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Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context

Fifth session

Geneva, 20–23 June 2011

Items 3 (c) and 11 (b) of the provisional agenda

**Outstanding issues: draft decision on the budget,
financial arrangements and financial assistance**

Adoption of decisions: decisions to be taken jointly

Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context serving as the Meeting of the Parties to the Protocol on Strategic Environmental Assessment

First session

Geneva, 20–23 June 2011

Items 3 (c) and 7 (b) of the provisional agenda

**Outstanding issues: draft decision on the budget,
financial arrangements and financial assistance**

Adoption of decisions: decisions to be taken jointly

Report on budget and financial arrangements in the period since the fourth session of the Meeting of the Parties to the Convention

Note by the secretariat

Summary

The Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context requested the Convention secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the Convention's funds and to prepare a report for the next session of the Meeting of the Parties, based on the information contained in biannual reports (ECE/MP.EIA/10, decision IV/8, para. 13). This report covers the period from after the fourth session of the Meeting of the Parties, in June 2008, to the end of March 2011, and includes estimated expenditure up to and including the fifth session of the Meeting of the Parties in June 2011. The trust fund balance was positive at the end of the period.

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I. Introduction

1. This report sets out income, in-kind contributions and expenditure in the reporting period, as well as the balance in the Convention's trust fund at the end of the period. It also identifies savings and overspend. The report addresses work under the Convention, including under its Protocol on Strategic Environmental Assessment. Details of income and expenditure are provided in the annex to this report.

II. Pledges and fund-raising

2. The pledges made at the fourth session of the Meeting of the Parties were insufficient to cover the budget. The Parties were therefore invited to come forward with further contributions, noting that the Meeting of the Parties, by its decision IV/8 (paras. 7–8) (a) encouraged Parties that had up till then not pledged anything to make contributions during the current and future budget cycles; and (b) Parties that had up till then only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.

3. The Chair of the Bureau wrote to a number of Parties early in 2009 for this purpose. Some Parties subsequently made unpledged contributions, though these contributions were not necessarily in response to the letter by the Chair. In addition, many Parties made contributions beyond their pledged amounts.

4. Most countries fulfilled their pledges. In early 2011, at the request of the Bureau, the Chair of the Bureau wrote to Portugal regarding its failure to fulfil its pledged contribution.

III. Income and expenditure

5. Table 1 summarizes the income and expenditure in United States dollars. Parties made financial contributions to the Convention's trust fund (tables A.1 and A.2, in annex).

6. The activities under the workplan were assigned priorities 1, 2 or 3 in the budget (decision IV/8). The Czech Republic and Slovenia imposed conditions on their contributions, with \$9,000, earmarked for priority-2 activities. Germany, Norway and Switzerland provided additional unpledged contributions, earmarked primarily to support participation in meetings by representatives of countries with economies in transition (CITs) (i.e., priority 1).

7. Two priority-2 activities were not completed within the previous intersessional period and were therefore carried forward, together with their budgeted funds: a capacity-building workshop for Eastern Europe (\$30,000); and a subregional cooperation workshop for the Mediterranean Sea (\$23,869) (decision IV/8, annex, para. 12). Both of these activities were completed in the current intersessional period.

8. The income, together with the funds for the carried-over activities, totalled \$962,538.

Table 1
Income and expenditure
 (in United States dollars)

	<i>Amount by priority</i>			<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	
(a) Contributions made to the trust fund, with non-earmarked contributions being allocated first to priority 1 activities (from tables A.1 and A.2)	899 669	9 000	0	908 669
(b) Funds for carried-over activities (see para. 7)	0	53 869	0	53 869
(c) Total income to the trust fund in the period ((a)+(b))	899 669	62 869	0	962 538
(d) Expenditure from the trust fund for budgeted workplan activities (from tables A.3, A.4 and A.5)	680 704	98 269	0	778 973
(e) 13% United Nations programme support costs	88 492	12 775	0	101 266
(f) Trust fund balance: income less expenditure in the period ((c)-(d)-(e))	130 473	-48 175	0	82 298
(g) In-kind contributions made, valued according to the budgeted activity cost (from table A.6)	30 000	321 255	0	351 255

9. The secretariat spent from the trust fund in accordance with the budget (table A.3, with further details in tables A.4 and A.5). The funds were spent on 19 priority-1 activities in the following areas:

- (a) The fifth session of the Meeting of the Parties to the Convention (MOP-5);
- (b) The first session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol (MOP/MOP-1);
- (c) A final session of the Meeting of the Signatories to the Protocol;
- (d) Three meetings of the Working Group on Environmental Impact Assessment;
- (e) Three free-standing meetings of the Bureau;
- (f) Seven sessions of the Implementation Committee;
- (g) An external expert to provide secretariat support;
- (h) An independent review of Ukraine's implementation of the Convention;
- (i) Technical assistance to Armenia.

10. The funds were also spent on six priority-2 activities in the following areas:

- (a) Secretariat travel in relation to the workplan;
- (b) The promotion of contacts with countries outside the region;
- (c) A seminar on legislation and procedures for the implementation of the Convention;

(d) A seminar on climate change and environmental impact assessment (EIA) and strategic environmental assessment (SEA);

(e) A seminar on 20 years of law and practice (during MOP-5);

(f) The postponed capacity-building workshop for Eastern Europe.

11. The expenditure totalled \$778,973, to which was added 13 per cent United Nations programme support costs of \$101,266, leaving a balance in the trust fund of \$82,298.

