstan (CHF 25,000 or some \$27,840) and for the further development and update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asian Countries (CHF 35,000 or some \$34,560) that were paid for the most part during the period. It also covered the translation costs of an informal document for the sixth meeting of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment (Geneva, 7–10 November 2016);

(c) Austria and Germany provided an additional contribution (of \$5,000 each) earmarked for the development of Good practice recommendations on the application of the Convention to nuclear energy-related activities. The European Commission contributed funds for the same purpose from the Greening the Economies in the European Union's Eastern Neighbourhood (EaP GREEN) Programme (see also paragraph 18 below), of approximately \$10,000.

10. Three activities with earmarked funding were not completed within the previous intersessional periods and were therefore carried forward, together with their budgeted funds: a subregional cooperation workshop for the Mediterranean Sea (largely \$20,000); technical advice to the Russian Federation (contribution of \$28,469 from Sweden); and technical advice to Uzbekistan (\$28,474 from Switzerland). In absence of any response from Uzbekistan on carrying out a legislative review on EIA with Switzerland's support, at Switzerland's proposal, the Working Group agreed in May 2015 to reallocate those funds to activities on EIA in Kyrgyzstan and Kazakhstan.

11. The income, together with the funds for the carried-over activities (and including the contributions still on the way), totalled \$1,030,011. Outstanding pledged contributions for

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<sup>3</sup> ECE/MP.EIA/20/Add.3–ECE/MP.EIA/SEA/4/Add.3, decision VI/4–II/4.

the intersessional period total \$92,515. However, nearly all of that outstanding amount corresponds to the budget losses due to the currency fluctuations since December 2014, and will not be expected to be paid to the trust fund.

**Table 1**  
**Income and expenditure**

(in United States dollars)

	<i>Amount by priority</i>			<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	
(a) Contributions made to the trust fund, with non-earmarked contributions being allocated first to priority 1 activities (from tables A.1 and A.2)	726 584	91 309	135 176	<b>953 068</b>
(b) Funds for carried-over activities (see para. 8)	—	76 943	—	<b>76 943</b>
(c) Total income to the trust fund in the period ((a)+(b))	715 980	203 120	150 799	<b>1 030 011</b>
(d) Expenditure from the Espoo Trust Fund for budgeted priority 1 and 2 workplan activities (from table A.3) and unbudgeted priority 3 activities (from table A.4)	493 928	242 083	172 629	<b>908 595</b>
(e) 13% United Nations programme support costs	64 211	31 465	22 442	<b>118 117</b>
(f) Trust fund balance: income less expenditure in the period ((c)-(d)-(e))	168 445	-105 251	59 895	<b>3 299</b>
(g) In-kind contributions made, valued according to the budgeted activity cost (from table A.7)	—	—	60 000	<b>60 000</b>

12. The secretariat spent from the trust fund in accordance with the budget (table A.3, with further details in tables A.5 and A.6). The funds were spent on the two priority-1 activities, namely on an external expert to provide secretariat support and on drafting of the reviews of implementation of the Convention and the Protocol. Funds were also spent on priority-2 activities in the following areas:

- (a) The seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol;
- (b) Eight sessions of the Implementation Committee;
- (c) Three free-standing meetings of the Bureau (with a fourth meeting expected to be held back-to-back to the sessions of the Meetings of the Parties in June 2017 without additional costs);
- (d) A meeting of the chairs of the bureaux of the ECE conventions and the Committee on Environmental Policy;
- (e) Three meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment;
- (f) Informal translation of informal papers for the above meetings;
- (g) Secretariat travel in relation to the workplan;

13. In addition, the secretariat spent from the trust fund further to the earmarked contributions by:

(a) Austria, the European Commission and Germany to develop good practice recommendations on the application of the Convention to nuclear energy-related activities by a consultant;

(b) Norway, to fund a project assistant at 50 per cent basis to support the secretariat. (No budget was foreseen for this expenditure);

(c) Switzerland, to support the effective implementation of the Convention by Kyrgyzstan; to translate an informal document for the sixth meeting of the Working Group; and to update and develop Guidelines on EIA in a Transboundary Context for Central Asian Countries (ongoing).

