## Text of two ASEP proposals And issues to be discussed

## Issued by the chairman

## Status of discussion before the meeting 7-9 december 2009

This document contains the two alternatives for ASEP that where discussed in GRB September 2009. Where the two proposal are different, the text is split into two collums A and B. Proposal A is prepared in the GRBIG (TRANS/WP.29/GRB/50/inf01). Proposal B is prepared by the Netherlands (TRANS/WP.29/GRB/2009/5). In some cases the differences are also highlighted for clarity in **bold** text. Where the two proposals are identical, the text continues in a single column. In case of marginal differences like "COP" versus "Conformity Of Production" and "shall be" versus "have to be" only the first variant has been used.

Text marked in orange has been added for clarification to remind the group and or GRB of earlier discussions or to highlight action points

## Main body

## 6.2.3. Additional sound emission provisions

The additional sound emission provisions apply only to vehicles of categories M1 and N1 equipped with an internal combustion engine.

А	В
Vehicles are deemed to fulfil the requirements of this annex, if the vehicle manufacturer provides technical documents to the type approval authority showing, that the difference between maximum and minimum of the vehicles engine speed at BB' for any test condition inside the ASEP control range defined in 3.3. below (including Annex 3 conditions) does not exceed 0.15 x (S-n <sub>idle</sub> ). This article is	B No text Note: In proposal B this text is placed (without changes) in annex 10 par 1.
intended especially for non-lockable CVT's.	

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- Note: GRB agreed to exclude "plug in" hybrid vehicles from ASEP with a time restriction of 5 years. "Mild" hybrids should remain to be subject of ASEP. A final wording has to be found in the informal group.
- Note: OICA may wish to come forward with a definition of "bigger"N1 vehicles that might be excluded

The additional sound emission provisions are preventive requirements. The purpose of these requirements is to ensure that the sound emission of the vehicle under typical driving conditions different from the conditions of the type approval test in Annex 3 shall not deviate considerably from what can be expected from the Annex 3 test result for this specific vehicle.

6.2.3.1. The vehicle manufacturer shall not intentionally alter, adjust, or introduce any mechanical, electrical, thermal, or other device or procedure solely for the purpose of fulfilling the noise emission requirements as specified in this regulation and as determined by the test procedure of Annex 3 but which will not be operational during typical on-road operation. These measures are commonly referred to as "cycle detection".

This shall not prevent the installation on a vehicle of any control device, function, system or measure if:

- it is activated only for such purposes as engine protection, cold starting or warming up, or
- it is activated only for such purposes as operational security or safety and limphome strategies.
- 6.2.3.2. The vehicle shall meet the requirements of annex 10
- 6.2.3.3. In the application for type approval the manufacturer shall provide a statement (in conformity with Appendix 1 of annex 10) that the vehicle type to be approved complies with the requirements of paragraph 6.2.3 of this Regulation.

### 8. CONFORMITY OF PRODUCTION

The conformity of production procedures shall comply with those set out in the Agreement, Appendix 2 (E/ECE/324-E/ECE/TRANS/505/Rev.2) with the following requirements:

- 8.1. Vehicles approved to this Regulation shall be so manufactured as to conform to the type approved by meeting the requirements set forth in paragraph 6. above. The limit values set forth in paragraph 6 and referenced appendices apply with an additional margin of 1 dB(A).
- 8.2. The minimum requirements for conformity of production control procedures set forth in Annex 7 to this Regulation shall be complied with.

8.3. The authority which has granted type approval may at any time verify the conformity control methods applied in each production facility. The normal frequency of these verifications shall be one every two years.

#### Annex 7

#### CHECKS ON CONFORMITY OF PRODUCTION

#### 1. General

These requirements are consistent with the test to be held to check conformity of production according to paragraph 8. of this Regulation.

#### 2. Testing procedure

The test site and measuring instruments shall be those as described in Annex 3.

2.1. The vehicle(s) under test shall be subjected to the test for measurement of sound of vehicle in motion as described in paragraph 3.1. of Annex 3.