12. Parties, other States and organizations also undertook 17 priority-2 workplan activities in the following areas by providing in-kind contributions (table A.6):

(a) Informal translation into Russian of informal papers for MOP-5;

(b) A final conference on the pilot project in Belarus (see below) (Belarus);

(c) A subregional workshop on the outcome of a pilot project in Central Asia (Kyrgyzstan);

(d) A two-day national seminar on implementation of the Convention in Tajikistan;

(e) A seminar on EIA of large energy projects in the Black Sea area (Hungary);

(f) A subregional cooperation meeting for the countries of South-Eastern Europe (Bulgaria);

(g) Subregional cooperation meetings in Finland and Lithuania for the countries of the Baltic Sea area;

(h) A pilot project in Eastern Europe (Belarus-Lithuania, 2009–2010);

(i) A pilot project in Central Asia (Kazakhstan-Kyrgyzstan, 2006–2009);

(j) Regional seminars on large-scale projects, on legislation and procedures and on climate change (held in Geneva);

(k) A national SEA awareness-raising workshop held in Slovenia;

(l) Subregional training workshops on SEA for the countries of Central Asia (organized by the Regional Environmental Centre for Central and Eastern Europe) and of South-Eastern Europe (organized by the United Nations Development Programme);

(m) A subregional cooperation meeting for the countries of the Mediterranean Sea area (Tunisia).

13. Funds channelled through the Environment and Security Initiative were disbursed to support the pilot project in Belarus, which received Government approval in June 2010 and was completed at the end of December 2010. Funds from the same source were expected to support in mid-2011 the planned subregional workshop for the Caucasus (eventually planned for late May 2011) and the planned country-specific performance review (technical assistance) for Azerbaijan (the latter being priority-1).

14. These activities, funded by in-kind contributions, have been valued at \$351,255 in total, according to the budget indicated by the Meeting of the Parties. An additional subregional cooperation meeting for the countries of South-Eastern Europe was held in Montenegro in December 2009, following that country's ratification of both the Convention and its Protocol; the only expenditure from the trust fund for that workshop was to cover attendance by the secretariat.

IV. Overspending and savings

15. There was some spending on priority-1 workplan activities that exceeded the assigned amount for a budget line:

(a) The sixteenth, seventeenth and twentieth sessions of the Implementation Committee cost more than budgeted. Costs had been expected to decline because one less country (Azerbaijan) was to receive financial support for travel, including payment of a daily subsistence allowance (DSA), in accordance with the criteria adopted by the Committee on Environmental Policy (ECE/CEP/155, annex I). However, Germany made an additional contribution partly to ensure Azerbaijan's continued participation, including in an additional, unforeseen, but short, seventh session in the period. The total overspend was approximately 10 per cent;

(b) Though the total costs of financial support for the twelfth meeting of the Working Group were below budget, the costs of participation by representatives of non-governmental organizations (NGOs) were slightly over budget. Similarly, for the thirteenth meeting of the Working Group, the costs of participation of States outside the region of the United Nations Economic Commission for Europe (UNECE) were over-budget but the overall meeting costs were below budget;

(c) Expenditure on the extrabudgetary post was expected to exceed the budgeted amount by 3 per cent, in part because of the extended recruitment process to fill the post resulting in the contracting of temporary staff;

(d) Expenditure on MOP-5, being held in conjunction with MOP/MOP-1, was expected to exceed that for the originally planned combination of MOP-5 with MOP/MOP-2. However, it was still substantially less than the amount planned for that combination preceded by a stand-alone MOP/MOP-1.

16. Savings, at or exceeding \$10,000 per budget line, were realized for the following:

(a) There was no free-standing MOP/MOP-1 (saving \$45,000);

(b) The third session of the Meeting of the Signatories was held at lower cost due to savings from its being held back to back with a meeting of the Working Group (saving \$19,200);

(c) The fourth meeting of the Working Group on Environmental Impact Assessment in the intersessional period was to be held within MOP-5, and earlier meetings were organized at lower cost (saving \$66,816);

(d) Savings of \$70,000 arose as a Working Group on Strategic Environmental Assessment was not established before MOP-5, because of the delayed entry into force of the Protocol;

(e) Only three, rather than four, free-standing meetings of the Bureau were held, and they were done at reduced cost (saving \$12,541);

(f) Few informal translations were made, or they were produced by the secretariat from its own resources (\$45,000);

(g) The secretariat provided further secretariat support without recourse to a consultant or use of the trust fund for promotional materials (saving \$90,000);

(h) Promotion of contacts outside UNECE was carried out at reduced cost, too (saving \$21,437);

(i) Informal translations of submissions and related materials were arranged by the secretariat without recourse to the trust fund (saving \$10,000);

(j) The secretariat prepared the third review of implementation without recourse to a consultant (saving \$20,000);

(k) An independent review in Ukraine and technical assistance in Armenia were organized at lower cost (saving \$24,552);

(l) One subregional workshop (Mediterranean Sea area) was accidentally included in the new budget, while also having a budget carried over (saving \$20,000);

(m) The secretariat arranged informal translations of necessary documents for the seminar on legislation and procedures without use of the trust fund (saving \$20,000);

(n) Only one of the two one-day seminars was held, with that held at reduced cost (saving \$34,879).

17. In addition, it was clear early in the period that priority-3 activities would not be funded and had therefore to be cancelled, resulting in savings of \$150,000 (further secretariat support for the implementation of the Convention and the Protocol: consultants; promotional materials; secretariat travel in relation to the workplan). The total savings were \$673,455.

18. Table 2 presents the budgetary requirements for the period between the fourth and fifth sessions of the Meeting of the Parties, identifying how much of the budget was fulfilled by expenditure and in-kind contributions and taking into account savings and overspend.

