

#### NHTSA Mission

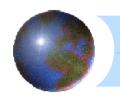
Save lives, prevent injuries and reduce traffic-related healthcare and other economic costs.





#### Status of Highway Safety in U.S.

- 6.3 million crashes
- 42,636 fatalities (2004 CY)
  - On average, 1 fatality every 12 minutes
  - Leading cause of death for persons between the ages of 2 and 33
- 2.8 million injuries (2004 CY)
- \$231 billion a year in societal cost (2004 CY)
- Success rate flattened





#### In the U.S., Traffic Safety

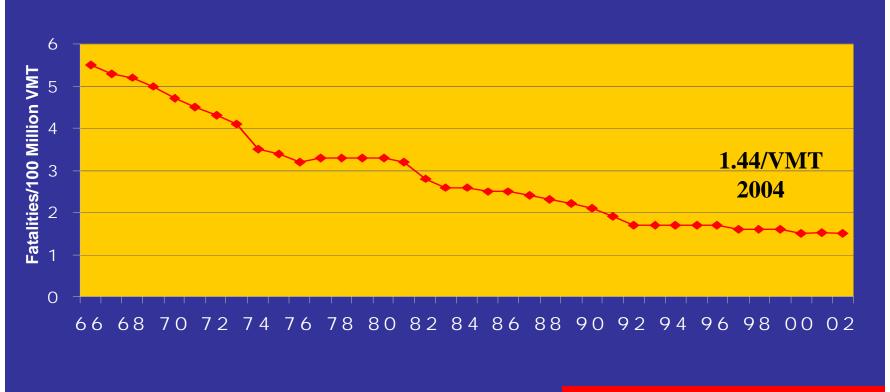
- Top transportation priority
- Public health priority
- NHTSA is the lead U.S. agency on crash-related injury prevention





#### U.S. MOTOR VEHICLE FATALITY RATE (1950-2002)









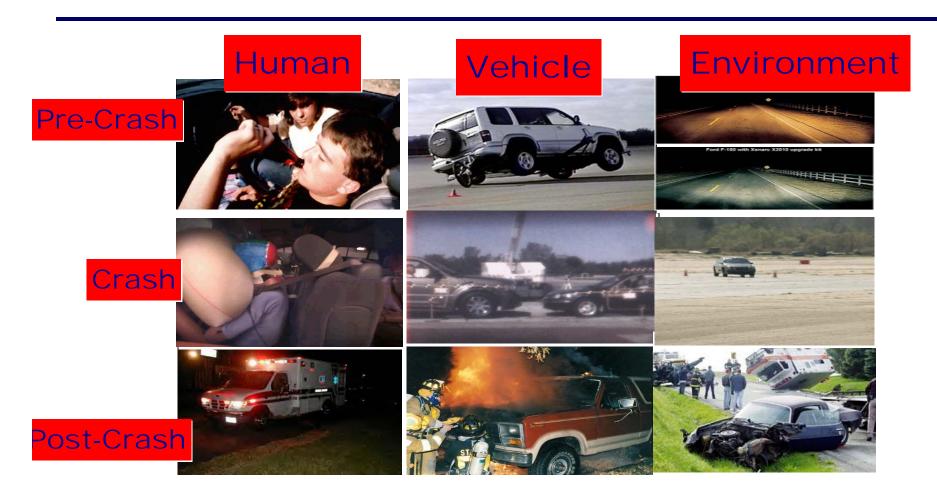
#### NHTSA Strategy

- Comprehensive policy approach
  - Environment, Vehicle, Human
- Science-based, data driven approach
  - Pre-crash, Crash, Post-crash
- Partnerships





#### Haddon Matrix







#### Establishing Vehicle Safety Regulations in the US



#### U.S. Vehicle Safety Regulations Overview

- NHTSA's Authority to Regulate and the Criteria for Establishing Safety and Emission Standards
- The Rulemaking Process
- Enforcing Vehicle Standards
- Influence of Global Harmonization



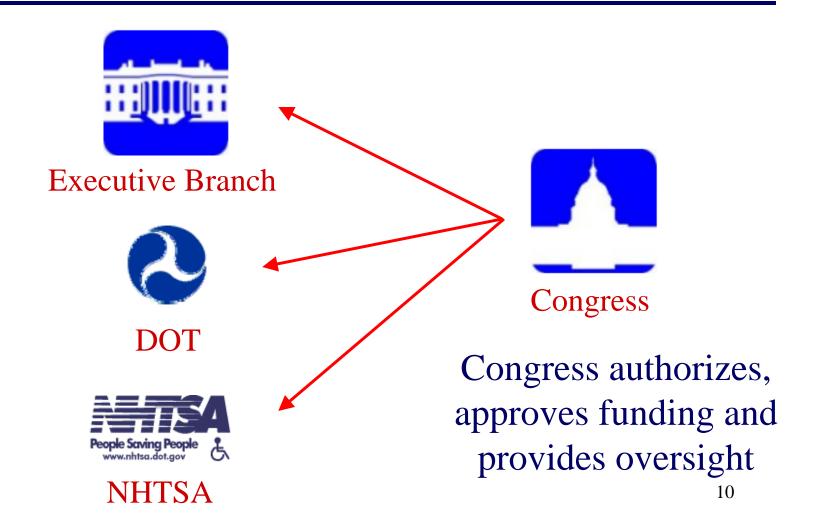


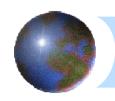
### Authority to Regulate and the Criteria for Establishing Vehicle Safety Standards





#### Congressional Authorizations







#### Vehicle Safety Act

 "The Secretary shall establish by order appropriate Federal motor vehicle safety standards. Each such Federal motor vehicle safety standard shall be ..."