14. The secretariat also completed two activities using the carried-over funds earmarked for:

(a) Organizing a subregional cooperation workshop for the Mediterranean Sea (Morocco, 14–15 April 2015). The workshop was held at lesser cost, with savings of some \$10,700;

(b) Providing technical advice to the Russian Federation with funding from Sweden. The costs of the activity exceeded the budgeted amount by \$880.

15. The expenditure totalled \$908,595 to which was added 13 per cent United Nations programme support costs of \$118,117, leaving a balance in the trust fund of \$3,299.

16. Parties and partner organizations also undertook or financed the following activities in the following areas by providing in-kind contributions (table A.7):

(a) Subregional cooperation meetings in Germany, Latvia and Lithuania for the countries of the Baltic Sea area;

(b) Preparation of guidance on land-use planning, the siting of hazardous activities and related safety aspects by consultants with funding from the European Investment Bank, (valued at EUR 35,088);

(c) Informal translation by Canada of the responses provided in French to the questionnaire on the implementation of the Convention and the Protocol in the period 2013–2015;

(d) Seminar on post-project analysis co-organized by Belarus and the secretariat during the fourth meeting of the Working Group (Geneva, 26–28 May 2015);

(e) Workshop on the global application of the Convention and the Protocol held during the sixth meeting of the Working Group with funding from the European Investment Bank;

(f) Brainstorming session on the future of the Convention and the Protocol at the sixth meeting of the Working Group (Geneva, 7–10 November 2016) organized by Austria, Finland and the Netherlands.

17. The European Investment Bank also reserved funds to cover in-kind the preparation of guidance on the implementation of the Convention (drawing on opinions of the Implementation Committee), valued at \$10,000. However, due to the cancellation of this activity, the funds were not spent.

18. The funding from the European Union under the EaP GREEN Programme (totalling, for 2013–2016, approximately €2 million, and, for 2017, some additional €163,000) allowed the secretariat to ensure the implementation of numerous activities foreseen or

related to the workplan in Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine<sup>4</sup>. That funding covered also additional staff to support the secretariat in the planning and managing of the above activities, as follows: one full time external expert from 2013 to November 2016, and, as of then and until the end of 2017, a full time consultant; as well as an administrative support staff at 50 per cent basis between April 2013 to March 2015, and from May to December 2017. Between April 2015 and May 2017, the funds were used to fund a part-time consultant. As the secretariat is to report separately on the use of these funds under the EaP GREEN Programme, these expenditures are not included in the present report. The secretariat also informed Parties about the implementation of the activities throughout the intersessional period at the meetings of the Working Group and the Bureau, and, as needed, it provided progress reports to the Implementation Committee.

19. The secretariat also raised funds from the European Union for technical assistance on SEA to Kazakhstan for the period 2016–2018 (of approximately \$200,000).<sup>5</sup>

#### IV. Overspending and savings

20. The secretariat did not spend on a number of budgeted priority-2 activities during the period in absence of sufficient funds and owing to the need for it to first ensure that the received contributions covered priority 1 budget items and the financial support to participants to the meetings under the Convention and the Protocol (see para 12 (a)–(e) above). In addition to the savings from the cancelled activities, other savings, at or exceeding \$10,000 per budget line, were realized, as follows:

(a) Expenditure for an external expert to provide secretariat support, owing notably to the fact that during the reporting period the post of the external expert was vacant for several months in the second half of 2014 (saving some \$66,000)<sup>6</sup>;

(b) The subregional cooperation workshop in Morocco was organized in April 2015 at lesser cost, with savings of some \$10,700 (see para. 14 above);

(c) The fourth self-standing meeting of the Bureau was organized back to back to the June 2017 sessions of the Meeting of the Parties without costs;

(d) The secretariat limited its own travel to a minimum (saving \$55,215);

(e) The budget line for the promotion of contacts with countries outside the ECE region was not used. With in-kind contribution from the European Investment Bank, the secretariat could co-organize the workshop on global application of the Convention and the Protocol (saving/unspent amount \$25,000);

(f) During the intersessional period, the Implementation Committee did not recommend the conduct of country-specific performance reviews to assist Parties to strengthen their implementation of and compliance with the Convention and the Protocol (savings for the cancelled activity of \$50,000);

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<sup>4</sup> For more information, see the summary report on technical assistance and capacity building activities, ECE/MP.EIA/2017/5-ECE/MP.EIA/SEA/2017/5.