Table 2
Budgetary requirements

(in United States dollars)

	<i>Amount by priority</i>			
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Total</i>
(a) Budget for period between the fourth and fifth sessions of the Meeting of the Parties (ECE/MP.EIA/10, decision IV/8), plus for carried-over activities (from table A.7)	949 000	694 869	150 000	1 793 869
(b) Expenditure from the trust fund (from table 1, row (d))	680 704	98 269	0	778 973
(c) In-kind contributions made (from table 1, row (g))	30 000	321 255	0	351 255
(d) Savings against budget for workplan activities (from table A.7)	268 109	255 346	150 000	673 445
(e) Overspend against budget for workplan activities (from table A.7)	29 813	0	0	29 813
(f) Outstanding budgetary requirements ((a)-(b)-(c)-(d)+(e))	0	20 000	0	20 000
(g) 13 per cent United Nations programme support costs	0	2 600	0	2 600
Total outstanding requirements ((f)+(g))	0	22 600	0	22 600

V. Outstanding requirements and operating reserve

19. Table 2 also presents the outstanding budgetary requirements at the end of the period, corresponding to the subregional cooperation workshop for the Mediterranean Sea area, which was not held as planned. This requires that \$22,600 be carried forward into the next intersessional period, taking into account the United Nations programme support costs. The remainder of the \$82,298 in the trust fund (table 1) may therefore be added to the operating reserve once final expenditure figures are available.

20. An operating reserve of \$262,862 was created by carrying forward unspent funds from the period between the third and fourth sessions of the Meeting of the Parties, as decided by the Meeting of the Parties (decision IV/8, annex, para. 14). The operating reserve provided contingency funding to ensure continued operations under the Convention in the event of unanticipated problems, for example delayed receipt of contributions.

Annex

Details of the financial report

Table A.1

Income to the Convention's Trust Fund

(in United States dollars)

<i>Party^a</i>	<i>Description of pledge in ECE/MP.EIA/10, annex, plus additional contributions^b</i>	<i>Pledge</i>	<i>Amount received</i>
Albania	A total of USD 1,000 for the period to the next session of the MOP. Additional unpledged contribution of USD 1,000 in 2009.	1 000	2 000
Armenia	Either a contribution in kind, by hosting the forthcoming session of the Implementation Committee, or one share ^c for the three-year period.	0	1 000
Austria	USD 6,000 per year from 2009 until MOP-5.	18 000	17 922
Azerbaijan	A total of USD 1,000 for the period to the next session of the MOP.	1 000	1 000
Belarus		0	0
Belgium		0	0
Bosnia and Herzegovina		0	0
Bulgaria	A total of USD 5,000 (five shares) for the period to the next session of the MOP.	5 000	5 000
Canada	A total of CAD 15,000 for the three-year period.	15 150	13 802
Croatia	A total of five shares for the three-year period. Additional unpledged contributions of USD 2,714 in 2010 and EUR 2,000 in 2011.	5 000	11 067
Cyprus	Unpledged contributions of EUR 6,000 in 2009 and in 2010.	0	17 199
Czech Republic	USD 5,000 per year until MOP-5. (Three shares later earmarked to support the implementation of the Protocol.)	15 000	14 980
Denmark		0	0
Estonia		0	0
Finland	A total of 35 shares for the period to the next session of the MOP. The contribution is subject to the availability of funds in the national budget. In addition, Finland provided the secretariat with an Associate Expert from 21 February 2011 for an initial period of one year.	35 000	22 989
France	No contribution in 2008. EUR 10,000 per year in 2009 and 2010.	31 200	27 134
Germany	A minimum of 30 shares. This sum will be divided into at least two payments and will be earmarked. Any payment depends on the availability of funds in the national budget that will have to be adopted by the Parliament for each year. Additional unpledged contributions of USD 15,000 in 2010 and in 2011 to support the travel of participants.	30 000	60 000
Greece	Unpledged contributions of USD 5,000 in 2009 and in 2010.	0	10 000
Hungary	USD 4,000 per year for the period to the next session of the MOP.	12 000	13 000
Ireland	Unpledged contributions of EUR 10,000 in 2008, 2009 and 2010.	0	41 886
Italy	EUR 20,000 in 2008.	31 200	26 991
Kazakhstan		0	0
Kyrgyzstan		0	0
Latvia	EUR 1,000 per year, starting from 2009.	4 680	1 406