### shall meet the need for motor vehicle safety,..."

- Is there a safety problem? consider frequency and severity
- Will the rule reduce the problem?
  - How and to what extent?
  - How convincing is the research?
- How were the required levels of performance chosen?





#### practicable,..."

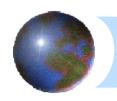
- Technology feasible and available by contemplated effective date?
- Economics would manufacturers incur a substantial economic hardship?
- Public acceptability- would the public use the technology?
- Repeatability of test results





### and shall be stated in objective terms."

- Requirements must be quantified so that compliance is measurable with scientific instruments
  - Unacceptable "Object x shall not move or deflect when pushed."
  - Acceptable "No portion of object x shall move more than y inches when subjected to a force of c pounds applied in the d direction to the spot e on the object."





#### Other requirements for standards

- Performance oriented
  - Permit the maximum flexibility in design consistent with safety
  - Permit not only current designs but future designs
- Appropriate for each vehicle type





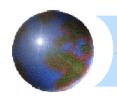
#### The Rulemaking Process





#### Rulemaking Process Requirements

- Administrative Procedure Act
  - Transparency and openness
    - Notice and opportunity for comment
    - Timely public availability of information
    - Written reasoned response to public comments
  - Reasoned and fair decision making
  - Provides for judicial review
- Other Statutes and Executive Orders





#### Sources of Rulemaking Action

**Voluntary Standards** 

Legislation

**Public Petition** 

Real World Crash Data Analysis, Research and Development

Compliance Issue

**International Standards** 

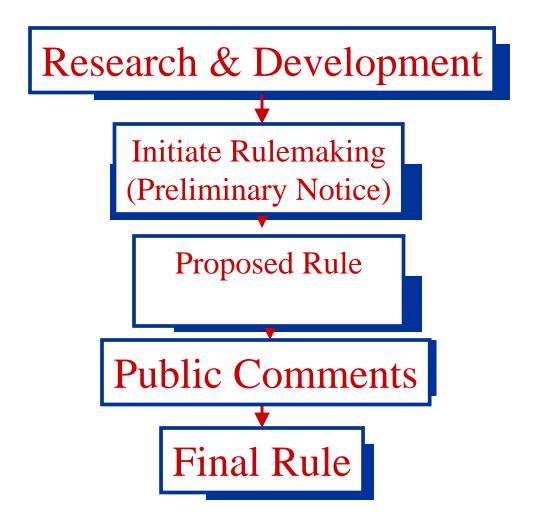
**Technology Changes** 

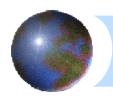
Initiate Rulemaking





#### Rulemaking Process Overview







#### Post-Final Rule

- Petitions for Reconsideration
- Judicial Review
- Congressional Review
- Enforcement
- Evaluation and Review





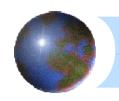
## Benefits of Requirements for Standards and Rulemaking Process

- Better understanding of the available solutions;
- Better regulations;
- Greater public understanding;
- Greater public confidence; and
- Greater public acceptance.





# Enforcing Vehicle Safety Standards

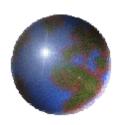




#### Certification/Compliance

- Manufacturers must "self-certify," federal government does not approve vehicles or equipment.
- NHTSA determination that a vehicle or equipment does not comply <u>must</u> be based on testing as specified in the FMVSS.
- "Trust, but Verify"





### Influence of Global Harmonization



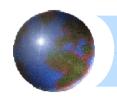


#### Implementation of GTRs

1998 Requirement: Once GTR is established, NHTSA will issue a Notice of Proposed Rulemaking and follow the process described to either adopt a new regulation or amend an existing one by changing, rescinding or adding requirements

#### Possible outcomes:

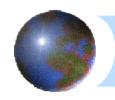
- No significant comments and rulemaking process validates the merit and provisions of the GTR
- Additional comments, data and research results are submitted by commenters and NHTSA upon evaluation is convinced to depart from GTR
  - In this case, NHTSA will bring back the data and seek to amend the GTR accordingly





#### Implementation of GTRs

- To minimize the possibility of departing from GTR based on input from Rulemaking Process,
  - NHTSA developed an agency policy that seeks input throughout the GTR development process
    - Annual Notice for public comment
      - describing the program of work and seeking comments on U.S.
         proposals or proposals of other countries to develop GTRs
      - Providing the latest draft GTR and describing the status of work
      - Alerting the public that a GTR has been forwarded to WP.29/AC.3 for adoption and seeking comments that guide agency position
      - a proposal to develop a GTR is approved
  - Regular meetings with stakeholders
  - NHTSA will work with international partners to explain its requirements and needs

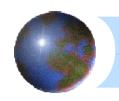




#### Lessons Learned – Gov./Ind. Collaboration

### Resources can be leveraged to achieve a better regulation

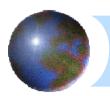
- Collaborative problem solving
- Sharing research and testing
  - Motorcycle Brakes
  - Controls and Displays





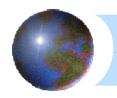
#### Lessons Learned – GTR Adoption Issues

- A better understanding of the CPs respective rulemaking systems and their compliance and enforcement methods
- The importance of reducing to writing the record of a GTRs science rationale and economic impact to help persuade CPs to adopt them





#### Thank You





#### Sources of More Information

- NHTSA Website http://nhtsa.gov
- NHTSA Docket contains rulemaking notices, supporting documents and comments: http://dms.dot.gov
- Code of Federal Regulations (CFR) http://www.gpoaccess.gov/cfr/index.html
- Federal Register http://www.gpoaccess.gov/fr/index.html
- United States Code http://www.gpoaccess.gov/uscode/index.html