#### 2.2. Compressed air sound

Vehicles having maximum mass exceeding 2,800 kg and equipped with compressed air systems must be subjected to an additional test for measurement of the compressed air sound as described in paragraph 1. of Annex 6.

2.3 Additional Sound Emission Provisions.

The vehicle manufacturer shall assess the compliance with ASEP by an appropriate evaluation (for example, but not limited to, part checks) or may perform the test described in Annex 10.

3. Sampling and Evaluation of the results

One vehicle has to be chosen and subjected to the tests of paragraph 2 above. If the test results fulfill the COP requirements of paragraph 8 of the main body of this regulation, the vehicle is considered to be in compliance with the COP provisions.

If one of the test results does not fulfill the COP requirements of paragraph 8 of the main body of this regulation two more vehicles of the same type shall be tested pursuant to paragraph 2 above.

If the test results for the second and the third vehicle fulfill the COP requirements of paragraph 8 of the main body of this regulation, the vehicle is considered in compliance with COP.

If one of the test results of the second or third vehicle does not fulfill the COP requirements of paragraph 8 of the main body of this regulation the vehicle type shall be considered not to conform to the requirements of this Regulation and the manufacturer shall take the necessary measures to re-establish the conformity.

### Annex 10

### MEASURING METHOD TO EVALUATE THE COMPLIANCE WITH THE ADDITIONAL SOUND EMISSION PROVISIONS only applicable for vehicles of categories M1 and N1 which are equipped with an internal combustion engine

#### 1. INTRODUCTION

This annex describes a measuring method to evaluate the compliance of the vehicle with the additional sound emission provisions conform paragraph 6.2.3. of this regulation. The expected noise emission in a particular gear ratio is approximated by a linear function of engine speed. The anchor point of this linear function is based on the test results of annex 3.

А	В
The slope of this linear function is based on actual test results and limited to a maximum value.	No text

Although the vehicle shall meet the requirements in this annex, it is not obligatory to perform actual tests when applying for type approval. It is obligatory for the manufacturer to sign a declaration of compliance conform Appendix 1 to this annex. The type approval authority shall have the possibility to ask for additional information about the declaration of compliance and/or carry out the tests as described below.

А	В
No text Note: In proposal A this text is placed (without changes) in the main body par 6.2.3.	Vehicles are deemed to fulfill the requirements of this annex, if the vehicle manufacturer provides technical documents to the type approval authority showing, that the difference between maximum and minimum of the vehicles engine speed at BB' for any test condition inside the ASEP control range defined in 3.3. below (including Annex 3 conditions) does not exceed 0.15 x (S-nidle). This article is intended especially for non-lockable CVT's.

The analysis of Annex 10 requires the performance of a test according to Annex 3. This Annex 3 test has to be performed under similar conditions on the same track as the tests according to Annex 10.

#### 2. MEASURING METHOD

#### 2.1 <u>measuring instruments and condition of measurements</u>

Unless specified differently here after, the measuring instruments, the conditions of the measurements and the condition of the vehicle are equal to those specified in Annex 3 paragraph 1 and 2.

А	В
If the vehicle has different modes (such as	If the vehicle has different modes (such as
transmission control systems), all these	control systems of the transmission, the
modes shall be in compliance with the	driving performance or the sound
requirements in this annex	emission), all these modes shall be in
	compliance with the requirements in this
	annex

### 2.2. <u>Method of testing</u>

Unless specified differently here after, the conditions and procedures of Annex 3 paragraph 3.1 until 3.1.2.1.2.2. shall be used

А	В
No text	Differing from Annex 3, single
	measurements are processed and evaluated rather than averages of four measurements
	for the same test condition.