ECE/MP.EIA/2011/1
ECE/MP.EIA/SEA/2011/1

<i>Party^a</i>	<i>Description of pledge in ECE/MP.EIA/10, annex, plus additional contributions^b</i>	<i>Pledge</i>	<i>Amount received</i>
Liechtenstein		0	0
Lithuania		0	0
Luxembourg		0	0
Malta		0	0
Montenegro		0	0
Netherlands	EUR 10,000 per year for three years, subject to approval. An additional unpledged contribution of EUR 2,000 in 2010.	46 800	46 931
Norway	10–15 shares per year for three years. The contribution is subject to approval. In addition, unpledged contributions of NOK 150,000 (USD 23,226) in 2009 and NOK 200,000 (USD 31,008) in 2010 to support developing country participation.	37 500	101 879
Poland	No contribution in 2008. Unpledged contributions of USD 2,000 in 2009 and 2010 and of USD 5,000 in 2011.	0	9 000
Portugal	EUR 5,000 per year for three years.	23 400	0
Republic of Moldova		0	0
Romania	USD 6,000 in 2009. In addition, submission of balance of funds from fourth meeting of the Parties (EUR 11,350).	6 000	17 846
Serbia	Unpledged contributions of USD 1,000 in 2009 and EUR 1,000 in 2011.	0	2 820
Slovakia	An unpledged contribution of EUR 2,000 in 2009.	0	2 789
Slovenia	Three shares per year (\$3,000 per year), of which six shares earmarked for promoting ratification and application of the Protocol.	9 000	8 978
Spain	An unpledged contribution of EUR 15,000 in 2009.	0	21 583
Sweden	20 shares (\$20,000) in kind for subregional cooperation in the Baltic Sea. In addition, an unpledged contribution of USD 6,975 in 2010.	0	6 975
Switzerland	Likely USD 17,500 (17.5 shares) per year for the period to the next meeting of the Parties. This contribution is subject to approval. Additional unpledged contributions of CHF 15,000 in 2009 and USD 20,000 in 2010 to support travel of the secretariat and participants.	52 500	87 475
The former Yugoslav Republic of Macedonia		0	0
Ukraine	EUR 6,000 for the period to the next meeting of the Parties. An additional unpledged contribution of EUR 6,000 in 2010.	9 360	16 118
United Kingdom of Great Britain and Northern Ireland	30 shares (\$30,000) for the period to the next meeting of the Parties.	30 000	29 801
European Union	EUR 50,000 per year, 2008-2010, which may be subject to earmarking. An additional unpledged contribution of EUR 50,000 in 2011.	234 000	269 097
Total		652 790	908 669

^a All Parties to the Convention are listed, whether or not contributing to the trust fund.

^b Exchange rates for pledges, at 21 May 2008: USD 1.56 per EUR; USD 1.10 per CAD.

^c Shares are USD 1,000 in value.

Table A.2
Income to the Convention's trust fund by date

(in United States dollars)

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income</i>
2008			
28 March	Croatia	USD 3 025.78	3 026
1 April	Czech Republic	USD 4 980	4 980
6 May	Azerbaijan	USD 1 000	1 000
15 May	Albania	USD 1 000	1 000
4 June	Germany	USD 15 000	15 000
7 July	Romania	EUR 11 350	17 846
2 September	Netherlands	EUR 30 000	44 313
14 October	Ukraine	EUR 6 000	8 086
20 October	European Union	USD 6 6550	66 550
3 November	Hungary	USD 3 000	3 000
5 November	Switzerland	USD 17 475	17 475
27 November	Ireland	EUR 10 000	12 937
8 December	Norway	EUR 10 783.65	13 968
9 December	Czech Republic	USD 50 00	5 000
11 December	Finland	USD 12 000	12 000
29 December	Canada	USD 4 091.32	4 091
2009			
6 February	Austria	EUR 4 647.76	6 099
10 February	Croatia	USD 2 580	2 580
4 March	Germany	USD 15 000	15 000
29 April	Albania	USD 1 000	1 000
26 June	Slovakia	EUR 2 000	2 789
2 July	Latvia	EUR 999.99	1 406
6 July	Norway	USD 2 3226.20	23 226
14 July	Greece	USD 5 000	5 000
24 July	European Union	EUR 5 0000	70 323
18 August	France	EUR 10 000	14 045
26 August	Hungary	USD 5 000	5 000
4 September	Spain	EUR 15 000	21 583
28 September	Switzerland	USD 17 500	17 500
19 October	United Kingdom	GBP 6 270.42	9 985
2 November	Poland	USD 4 000	4 000
3 November	Slovenia	USD 3 000	3 000
2 December	Cyprus	EUR 6 000	9 036
2 December	Ireland	EUR 10 000	15 060
15 December	Austria	EUR 3 977.20	5 990

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income</i>
16 December	Switzerland	CHF 15 000	15 000
16 December	Canada	USD 4 714.31	4 714
17 December	Serbia	EUR 1 000	1 506
23 December	Norway	USD 17 006.8	17 007
2010			
15 January	United Kingdom	GBP 6 134.93	9 816
17 February	Germany	USD 15 000	15 000
22 February	Slovenia	USD 2 978	2 978
10 March	Italy	EUR 20 000	26 991
15 April	Croatia	USD 2 714.4	2 714
29 April	Hungary	USD 5 000	5 000
20 May	Norway	USD 31 007.75	31 008
2 June	Finland	EUR 9 000	10 989
22 June	Sweden	USD 6 975	6 975
12 July	Czech Republic	USD 5 000	5 000
16 August	Switzerland	USD 37 500	37 500
7 September	European Union	EUR 50 000	63 542
28 September	Austria	EUR 4 590.31	5 833
18 October	Cyprus	EUR 6 000	8 163
9 November	Canada	USD 4 996	4 996
24 November	Ireland	EUR 10 000	13 889
29 November	Greece	USD 5 000	5 000
6 December	France	EUR 10 000	13 089
9 December	Netherlands	EUR 2 000	2 618
15 December	Bulgaria	USD 5 000	5 000
16 December	Armenia	USD 1 000	1 000
27 December	Norway	NOK 100 000	16 670
28 December	Ukraine	EUR 6 000	8 032
2011			
January	Serbia	EUR 1 000	1 314
January	Slovenia	USD 3 000	3 000
January	Germany	USD 15 000	15 000
February	Poland	USD 5 000	5 000
March	European Union	EUR 50 000	68 681
March	Croatia	EUR 2 000	2 747
March	United Kingdom	USD 10 000	10 000 ^a
Total			908 669

^a The transfer encountered difficulties and was still underway at the end of March 2011.

