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Meeting of the Parties to the Convention
on Environmental Impact Assessment
in a Transboundary Context

Meeting of the Parties to the Convention
on Environmental Impact Assessment in
a Transboundary Context serving as the
Meeting of the Parties to the Protocol on
Strategic Environmental Assessment

Working Group on Environmental Impact Assessment and Strategic Environmental Assessment

Sixth meeting

Geneva, 7–10 November 2016

Item 3 of the provisional agenda

Compliance and implementation

Draft decisions by the Meeting of the Parties to the Convention

Proposals by the Bureau

Summary

In accordance with its mandate, the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment under the Convention on Environmental Impact Assessment in a Transboundary Context and its Protocol on Strategic Environmental Assessment is tasked with making recommendations to the governing bodies of the Convention and the Protocol regarding further work necessary to ensure the effective implementation of those two instruments (ECE/MP.EIA/SEA/2, decision I/5–V/5, para. 4).

In accordance with the above mandate, the present document contains a draft decision on reporting and review of implementation of the Convention and a draft decision on application of the Convention to nuclear energy-related activities for the Working Group's consideration.

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The draft decisions contained in the present document were prepared by the Bureau of the Meeting of the Parties to the Convention, with the support of the secretariat, as requested by the Working Group at its fifth meeting (Geneva, 11–15 April 2016).

The Working Group is expected to review and agree on the text of the draft decisions to be forwarded to the next session of the Meeting of the Parties to the Convention for adoption (Minsk, 13–16 June 2017).

Decision VII/1

Reporting and review of implementation of the Convention

The Meeting of the Parties to the Convention,

Recalling its decisions III/1, IV/1 and V/3 on the review of implementation, and decisions V/7–I/7 and VI/1 on reporting and the review of implementation,

Recalling also article 14 bis of the Convention on Environmental Impact Assessment in a Transboundary Context, as adopted by its decision III/7, which places a legal obligation on Parties to report on their implementation of the Convention,

Recognizing once again that regular reporting by each Party provides important information that facilitates the review of compliance under the Convention and thereby contributes to the work of the Implementation Committee,

Acknowledging that, for this reason, pending the entry into force of the second amendment to the Convention through decision III/7, Parties have shown commitment to regularly report,

Having analysed the reports provided by Parties in response to the questionnaire on the implementation of the Convention,

Expressing serious concern that the following States Parties that were Parties to the Convention during the period under review have not responded to the questionnaire: [Croatia,] [Cyprus,] [Greece,] [Montenegro,] [the former Yugoslav Republic of Macedonia] [and] [the United Kingdom of Great Britain and Northern Ireland],

Also expressing concern that the following States Parties that were Parties to the Convention during the period under review responded to the questionnaire late (i.e., after the extended deadline of 30 April 2016): Finland, Kyrgyzstan, Republic of Moldova, Serbia, Slovakia[,] [and] [...],

Deeply concerned that [Portugal] [and] [the United Kingdom of Great Britain and Northern Ireland] have still not submitted reports on their implementation of the Convention during the prior review period, 2010–2012, despite being urged to do so by the Implementation Committee,

Strongly emphasizing the importance of the timely submission of reports,

1. *Welcomes* the reports by Parties on their implementation of the Convention during the period 2013–2015, which have been made available on the Convention website;

2. *Adopts* the Fifth Review of Implementation of the Convention (ECE/MP.EIA/2017/...) and requests the secretariat to arrange for its publication in an electronic format in all three official languages of the United Nations Economic Commission for Europe (ECE);

3. *Notes* the findings of the Fifth Review of Implementation, including the following possible weaknesses or shortcomings in the Convention's implementation by Parties:

(a) There are differences in Parties' definitions of and approach to key terms in the Convention, such as "impact", "transboundary impact", "major change" and "final decision"; this has the potential to cause problems, particularly if the consequence is a lack of clarity about which proposed activities fall within the scope of the Convention (articles 1 and 6);

(b) The national reports show that there is no standardized practice on the organization of transboundary consultations in accordance with article 5 — i.e., Parties' approach to such consultations differs, with six Parties even treating them as optional. The procedure for and participants in such consultations differ from Party to Party;

(c) Only a minority of Parties have an express provision in their legislation on how to ensure application of article 6, paragraph 3, which requires concerned Parties to be updated on new information that may trigger consultations and a new decision before work on an activity commences;

(d) While the majority of Parties report they have an express provision regarding post-project analysis in their national legislation, very few of the bilateral agreements and arrangements that were reported by Parties have provisions regarding post-project analysis and very few Parties reported that they had carried out such analyses in the period 2013–2015, even though this was identified as an issue in the fourth review (article 7);

(e) There are several sets of guidance under the Convention, three of which were expressly mentioned in the questionnaire sent to Parties — namely, the sets of guidance on public participation, practical application and subregional cooperation.¹ The first two are not widely used, and the third is scarcely used at all;