<sup>5</sup> “Supporting Kazakhstan’s Transition to a Green Economy Model”. A European Union-funded multi-stakeholder project for 2015–2018.

<sup>6</sup> Note from the secretariat: the original budget for the extrabudgetary expert would not have been sufficient to fully cover the staff costs over the three-year period.

(g) Further savings included those for informal translations of submissions (\$10,000), promotional materials (\$15,000) and for informal translations of informal papers (saving \$26,000);

(h) Finally, savings were realized for the organization of the workshops or half-day seminars within the meetings of the Working Group, which were mainly covered by in-kind contributions (saving nearly \$40,000).

21. Slight overspend regarding the meetings of the Implementation Committee was due to the fact that the adopted budget had envisaged financial support to two members of the Committee; however, after the election of the Committee members in 2014, three members were entitled to financial support for their participation.

22. Table 2 presents the budgetary requirements for the period between the sixth and seventh sessions of the Meeting of the Parties to the Convention (and the second and the third sessions of the Meeting of the Parties to the Protocol), identifying how much of the budget has been fulfilled by expenditure and in-kind contributions and taking into account savings and overspend. Table 2 refers to priority-1 and -2 activities as only these had a budgetary allocation.

Table 2  
**Budgetary requirements**

(in United States dollars)

	<i>Amount by priority</i>			<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	
(a) Budget for period between the sixth and seventh sessions of the Meeting of the Parties (decision V/4-II/4), plus for carried-over activities (from table A.3)	565 000	611 943	—	<b>1 176 943</b>
(b) Expenditure from the trust fund for budgeted workplan activities (from table 1, row (d))	493 928	242 038	—	<b>735 966</b>
(c) Expenditure from the trust fund for carried-over activities (from table A.3, item 11)	—	38 650	—	—
(e) In-kind contributions made (from table 1, row (g))	—	—	—	—
(d) Savings against budget for workplan activities (from table A.3), including from cancellation of activities in absence of funds.	71 072	307 592	—	<b>378 665</b>
(e) Overspend against budget for workplan activities (from table A.3)	—	—	—	—
(f) <b>Outstanding budgetary requirements</b> (a)-(b)-(c)-(d)+(e))	—	23 662	—	<b>23 662</b>
(g) 13 per cent United Nations programme support costs	—	3 076	—	<b>3 076</b>
<b>Total outstanding requirements ((f)+(g))</b>	—	26 739	—	<b>26 739</b>

23. The following two expenses that occurred during the reporting period were unbudgeted and not foreseen in the workplan: the financial support to the Chair of the Working Group on Environmental Impact Assessment and Strategic Environmental to participate in two meetings of the network of Chairs of the ECE MEAs governing bodies to discuss sustainability and predictability of financing; and, further to agreement with Norway, the costs of administrative staff to support the secretariat at 50 per cent basis.



## **V. Outstanding requirements and operating reserve**

24. Table 2 also presents the outstanding budgetary requirements at the end of the period, corresponding to the ongoing update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asia. This requires that \$26,739 be carried over into the next intersessional period for the completion of the Guidelines. The remainder of the \$3,299 in the trust fund (table 1) may therefore be added to the operating reserve once final expenditure figures are available.

25. An operating reserve of approximately \$530,000 has been created by carrying forward unspent funds from the periods between the third and fourth, the fourth and fifth, and the fifth and sixth sessions of the Meeting of the Parties to the Convention, as decided by the Meeting of the Parties at the respective sessions. The operating reserve provided contingency funding to ensure continued operations under the Convention, and allowing notably the yearly extension of the contracts of the staff paid from the trust fund, despite the delayed receipt of contributions to the trust fund.