Table A.3
Expenditure from the Convention's trust fund

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure^a</i>
1	MOP-5, originally expected to be held together with MOP/MOP-2 but eventually held with MOP/MOP-1	1		Participation of CITs: travel and DSA	25 000
				Participation of NGOs: travel and DSA	12 000
				Participation of non-UNECE States: travel and DSA	10 000
				Speakers (4): travel and DSA	10 000
Total for activity					57 000
2	MOP/MOP-1	1	(See activity above)	Travel and DSA	0
Total for activity					0
3	Third session of the Meeting of the Signatories to the Protocol, Geneva	1		CIT travel and DSA	8 270
				NGO travel and DSA	6 269
				non-UNECE travel and DSA	1 261
Total for activity					15 800
4.1	Meetings of the Working Group on EIA, Geneva	1	Twelfth meeting, 11–13 May 2009	CIT travel and DSA	12 873
				NGO travel and DSA	10 887
				non-UNECE travel and DSA	4 392
4.2			Thirteenth meeting, 17–19 May 2010	CIT travel and DSA	13 021
				NGO travel and DSA	7 309
				non-UNECE travel and DSA	6 408
4.3			Fourteenth meeting, 24–26 November 2011	CIT travel and DSA	10 762
				NGO travel and DSA	6 269
				non-UNECE travel and DSA	1 261
4.4			(No fifteenth meeting)	travel and DSA	0
Total for activity					73 184
5	Meetings of the Working Group on SEA	1	(None)	travel and DSA	0
Total for activity					0
6.1	Meetings of the Bureau (free-standing), Geneva	2	First meeting, 15–16 January 2009	CIT travel and DSA	4 199

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure^a</i>
6.2			Second meeting, 14–15 January 2010	CIT travel and DSA	1 702
6.3			Third meeting, 1–2 February 2011	CIT travel and DSA	1 558
6.4			(No fourth meeting)	CIT travel and DSA	0
Total for activity					7 459
7.1	Meetings of the Implementation Committee, Geneva	1	Fifteenth session, 28–30 October 2008	CIT travel and DSA	4 968
7.2			Sixteenth session, 10–12 March 2009, Berlin	CIT travel and DSA	6 007
7.3			Seventeenth session, 14–18 September 2009	CIT travel and DSA	5 499
7.4			Eighteenth session, 23–25 February 2010	CIT travel and DSA	3 735
7.5			Nineteenth session, 1 August–2 September 2010	CIT travel and DSA	4 176
7.6			Twentieth session, 11–13 January 2011	CIT travel and DSA	6 207
7.7			Twenty-first session, 20 June 2011	CIT travel and DSA	2 500
Total for activity					33 092
8.1	External expert to provide secretariat support for implementation of Convention and Protocol	1	June–December 2008	Extrabudgetary post	88 723
8.2			2009	Extrabudgetary post	158 996
8.3			2010 (contract break in August)	Extrabudgetary post	139 002
8.4			January–June 2011 (pro-rata)	Extrabudgetary post	72 000
Total for activity					458 722
9	Informal translations of informal papers for meetings listed above	2	(None)		0
Total for activity					0
10.1	Further secretariat support	2	Consultants		0
10.2			Promotional materials		0
10.3			Secretariat travel in relation to the workplan	Secretariat travel and DSA (detailed in table A.4)	52 008
Total for activity					52 008
10a.1	Further secretariat support	3	Consultants		0
10a.2			Promotional materials		0
10a.3			Secretariat travel in relation to the workplan		0
Total for activity					0
11	Promotion of contacts with countries outside the UNECE region	2		Secretariat and Chair's travel and DSA (detailed in Table A.5)	3 563
Total for activity					3 563

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure^a</i>
12.1	Compliance with and implementation of Convention	1	Informal translations of submissions		0
12.2			Drafting of the third review of implementation		0
12.3			Country-specific performance reviews:		
12.3.1			(a) Independent review of Ukraine, including consultancy fees and travel of expert to Kyiv, September 2009		15 450
12.3.2			(b) Technical assistance to Armenia, including consultancy fees and travel		19 999
Total for activity					35 448
14.1	Exchange of good practices	2	Informal translations for one-day seminar		0
14.2			One-day seminars		
14.2.1			(a) Seminar on legislation and procedures, 17 May 2010	Speakers (3): travel and DSA	5 121
14.2.2			(b) None.		0
14.3			Half-day seminars		
14.3.1			(a) Seminar on large-scale activities, 12 May 2009		0
14.3.2			(b) Seminar on climate change in EIA and SEA, 24 November 2010	Speakers (2): travel and DSA	2 155
14.3.3			(c) 20 years of law and practice, 21 June 2011	Speakers (2): travel and DSA	5 000
14.3.4			(d) None.		0
Total for activity					12 276
15	Promoting ratification and application of Protocol	2	(None)		0
Total for activity					0
16	Subregional cooperation	2	Workshop for Mediterranean subregion, 20–21 April 2010, Tunis	Participants (6): travel and DSA	7 590
Total for activity					7 590
17	Capacity-building in countries of Eastern Europe, the Caucasus and Central Asia, and others	2	Subregional workshop in Eastern Europe, 5–7 November 2008		22 831
Total for activity					22 831
Total for all activities					778 973

^a Expenditure in italics is estimated

Table A.4

Secretariat travel in relation to the workplan: travel and subsistence allowances