(f) There is a continuing need for bilateral and multilateral agreements or other arrangements and best practice, including agreements, to address differences between Parties' practice with respect to types of projects raising particular issues, such as joint cross-border projects or nuclear power plants (article 8);

(g) There is a lack of clarity about translation requirements. In the absence of an express provision in the Convention, a number of difficulties were reported concerning translation and interpretation, leading, in some cases, to serious problems particularly concerning delays and public participation;

(h) A number of Parties continue to report late;]

4. *Requests* the secretariat to bring to the attention of the Implementation Committee general and specific compliance issues identified in the Fifth Review of Implementation of the Convention, and requests the Implementation Committee to take these into account in its work;

5. *Urges* [Croatia,] [Cyprus,] [Greece,] [Montenegro,] [the former Yugoslav Republic of Macedonia] [and] [the United Kingdom of Great Britain and Northern Ireland] to provide the overdue responses to the questionnaire for the period under review, and requests the secretariat to make them available on the Convention website;

6. *Strongly urges* Portugal and the United Kingdom of Great Britain and Northern Ireland to provide their overdue reports for the prior review period, 2010–2012, inviting also the Implementation Committee to address the matter, as needed, and to present recommendations in that regard to the Meeting of the Parties to the Convention at its eighth session;

7. *Decides* that the current questionnaire will also be used for the preparation of the review of the implementation of the Convention during the period 2016–2018, except for minor modifications that the Implementation Committee and the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment may decide, taking into account the suggestions provided by Parties for improving the report;

¹ Guidance on Public Participation in Environmental Impact Assessment in a Transboundary Context (ECE/MP.EIA/7), Guidance on the Practical Application of the Espoo Convention (ECE/MP.EIA/8) and Guidance on Subregional Cooperation (ECE/MP.EIA/6, annex V, appendix).

8. *Also decides* that Parties shall complete the questionnaire as a report on their implementation of the Convention during the period 2016–2018, noting the obligation to report arising from article 14 bis of the Convention, as adopted by its decision III/7, and that a failure to report on implementation might be a compliance matter to be considered by the Implementation Committee;

9. *Urges* Parties to report by the deadline to be agreed by the Working Group;

10. *Requests* the secretariat to post national reports on the Convention website in the languages in which they are available;

11. *Also requests* the secretariat to put the project lists included in the answers to the questionnaire on the Convention website, unless the countries object to this;

12. *Decides* that a draft sixth review of implementation of the Convention during the period 2016–2018 based on the reports by Parties will be presented at the eighth session of Meeting of the Parties of the Convention, and that the workplan shall reflect the elements required to prepare the draft review;

13. *Requests* the secretariat to foresee the subsequent publishing of the sixth review of implementation, once adopted, in an electronic format in all three official languages of ECE.

Decision VII/7

Application of the Convention to nuclear energy-related activities

The Meeting of the Parties to the Convention,

Recalling its decision VI/7 on application of the Convention on Environmental Impact Assessment in a Transboundary Context to nuclear energy-related activities and its decision V/9–I/9 on adoption of the workplan up to the fifth meeting of the Parties,

Also recalling part A of the Geneva Declaration (see ECE/MP.EIA/20.Add.3–ECE/MP.EIA/SEA/4.Add.3), adopted by the Meeting of the Parties to the Convention at its sixth session (Geneva, 2–5 June 2014),

Reiterating that nuclear energy-related activities by their nature can lead to significant transboundary and long-range adverse environmental impacts and imply special challenges owing to great public concern and national interests,

Further reiterating that the Convention is a key instrument establishing rules for domestic action and international cooperation for preventing, reducing and controlling significant adverse transboundary environmental impact from proposed activities that include nuclear energy-related activities,

Recognizing that it is essential for Parties to meet fully their obligations deriving from the Convention, and therefore urging them all to do so,

Wishing to assist Parties in complying with their obligations under the Convention, and to promote its effective application,

1. *Welcomes* the development of draft good practice recommendations by consultants to the secretariat under the supervision of members of an editorial group representing Austria, Belarus, the European Commission, Finland, France, Germany, Poland, Ukraine and the European ECO Forum, with support from the secretariat, in line with agreed terms of reference, and taking into account the information provided by Parties and stakeholders through a questionnaire survey as well as comments from the Bureau and

the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment,

2. *Endorses* the Good Practice Recommendations on the Application of the Convention to Nuclear Energy-related Activities (Good Practice Recommendations) set out in document [ECE/MP.EIA/2017/...]

3. *Recommends* that the Parties take into account the contents of the Good Practice Recommendations when implementing and applying the Convention;

4. *Calls on* the Parties to distribute the Good Practice Recommendations to authorities and relevant stakeholders;

5. *Invites* Parties to provide information to the Working Group on the usefulness of the Good Practice Recommendations and any suggestions for their future development;

6. *Also invites* Parties and non-Parties to provide further examples of good practice to the Convention secretariat to be made available on the Convention website;

7. *Proposes* that the Good Practice Recommendations be used in the capacity-building activities included in the workplan.