	А	В
2.3	Boundary conditions	2.3 <u>Control range</u>

There is a range of valid operation conditions which have to fall within the following boundary conditions:

Vehicle speed V <sub>AA_ASEP</sub> :	$v_{AA} \ge 20 \text{ km/h}$
Vehicle acceleration a <sub>WOT ASEP</sub> :	$a_{WOT} \leq 4.0 \text{ m/s2}$
Engine speed n <sub>BB_ASEP</sub> :	$n_{BB} \le 2.0 \text{ *pmr}^{-0.222} \text{ *s or}$
	$n_{BB} \le 0.9$ *s whichever is the lowest

Vehicle speed V <sub>BB_ASEP</sub> :	
if $n_{BB\_ASEP}$ is reached in c	one gear $v_{BB} \le 70 \text{ km/h}$
in all other cases	$v_{BB} \le 80 \text{ km/h*}$
gears	$k \le highest gear measured in Annex 3$
	k not first gear and not reverse
* If the vehicle, in the lowest valid gear, does not achieve the maximum engine speed	
below 70 km/h, the vehicle speed limit is 80 km/h	

	В	
Vehicle speed V <sub>BB_ASEP</sub> :	$v_{BB} \le 80 \text{ km/h}$	

### 2.4 <u>Gear ratios</u>

А	В
If the vehicle has various operating modes the vehicle manufacturer shall determine the mode of testing that leads to valid results within the boundary conditions as specified in paragraph 2.3 above. This setup mode shall be	The ASEP requirements apply to every gear ratio k that leads to test results within the <b>control range</b> as defined in paragraph 2.3 in this Annex. <b>with the following</b> <b>restrictions:</b>
documented in the test report. The ASEP requirements apply to every gear ratio k that leads to test results within the boundary conditions as defined in paragraph 2.3 in this Annex.	In the case of vehicles tested with fixed gear ratios, only gears below and including those gears tested in Annex 3 shall be used.

In case of vehicles with automatic transmissions, adaptive transmissions and CVT's tested with non-locked gear ratios, the test may include a gear ratio change to a lower range and a higher acceleration. A gear change to a higher range and a lower acceleration is not allowed. A gear shifting which leads to a condition that is not in compliance with the boundary conditions shall be avoided. In that case, it is permitted to establish and use electronic or mechanical devices, including alternate gear selector positions.

	Α	В
2.5	Target conditions	No text
	The sound emission shall be measured in each valid gear ratio at the four test points as specified below.	
	The first test point $P_1$ is defined by using an entry speed $v_{AA}$ of 20 km/h. If a stable acceleration condition cannot be achieved the speed shall be increased in steps of 5 km/h until a stable acceleration is reached. The fourth test point $P_4$ is defined by the maximum vehicle speed at BB' in that gear ratio within the boundary conditions according to paragraph 2.3	
	The other two test points are defined by the following formula:	
	Test Point $P_j$ : $v_{BB_j} = v_{BB_1} + (j-1)/3 * (v_{BB_4} - v_{BB_1})$ for $j = 2$ and 3	
	where $v_{BB_1}$ = vehicle speed at BB' of test point P <sub>1</sub> $v_{BB_4}$ = vehicle speed at BB' of test point P <sub>4</sub>	
	Tolerance for $v_{BB_j}$ : +/- 3 km/h For all test points the boundary conditions as specified in 2.3 shall be met.	

А	В
2.6. <u>Test of the vehicle</u>	2.5. <u>Test of the vehicle</u>

The path of the centerline of the vehicle shall follow line CC' as closely as possible throughout the entire test, from the approach to line AA' until the rear of the vehicle passes line BB'

А	В
At line AA' the accelerator shall be fully	No text
depressed. To achieve a more stable	
acceleration or to avoid a down shift	
between line AA' and BB' pre-	
acceleration before line AA' may be used.	
The accelerator shall be kept in depressed	
condition until the rear of the vehicle	
reaches line BB'.	