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure^a</i>
10.3.1	Meeting with relevant authorities to review progress in the implementation of Kazakhstan-Kyrgyzstan pilot transboundary EIA, Almaty (Kazakhstan), 3–5 June 2008	3 528
10.3.2	Start-up meeting for Belgrade Initiative on SEA, Chisinau, 11–12 June 2008	1 845
10.3.3	International Expert Conference on “The Safety of Navigation and Environmental Security in a Transboundary Context in the Black Sea Basin”, Odessa (Ukraine), Organization for Security and Cooperation in Europe (OSCE) and Ministry of Transport and Communications of Ukraine, 24–26 June 2008 (costs covered by organizers)	–
10.3.4	Informal meeting between European Commission (Directorate-General for the Environment) and UNECE on “Lessons of application of the Espoo Convention to current and future major transboundary gas pipeline projects”, Brussels, 15 July 2008 (2 persons)	1 627
10.3.5	Mission to Ukraine and Bystroe Canal Project, led by the secretariat of the Convention on the Conservation of European Wildlife and Natural Habitats (Bern Convention), including the secretariats of the Espoo and Ramsar Conventions, the United Nations Educational, Scientific and Cultural Organization and the Council of Europe, 28–31 July 2008 (costs covered by United Nations regular budget)	–
10.3.6	Budapest, Energy Efficiency Institute training course, 2 October 2008 (costs covered by organizer)	–
10.3.7	Workshop for transboundary EIA capacity-building in Eastern Europe, Chisinau, 5–7 November 2008	2 079
10.3.8	Subregional workshop, Bulgaria (most local costs covered by hosts), 15–17 November 2008	1 026
10.3.9	Meeting under Belgrade Initiative on SEA, 15–16 December 2008, and meeting with Deputy Minister of Environmental Protection and his staff, 17–18 December 2008, Minsk	1 969
10.3.10	Meeting with Deputy Minister and his staff, 17–18 December 2008, Minsk	1 897
10.3.11	Consultations with Government of Ukraine, 5–6 February 2009, Kyiv	1 311
10.3.12	First Turkish national workshop on EIA, plus consultations with relevant authorities, 25–27 February 2009, Antalya (Turkey)	579
10.3.13	Service session of Implementation Committee, 10–12 March 2009, Berlin (2 persons)	3 033
10.3.14	Subregional workshop, presenting results of Kazakhstan-Kyrgyzstan pilot project(s), 24–27 March 2009, Bishkek	1 729
10.3.15	Seminar on international water law and negotiations of multilateral agreements, 19–21 April 2009, Almaty (Kazakhstan) (costs covered by organizer)	–
10.3.16	Workshop on Health and Strategic Environmental Assessment, Consultation Meeting, European Office of the World Health Organization, 8–9 June 2009, Rome (costs covered by organizer)	–
10.3.17	Workshop on EIA in a transboundary context with the five Central Asian countries, Ashgabat (postponed — cancellation charge paid)	210

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure ^a</i>
10.3.18	OSCE-funded start-up meeting for pilot project on the Neman River (Belarus), 22–23 June 2009, Minsk (costs covered by organizer)	–
10.3.19	Mission to Ukraine, with national experts, 7–8 September 2009, Kyiv (costs covered by United Nations regular budget)	–
10.3.20	Subregional workshop for Baltic Sea subregion, 22–23 October 2009, Vilnius (costs covered by United Nations regular budget)	–
10.3.21	Meeting of the Standing Committee of the Bern Convention, 24 November 2009, Bern (file: navigable waterway in the Bystroe Estuary) (costs covered by United Nations regular budget)	–
10.3.22	Subregional workshop for South-Eastern Europe, 15–16 December 2009, Podgorica	1 943
10.3.23	Fifth Ministerial Conference on Environment and Health, 10 March 2010, Parma (Italy), including side event on “Protecting and improving human health through SEA”	635
10.3.24	Workshop on subregional cooperation around the Mediterranean Sea area, International Centre for Environmental Technology at Tunis, 20–21 April 2010, Tunis	1 963
10.3.25	National awareness workshop and training using the Resource Manual to provide assistance to countries in ratification of Protocol on SEA, 9 June 2010, Ljubljana	1 318
10.3.26	Workshop on Capacity Development on EIA in Central Asia and Azerbaijan, 16–18 June 2010, Baku and Salyan (Azerbaijan)	1 844
10.3.27	Round-table session: regional nuclear energy projects, 9 June 2010, Vilnius (most costs covered by organizer, Lithuania)	253
10.3.28	Multilateral environmental agreements training workshop, 22–24 June 2010, Tirana, organized by the Regional Office for Europe of the United Nations Environment Programme (with costs shared with organizer)	484
10.3.29	National seminar on strengthening integrated water resources management and transboundary water cooperation: the role of UNECE conventions, 22–23 July 2010, Dushanbe	3 022
10.3.30	Meeting of Advisory Group on Integrated Coastal Zone Management under the Black Sea Commission, including consideration of draft recommendations on transboundary EIA, 30 September–1 October 2010, Istanbul (Turkey) (most costs covered by the Black Sea Commission)	374
10.3.31	Informal meeting on the Bystroe Channel case with regard to implementation of Espoo Convention (organized by the European Commission), 8 October 2010, Kyiv	1 546
10.3.32	UNECE Water Convention and its role in international law, including a session on building synergies with other legal instruments, 18–19 October 2010, Almaty (Kazakhstan)	–
10.3.33	Mid-term workshop for presentation of the assessment of the pilot implementation project in Belarus, 5 November 2010, Minsk	2 024
10.3.34	Conference for the twenty-fifth anniversary of the EIA Directive: Successes — Failures — Perspectives, 18–19 November 2010, Leuven (Belgium)	1 123
10.3.35	Workshop under project “Capacity Building for Effective Implementation of SEA and EIA Laws in Bulgaria, Romania and Turkey”, including Seminar on EIA of large energy project in the subregion (Black Sea subregion), 30 November–1 December 2010, Szentendre (Hungary), organized by the Regional Environmental Centre for Central and Eastern Europe (with organizer covering many costs)	646