## Annex

### Details of the financial report

Table A.1

#### Income to the Convention's trust fund

(in United States dollars)

<i>Party<sup>a</sup></i>	<i>Description of pledge in ECE/MP.EIA/20–ECE/MP.EIA/SEA/4 annex, plus additional contributions</i>	<i>Pledge</i>	<i>Amount received</i>
Albania	(None)	—	5 000
Armenia	(Unpledged contribution)	—	1 000
Austria	Six shares <sup>b</sup> per year for three years, for both instruments and US\$ 5,000 for the guidance on nuclear-related activities	23 000	23 000
Azerbaijan	US\$ 4,000 Four shares in 2014 and further contributions to be specified in 2015 and 2016	4 000	2 000
Belarus	(None)	—	—
Belgium	(Unpledged contribution/Flanders)	—	10 687
Bosnia and Herzegovina	(None)	—	—
Bulgaria	US\$ 5 000 for the Convention and US\$ 3,000 for the Protocol in 2014.	8 000	8 000
Canada	(None)	—	—
Croatia	Three shares (USD 3,000) per year	9 000	9 000
Cyprus	(Unpledged contribution)	—	1 000
Czechia	US\$ 5,000 per year until the next sessions of the Meetings of the Parties, depending upon the availability of funds in the national budget.	15 000	15 000
Denmark	(None)	—	—
Estonia	€ 1,000 per year in 2014, 2015 and 2016	3 720	3 602
Finland	US\$ 5,000 (5 shares) per year for the intersessional period (2015, 2016, 2017), depending upon the availability of funds in the national budget. Additional unpledged contribution of US\$ 5,000 to support the organization of the sessions of the Meetings of the Parties in June 2017.	15 000	20 000
France	€ 10,000 per year, subject to availability in the budget.	40 800	35 500 <sup>c</sup>
Germany	A minimum of 30 shares for the period to the next sessions of the Meetings of the Parties. This sum will be divided into at least two payments and will be earmarked. In addition, unpledged contribution of US\$ 5,000 for the Good practice guidance on nuclear energy-related activities. Any payment depends on the availability of funds in the national budget that will have to be adopted by the Parliament for each year.	35 000	50 000
Greece	(None)	—	2 000
Hungary	(None)	—	7 977
Ireland	(None)	—	—
Italy	(Two unpledged contributions (in 2016 and 2017))	—	44 251
Kazakhstan	(None)	—	—
Kyrgyzstan	(None)	—	—

<i>Party<sup>a</sup></i>	<i>Description of pledge in ECE/MP.EIA/20–ECE/MP.EIA/SEA/4 annex, plus additional contributions</i>	<i>Pledge</i>	<i>Amount received</i>
Latvia	(Unpledged contribution)	—	1 083
Liechtenstein	(None)	—	—
Lithuania	(Unpledged contribution)	—	8 988
Luxembourg	(None)	—	—
Malta	(None)	—	—
Montenegro	(None)	—	—
Netherlands	€ 48,000 for the intersessional period between the sixth and seventh sessions of the Meeting of the Parties to the Convention.	66 391	66 391
Norway	US\$ 10,000–15,000 (10–15 shares) per year for the intersessional period. Any payment depends on the availability of funds in the national budget that will have to be adopted by the parliament for each year. In addition, unpledged contribution of approximately US\$ 350,000 USD in total for 2015–17 (with some US\$ 150,000 for priority 1 activities; US\$ 170,000 USD for (the unbudgeted) administrative support to the secretariat; US\$ 30,000 for travel of participants), paid in 3 yearly allotments.	30 000	275 712 <sup>c</sup>
Poland	Five shares per year for the period to the next session of the Meeting of the Parties (in years 2015, 2016 and 2017).	15 000	15 000
Portugal	(None)	—	—
Republic of Moldova	(Unpledged contribution)	—	1 000
Romania	USD 5,000 per year for three years	15 000	15 000
Serbia	(Unpledged contribution)	—	1 200
Slovakia	(None)	—	—
Slovenia	Three shares per year for three years for both the Convention and the Protocol.	9 000	9 000
Spain	(None)	—	—
Sweden	(Three unpledged contributions)	—	41 600
Switzerland	Likely US\$ 20,000 (20 shares) per year to the next meeting of the Parties. In addition, a contribution of CHF 25,000 for technical advice on EIA to Kyrgyzstan and approximately CHF 35,000 for guidelines on transboundary EIA for Central Asian countries.	155 174	128 273 <sup>c</sup>
The former Yugoslav Republic of Macedonia	(None)	—	—