For every separate test run the following parameters shall be determined and noted:

- The maximum A-weighted sound pressure level of the both sides of the vehicle indicated during each passage of the vehicle between the two lines AA' and BB', mathematically rounded to the first decimal place. (L<sub>wot,kj</sub>). If a sound peak obviously out of character with the general sound pressure level is observed, the measurement shall be discarded. Left and right side may be measured simultaneously or separately.
- The vehicle speed readings at AA' and BB' shall be reported with the first significant digit after the decimal place. (v<sub>AA,kj</sub>; v<sub>BB,kj</sub>)
- If applicable, the engine speed readings at AA' and BB' shall be reported as a full integer value. (n<sub>AA,kj</sub>; n<sub>BB,kj</sub>)

The calculated acceleration shall be determined in accordance to the formulas in annex 3 par 3.1.2.1.2 and reported to the second digit after the decimal place ( $a_{wot,test,kj}$ ).

А	В
No text	A test run is valid only if the measured vehicle performance and operation conditions fall inside the ASEP control range specified in paragraph 2.3. above

Note: Chapter 3 and 4 are totally different in proposal A and B. Therefore they are reproduced below as integral chapters rather than paragraph by paragraph.

A

## 3. ANALYSIS OF RESULTS

### 3.1. Determination of the anchor point for each gear ratio

For measurements in gear i and lower, the anchor point consists of the maximum sound level  $L_{woti}$ , the reported engine speed  $n_{woti}$  and vehicle speed  $v_{woti}$  at BB' of gear ratio i of the acceleration test in Annex 3.

 $L_{anchor,i} = L_{woti,annex 3}$ 

 $n_{anchor,i} = n_{BB,woti, annex 3}$ 

 $v_{anchor,i} = v_{BB,woti, annex 3}$ 

For measurements in gear i+1 the anchor point consists of the maximum sound level  $L_{woti+1}$ , the reported engine speed  $n_{woti+1}$  and vehicle speed  $v_{woti+1}$  at BB' of gear ratio i+1 of the acceleration test in Annex 3.

$$\begin{split} L_{anchor,i+1} &= L_{woti+1,annex \ 3} \\ n_{anchor,i+1} &= n_{BB,woti+1,\ annex \ 3} \\ v_{anchor,i+1} &= v_{BB,woti+1,\ annex \ 3} \end{split}$$

- Note: as a consequence of skipping the tyre noise compensation, and meanwhile keeping the anchor point in the regression analysis, the outcome of the regression analysis may have no relation with the expected physical behavior.
- 3.2 <u>Calculation of the slope of the regression line for each gear</u>

The sound measurements shall be evaluated as function of engine speed according to 3.2.1,

3.2.1 Calculation of the regression line as function of engine speed

The linear regression line is calculated using the anchor point and the four correlated additional measurements.

$$Slope_{k} = \frac{\sum_{j=1}^{5} (n_{j} - \overline{n})(L_{j} - \overline{L})}{\sum_{j=1}^{5} (n_{j} - \overline{n})^{2}} \text{ (in dB/1000 rpm)}$$

With  $\overline{L} = \frac{1}{5} \sum_{j=1}^{5} L_j$ ;

$$\overline{n} = \frac{1}{5} \sum_{j=1}^{5} n_j ;$$

where  $n_i$  = engine speed measured at line BB'

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#### 3.2.2 Slope for further calculation

The slope of a particular gear for the further calculation is the derived result of the calculation formula under 3.2.1 rounded to the first decimal place, but not higher than

[X] dB/1000 rpm

### 3.3 <u>Calculation of the linear noise level increase expected for each measurement</u>

The sound level  $L_{ASEP,kj}$  for measurement point j in gear k shall be calculated using the engine speeds measured for each measurement point, using the slope determined in 3.2 relative to the specific anchor point for each gear ratio.

$$\begin{split} L_{ASEP\_k,j} &= L_{anchor\_k} + (Slope_k - Y) * (n_{BB\_k,j} - n_{anchor,k})/1000 & \text{for } n_{BB\_k,j} \leq n_{anchor,k} \\ \text{and} \\ L_{ASEP\_k,j} &= L_{anchor\_k} + (Slope_k + Y) * (n_{BB\_k,j} - n_{anchor,k})/1000 & \text{for } n_{BB\_k,j} > n_{anchor,k} \end{split}$$

Where Y= half the difference in slope below and above the anchor point

### 3.4 <u>Samples</u>

On request of the type approval authority two additional runs within the boundary conditions according to paragraph 2.3 of the Annex shall be carried out.