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure^a</i>
10.3.36	National seminar on strengthening integrated water resources management and transboundary water cooperation: the role of UNECE conventions, 6–7 December 2010, Ashgabat (costs covered by Water Convention-related project)	–
10.3.37	National seminar on strengthening integrated water resources management and transboundary water cooperation: the role of UNECE conventions, 14–15 March 2011, Dushanbe (costs covered by Water Convention-related project)	–
10.3.38	Subregional training workshop for strengthening capacities in Central Asia for understanding and implementing the Convention and, in particular, the Convention's Protocol on SEA, with a focus on the water sector, 28 March–1 April 2011, Almaty (Kazakhstan) (2 persons), organized by Regional Environmental Centre for Central Asia	5 000
10.3.39	Subregional workshop for Baltic Sea subregion, 31 March–1 April 2011, Espoo (Finland)	1 500
10.3.40	National seminar on strengthening integrated water resources management and transboundary water cooperation: the role of UNECE conventions, 19–20 May 2011, Bishkek (costs covered by Water Convention-related project)	–
10.3.41	Workshop to examine experiences in the application of the Convention to the Nord Stream project, 19–20 May 2011, Moscow	2 500
10.3.42	Subregional cooperation workshop for the Caucasus, Tbilisi, 25–26 May 2011	2 500
10.3.43	National seminar on the Espoo and Industrial Accidents Conventions, Ashgabat, 5–6 June 2011 (costs covered by Water Convention-related project)	–
10.3.44	National seminar to present the results of a country-specific performance review, Baku, 2011	2 500
Activity total		52 008

^a Expenditure in italics is estimated.

Table A.5

Promotion of contacts with countries outside the UNECE region: travel and subsistence allowances

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure^a</i>
11.1	Task Team on SEA meeting, Development Assistance Committee of the Organization for Economic Cooperation and Development, 17–18 June 2009, Paris	1 004
11.2	Meeting of Government-designated experts on the draft EIA protocol, Framework Convention for the Protection of the Marine Environment of the Caspian Sea, 27–28 January 2010, Moscow	2 062
11.3	Meeting of Government-designated experts on the draft EIA protocol, Framework Convention for the Protection of the Marine Environment of the Caspian Sea, 26–27 April 2010, Baku (costs covered by the Regional Office for Europe of the United Nations Environment Programme)	–
11.4	Mekong Environment and Climate Symposium, 26–27 April 2010, Ho Chi Minh City (Viet Nam) (with most costs covered by organizer, Mekong River Commission, including reimbursement of air ticket cost to trust fund)	248

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure^a</i>
11.5	International Symposium Reducing Conflict and Enhancing Security in Water Management: Lessons Learned from Transboundary Impact Assessment, University of Tokyo, 22 June 2010, Japan (most costs covered by organizer)	249
11.6	Seventh training workshop on transboundary EIA in North-East Asia, 16–19 November 2010, Incheon (Republic of Korea), coordinated by Korea Environment Institute (all costs covered by the organizer)	-
Activity total		3 563

^a Expenditure in italics is estimated.

Table A.6

In-kind contributions made

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Date</i>	<i>Source</i>	<i>Value^a</i>	<i>Notes</i>
9	Informal translations of informal papers for meetings listed above	2	Translation into Russian of informal papers for MOP-5	Spring 2011	Switzerland	5 000	
12.3.3	Compliance with and implementation of the Convention	1	Azerbaijan technical assistance for country-specific performance review		Environment and Security Initiative (ENVSEC), with funding from Finland	30 000	Expected to begin in May 2011
13.1.1	Subregional cooperation and capacity-building	2	Subregional meetings	3 Dec. 2010	Belarus, ENVSEC (Canada)	20 000	Final conference on pilot project in Belarus (see below)
13.1.2				25–27 Mar. 2009	Switzerland	20 000	Subregional workshop (Central Asia), presenting results of Kazakhstan-Kyrgyzstan pilot project(s), Bishkek
13.1.3				22–23 Jul. 2010	Tajikistan and the German International Cooperation Agency, GIZ	20 000	2-day national seminar on legal implementation of the Convention, Dushanbe
13.1.4				May 2011	ENVSEC, with funding from Finland	20 000	Workshop for the Caucasus subregion expected to be held in Tbilisi in May 2011
13.1.5				1 Nov. 2010	Regional Environmental Centre for Central and Eastern Europe, with support of Netherlands	20 000	Seminar on EIA of a very large energy project in the Black Sea area
13.1.6				17–19 Nov. 2008	Bulgaria, and workshop participants	20 000	South-Eastern Europe subregion — Meeting, on the relationship between EIA and SEA, Koprivshitsa (Bulgaria)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Date</i>	<i>Source</i>	<i>Value^a</i>	<i>Notes</i>
13.1.7				22–23 Oct. 2009	Sweden, and workshop participants	20 000	Baltic Sea subregion — workshop, Vilnius
13.1.8				31 Mar.–1 Apr. 2011	Lead countries, workshop participants	20 000	Baltic Sea subregion — workshop, Espoo (Finland)
13.2.1			Pilot projects	2009– 2010	Belarus, ENVSEC, with funding from Canada	50 000	Pilot project on Neman River hydropower plant. Start-up workshop in June 2009, mid- term workshop in November 2010, and final conference in December 2010 (actual cost substantially higher)
13.2.2				2006– 2009	Kyrgyzstan, Kazakhstan, and OSCE, under ENVSEC, with funding from Norway	50 000	Pilot project for Andash gold- copper mine in Kyrgyzstan.
14.2.1	Exchange of good practices	2	One-day seminars	17 May 2010	Armenia	0	Legislation and procedures. Organized by secretariat within a half-day.
14.3.1			Half-day seminars	12 May 2009	European Commission	5 000	Large-scale projects
14.3.2				24 Nov. 2010	Austria	2 845	Climate change, EIA and SEA, with two speakers supported by trust fund
15.1.1	Promoting ratification and application of the Protocol	2	National awareness- raising workshops	9 Jun. 2010	Slovenia	3 000	Neighbouring States invited but did not participate
				28 Mar.–1 Apr. 2011	GIZ (Germany)	3 000	Held in Almaty (Kazakhstan), organized by the Regional Environmental Centre for Central Asia, for all five Central Asian States. Nominal value according to budget is shown, but actual cost exceeded \$50,000.
15.2			Subregional training workshop for countries of South-Eastern Europe	22–26 Sept. 2008	United Nations Development Programme	30 000	Held in Prague by United Nations Development Programme, with support from the Czech Trust Fund
16	Subregional cooperation	2	Workshop in Tunis	20–21 Apr. 2010	Tunisia	12 410	Subregional workshop, Tunis, financing of eligible participants via trust fund (Italy), with other arrangements by host Government
Total						351 255	