<i>Party<sup>a</sup></i>	<i>Description of pledge in ECE/MP.EIA/20–ECE/MP.EIA/SEA/4 annex, plus additional contributions</i>	<i>Pledge</i>	<i>Amount received</i>
Ukraine	US\$ 6,000 (six shares) for the intersessional period	6 000	6 000
United Kingdom of Great Britain and Northern Ireland	(None)	—	—
European Union	€ 50,000 per year (but see paragraph 4 of decision VI/4-II/4 on the budget, financial arrangements and financial assistance).	204 000	161 427 <sup>c</sup>
<b>Total</b>		<b>654 085</b>	<b>968 691</b>

<sup>a</sup> All Parties to the Convention are listed, whether or not contributing to the trust fund.

<sup>b</sup> Exchange rates for pledges, at 5 June 2014: US\$ 1.36 per € and 1.12 per CHF. Due to important currency fluctuations as of December 2014, several contributions paid in national currencies amounted to less than initially expected in US\$.

<sup>c</sup> Shares are US\$ 1,000 in value.

Table A.2  
**Income to the Convention's trust fund**

(in United States dollars)

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income</i>
<b>2014</b>			
May	Slovenia	USD 3 000	3 000
May	Croatia	USD 3 000	3 000
May	Netherlands	EUR 48 000	66 391
June	Switzerland	CHF 600	613
June	France	EUR 10 000	13 605
July	Switzerland	CHF 33 000	33 951
July	Estonia	EUR 1 000	1 359
November	Armenia	USD 1 000	1 000
December	Lithuania	USD 2 988	2 988
December	Bulgaria	USD 8 000	8 000
December	Austria	USD 6 000	6 000
December	Czechia	USD 5 000	5 000
December	Norway	NOK 745 557	102 609
December	Cyprus	USD 1 000	1 000
December	Serbia	USD 1 200	1 200
December	Germany	USD 15 000	15 000
<b>2015</b>			
9 January	Romania	USD 5 000	5 000
9 January	Poland	USD 5 000	5 000
19 January	Italy	EUR 10 000	11 765
21 January	Belgium (Flanders Region)	USD 10 687	10 687
27 February	Estonia	EUR 1 000	1 134
26 March	European Union	EUR 50 000	53 022

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income</i>
8 April	Croatia	USD 3 000	3 000
10 April	Latvia	EUR 1 000	1 083
23 April	Switzerland	CHF 22 000	22 798
23 April	Switzerland	CHF 20 000	20 725
12 May	Republic of Moldova	USD 1 000	1 000
18 June	Hungary	USD 3 977	3 977
20 August	Ukraine	USD 6 000	6 000
1 October	Albania	USD 1 000	1 000
23 October	Austria	USD 11 000	11 000
26 October	Sweden	USD 25 000	25 000
2 November	Norway	USD 85 240	85 240
11 December	Azerbaijan	USD 2 000	2 000
14 December	Germany	USD 15 000	15 000
17 December	Czechia	USD 5 000	5 000
18 December	Lithuania	USD 3 000	3 000
30 December	Poland	USD 5 000	5 000
<b>2016</b>			
7 January	Finland	EUR 5 153	5 470
5 January	Albania	USD 2 000	2 000
25 January	France	EUR 10 000	11 223
8 March	European Union	EUR 50 000	54 705
14 March	Italy	EUR 20 000	21 882
23 May	Slovenia	USD 3 000	3 000
1 June	Lithuania	USD 3 000	3 000
28 June	Hungary	HUF 1 127 680	4 000
18 July	Switzerland	CHF 33 600	34 563
26 August	Estonia	EUR 1 000	1 110
30 August	Sweden	USD 8 300	8 300
26 September	Germany	USD 5 000	5 000
7 October	Croatia	USD 3 000	3 000
October	Finland	EUR 5 199	5 519
14 December	Germany	USD 15 000	15 000
14 December	Austria	USD 6 000	6 000
16 December	Czechia	USD 5 000	5 000
19 December	Norway	EUR 82 067	87 863
20 December	Romania	USD 5 000	5 000
20 December	Albania	USD 2 000	2 000
30 December	Poland	PLN 20 878	5 000
<b>2017</b>			
3 January	Greece	USD 2 000	2 000
2 February	France	EUR 10 000	10 672

