

# Zero Tolerance for Corruption Evaluator's Assessment Document

A project of the United Nations  
Economic Commission of Europe

Scott Walchak, Esq.

# Zero Tolerance for Corruption Evaluator's Assessment Document

- The assessment document is a tool to evaluate the extent to which a PPP unit and/or public entity is meeting the UNECE's Charter to Zero Tolerance for Corruption in PPPs and implementing PPP anti-corruption standards.
- Compilation of anti-corruption elements and input generated by the PPP Team of Experts.
- Structured as a checklist with an assessment guide which provides further detail on the completion of the evaluation.
- Evaluation is a partnering exercise between evaluators and the host government to encourage anti-corruption practices, and governments have expressed interest in the evaluation and certification service.

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Comments:	
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## B. Organizational Integrity

<b>I. Organizational Standards</b>	0-3
1. Do the public entities responsible for PPPs promote management excellence and integrity in public service?	
2. Do the public entities responsible for PPPs have in place, disseminate, train, and/or enforce a sound set of code of conduct and/or ethical standards?	
3. Do the public entities responsible for PPPs select or operate under processes for selecting projects based on socio-economic needs rather than political needs?	
4. Do the public entities responsible for PPPs undertake projects where international financial or institutional standards apply?	
Comments:	

<b>II. Organizational Accountability</b>	0-3
1. Do the public entities responsible for PPPs operate within a structured legal and regulatory environment that encourages ethical behavior?	
2. Do the public entities responsible for PPPs exercise clarity in language in documentation?	
3. Do the public entities responsible for PPPs employ mechanisms to achieve administrative accountability?	

4. Do the public entities responsible for PPPs have in place a proper grievance mechanism or process?	
5. Do the public entities responsible for PPPs use systems to reduce human error or improper influence?	
6. Do the public entities responsible for PPPs have controls in place to discourage PPP unit/public entity employees being employed by entities awarded PPP contracts?	
Comments:	

<b>III. Organizational Transparency</b>	0-3
1. Do the public entities responsible for PPPs use transparent and widely communicated decision making processes and/or approval mechanisms?	
2. Do the public entities responsible for PPPs enforce a culture of transparency and access to information?	
3. Do the public entities responsible for PPPs publicize the solicitation widely, accessibly and in a reasonable and timely manner?	
4. Do the public entities responsible for PPPs ensure clear, uniform, formal and ethical communications, consultations, and negotiations with private sector?	
5. Do the public entities responsible for PPPs ensure proper retention of and access to public and private project records?	
Comments:	

## C. Tendering Standards

<b>I. Organization of Competitive Tendering</b>	0-3
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## **PPP Environmental elements**

The “PPP Environmental” elements are evaluation criteria focusing on the structural elements that support non-corrupt PPP institutions and projects.

### **PPP Framework**

**Clear Administrative Structure**  
**Adhere to ZTC Charter**  
**Conducive PPP Environment**  
**Responsibilities Defined**  
**Ethical Laws / Regulations**

### **PPP Human Resources**

**Reflect Diversity**  
**Minority Opportunities**  
**Favorable Working Conditions**  
**Applicant Integrity**  
**Sufficient Number of Staff**  
**Capacity of Staff**  
**Independence of Advisors**  
**Training Efforts**  
**PPP Contract Management**

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## **PPP Organizational elements**

The “PPP Organization” elements are evaluation criteria examining the Host Entity’s organizational integrity, accountability, and transparency that support anti-corrupt administrative behavior.

<b><u>Organizational Integrity</u></b>	<b><u>Organizational Accountability</u></b>	<b><u>Organizational Transparency</u></b>
<b>Management Excellence Code of Conduct &amp; Ethics Projects Based on Need Application of Int’l Standards</b>	<b>Ethical Environment Clarity of Communications Administrative Accountability Auditing Practices Grievance Mechanisms System Automation Employee Conflicts of Interest</b>	<b>Clarity in Language Transparent Approvals Access to Information Proposer Examination Publication of the Solicitation Uniform Communications Retention of Records</b>

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## PPP Tendering elements

The “Tendering Process” elements are evaluation criteria that evaluate the competitive nature of a Host Entity’s solicitation processes and overall integrity and transparency of the tendering process.

### Competition

Procedural Controls  
Foster Competition  
Conflict of Interest Review  
Financial Obligation Controls  
Fair Proposer Interactions  
Transparent Evaluations  
Written Evaluation Reports  
Proposer Certs / Guarantees  
Neutral Evaluators  
Neutral Criteria

### Stakeholder Consultation

Stakeholder Involvement  
Use of Fairness Agents  
Governmental Consultations

# Zero Tolerance for Corruption Evaluator's Assessment Document

- Evolving document and will be updated and revised as further input is received, in particular as preliminary evaluations are conducted.
- Will remain fully aligned with the Zero Tolerance for Corruption Charter, which is also evolving and receiving comments and input.
- For questions, comments, or inquiries contact:  
**scott.walchak@gmail.com**

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