^a Value is that indicated in the current budget (decision IV/8).

Table A.7
Budget, after decision IV/8
(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation Justification</i>
Organizational activities							
1	MOP-5, probably together with MOP/MOP-2	1	–	45 000	0	12 000	Combination of MOP-5 with MOP/MOP-1.
2	MOP/MOP-1	1	–	45 000	45 000	0	Held with MOP-5.
3	Third session of the Meeting of the Signatories to the Protocol, prior to MOP/MOP-1	1	–	35 000	19 200	0	Completed.
4	Meetings of Working Group on EIA (taking into account likely savings of meetings being held back to back)	1	–	140 000	66 816	0	Three of four completed; fourth to be held within MOP-5.
5	Meetings of Working Group on SEA (taking into account likely savings of meetings being held back to back)	1	–	70 000	70 000	0	Cancelled as the Working Group on SEA was not established prior to MOP-5.
6	Meetings of the Bureau (free-standing)	1	–	20 000	12 541	0	Only three of four planned was necessary.
7	Meetings of the Implementation Committee	1	–	30 000	0	3 092	Completed, with additional session at start of MOP-5.
8	External expert to provide secretariat support for the implementation of the Convention and the Protocol (including coordination of SEA capacity development, development and maintenance of website, operation of clearing house, modification of questionnaire, drafting of third review of implementation and of other documents)	1	–	444 000	0	14 722	Actual expenditure increased during lengthy recruitment process.
9	Informal translations of informal papers for meetings listed above	2	–	50 000	45 000	0	Completed.
10.1	Further secretariat support for the implementation of the Convention and the Protocol	2	Consultants	60 000	60 000	0	Completed by secretariat without recourse to a consultant.
10.2			Promotional materials	30 000	30 000	0	Completed by secretariat without recourse to the trust fund.
10.3			Secretariat travel in relation to the workplan	60 000	7 922	0	Completed.

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation Justification</i>
10a.1	Further secretariat support for the implementation of the Convention and the Protocol		Consultants	60 000	60 000	0	Completed by secretariat without recourse to a consultant.
10a.2			Promotional materials	30 000	30 000	0	Completed by secretariat without recourse to the trust fund.
10a.3			Secretariat travel in relation to the workplan	60 000	60 000	0	Completed by secretariat without recourse to the trust fund.
11	Promotion of contacts with countries outside the UNECE region	2	–	25 000	21 437	0	Completed.
Substantive activities							
12.1	Compliance with and implementation of the Convention	1	Informal translations of submissions	10 000	10 000	0	Not required.
12.2			Drafting of third review of the implementation of the Convention	20 000	20 000	0	Completed by secretariat without recourse to consultant.
12.3			Country-specific performance reviews	90 000	24 552	0	Consultancy contracts for first two reviews organized at reduced cost.
13.1	Subregional cooperation and capacity-building	2	Subregional meetings	200 000	20 000	0	Eight of ten completed; tenth workshop is item 16 below.
13.2			Pilot projects	100 000	0	0	Both completed
14.1	Exchange of good practices	2	Informal translations for one-day seminar on “Legislation and procedures for implementation of the Convention”	20 000	20 000	0	Completed. Arranged without use of the trust fund.
14.2			One-day seminars	40 000	34 879	0	One completed; one cancelled.
14.3			Half-day seminars during other meetings	20 000	5 000	0	Three of four completed; one cancelled.
15.1	Promoting ratification and application of the Protocol		National awareness-raising workshops	6 000	0	0	Completed.
15.2			Subregional training workshop	30 000	0	0	Completed.

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation Justification</i>
Carried forward activities							
16	Subregional cooperation		Workshop for Mediterranean subregion	23 869	3 869	0	Completed. Standard cost reduced to USD 20,000
17	Capacity-building in countries of Eastern Europe, the Caucasus and Central Asia, and others		Subregional workshop in Eastern Europe	30 000	7 169	0	Completed. The Republic of Moldova organized the workshop at lower cost.
Total				1 793 869	673 455	29 813	