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income</i>
6 March	Italy	EUR 10 000	10 604
10 March	Sweden	USD 8 300	8 300
<sup>a</sup>	European Union	EUR 50 000	53 700
<sup>a</sup>	Finland	USD 9 011	9 011
<sup>a</sup>	Slovenia	USD 3 000	3 000
<sup>a</sup>	Romania	USD 5 000	5 000
<b>Total</b>			<b>953 068</b>

<sup>a</sup> The transfers were still under way in late March 2017.

Table A.3  
**Expenditure from the Convention's trust fund**

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure<sup>a</sup></i>
1	Seventh session of the Meeting of the Parties to the Convention and third session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol	2		Participation of countries with economies in transition (CITs)	30 000
				Participation of non-governmental organizations	20 000
				Invited speakers	15 000
				Participation of non-ECE countries	15 000
<b>Total for activity</b>					<b>80 000</b>
2.1	Meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment, Geneva	2	Fourth meeting, 26-28 May 2015	Participation of CITs	17 188
				Participation of non-governmental organizations	6 171
				Participation of non-ECE countries	–
2.2			Fifth meeting, 11-15 April 2016	Participation of CITs	22 114
				Participation of non-governmental organizations	11 527
				Participation of non-ECE countries	2 039
2.3			Sixth meeting, 7-10 November 2016	Participation of CITs	17 814
				Participation of non-governmental organizations	9 517

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure<sup>a</sup></i>
				Participation of non-ECE countries	3 736
<b>Total for activity</b>					<b>90 105</b>
3.1	Bureau meetings (free standing)	2	First meeting, 5-6 February 2015	Participation of CITs (Bureau members)	4 560
3.2			Second meeting, 19-20 January 2016		4 387
3.3			Third meeting, 23-24 February 2017		2 249
3.4			Fourth meeting, 12 June 2017		–
<b>Total for activity</b>					<b>11 196</b>
4.1	Meetings of the Implementation Committee	2	Thirty-first session, 2-4 September 2014	Participation of CITs (Committee members)	5 654
4.2			Thirty-second session, 9-11 December 2014		5 434
4.3			Thirty-third session, 17-19 March 2015		5 723
4.4			Thirty-fourth session, 8-10 December 2015		3 553
4.5			Thirty-fifth session, 15-17 March 2016		5 760
4.6			Thirty-sixth session, 5-7 September 2016		5 730
4.7			Thirty-seventh session, 12-14 December 2016		4 467
4.8			Thirty-eighth session, 20-22 February 2017		4 448
<b>Total for activity</b>					<b>40 768</b>
5	Informal translations of informal papers for meetings listed above	2			4 201
<b>Total for activity</b>					<b>4 201</b>
6	Promotion of contacts with countries outside the ECE region (with reporting of results to Working Group)	2		Travel and DSA of the secretariat and Chair	–
<b>Total for activity</b>					<b>—</b>
7.1	External expert to provide secretariat support for the implementation of the Convention and the Protocol	1	Year 2014 (Jun - Dec)	Extrabudgetary post	41 802
7.2		1	Year 2015 (Jan - June)	Extrabudgetary post	84 774
		1	Year 2015 (Jul - Dec)	Extrabudgetary post	87 618
7.3		1	Year 2016 (Jan - May)	Extrabudgetary post	74 887