### 4. INTERPRETATION OF RESULTS

Every individual noise measurement shall be evaluated.

The sound level of every specified measurement point shall not exceed the limits given below:

 $L_{kj} \leq L_{ASEP_k,j} + [Z] + [(Limit_{Annex 3} - L_{urban,Annex 3})]$ 

If the measured noise level in a point exceeds the limit, two additional measurements at the same point shall be carried out to verify the measurement uncertainty. The vehicle is still in compliance with ASEP, if the average of the three valid measurements at this specific point fulfills the above specification.

Note: The value of the X, Y and Z coefficient are not set yet and may need additional discussion.

	В
3.	NOISE LIMITATION
	The maximum A-weighted sound pressure level recorded during a test run as specified above shall not exceed the limit curve defined below.
3.1.	Anchor point
	The anchor point is defined in terms of engine speed and sound pressure level.
	The engine speed of the anchor point, $n_{anchor}$ , is the effective engine speed at BB' of the acceleration test in Annex 3. In the case of a single gear test in gear i the engine speed of the anchor point is:
	$n_{anchor} = n_i.$
	In the case of a two-gear test in gears i and i+1 the engine speed of the anchor point is the weighted average of the engine speeds in both gears, namely
	$n_{anchor} = n_{i+1} + k^*(n_i - n_{i+1}),$
	where k is the gear ratio weighting factor defined in paragraph 3.1.3.1. of Annex 3.
	The sound pressure level of the anchor point, L <sub>anchor</sub> is defined as
	$L_{anchor} = L_{wot,annex 3} + X + (Limit_{Annex 3} - L_{urban,Annex 3})$
	where: $L_{wot,Annex 3}$ is the reported value of the maximum sound pressure level of the acceleration test of Annex 3 as defined in paragraph 3.1.3.1. of Annex 3, X is a general margin (X = [2]), $Limit_{Annex 3}$ is the limit value of Annex 3 for the vehicle tested, and $L_{urban,Annex 3}$ is the final test result of Annex 3 for the vehicle tested as defined in paragraph 3.1.3.1. of Annex 3.
3.2.	Not-to-exceed (NTE) point
	For each gear k tested, the NTE point is defined in terms of engine speed and sound pressure level.
	The engine speed of the NTE point for gear k, $n_{NTE,k}$ , is defined as the maximum engine speed at BB' for ASEP tests according to the definition of the control range in paragraph 2.3. above.
	The sound pressure level of the NTE point, $L_{NTE}$ is defined as:
	$L_{\text{NTE}} = \text{Limit}_{\text{Annex 3}} + Y$
	where:

	Limit <sub>Annex 3</sub> is the limit value of Annex 3 for the vehicle tested, and Y is the allowed noise increase in the ASEP control range (Y = [8]).
3.3.	Limit curve
	The limit for a measurement in gear k is given as function of the engine speed $n_k$ .
	For engine speeds below the anchor point, the limit curve follows a fixed slope of $Z = [3]$ in units of dB/1000 min <sup>-1</sup> :
	$Limit_{ASEP}(n_k) = L_{anchor} + Z * (n_k - n_{anchor})/1000$
	For engine speeds above the anchor point, the limit curve is the linear connection between the anchor point and the NTE point:
	$Limit_{ASEP}(n_k) = L_{anchor} + (L_{NTE} - L_{anchor})^*(n_k - n_{anchor})/(n_{NTE,k} - n_{anchor})$
Note:	The value of the X, Y and Z coefficient are still in square brackets and may need additional discussion in the group. They may also be tuned to the upcoming limits of the annex 3
4.	COMPLIANCE TESTS
	The type approval authority as well as the technical service may request tests to check the compliance of the vehicle with the requirements of this annex. To avoid undue work load, testing typically consists of 2 random test points in every valid gear. Pre- testing may be used to determine the most relevant test points. For all test points the boundary conditions as specified in paragraph 2.3. shall be met.