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure<sup>a</sup></i>
		1	Year 2016 (June - Dec)	Extrabudgetary post	89 836
7.4		1	Year 2017 (Jan - Jun)	Extrabudgetary post	95 011
<b>Total for activity</b>					<b>473 928</b>
8.1	Further secretariat support (relating to accession/ratification, see workplan)	2	Consultants	(None)	–
8.2		2	Promotional materials	(None)	–
8.3		2	Secretariat travel in relation to the workplan	Secretariat travel and DSA (detailed in Table A.6)	4 785
<b>Total for activity</b>					<b>4 785</b>
9.1	Compliance with and implementation of Convention	2	Informal translations of submissions	Contract	–
9.2		1	Drafting of review of implementation	Contract	20 000
9.3		2	Drafting guidance related to nuclear energy	Contract (half of the consultancy fee + travel of consultant to Geneva. The other half of the fee covered by funds from European Union (EaP GREEN).	10 572
9.4		2	Country-specific performance reviews:	–	–
<b>Total for activity</b>					<b>30 572</b>
10	Exchange of good practices	2	Workshops or half-day seminars within meetings of the Working Group on:		–
10.1			1. Post-project analysis, May 2015	In-kind (Belarus and European Union (EaP GREEN))	411
10.2			2. Global application of the Convention EIB November 2016	In-kind (European Investment Bank)	–
			3. Brainstorming session, November 2016	In-kind (Austria, Finland, the Netherlands)	–
<b>Total for activity</b>					<b>411</b>
<b>Total for all budgeted activities (as in decision VI/4-II/4, annex)</b>					<b>735 966</b>
11	Carried forward activities	–	–	–	–
11.1			Subregional cooperation workshop for the Mediterranean Sea (largely US\$ 20, 000)		9 300



<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure<sup>a</sup></i>
11.2			Technical advice to the Russian Federation (contribution of 28,469 USD (Sweden))		29 350
11.3			Technical advice to Uzbekistan (28,474 USD (Switzerland)).	Moved to Central Asian Guidelines	
<b>Total for carried over activities</b>					<b>38 650</b>
<b>Total for all activities</b>					<b>774 616</b>

<sup>a</sup> Expenditure in italics is estimated

Table A.4  
**Expenditure from the Convention's trust fund on unbudgeted activities**

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Justification</i>	<i>Type of expenditure</i>	<i>Expenditure</i>
1	Financial support to the Working Group Chair to participate at informal meeting of Chairs of ECE MEA governing bodies (Geneva, 27 October 2014)		Importance of the issues discussed relating to sustainable and predictable financing of the MEAs	Travel and DSA	1 212
2	Administrative assistance to the secretariat (50%)	–	Agreement with Norway for the period 2015-2017	Salary May to December 2015	38 907
	–	–	–	Salary January to June 2016	18 092
	–	–	–	Salary July to December 2016	22 513
	–	–	–	Salary January to April 2017	15 380
3	Legislative support to Kyrgyzstan	–	Contribution from Switzerland	2015	23 425
4	Guidelines on EIA in a Transboundary Context for Central Asian Countries		Contribution from Switzerland for technical advice on EIA to Kyrgyzstan and for guidelines on transboundary EIA for Central Asian countries.	Grant	49 915
	–	–	–	Travel of expert to Almaty Feb 2017	2 043
	–	–	–	Travel of consultant to Almaty Feb 2017	1 142
	–	–	–	–	–
<b>Total for all activities</b>					<b>172 629</b>

Table A.5

**Promotion of contacts with countries outside the ECE region: travel and subsistence allowances**

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
		—
<b>Activity total</b>		—

Table A.6

**Secretariat travel in relation to the workplan: travel and subsistence allowances**

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
8.3.1	Seventh Seminar on Cooperation on the EIA Convention in the Baltic Sea Region (Berlin, 6-7 November 2014)	1 255
8.3.2	OECD Nuclear Energy Agency, Nuclear Law Committee meeting (Paris, 18 June 2015)	897
8.3.3	High-level SEA meeting and the EaP Green Steering Committee (Chisinau, 16-18 June 2015)	1 700
8.3.4	To attend the eighth seminar of cooperation on the Espoo convention in the Baltic sea region to present activities by the Espoo convention secretariat (Vilnius, 28-29 September 2015)	933
<b>Activity total</b>		<b>4 785</b>

Table A.7

**In-kind contributions made**

(in United States dollars)

<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Date</i>	<i>Source</i>	<i>Value<sup>a</sup></i>	<i>Notes</i>
Subregional cooperation	3	Seventh Seminar on Cooperation on the EIA Convention in the Baltic Sea Region	November 2014	Germany	20 000	—
Compliance and implementation of the Convention	3	Preparation of Guidance for the Implementation of the Convention (drawing on opinions of the Implementation Committee)	—	European Investment Bank	(EUR 10 000 largely USD 11 000)	Unspent (activity cancelled)
Exchange of good practices	3	Preparation of guidance on land-use planning, the siting of hazardous activities and related safety aspects	2015–2016	European Investment Bank	(EUR 25 800 largely USD 27 500)	—
Subregional cooperation	3	Eighth Seminar on Cooperation on the EIA Convention in the	September 2015	Lithuania	20 000	—

<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Date</i>	<i>Source</i>	<i>Value<sup>a</sup></i>	<i>Notes</i>
		Baltic Sea Region				
Subregional cooperation	3	Ninth Seminar on Cooperation on the EIA Convention in the Baltic Sea Region	December 2016	Latvia	20 000	—
Compliance and implementation of the Convention	3	Informal translation national implementation reports provided in French	Spring 2016	Canada	—	—
<b>Total</b>					<b>87 500</b>	

<sup>a</sup> Values for priority 3 activities not indicated in the current budget (decision VI/4–II/4) are purely indicative

Table A.8  
**Budget, after decision VI/4–II/4**  
(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation/justification</i>
<b>Organizational activities</b>							
1	Seventh session of the MOP and the third session of the MOP/MOP	2		80 000	0	—	Completed.
2	Meetings of the Working Group on EIA and SEA, Geneva.	2		105 000	14 264	—	Completed.
3	Meetings of the Bureau (free-standing)	2		20 000	3 804	—	Completed.
4	Meetings of the Implementation Committee	2		40 000	—	768	Completed.
5	Informal translations of informal papers for meetings listed above	2		30 000	26 000	—	Completed. Arranged with limited recourse to the trust fund.
6	Promotion of contacts with countries outside the ECE region	2		25 000	25 000	—	Only limited activities completed, without recourse to the trust fund.
7	External expert to provide secretariat support for the implementation of the Convention and the Protocol (including coordination of capacity-development, development and maintenance of website, modification of questionnaire, drafting of review of implementation and of other documents)	1		540 000	66 000	—	Completed.
8.1	Further secretariat support for the implementation of the Convention and the Protocol	2	Consultants	45 000	45 000	—	Cancelled.
8.2		2	Promotional materials	15 000	15 000	—	Cancelled.
8.3		2	Secretariat travel in relation to the workplan	60 000	55 215	—	Only limited travel completed.
<b>Substantive activities</b>							
9.1	Compliance with and implementation of the Convention	2	Informal translations of submissions	10 000	10 000	—	Not required.
9.2		1	Drafting of review of implementation	25 000	650	—	Completed.
		2	Drafting guidance	15 000	4 400	—	Completed, and partly

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation/justification</i>
			related to nuclear energy				funded by European Union (EaP GREEN).
9.3		2	Country-specific performance reviews	50 000	50 000	—	Not required.
10	Exchange of good practices	2	Workshops or half-day seminars	40 000	39 600	—	Out of the four events planned, two events completed (with in-kind contributions); one cancelled. Additionally, a brainstorming session organized (with in-kind contributions).
<b>Carried forward activities</b>							
11	Subregional cooperation		Workshop for Mediterranean subregion	20 000	10 700	—	Completed, with limited recourse to the trust fund.
12			Technical advice to the Russian Federation	28 469	—	880	Completed.
13			Technical advice to Uzbekistan. Funding moved to Central Asian Guidelines	28 474	—	—	Ongoing, and carried over.
<b>Total</b>				<b>1 176 943</b>	378 665	1648	
+ 13% United Nations project support costs				<b>153 000</b>			
<b>Grand total</b>				<b>1 329 943</b>			