### Appendix 1 to Annex 10 (Maximum format: A4 (210 x 297 mm))

Statement of compliance with the Additional Sound Emission Provisions

.....(Name of manufacturer) attests that vehicles of this type .....(type with regard to its noise emission pursuant to ECE Regulation 51) comply with the requirements of paragraph 6.2.3 of Regulation number 51 ......(Name of manufacturer) makes this statement in good faith, after having performed an appropriate evaluation of the sound emission performance of the vehicles.

Date:
Name of authorized representative
Signature of authorized representative:

# List issues in a table, Report to GRB

Issues brought up by Contr. Parties	Issues brought up by OICA
Partial load	Excluding N1's
	Excluding 'plug in Hybrids'
	Excluding 'real' Hybrids
	Excluding CVT's
	Skipping higher gears
	Vmax 80 → 70/80
	Edging

Place (main body	<b>ISSUE</b> excluding bigger N1's		Proposed by: OICA	
par 6.2.3)				
Clarification availab	le:	ECE-TRANS-W	P29-GRB-50-inf11e	
document		ECE-TRANS-W	ECE-TRANS-WP29-GRB-50-inf12e	
Arguments for		Arguments agai	Arguments against	
Parties supporting		Parties not supp	orting	
Formal wording				
PM/aspects				

Place (main body	<b>ISSUE</b> Excluding 'plug in Hybrids'		Proposed by: OICA
par 6.2.3)			
Clarification availab	le:	GRBIG-ASEP-	15-008
document			
Arguments for		Arguments agai	nst
Parties supporting		Parties not supp	orting
Formal wording			
PM/aspects			

Place (main body	<b>ISSUE</b> Excluding 'real' Hybrids		Proposed by: OICA
par 6.2.3)			
Clarification availab	le:	GRBIG-ASEP-	15-008
document			
Arguments for		Arguments agai	nst
Parties supporting		Parties not supp	orting
Formal wording			
PM/aspects			

Place (main body	<b>ISSUE</b> Excluding CV	T's	Proposed by: Japan
par 6.2.3)			
Clarification availab	le:	GRBIG-ASEP-15-004	
document			
Arguments for		Arguments agai	nst
Parties supporting		Parties not supp	orting
Formal wording			
PM/aspects			

Place (Annex 10	<b>ISSUE</b> Skipping higher gears		Proposed by: OICA
par 2.3)			
Clarification availab	le:	No official document available. Was request by OICA in order to reduce work load of testing	
document			
Arguments for		Arguments again	nst
Parties supporting		Parties not supp	orting
Formal wording			
PM/aspects			

Place (Annex 10 <b>ISSUE</b> $Vmax 80 \rightarrow 7$	/0/80	Proposed by: OICA
par 2.3) Clarification available:	No document or	vilable Argument is that some
document		vailable Argument is that some or small to test slow accelerating
document		6
	1	ds over 70 km/h. GRBIG-ASEP- l to set a compromise
Arguments for	Arguments again	nst
Parties supporting	Parties not supp	orting
Formal wording		
PM/aspects		

Place (Annex 10 par 3.3)	ISSUE Edging		Proposed by: OICA	
Clarification available:		No document available. Proposal for different		
document		slope below and above anchor point pops up in		
		various (old) pr	oposals. Argument is that Edging	
		will allow tighte	er control close to the anchor point	
		and loosen cont	rol far away from the anchor	
		point.		
Arguments for		Arguments against		
Parties supporting		Parties not supporting		
Formal wording				
PM/aspects				

Place (Annex 10	<b>ISSUE</b> Partial load	Proposed by: Netherlands	
par 2.5 of method			
B)			
Clarification available:		No document available. It is always assumed that	
document		partial load gives lower values than WOT and	
		therefore automatically complies with the limit.	
Arguments for		Arguments against	
Parties supporting		Parties not supporting	
Formal wording			
PM/aspects			